

1 **Identification of an orally active carbazole aminoalcohol derivative**
2 **with broad-spectrum anti-animal trypanosomiasis activity**

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25 **Abbreviations:**

26 H1401: (3,6-dichloro-9*H*-carbazol-9-yl)-3-(2-methylpiperidin-1-yl) propan-2-ol;

27 H1402: 1-(3,6-dichloro-9*H*-carbazol-9-yl)-3-(propylamino) propan-2-ol (compound);

28 H1406: 1-(3,6-dichloro-9*H*-carbazol-9-yl)-3-(4-methylpiperidin-1-yl) propan-2-ol;

29 H1411: 1-(butylamino)-3-(3,6-dichloro-9*H*-carbazol-9-yl) propan-2-ol (compound);

30 H1426: 1-(3,6-dichloro-9*H*-carbazol-9-yl)-3-(octylamino) propan-2-ol (compound)

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45 **Abstract**

46 Animal trypanosomiasis, caused by the members of subgenus *Trypanozoon*
47 (*Trypanosoma brucei brucei*, *T. evansi* and *T. equiperdum*), has reduced animal
48 productivity leading to significant negative economic impacts in endemic regions. Due
49 to limited drug discovery and the emergence of drug-resistance over many recent
50 decades, novel and effective compounds against animal trypanosomiasis are urgently
51 required. This study was conducted to evaluate the antitrypanosomal potential of a
52 batch of carbazole aminoalcohol derivatives. Among them, we found that the most
53 effective compound was H1402, which exhibited potent trypanocidal efficacy against
54 the bloodstream-form of *T. b. brucei* ($EC_{50} = 0.73 \pm 0.05 \mu\text{M}$) and presented low
55 cytotoxicity against two mammalian cell lines with $CC_{50} > 30 \mu\text{M}$. Using a murine
56 model of acute infection, oral administration with H1402 demonstrated a complete
57 clearance of *T. b. brucei* and all the infected mice were cured when they were treated
58 twice daily for 5 days at a dose of 100 mg/kg. Furthermore, parasites were not detected
59 in mice infected with *T. evansi* and *T. equiperdum* (the causative agents of surra and
60 dourine, respectively, in animals) within 30 days following the same regimen with
61 H1402. In addition, H1402 caused severe morphological and ultrastructural destruction
62 to trypanosomes, as well as causing phosphatidylserine externalization, which are
63 suggested to be the most likely cause of cell death. Overall, the present data
64 demonstrated that H1402 could be promising as a rapid, safe and orally active lead
65 compound for the development of new chemotherapeutics for animal trypanosomiasis.

66 **Keywords:** antitrypanosomal activity, animal trypanosomiasis, carbazole

67 aminoalcohols, *T. b. brucei*, *T. equiperdum*, *T. evansi*.

68 **1. Introduction**

69 Three species in the subgenus *Trypanozoon* (*T. brucei*, *T. evansi*, and *T. equiperdum*)
70 are pathogens that cause human African trypanosomiasis (HAT) and animal
71 trypanosomiasis (AT) (Radwanska et al., 2018). Two subspecies of *T. brucei* (i.e., *T. b.*
72 *gambiense* and *T. b. rhodesiense*) cause HAT in West/Central Africa and East Africa
73 respectively (Bottieau and Clerinx, 2019). However, *T. b. brucei*, *T. evansi*, and *T.*
74 *equiperdum* are responsible, respectively, for nagana, surra, and dourine in animals.
75 Animal African trypanosomiasis (nagana) caused by *T. b. brucei* is restricted to some
76 African regions due to its key dependence on the tsetse fly vector for transmission. In
77 contrast, surra and dourine have radiated out of Africa because their causative
78 pathogens, *T. evansi* and *T. equiperdum*, have evolved independence of the tsetse fly for
79 transmission (Lun et al., 2010). *T. evansi* is mechanically transmitted through the bite
80 of many bloodsucking insects, which feed on a wide range of economically important
81 mammals such as water buffalo, cattle, camels, horses and some wild animals, leading
82 to an endemic distribution of surra in Asia, North Africa, Central and South America,
83 as well as some places in Europe (Aregawi et al., 2019; Desquesnes et al., 2013). While
84 *T. equiperdum* infections are mainly restricted to the family Equidae (horses and
85 donkeys) due to a sexual transmission route and also have radiated to a worldwide
86 geographical distribution as animal translocation has occurred (Claes et al., 2005).
87 Animal trypanosomiasis, caused by the three species mentioned above, has resulted in

88 great economic loss in livestock husbandry as millions of animals die each year due to
89 suffering from anaemia and cachexia after infection. In addition to the huge socio-
90 economic impact, these animal trypanosomes are potentially responsible for causing
91 the spread of trypanosomiasis by unrestricted animal movement. The epidemic regions
92 are threatened by a large reservoir of the parasites in animals, potentially causing
93 outbreaks and reemergence of trypanosomiasis (Radwanska et al., 2018). Although data
94 shows a tremendous overall success in the elimination program for HAT (Gao et al.,
95 2020), the localized prevalence of animal trypanosomes still leaves cause for concern.

