**Developing labour productivity norms for aluminium system**

**formwork in Sri Lanka**

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**Abstract**

**Purpose** -The purpose of this paper is to establish labour productivity norms (LPNs) on an elemental basis to investigate a measurement for the labour productivity (LP) of aluminium system formwork (ASF) in low-cost housing projects (LHPs) in Sri Lanka.

**Design / methodology / approach** – Case study approach was selected as the most appropriate for the study and semi-structured interviews, document review and direct observations were used for the data collection. Four case studies were conducted. Eight semi-structured interviews were conducted among four cases. Further, document review was used in three cases, and direct observation was used in one case. The validation of the results was not possible in a real life project due to time limitations

**Findings** – The findings identified six labour productivity factors (LPFs) affecting the LP of ASF. The need for LPNs for ASF on an elemental basis is identified. Further, LPNs were developed using LPFs.

**Research limitations / implications** – This research was limited to LHPs for underserved settlements in Colombo, Sri Lanka which use ASF. The LPNs were prepared based on time studies and were restricted to structural elements such as slabs, beams and columns

**Originality / value** – The LPNs were developed for ASF in LHPs based on the effect of weather, crew, site, management and project factors. Further, the study addresses a gap in the literature regarding the development of LPNs of ASF for LPHs in Sri Lanka. LPNs for ASF have enhanced LP while promoting economic and social stability in the industry.

**Keywords:** Productivity, Aluminium system formwork (ASF), Labour productivity (LP), Labour productivity factors (LPFs), Labour productivity norms (LPNs), Low-cost housing projects (LHPs)

**Paper type** Research paper

**1.0 Introduction**

Brett (1988) defines productivity as, “an index that measures output relative to the input, used to produce them” (p. 51). Productivity fosters satisfied clients, attracts investment and contributes to economic growth and well-being (Durdyev and Mbachu, 2011). In the construction industry, it enables the efficient use of resources such as material, labour and capital, and labour productivity (LP) stands as a measuring tool since most activities are labour oriented (Mar, 1985; Cheetham and Lewis, 2001). Tools to measure LP are vital for the efficiency of labour-based work in the construction industry (Stiedl et al., 1998). In order to maintain LP, norms are used as suitable standards to facilitate the economic and technological approach and risk management in construction (AbouRizk and Dozzi, 1993). LP is strongly influenced by formwork since it involves a significant portion of the cost of a concrete structure (Tam et al., 2005; Moselhi and Khan, 2010). Aluminium system formwork (ASF) has been identified as the ideal cost-effective tool to enhance productivity for high-rise housing projects (Construction Updates, 2012) and it is a popular formwork system in urban regeneration projects or low-cost housing projects (LHPs) in Colombo, Sri Lanka. Labour productivity norms (LPNs) can thus be used to maintain the LP of ASF and to enhance its effectiveness. There is a deficiency of appropriate norms with which to measure LP for ASF, however, and therefore this research investigates a measure for LP of ASF used in LHPs.

2.0 Construction LPNs

Construction LP is crucial for the effective and efficient utilisation of construction-related resources with minimum waste (Enshassi et al., 2007). According to McDonald Jr and Zack Jr (2004), LP is strongly supported by LPNs. LPNs are defined as the “Number of labour hours required to complete a defined construction activity, under the specific qualifications associated with each individual labour” (Vliet, 2011). It is beneficial in re-measurement, provides easy rectification by employees, maintains the required labour force using the best work practices and enables standardisation and efficient evaluation (Stiedl et al., 1998; Crawford and Vogl, 2006). McDonald and Zack (2004) has developed LPNs for construction formwork using direct observations to facilitate LP.

