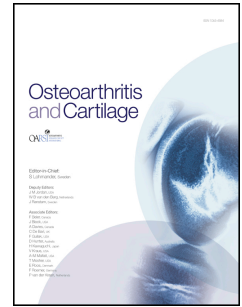


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**Frontal plane knee alignment mediates the effect of frontal plane rearfoot motion on knee joint load distribution during walking in people with medial knee osteoarthritis**

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**Abstract**

*Objective:* To examine the nature of differences in the relationship between frontal plane rearfoot kinematics and knee adduction moment (KAM) magnitudes

*Design:* Cross-sectional study resulting from a combination of overground walking biomechanics data obtained from participants with medial tibiofemoral osteoarthritis at two separate sites. Statistical models were created to examine the relationship between minimum frontal plane rearfoot angle (negative values = eversion) and different measures of the KAM, including examination of confounding, mediation, and effect modification from knee pain, radiographic disease severity, static rearfoot alignment, and frontal plane knee angle.

*Results:* Bivariable relationships between minimum frontal plane rearfoot angle and the KAM showed consistent negative correlations ( $r = -0.411$  to  $-0.447$ ), indicating higher KAM magnitudes associated with the rearfoot in a more everted position during stance. However, the nature of this relationship appears to be mainly influenced by frontal plane knee kinematics. Specifically, frontal plane knee angle during gait was found to completely mediate the relationship between minimum frontal plane rearfoot angle and the KAM, and was also an effect modifier in this relationship. No other variable significantly altered the relationship.

*Conclusions:* While there does appear to be a moderate relationship between frontal plane rearfoot angle and the KAM, any differences in the magnitude of this relationship can likely be explained through an examination of frontal plane knee angle during walking. This finding suggests that interventions derived distal to the knee should account for the effect of frontal plane knee angle to have the desired effect on the KAM.

**Keywords:** gait; knee adduction moment; osteoarthritis; mediation; rearfoot

## 1 **Introduction**

2 Investigations into the biomechanics of gait in people with knee osteoarthritis (KOA) have  
3 traditionally focused on factors local to the knee. A number of key biomechanical outcome  
4 measures unique to KOA – most commonly medial tibiofemoral involvement – have been  
5 identified, with the external knee adduction moment (KAM) – a surrogate for the distribution of  
6 load across the tibiofemoral joint – receiving the most attention. While the importance of  
7 outcomes such as the KAM has been established through links with disease-relevant features  
8 such as joint pain <sup>1</sup>, as well as structural <sup>2,3</sup> and clinical <sup>4</sup> disease progression, there is a growing  
9 body of literature suggesting that factors distal to the knee joint may also be important to  
10 consider to further our understanding of disease pathogenesis.

11  
12 Emerging evidence points to an important role of foot symptoms and posture in the clinical and  
13 biomechanical features of KOA. Data from the Osteoarthritis Initiative indicate that 25% of  
14 people with KOA experience concomitant foot pain <sup>5</sup>. Using data from the same cohort, Paterson  
15 et al. also showed that in the 1,020 participants who were at risk for KOA, but were free of knee  
16 symptoms and radiographic involvement, the presence of foot pain at baseline significantly  
17 increased the odds of developing knee symptoms or painful radiographic KOA over the  
18 subsequent 4 years <sup>6</sup>. It would appear that people with a flat (planus) foot posture are particularly  
19 vulnerable to the symptomatic and radiographic characteristics of KOA. Data from the  
20 Framingham cohort indicate that older people with flat feet are more likely to report knee pain or  
21 to develop medial tibiofemoral cartilage damage <sup>7</sup>. This is important to note given that multiple  
22 studies have reported a higher prevalence of flat feet in people with KOA compared to healthy

23 controls<sup>8-10</sup>. Taken together, these studies point to a strong need to consider foot posture in the  
24 study and treatment of people with KOA.