96 The current control of AT mainly relies on chemotherapy in endemic countries.
97 However, in the last few decades, there has been limited available choices of licensed
98 veterinary drugs (diminazene aceturate, homidium bromide/chloride, isometamidium
99 chloride, quinapyramine, suramin sodium and cymelarsan) which are suitable for
100 therapeutic or prophylactic treatment (Giordani et al., 2016). Furthermore, these drugs
101 that are in current use are far from ideal with many drawbacks related to efficacy and
102 toxicity (Matovu et al., 2001). In addition, the emergence of drug-resistant
103 trypanosomes has also been problematic for the successful chemotherapy of AT (Brun
104 et al., 1994; Rayah et al., 1999; Matovu et al., 2001; Zhou et al., 2004; Delespaux et al.,
105 2007). Over the past decades, continuous efforts have been made in researching new
106 trypanocides, but there is still the lack of an ideal drug (Field et al., 2017). In this context,
107 a range of potent, safe and efficacious drugs for the treatment of AT are urgently
108 required.

109 The carbazole skeleton is a key structure found in many biologically active

110 compounds. Several studies reported that carbazole derivatives possessed diverse
111 bioactivities, including those that are antibacterial (Rajakumar et al., 2009), antitumor
112 (Gluszynska, 2015), anti-inflammatory (Bandgar et al., 2012) and anti-parasitic, such
113 as found for *Leishmania donovani* (Brendle et al., 2002), *Plasmodium falciparum*
114 (Erath et al., 2015; Molette et al., 2013) and human African trypanosomes (Thomas et
115 al., 2016). In our previous studies, carbazole aminoalcohol derivatives were
116 demonstrated to have broad-spectrum anti-parasitic activities against *Echinococcus*
117 (Wang et al., 2017a), *Plasmodium* and *Schistosoma* (Wang et al., 2017b). Based on
118 these findings, the goal of this study was focused on the potential efficacy of carbazole
119 aminoalcohols as treatments against the bloodstream-form of *T. b. brucei*. The
120 cytotoxicity profiles of these compounds were also studied using mammalian cell lines.
121 Among them, H1402 was demonstrated to be a promising anti-trypanosomal compound
122 active against *T. b. brucei*, both *in vivo* and *in vitro*, as well as *T. evansi* and *T.*
123 *equiperdum* infections. Potentially, this could make an important contribution to the
124 identification of novel chemotherapeutic options for these animal diseases. Furthermore,
125 this study presents results of the effects of H1402 on *T. b. brucei* parasites, *in vitro*,
126 demonstrating that it causes severe morphological and ultrastructural alterations and
127 associated phosphatidylserine externalization. However, the detailed mechanism of
128 parasite death caused by H1402 is still unknown.

129 **2. Materials and methods**

130 **2.1. Chemicals**

131 The carbazole aminoalcohol compounds were synthesized in-house as previously
132 described (Chen et al., 2018; Wang et al., 2016b) and included 1-(3,6-dichloro-9H-
133 carbazol-9-yl)-3-(2-methylpiperidin-1-yl) propan-2-ol (compound H1401, MW: 391
134 g/mol), 1-(3,6-dichloro-9H-carbazol-9-yl)-3-(propylamino) propan-2-ol (compound
135 H1402, MW: 351 g/mol), 1-(3,6-dichloro-9H-carbazol-9-yl)-3-(4-methylpiperidin-1-yl)
136 propan-2-ol (compound H1406, MW: 391 g/mol), 1-(butylamino)-3-(3,6-dichloro-9H-
137 carbazol-9-yl) propan-2-ol (compound H1411, MW: 365 g/mol), and 1-(3,6-dichloro-
138 9H-carbazol-9-yl)-3-(octylamino) propan-2-ol (compound H1426, MW: 421 g/mol).
139 Diminazene aceturate (PubChem CID:5284544) was purchased from Lanzhou IS
140 Abundant Pharmaceutical Co., Ltd, China.

141 **2.2. Trypanosomes**

142 The bloodstream-form of *T. b. brucei* Lister 427 strain was used in *in vitro* assays.
143 The bloodstream-form of *T. b. brucei* STIB 920, *T. evansi* CPO gz1 and *T. equiperdum*
144 STIB 818 were used in *in vivo* tests.

145 **2.3. Animals**

146 Healthy female Swiss mice (aged 4-6 weeks, 20 ± 2 g) were purchased from the
147 Laboratory Animal Center of Sun Yat-sen University. Animals were housed in
148 ventilated cages with sawdust bedding, and maintained in the animal care facility under
149 controlled conditions (24 ± 2 °C, 45-60% humidity, 12-hour light-dark cycles). The
150 mice were fed with standard rodent feed and water *ad libitum*. Animal care and
151 experimental procedures complied with the Chinese Laboratory Animal Administration

152 Act (2017). All animal experiments were conducted in accordance with protocols
153 approved by the Laboratory Animal Use and Care Committee of Sun Yat-Sen
154 University under the license no. 31772445.