3.0 Need for LP in LHPs

LHPs are designed for the low and medium income community, using prefabrication or modular components as an efficient mechanism to increase LP (Olotuah, 2002). One such prefabricated material is reusable metal formwork, which is cost effective and productive (Huang et al., 2004; Ministry of Federal Affairs Ethiopia, 2003; Richard, 2005). Effective and advanced formwork systems result in successful LHPs in terms of time, cost and quality (Elbeltagi et al., 2011; Lyngcoln, 1991; Swapnali and Kumathekar, n.d.). When selecting a suitable formwork system, compatibility and maximum reusability are also essential (Smith and Hanna, 1993). Formwork such as timber, steel, aluminium and plywood is used for LHPs in the Sri Lankan construction industry.

*3.1 The effect of labour productivity factors (LPFs) on formwork systems*

The LP of formwork systems is affected by LPFs such as management, labour, material, technology, and economic and environmental factors (Jarkas, 2010a, b). Figure 1 depicts the relationship between LPFs and formwork. For example, formwork design factors determine the selection and efficiency use of a particular formwork type based on its design and jointing patterns, dimensions and other finishing requirements**.**



**Figure 1. The effect of labour productivity factors on formwork in construction industry**

Sources: Tam *et al*. (2005), Smith and Hanna (1993), Jarkas (2010a, b), Moselhi and Khan (2010), Man (nd) and Kazaz and Ulubeyli (2007)

*3.2 Significance of ASF in LHP*

The selection of an appropriate formwork system is essential to enable speedy construction and to maintain a smooth workflow (Tam et al., 2005). Concrete formwork is labour-intensive, costly and time-consuming, and decreases overall productivity. There should be a mechanism to minimise the cost incurred in formwork by reducing the time frame. Kim et al. (2012) devised modular formwork systems, a scientific approach and an optimum solution to improve productivity to overcome cost, time and quality issues. ASF, as a modular formwork system, is an excellent alternative used for LHPs (Sattigari et al., 2007). Table I provides a comparison between conventional formwork and ASF.

**Table I. Comparison between aluminium system formwork with the other conventional formwork system**

|  |  |  |
| --- | --- | --- |
| Requirement | Conventional (timber and plywood) | Aluminium system formwork |
| Quality | Poor quality- in dismantling | Good quality - in dismantling |
| Speed  | Erection is done at the site. Plastering and finishing activities commence after concreting and de-shuttering | Walls and floors are cast together and enable removal and re-use of formworks on daily cycle basis due to special inbuilt accelerated curing overnight |
| Finishes | Plastering is required | Rarely required plastering |
| Maintenance | Plaster of walls /ceiling requires repair and maintenance. Outer and inner walls painting requiredLeakages occurred in plumbing and sanitation installation. | Concrete repairs for plastering and leakage’s are not required due to the walls and ceiling being smooth and high quality |
| Labour force | Extensive labour requirement | Lesser skill labour requirement, due to standardized and simple installation procedure |
| Installation and reusability | Maximum 5 or 6 times - shuttering can get damaged when de-shuttering | Lesser material wastage due to standardized and simple installation procedure |
| Safety | Props are removed when dismantling slab panels | Props are not removed when dismantling slab panels |

Sources: Hanna (1999), Huang et al. (2004), and Rahim and Haron (2013)

ASF is economical, and designed for the speed and efficiency of typical high-rise housing construction (Swapnali and Kumathekar, n.d.). It has the high potential to improve LP in LHPs. Gatti et al. (2014) argue that ASF has not achieved successful productivity, however, due to a lack of planning in high-rise construction. There is a need for LPNs in the use of ASF to facilitate LP. ASF is frequently used in LHPs for the relocation of underserved settlements in Colombo, Sri Lanka. This research is especially focussed on enhancing the productivity levels of labourers, and establishing LPNs for ASF in LHPs in Sri Lanka.

**4.0 Research methodology**

The study investigates the measurement of LP for ASF in LHPs using LPNs through case study approach. It involves expert’s interviews, document review and direct observations for data collection. The unit of analysis for these case studies are the LPNs for ASF, within LHPs in Colombo, Sri Lanka, as shown in Figure 2.