25

26 The potential link between KOA-relevant knee biomechanics and foot mechanics during gait has  
27 also been studied. Consistent with a higher prevalence of static flat foot posture in people with  
28 KOA described above, Levinger et al. reported that people with KOA exhibit more dynamic  
29 rearfoot eversion (a component of a flat foot posture) during walking than healthy controls<sup>11</sup>.  
30 Data from the same cohort also suggest that greater rearfoot eversion during gait is associated  
31 with lower KAM magnitudes in late stance<sup>12</sup>. These findings are consistent with the results from  
32 Chapman et al. who showed that increased rearfoot eversion during walking was predictive of  
33 which people with KOA would reduce the KAM with the use of lateral wedge insoles (LWIs)<sup>13</sup>.  
34 In contrast, Sawada et al. reported the opposite finding; that is, decreased rearfoot eversion was  
35 correlated with KAM reductions in people with neutral foot postures, determined statically when  
36 wearing LWIs<sup>14</sup>. Clarifying these important relationships are required to better guide KOA  
37 treatment approaches that rely on modification of foot posture or position, such as LWIs, to  
38 reduce KAM magnitudes, knee pain, and potential risk of OA progression.

39

40 One potential explanation for this apparent discrepancy in the existing literature is that the nature  
41 of these relationships differs based on certain clinical or biomechanical characteristics. Indeed,  
42 KAM magnitudes are known to be different across radiographic disease severities<sup>15</sup>, and  
43 previous work has highlighted differences in the relationship between the KAM and knee joint  
44 pain based on radiographic disease severity<sup>16,17</sup>. Unfortunately, previous studies examining  
45 rearfoot biomechanics in people with KOA have had relatively low sample sizes (less than that

46 70 participants) preventing any such exploratory analysis. Therefore, the purpose of the present  
47 study was to examine the relationship between rearfoot kinematics and KAM magnitudes across  
48 a number of different factors, including: disease severity, static foot posture, dynamic lower limb  
49 alignment, and knee joint pain. Confounding, effect modification, and mediation analyses were  
50 used to provide more in-depth assessment of this relationship whilst accounting for these  
51 different factors.

52

### 53 **Methods**

#### 54 *Participants*

55 Data from this study were comprised from available data separately collected at two sites –  
56 University of Salford (UK) and the University of British Columbia (Canada) – from 2012-2019.  
57 Individuals from the community were recruited to participate in a number of clinical research  
58 studies, and the data presented herein were from baseline assessments before any intervention (if  
59 applicable) was delivered. In all cases, inclusion criteria included: age greater than 45 years;  
60 definitive evidence of mild or moderate tibiofemoral osteophytes on standing radiographs (and  
61 classified as Kellgren and Lawrence grade 2 and 3<sup>18</sup>); and, self-reported knee pain lasting longer  
62 than six months and which had also occurred on most days of the month preceding testing.  
63 Primary exclusion criteria included: any history of lower limb joint replacement surgery; any  
64 lower limb surgery or procedure in the six months preceding testing; any condition other than  
65 KOA affecting lower limb function during gait; presence of inflammatory arthritis in any lower  
66 limb joint; body mass index (BMI) greater than 35 kg/m<sup>2</sup>; and, an inability to walk unaided. In  
67 all instances, participants provided written informed consent, and ethical approval was provided  
68 by the relevant institutional Ethics Review Boards.

69

70 *Data Collection*

71 After demographic and disease history were obtained, participants completed self-report  
72 questionnaires to characterize OA symptoms using the Western Ontario and McMaster  
73 Universities Osteoarthritis Index (WOMAC)<sup>19</sup>. Participants then underwent a three-dimensional  
74 gait analysis while barefoot and at a self-selected, preferred walking speed, along an  
75 approximately 10m long walkway. The knee with osteoarthritic signs, or in the case of bilateral  
76 knee OA, the more symptomatic knee, was selected as the study limb. The positions of retro-  
77 reflective skin markers common to both study sites included: unilaterally at the lumbosacral  
78 junction; and bilaterally at the anterior superior iliac spines, lateral femoral epicondyles, lateral  
79 malleoli, and heads of the second metatarsals. Finally, markers were placed bilaterally over the  
80 medial femoral epicondyles and malleoli, as well as the bases of the first and fifth metatarsals,  
81 during an initial static standing trial used to define segment orientations. Additional 4- marker  
82 clusters were affixed bilaterally over the lateral thighs and lateral shanks.