155 **2.4. *In vitro* trypanocidal assays**

156 An *in vitro* phenotypic screening of our in-house synthesized carbazole
157 aminoalcohol compound library against bloodstream forms of *T. b. brucei* was
158 performed. *T. b. brucei* cells were maintained at a density of below 1×10^6 cells/ml in
159 HMI-9 medium (pH 7.5, Sigma, USA) supplemented with 10% fetal bovine serum
160 (Genetimes ExCell Technology, China) and 1% streptomycin and penicillin (Gibco,
161 USA) at 37 °C in a 5% CO₂ atmosphere (Hirumi and Hirumi, 1989). The compounds
162 were dissolved and diluted in DMSO, resulting in corresponding final concentrations
163 of the stock solution. For compound tests, 0.5 µL of compound (in DMSO) was added
164 to each well (containing 0.5 mL of medium), 0.5 µL of DMSO was added to each
165 control well. For drug screening, parasites in log phase growth ($6-8 \times 10^5$ cells/ml) were
166 collected and diluted to 1×10^5 cells/ml, and then incubated in the presence of serial
167 concentrations of 0.5, 1.0 and 10.0 µg/ml carbazole aminoalcohol derivatives for
168 primary screening. Using the molecular weight (MW) of each compound, the units in
169 µg/ml were converted into the equivalent µM concentrations as appropriate for each
170 experiment. Eight concentrations ranging from 0.3 µM to 1.4 µM were used for EC₅₀
171 determination of H1402. Diminazene aceturate was taken as the positive control and
172 dissolved in water according to the above method with a final incubation concentration
173 of 0.05 µM. After 24 hours incubation, the number of motile parasites was counted

174 under a light microscope (LEICA DM500) using a Neubauer chamber (Marienfeld,
175 Germany) and the parasite mortality rate was calculated. The EC₅₀ value was calculated
176 with GraphPad Prism software using nonlinear regression.

177 **2.5. Cytotoxicity assays**

178 The cytotoxicity of H1402 against two normal human cell lines was examined
179 using an LDH assay kit (CytoTox 96[®] Non-Radioactive Cytotoxicity Assay, Promega,
180 USA) according to the manufacturer's protocol. Human embryonic kidney cells
181 (HEK293) and human gastric mucosal epithelial cells (GES1) were seeded into 96-well
182 plates with a density of 5×10^3 cells/well. Cells were plated in triplicate and treated
183 with H1402 at serial concentrations (2.5, 5, 6.25, 7.5, 10, 12.5, 15, 20 and 30 μ M) for
184 24 hours at 37 °C in a 5% CO₂ atmosphere. Control cells received DMSO (final
185 concentration: 0.1%). After incubation, a 50 μ l aliquot of each well was transferred to
186 the corresponding well of an assay plate, and 50 μ l of the CytoTox 96[®] reagent was
187 added and incubated for 30 min in the dark at room temperature. After adding 50 μ l of
188 stop solution to each well, the assay plate was measured at 490 nm on a microplate
189 reader (Beckman DTX 880). Experiments were repeated twice.

190 **2.6. *In vivo* efficacy studies**

191 Mice were intraperitoneally infected with 1×10^5 parasites of *T. b. brucei*, *T. evansi*,
192 or *T. equiperdum*. After confirmation of the presence of parasites in the blood (generally
193 2 days post-infection), the animals were randomly allocated into three groups ($n = 5$ per
194 group): (1) vehicle control, (2) H1402 group and (3) diminazene aceturate group.

195 H1402 was formulated in 0.5% carboxymethyl cellulose sodium (CMC) solution.
196 Diminazene aceturate was dissolved in double distilled water. Mice in the H1402 group
197 were treated with 0.2 ml of drug suspension (100 mg/kg) twice a day for a period of 5
198 days by gavage, while the vehicle control mice received an equal volume of 0.5% CMC
199 solution orally. Animals in the diminazene aceturate group were intraperitoneally
200 injected with a single dose of 20 mg/kg (0.2 ml drug solution). All the treatments were
201 initiated at 2 days post-infection. Parasitemia was individually measured by direct
202 microscopic counting of parasites in a drop of tail blood from the infected animal; the
203 low parasitemia in effectively treated mice could not be counted by hemocytometer, so
204 the infection was monitored by observing the blood smears under the microscope. All
205 animals were checked daily for survival from day 0 to day 36. Mice were considered to
206 have been cured if they survived for 30 days after treatment and no parasites were
207 detected on day 36 (the last day of the observation period). All infection experiments
208 were repeated twice.

209 **2.7. Scanning electron microscopy (SEM)**

210 Samples for scanning electron microscopy were prepared as follows, *T. b. brucei*
211 were seeded at 8×10^5 cells/ml in sterile vented cap culture flasks incubation with
212 H1402 at a concentration of 0.7 μ M for 2 hours, *T. b. brucei* cells (10^7) were collected
213 and rinsed with phosphate-buffered saline (PBS, pH 7.4). Parasites were allowed to
214 adhere to the coverslips, and then fixed with 2.5% glutaraldehyde for 2 hours at room
215 temperature. After fixation, parasites were washed with PBS three times and dehydrated
216 in a graded alcohol series [30, 50, 70, 90 and 100% (vol/vol)] for 10 minutes each.

217 Finally, the coverslips were critical-point dried and coated with 8 nm gold nanoparticles
218 (Pt:Pd 80:20, Ted Pella Inc) using a sputter-coater (Cressington Sputter 208 HR, Ted
219 Pella Inc). Images were captured using an electron microscope (S-3400N, Hitachi),
220 with a scanning work distance of 8 mm and a high voltage of 10 kV.