**Figure 2. Unit of analysis**

Four LHPs conducted by Urban Development Authority in Sri Lanka were selected as case studies, three cases of which had completed and one was an ongoing project. Table II presents general information about the projects. Initially, semi-structured interviews were conducted to highlight the need for LPNs for ASF. The data collected from the semi-structured interviews were analysed using content analysis. Document review (related to the labour-subcontractor payment certificates), and direct observations were then conducted. The data collected from both methods were analysed using statistical data analysis based on mean values. LPNs were developed based on the results of direct observations, and the results were further examined based on the varying effect of the LPFs in different occasions.

**Table II. Summary of the cases**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Description | Case 1 | Case 2 | Case 3 | Case 4 |
| Type | Low cost housing projects | Low cost housing projects | Low cost housing projects | Low cost housing projects |
| Employer | Urban Development Authority | Urban Development Authority | Urban Development Authority | Urban Development Authority |
| Progress of the Project | Work is on progress | Nearly Completed | Nearly Completed | Nearly Completed |
| ICTAD Grading  | C1 | C1 | C1 | C1 |
| Building Works | Building Works | Building Works | Building Works |
| Duration (Months) | 24  | 24  | 30  | 24  |
| Payment Method | Design and Build- Lump Sum payment | Design and Build- Lump Sum payment | Design and Build- Lump Sum payment | Design and Build- Lump Sum payment |
| Contract Price (Rs.) | 2.18 Billion | 1.36 Billion | 2.89 Billion | . 915 Million |
| Floors | 12 | 12 | 12 | 12 |
| Work Status | ASF on progress | ASF have already been used | ASF have already been used | ASF have already been used |
| Interviewees | Site Engineer-1 Site/Project Quantity Surveyor-1 | Site Engineer-1 Site/Project Quantity Surveyor-1 | Site Engineer-1 Site/Project Quantity Surveyor-1 | Site Engineer-1 Site/Project Quantity Surveyor-1 |
| Labels for Interviewees | C1/R1/EC1/R2/QS | C2/R1/EC2/R2/QS | C3/R1/EC3/R2/QS | C4/R1/EC4/R2/QS |
| Expert Interviews | Expert/01Expert/02Expert/03 | Expert/01Expert/02Expert/03 | Expert/01Expert/02Expert/03 | Expert/01Expert/02Expert/03 |
| Data collection | Direct Observation | Document Review | Document Review | Document Review |

5.0 Data analysis – semi-structured interviews

The data collected from the eight semi-structured interviews were analysed using content analysis to investigate the suitability of LPNs for ASF in LHPs, as categorised into several themes. Figure 3 illustrates the coding structure used.



**Figure 3. Coding structure for investigating the suitability of labour productivity norms for aluminium system formwork in low-cost housing projects**

*5.1 Significance of ASF*

Seven of the eight respondents stated that the overall time consumed for the process of conventional formwork (erection, transportation and dismantle) was the most important issue. C1/R1/E highlighted “Nearly two weeks are taken to complete plywood shuttering for the 4,000 sqft floor. However, ASF approximately takes four days to complete the same area”. In general, ASF is ideal for time saving compared to conventional formwork systems. The quality output of ASF was also highly ranked compared to conventional formwork. The majority of respondents reported that the repetitive use of ASF panels was another advantage due to the typical design of LHPs in the city. The time and quality effectiveness of ASF is a value-added benefit for LHPs.

***5.2 LPN for ASF based on LPF***

Notably, the positive relationship between LPFs and ASF facilitates implementation of the new system. The majority of interviewees stressed that professionals preferred using ASF to plywood. ASF led to an increase in LP and the need for LPNs due to industry requirements. C2/R2/QS stressed that an elemental basis of LPNs addressed the varying effects of LPFs. According to most of the respondents, LPNs are further affected by the labour force and standardised work practices.