221 **2.8. Transmission electron microscopy (TEM)**

222 *T. b. brucei* cells were seeded in a sterile culture flask (8×10^5 cells/ml) and
223 incubated with H1402 at a concentration of 0.7 μ M for 2 hours at 37 °C. *T. b. brucei*
224 cells (10^7) were collected and rinsed with phosphate-buffered saline (PBS, pH 7.4).
225 Parasites were collected and fixed with 2.5% glutaraldehyde for 2 hours at room
226 temperature. After fixation, parasites were washed 3 times with sodium cacodylate
227 buffer (0.1 M, pH 7.2), and post-fixed with 1% osmium tetroxide solution containing
228 0.8% potassium ferrocyanide for 1 hour. Cells were subsequently dehydrated in a
229 graded alcohol series [30, 50, 70, 90 and 100% (vol/vol)] for 10 minutes each, and then
230 embedded in araldite. Ultrathin sections were cut on a LEICA EM UC7 ultramicrotome
231 and stained with 1% methanolic uranyl acetate and lead citrate. The sections were
232 visualised using a transmission electron microscope (JEOL JEM-1400Flash).

233 **2.9. Phosphatidylserine externalization assays**

234 Phosphatidylserine exposure was detected by an Annexin V-FITC apoptosis
235 detection kit (Beyotime Biotechnology, China) according to the manufacturer's
236 instructions (de Silva Rodrigues et al., 2016). *T. b. brucei* cells (2×10^5 cells/ml) were
237 treated in the presence or absence of H1402 (0.7 μ M) for 2 hours at 37 °C. The collected

238 cells were washed twice with PBS, and the pellets were resuspended in the binding
239 buffer. Samples were incubated with Annexin V-FITC solution and propidium iodide
240 (PI) solution for 30 minutes at room temperature in the dark. Then 1×10^4 cells from
241 each sample were analyzed using a FC500 flow cytometer (Beckman). DMSO was used
242 as the control.

243 **3. Results**

244 **3.1. *In vitro* trypanocidal activity of carbazole aminoalcohol derivatives**

245 Primary screening results revealed that five compounds caused almost 100% fatal
246 damage to trypanosomes at a concentration of 0.5 $\mu\text{g/ml}$ (the units in 0.5 $\mu\text{g/ml}$ could
247 be converted into μM according to the molecular weight of each compound) within 24
248 hours (Fig 1A-E). Fig 1F shows the EC₅₀ value ($0.73 \pm 0.05 \mu\text{M}$) of H1402 against
249 trypanosomes. The cytotoxic effect of these compounds on the mammalian host cells
250 showed that H1402 did not reduce the viability nor inhibit the proliferation of HEK293
251 and GES1 cells at a concentration of up to 30 μM (CC₅₀ values > 30 μM). Selectivity
252 determination demonstrated that H1402 was the most promising compound with high
253 selectivity indices (CC₅₀/EC₅₀) of > 41.

254 **3.2. *In vivo* efficacy of H1402 against trypanosomes infections**

255 In all tested compounds, H1402 was demonstrated as the most effective one *in*
256 *vivo*. H1402 was found completely to eliminate trypanosomes (*T. b. brucei*) in mice
257 within 2 days, and ensured 100% animal survival up to 30 days post treatment without

258 any relapses detected (Fig 2A). Moreover, no parasites were detected in mice inoculated
259 with the blood and tissue homogenate from cured mice, further confirming that these
260 mice were trypanosome free after treatment with H1402 (data not shown). In addition,
261 all the mice showed normal behaviors and no apparent adverse effects were observed
262 throughout the treatments, which indicated that the dosing regimen of H1402 was well
263 tolerated by the animals. The reference drug, diminazene aceturate cured 100% of
264 infected mice. All control mice died between day 4 and day 5 after infection. Animals
265 treated with H1411 were free of parasites for two weeks, but unfortunately a relapse
266 occurred in all mice after two weeks of infection. The other three compounds, H1401,
267 H1406 and H1426, failed to suppress the growth of trypanosomes *in vivo* (data not
268 shown). Additionally, mice infected with *T. evansi* or *T. equiperdum* were also cured by
269 H1402 following the same dosing regimen and method in *T. b. brucei*. All animals
270 survived up to 30 days without relapses (Fig 2B-C).

271 **3.3. H1402 caused severe morphological and ultrastructural damage to *T. b. brucei***

272 The *in vitro* anti-trypanosome ability of H1402 was further confirmed by the
273 morphological profiles of *T. b. brucei* cells. Unlike the normal morphology in the
274 control trypanosomes, apparent morphological changes including cell membrane
275 damage, rounding of the cells, shortened and twisted parasite bodies, were observed in
276 the *T. b. brucei* incubated with H1402 (Fig 3). Specifically, H1402 caused fatal damage
277 to parasites, culminating in the rupture of the plasma membrane (Fig 3C). TEM was
278 performed to analyze the effects of H1402 on the ultrastructure of *T. b. brucei* cells (Fig
279 4). Control parasites showed a clear nucleus, intact nuclear membrane, homogeneous

280 cytoplasm and normal organelle structures (Fig 4A-B). In contrast, exposure to H1402
281 resulted in devastating damage to the parasite cells (Fig 4C-F). The most conspicuous
282 features of the ultrastructural alterations were the formation of numerous atypical
283 vacuole-like structures in the cytoplasm and the cell membrane appeared to have a
284 much more ill-defined shape; we also observed dissolved nuclear membranes (Fig 4C)
285 and dilated kinetoplasts (Fig 4E-F).

286 **3.4. H1402 induced obvious phosphatidylserine externalization in *T. b. brucei***

287 Furthermore, phosphatidylserine externalization was observed in H1402 treated
288 trypanosomes (Fig 5). The proportion of the parasites labelled with PI (45.7%) or both
289 PI and FITC (48.1%) increased significantly in comparison to the untreated cells.
290 Annexin V-FITC/PI analysis revealed that 0.1% of cells were labelled in the untreated
291 group. After exposure for 2 hours, H1402 induced a very high proportion of the
292 parasites to undergo obvious phosphatidylserine externalization. Although this could
293 explain the lethal mechanism of H1402 on the trypanosomes, further investigation is
294 necessary to fully understand the process.

295 **4. Discussion**

296 Following much emphasis on human trypanosomiasis, neglecting the widespread
297 animal trypanosomiasis (AT) has resulted in a potential area of concern. Currently, there
298 is only a limited set of available drugs for animal trypanosomes and these have been
299 used for many decades with subsequent drug resistance being reported (Brun et al.,
300 1994; Rayah et al., 1999). Therefore, there is an urgent requirement for the development

301 of new compounds which can be more easily administered, less toxic, more effective
302 against AT and can circumvent drug resistance.

303 In this study, five carbazole aminoalcohol derivatives were identified to have
304 excellent effects against *Trypanosoma brucei brucei in vitro*, but only H1402 was found
305 effectively to eliminate animal trypanosomes including *T. b. brucei*, *T. evansi* and *T.*
306 *equiperdum in vivo*. Although the effective oral administration dosage of H1402 was
307 higher than the use of current trypanocides (Giordani et al., 2016), it generally did not
308 cause significant adverse effects or toxicity to mice with a long treatment regimen (30
309 days) and were well-tolerated in the animal model (Wang et al., 2017a). The difference
310 in dosage used for the treatment of animal trypanosomes between H1402 and the
311 commercial drugs might be caused by a difference in the mode of administration. In
312 fact, previous studies demonstrated that such carbazole aminoalcohol derivatives
313 displayed only moderate cytotoxicity to rat L6 cells (Wang et al., 2016a). Based on their
314 effectiveness and low toxicity, these carbazole aminoalcohol derivatives show great
315 potential as future drugs. Of course, more research needs to be carried out on these
316 derivatives to determine the mode of action of these compounds against trypanosomes
317 and other parasites.

318 The lethal and rapid damage to trypanosomes caused by H1402 was clearly
319 revealed by SEM/TEM analysis and phosphatidylserine externalization, and these
320 results may indicate a direct killing effect of H1402 with high efficiency on
321 trypanosomes. Such fatal morphological and ultrastructural damages were also
322 observed in *Echinococcus granulosus* treated with H1402 (Wang et al., 2017a).

323 However, the mechanism of action of this compound against parasites is not known.
324 Some results indicated that the carbazole-derived compounds might block mitosis of
325 trypanosomes (Thomas et al., 2016), but we did not investigate this in our study, since
326 our work was focused on the effect of these compounds on eliminating trypanosomes.
327 In fact, various mechanisms of action of these compounds have been suggested to act
328 in a broad range of parasites including inhibition of the formation of β -hematin in
329 *Plasmodium falciparum* and *Schistosoma japonicum* (Wang et al., 2017b) or targeting
330 the *P. falciparum* Hsp90 (Wang et al., 2016a). Although the exact mechanism of these
331 compounds against parasites are not well understood, the broad spectrum antiparasitic
332 activities of these derivatives have provided a promising chemical scaffold for
333 developing new anti-parasitic medicines.

334 Additionally, our results generate suggestions for further optimization of these
335 carbazole-derived compounds. The five carbazole aminoalcohol derivatives identified
336 in our study contain the dichlorinated carbazole acting as the privileged core and have
337 a slightly different alkylamine tail. An extensive structure-activity relationship (SAR)
338 study has showed that similar modifications at the 3- and/or 6-positions and the N-alkyl
339 of the carbazole aminoalcohol core could lead to the identification of new derivatives
340 with an excellent *in vitro* potency against several drug resistant strains of *P. falciparum*
341 (K1, NF54, D6, W2, TM91C235, 7G8, and VIS) (Molette et al., 2013). Interestingly,
342 of the five highly similar carbazole aminoalcohol compounds with similar effects *in*
343 *vitro*, only H1402 showed effectiveness against trypanosomes *in vivo* via oral
344 administration. Therefore, we speculate that a short aliphatic chain at alkylamine tail

345 may contribute to an improved bioavailability of H1402 *in vivo*. Of course, more
346 detailed SAR studies are required to establish this.