**6.0 Data analysis - document review and direct observations**

Due to the availability of limited LHPs using ASF, and time constraints, only one case was used for direct observation of ASF construction. A document review was carried out for the three other cases. Four time studies were conducted under the direct observations in Case 1. During these four time studies (four different occasions) effect of LPFs, such as weather conditions, site factors, management and project factors, and crew factors were observed. Since design and buildability factors were similar in the all four time studies in the same case (Case 1), these factors were not considered. All six factors were identified in the literature review, as crucial LPFs affecting the formwork systems. According to the process shown in Figure 4, the direct observations in Case 1 were entered into the observation sheets while maintaining time allowances for performance rating and performance, fatigue and delay (PFD) allowance. After that, the number of labourers (skilled and unskilled) was multiplied by the time (hours) taken for each task and then divided by the relevant area of the construction work (square metres) to calculate the LPN.

|  |
| --- |
| *Steps undergone in the site premise* |
| *Step* | ***Description*** |
| Observe ongoing work  | At the first site visit generally observe the work carried out at the site.  |
| Prepare observation sheet and check list | After observing the methods of construction and the general procedure carrying out by the labourers, observation sheets and the checklist are prepared on the basis of the elements of columns, beams and slabs. |
| General procedure for carrying out work |
| **Pre Concrete stage**1. Preparation - Setting out, transporting the panels2. Erecting - Preparing tie rods (only for columns), erecting formwork, fastening the jacks and aligning and levelling**Concreting stage**3. Monitoring the formwork while concreting**Post Concrete Stage**4. Process of Dismantling |
| Divide the work in to work elements | The total work was divided into smaller work components as according to the procedure given below. |
| Select a particular area for time study | Based on the varying effect of the conditions in LPFs, areas are selected |
| Measure the time taken to complete each work element | * For each divided work elements the time taken to complete a particular task has been measured in minutes and marked in the observation sheet (On an elemental basis such as columns, beams and slabs separately).
* The labour force involved in each work element is marked (Skilled & Unskilled).
* Note down any specific conditions and remarks
* Discuss with site officers or other professionals and confirmed the necessity of LPNs
 |
| Quantify and mark the areas where the direct observations are conducted | The areas observed are separately calculated in each time study, |
| *Calculation Procedure* |
| Enter the raw data in the **observation sheet*** Start time-Finished time
* Number of Skilled and Unskilled labourers

Enter the data in an orderly manner in the **check list*** Calculate the concreting hours
* Gang heads time allowances
* Prepare separate check lists for all three elements (Column, beam and slab)

Prepare the **Check List-Summary sheet** for each element for each time study***Normal time = (Time worked) \*(Performance rating)******Standard time = (Normal time)\*(1 + PFD Allowances)***1. *(PFD allowance is based on the observer`s on site identified factors under personal, fatigue and unavoidable delay allowances)*
* Performance Rating
* PFD Allowance

Prepare **skilled and unskilled labour hours**Area calculation of each **work element****Prepare Labour Productivity Norm (LPN) (hr /m2)****ADD*****Calculating concreting = Total time taken for concreting x******hour for each element Total area of concreting******Total area for a particular element*** |

**Figure 4. Steps undergone in the site premise and calculation procedure of time studies**

The documents reviewed were labour-subcontractor payment certificates for four consecutive months in Cases 2, 3 and 4. According to the documents, LPNs were calculated using skilled and unskilled labour hours, which were computed based on subcontractor payments, and the particular area of the construction work in measurement sheets. The LPNs in both methods were compared, and there were remarkable deviations. The resulting LPNs from the document reviews were considerably less than in the direct observations. This was due to a lack of consideration of LPFs and the special allowances such as performance rating and the PFD allowances in document reviews when calculating LPNs. Only the results of direct observations were selected for the comprehensive analysis, where Table III presents an in-depth analysis of LPNs based on LPFs and Figure 5 illustrates the results based on structural elements such as columns, beams and slabs.