347 In conclusion, our data have demonstrated that the carbazole aminoalcohol
348 compound, H1402, is a potent, safe and orally bioavailable anti-trypanosomal agent for
349 animals, which could be used as a promising lead compound for drug development
350 against animal trypanosomiasis. Given the broad-spectrum activity and the excellent
351 oral bioavailability of this class of compounds, application to the human trypanosomes,
352 *T. b. gambiense* and *T. b. rhodesiense*, is worth considering to combat potential
353 recrudescence of sleeping sickness in a post-elimination Africa.

354

355 **Competing interests**

356 The authors declare that they have no known competing financial interests or personal
357 relationships which have, or could be perceived to have, influenced the work reported
358 in this article.

359

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366

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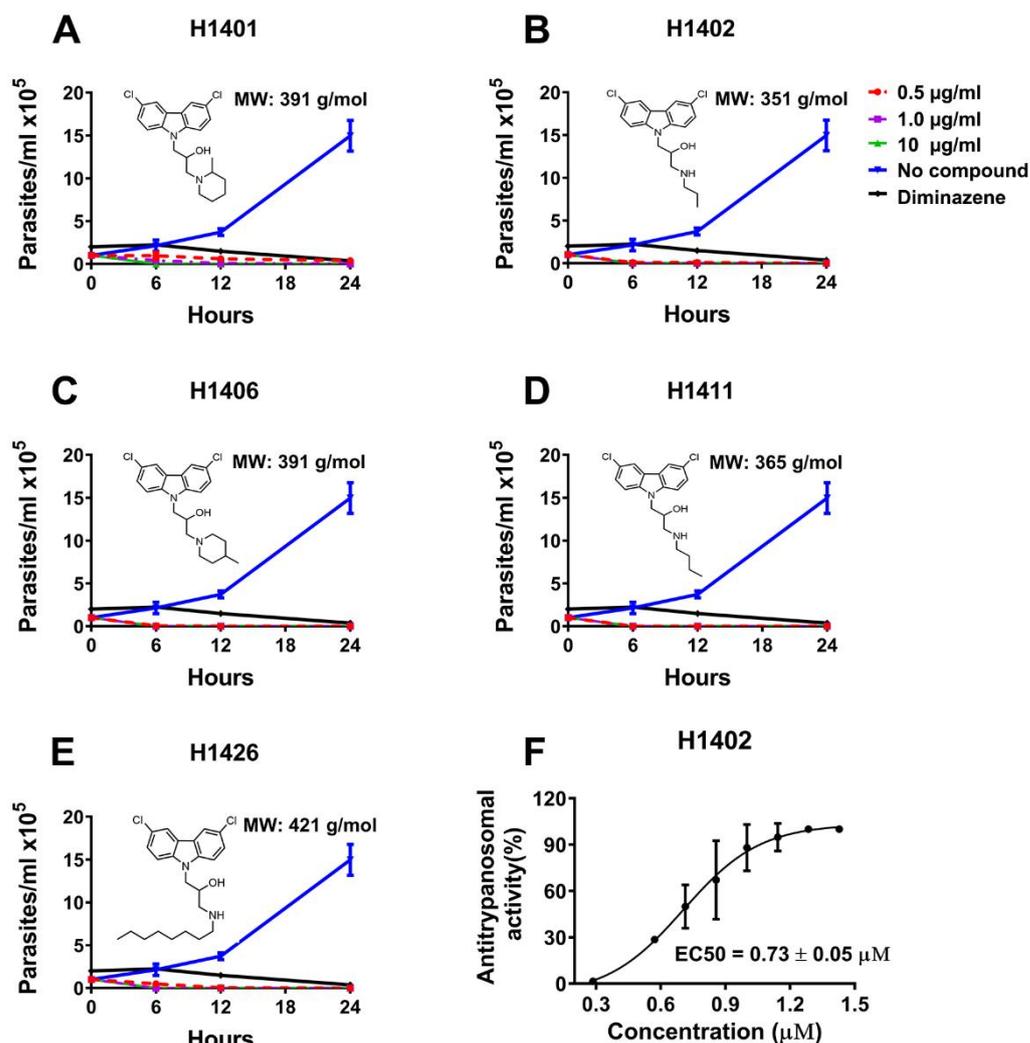
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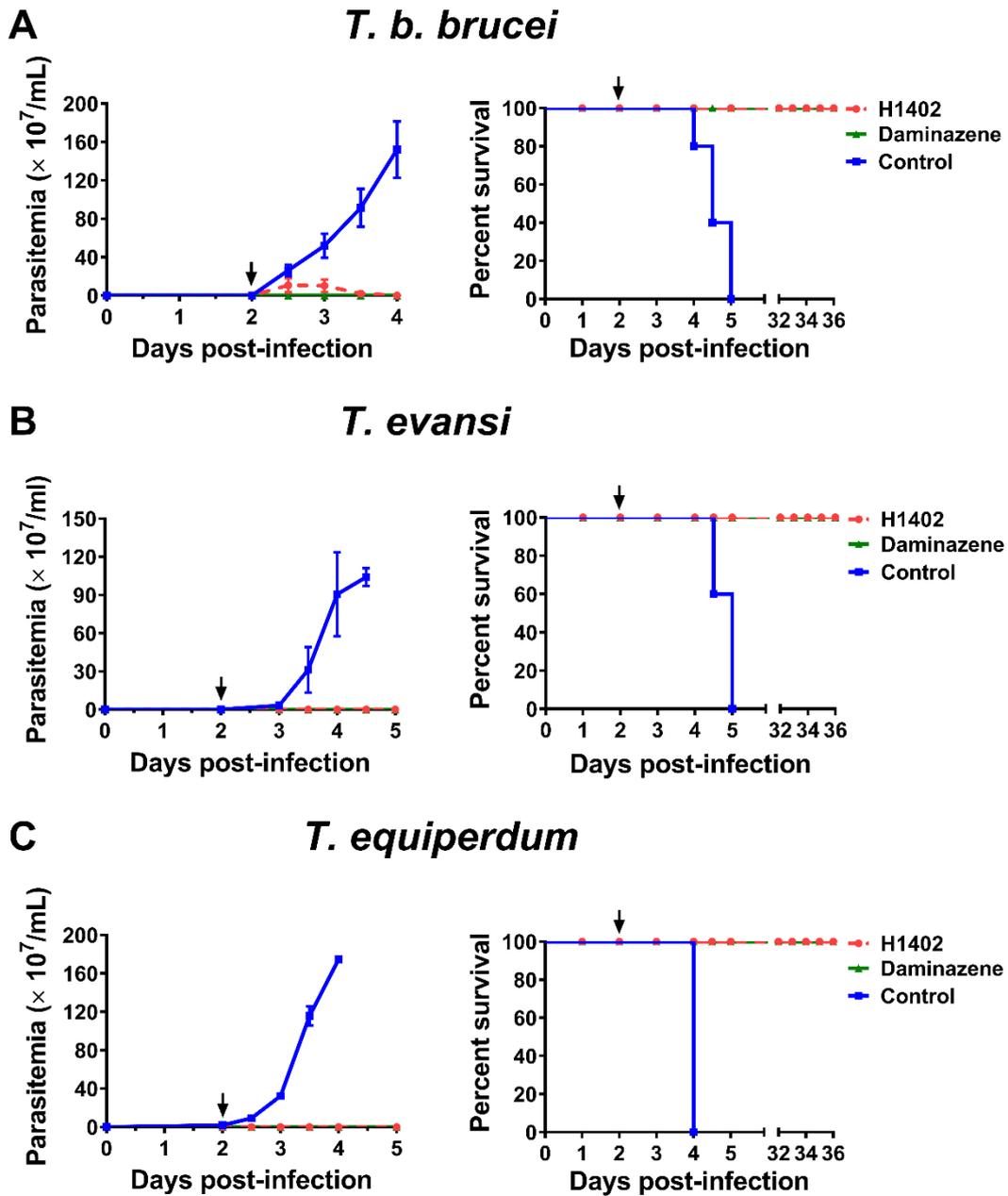