**Table III: Analysis of labour productivity norms based on the varying effect of labour productivity factors**

|  |  |
| --- | --- |
| Labour Productivity Factor | Effects on LPN |
| **Weather Condition** | * In a fair weather condition, the increment in LP is observed. Mix of all weather conditions however, results vast deviations in LP
* The impact of the weather conditions in transporting, erecting, dismantling, fastening jacks and aligning the elements, implied that heavy rains had not affected the tasks in a considerable manner
* Average LPN had been increased gradually especially in upper floors due to the impact of the wind speed
 |
| Crew Factor | * Involvement of head of work gang is important for levelling, alignment and setting out activities
* A proper labour mix, is required to enhance the labour efficiency.
* Experience, teamwork, skills and cooperativeness are essential to follow the work orders, time management
 |
| Management and Project Factors | * Supervision is required for planning the site schedule
* In planning, concurrent operations such as concreting activities and formwork erection need to be considered
* Delays in proceeding activities such as reinforcement fixing, cleaning, concreting and scaffolding work has a considerable effect
 |
| Site Conditions | * Transportation of panels without proper safety procedures under a lack of supervision, delays the scaffolding work
* Average facilities of labourers and site security
 |



**Figure 5. Element base labour productivity norms**

According to Figure 5, there is a slight deviation of norms under the varying effects of LPFs. Apart from that initial setting out for the fixing of formwork, the fixing points, supports or props, alignment and involvement of the head of the work gang, handling of panels, re-fixing and the arrangement of the elements were also identified as crucial. LP was also based on the labour mix (skilled and unskilled), time allocation, the ratio between observed areas of each element and the balance in the productive and non-productive labour hours. All of these are crucial for labour efficiency and vital in the development of LPNs.

**7.0 Analysis of LPNs for ASF**

The developed LPNs were analysed based on four different occasions, considering the labour mix (skilled and unskilled), the ratio between the areas of each element and varying the effects of LPFs. The changing pattern of LPFs and its impact on LPNs are presented in Table IV.

**Table IV. Four different occasions**

|  |
| --- |
| LABOUR PRODUCTIVITY NORMS (LPNs) – hrs/m2 |
|  | **Occasion 1** | **Occasion 2** | **Occasion 3** | **Occasion 4** |
| Average | **1.42** | **1.53** | **1.60** | **1.67** |
| Columns | 1.50 | 1.66 | 1.67 | 1.74 |
| Beam | 1.49 | 1.56 | 1.60 | 1.65 |
| Slab | 1.29 | 1.38 | 1.53 | 1.63 |
| Weather condition | Fair | Average | Poor (Combination of all the effects) | Poor (Combination of all the effects) |
| Crew factors | Proper combination, supervision, and corporation of the labours | Average combination, lesser corporation of the labours as a team | Poor gang head`s involvement. | Poor, having lesser experience and lack of team spirit, |
| Management and project factors | Average planning sequence | Average planning sequence including site supervision | Poor planning sequence | Poor planning sequence |
| Site conditions | Average site conditions such as site safety and security | Average site conditions including safety and security | Poor site conditions especially the safety factors | Poor site conditions especially the safety factors |

According to Table IV, on Occasion 1 the average LPN was 1.42 with a higher level of LP, and the LPNs changed to 1.50, 1.49 and 1.29 for columns, beams and slabs, respectively. On Occasion 2, the average LPN was 1.53, and similar to the Occasion 1 deviations within the elements mainly due to the effect of crew factors. Occasion 3, with average LPN of 1.60 was affected by shortcomings in the weather and management factors. Occasion 4 had a 1.67 average LPN with the worst impact for LP. A progressive increase of LPNs for slabs, columns and beams indicates the need of an element-wise deviation of LPNs. A positive relationship is clearly shown between the severity of LPFs and the average LPN.

**8.0 Expert opinions on labour productivity norms**

The expert views of LPNs were conducted to get the opinion on the practical and theoretical aspects of the development of LPNs in LHPs. Expert 3 reported that time, cost savings, high quality, planning and labour efficiency facilitated the positive impact of LPNs. Expert 2 highlighted the suitability of combining the different LPFs and characteristics of structural elements. Expert 1 suggested benefits for quantity surveyors in planning, measuring and pricing, and for labour-subcontractors when planning labour gangs, highlighting the importance of considering several occasions to generate reliability and accuracy. This study has the potential to contribute to the preparation of LPNs under different conditions. It further demonstrates the relationship between LPFs and LPNs of ASF. Ultimately, the critical evaluation of the expert opinions enhanced the quality of the findings in both practical and theoretical aspects. The validation of the LPNs in the real life context was restricted due to the time constraints, lack of availability of ongoing projects using ASF in Colombo area, and ASP is still an emerging technology in Sri Lankan construction industry. Validation of the results, therefore, requires further research for more clarity.