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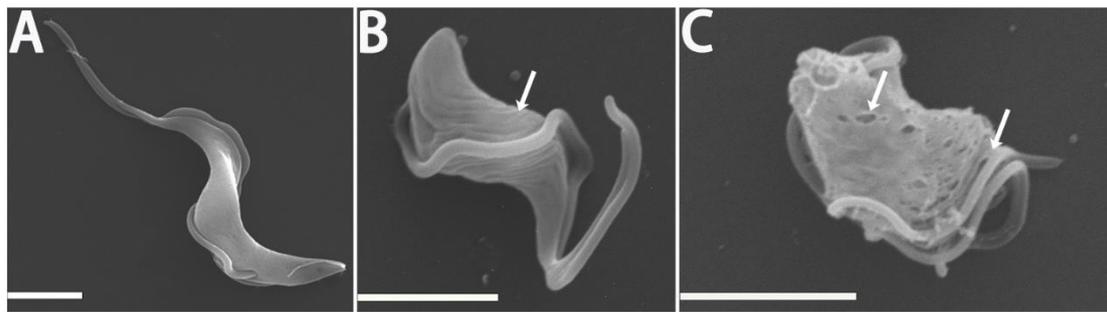
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477 **Fig 1.** *In vitro* trypanocidal activities of carbazole aminoalcohols. (A-E) Chemical
 478 structures and antitrypanosomal activities of five hit compounds; Diminazene acetate
 479 was used as a control with incubation concentration of 0.05 μM. (F) The growth
 480 inhibition curve of *T. b. brucei* cells incubated with H1402 at the indicated
 481 concentrations for 24 hours.



482 **Fig 2.** *In vivo* efficacy of H1402 against *T. b. brucei* (A), *T. evansi* (B) and *T. equiperdum*
 483 (C) infections. Mice ($n = 5$) were infected with 1×10^5 bloodstream forms of each strain
 484 at day 0. Treatment with H1402 (100 mg/kg, p.o., b.i.d. \times 5 days) or diminazene
 485 aceturate (20 mg/kg, i.p., single dose) was initiated at day 2 (indicated by black arrows).
 486 The control group received 0.5% CMC. Parasitemia levels and survival rate curves are
 487 shown. b. i. d., twice a day; p.o., per os; i.p., intraperitoneal.



488 **Fig 3.** Morphological status of *T. b. brucei* cells treated with H1402 for 2 hours and
489 examined by SEM. (A) Morphology of *T. b. brucei* without H1402 treatment; (B-C)
490 morphology of *T. b. brucei* treated with H1402 (0.7 μ M) for 2 hours. Cell membrane
491 damage, spiral, twisted and round cell shapes with the flagella encompassing the cell
492 periphery (white arrows) were found. Bars = 2 μ M.

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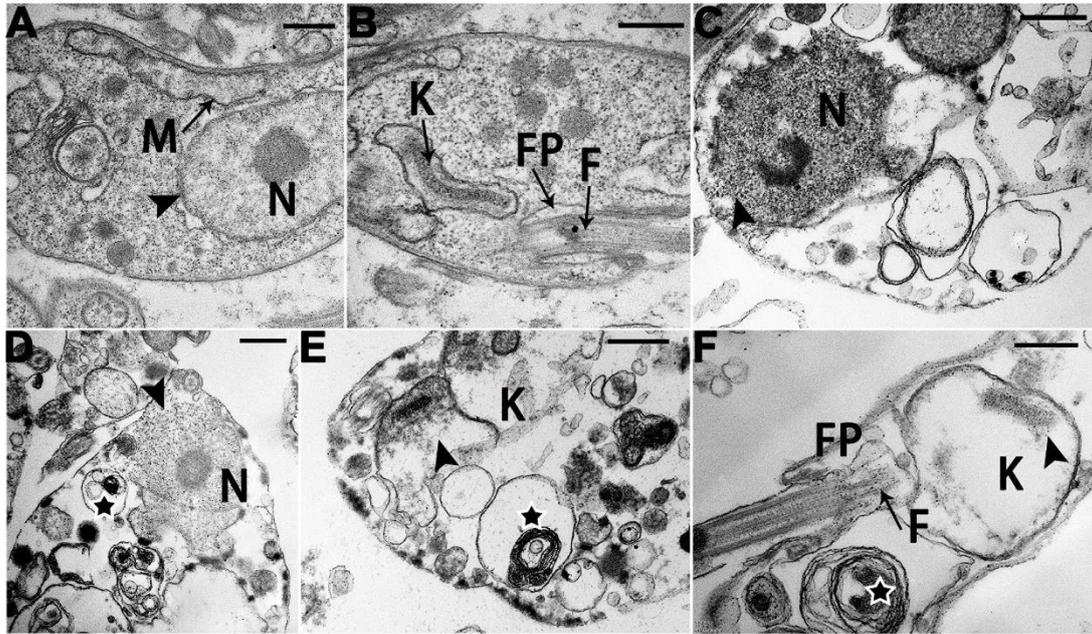
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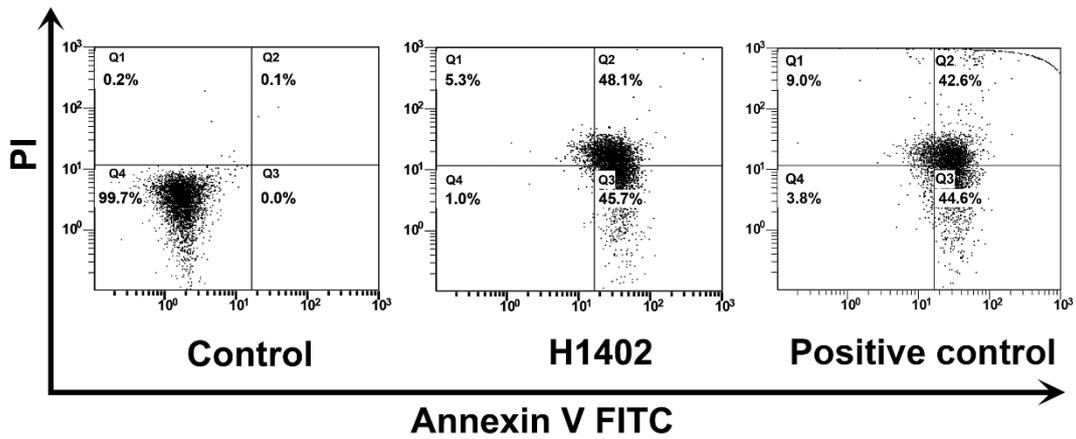
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505 **Fig 4.** Ultrastructural alterations in *T. b. brucei* cells treated with H1402 and examined
 506 by TEM. (A-B) Control parasites; (C-F) H1402 (0.7 μ M)-treated parasites showed ill-
 507 defined cell shapes and an increase of vacuole-like structures (black stars); (C-D)
 508 structures suggestive of dissolved nuclear membranes (black short arrow) and (E-F)
 509 dilated kinetoplasts were observed (black short arrow). N, nuclei; K, kinetoplast; M,
 510 mitochondrial; F, flagellum; FP, flagella pocket. Bars = 0.5 μ M.

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517 **Fig 5.** Flow cytometry analysis of H1402-induced phosphatidylserine externalization.
 518 Bloodstream forms of *T. b. brucei* were incubated with H1402 (0.7 μ M) for 2 hours,
 519 and double stained with Annexin V-FITC and PI.

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