**9.0 Discussion**

The findings demonstrate the difficulties faced when using conventional formwork systems and the importance of ASF due to its advanced technological impact and time saving. Kim et al. (2012) have also reported that ASF had a good potential for managing cost, time and government requirements. Research findings declare a positive relationship between LP with ASF and LPFs and the impact on LPNs due to varying effects of LPFs. Similarly, Smith and Hanna (1993) found a direct relationship between LPFs and ASF in LPHs. Research findings stress the necessity and importance of LPNs, even though it cannot affect the market rate in the short term. Vliet (2011) highlighted the need for a norm to maintain the required labour force, the best work practices, minimum disturbances and for creating a benchmark to facilitate the standardisation and efficient evaluation of LP. The research was initiated against a background where issues related to ASF of LHPs were crucial, and there was no value adding practice conducted in the industry to enhance the advanced nature of ASF. Results indicate the real benefits of ASF through LPNs. These two different concepts have been connected here, based on the LPFs and other related aspects, in order to provide an effective and efficient atmosphere in the construction industry.

**10.0 Limitations**

The lack of ongoing construction sites which use ASF was the main limitation to this study. Only one case study was available at the time of data collection. The other cases were studied under the document review. Another considerable limitation was the unavailability of documents to conduct the document review. This research was only considered from the contractor’s perspective, and the perspective of the labour-subcontractor and consultant was not taken into consideration. Another limitation is that the observations were conducted daily, and practical difficulties have resulted human errors during the observations. A limited area was covered and only internal erection procedure of the formwork was observed (the external edges were not taken into consideration) under the time constraints and practical difficulties. Similarly, due to the structural arrangement and timing difficulties, some elements were not considered, such as staircases and concrete walls. In most of the cases, continuous observations were limited as a result of time restrictions, concurrent activities conducted, the involvement of the labourers in those activities and sometimes the uncontrollable behaviour of the labourers.

**11.0 Implication of findings to theory and practice**

Theoretically, there is a clear-cut relationship between ASF and the LPNs and effect of LPFs on both which has not been previously identified. Economically, LPNs govern LP, mitigate the shortcomings of excess labour use, help to timely completion of the project and ultimately have an impact on future employment market rates. Notably, LPNs motivates construction industry practitioners and facilitate self-commitment and mental health. This affects the well-being of construction professionals and the labourers. The findings have already developed a foundation for influencing the attitudes of clients and construction professionals regarding ASF compared to conventional formwork systems. Psychologically, this creates a friendly environment for the parties, enabling them to work in a peaceful environment with fewer disputes.

**12.0 Conclusions**

LP plays an essential role in upgrading the concept of “low-cost housing” because it enhances affordability, quality and time saving. An appropriate formwork system, based on LPFs, is one of the best ways to facilitate LHPs. The trend of ASF begun in Sri Lankan LHPs because the systematic and advanced procedures have a positive effect on LPFs, and also fulfil contractual obligations, compared to conventional formwork. The developed theoretical and practical perspectives of LPNs for ASF add extra value to the LP. LPNs have become the governing factor in planning and estimating stages, which ultimately contributes to facilitating standardisation and the efficient evaluation of the project time cost and quality factors. The power of LPNs within the site premises has enhanced the collaboration and teamwork of the industry professionals which is vitally important for the management of physical activities and metal well-being of the professionals. Occasional deviations in LPNs are highlighted due to the varying effect of the LPFs. Not only a single factor but also a combined effect of different factors have contributed the considerable deviations in LPNs. There is a high potential in generalisation of the LPNs for ASF for other different conditions such as different building projects under different contexts in further studies.

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