83

84 Kinematic data were collected using high-speed motion analysis infrared cameras at the  
85 sampling rate of either 100 Hz (Salford) or 120 Hz (UBC), while ground reaction force data were  
86 collected with the synchronized force platforms at the sampling rate of either 1000 Hz (Salford)  
87 or 1200 Hz (UBC). Five good trials with complete markerset data and one foot on one force plate  
88 were analyzed for each participant.

89

90 *Data Analysis*

91 All kinematic and kinetic data were analyzed using the same six-degrees of freedom  
92 biomechanical model within Visual 3D (C-Motion, Germantown, USA). All lower limb  
93 extremity segments were modelled as rigid bodies using available anthropometric parameters.  
94 Ankle and knee joint centres were calculated as the midpoints of the malleolar and femoral  
95 epicondyle markers, respectively. The hip joint centres were calculated based on published  
96 regression models that use the anterior and posterior iliac spine markers<sup>20</sup>. Segment coordinate  
97 systems were created using markers defining the segment dimensions and tracked using the skin  
98 mounted markers for pelvis and foot, as well as marker clusters for shank and thigh. The segment  
99 coordinate system of the rearfoot was defined in the horizontal plane of the laboratory. Joint  
100 kinematics were calculated using an XYZ Cardan sequence, and represented as the distal  
101 segment relative to the proximal segment (in the case of rearfoot angle, it was calculated relative  
102 to the tibia). All joint coordinates and ground reaction force data were first filtered (6Hz for  
103 kinematics, 25Hz for kinetics) using a recursive lowpass Butterworth fourth-order digital filter,  
104 after which joint kinetics were calculated using inverse dynamics, as described previously<sup>13</sup>.  
105 Joint moments were expressed as external moments, resolved to the proximal segment (flexion,  
106 adduction and internal rotation were denoted as positive), and normalized to body mass (Nm/kg),  
107 while the knee adduction angular impulse reflected the amount of time during stance (Nm/kg \*  
108 s).

109  
110 The following biomechanical outcomes (known to be relevant in the knee OA gait literature)  
111 were identified for each walking trial, and participant averages were obtained as the mean value  
112 across five trials: walking velocity, peak KAM in the first 50% of stance (early stance peak),  
113 KAM at 50% of stance, peak KAM in the last 50% of stance (late stance peak), KAM impulse



114 (area under KAM-time curve), average frontal plane knee angle from 30-70% of stance, frontal  
115 plane rearfoot angle at initial contact, and minimum frontal plane rearfoot angle. Static rearfoot  
116 angle in the frontal plane relative to the global frame of reference was calculated for each  
117 participant during the initial static standing trial, based on the relative orientation of the medial  
118 and lateral calcaneus markers.

119

### 120 *Statistical Analysis*

121 A multi-step process was used to examine the extent of the association between frontal plane  
122 rearfoot motion and the KAM. First, we used linear regression with minimum frontal plane  
123 rearfoot angle regressed on to each of the four KAM variables separately (early stance KAM,  
124 KAM at 50% of stance, late stance KAM, and KAM impulse) (Model 1 for each KAM variable).  
125 We also included an indicator variable in each of these initial models to denote the site origin of  
126 each data point (University of Salford or University of British Columbia). Next, we repeated  
127 these analyses with the inclusion of other variables (height and walking velocity) that might  
128 explain variance in KAM data (Model 2 for each KAM variable). If either of these variables  
129 explained significant amounts of variance ( $p < 0.05$ ) in a given KAM variable, they remained in  
130 subsequent models.

131

132 We then assessed the potential impact of the following four target variables on the relationship  
133 between minimum frontal plane rearfoot angle and KAM outcomes (Model 3 for each KAM  
134 variable): WOMAC pain, KL grade, static frontal plane rearfoot angle, and frontal plane knee  
135 angle. Since WOMAC pain, KL grade, and static frontal plane rearfoot angle were not expected  
136 to be part of the causal pathway between minimum frontal plane rearfoot angle and the KAM

137 during gait, we examined for confounding by comparing the beta coefficients for minimum  
138 frontal plane rearfoot angle with and without the inclusion of each of these three target variables.  
139 Operational confounding was defined as a change in the rearfoot angle beta coefficient of more  
140 than 10% <sup>21</sup>.

141  
142 In contrast, the role of frontal plane knee angle during gait was considered to be part of this  
143 causal pathway. Therefore, we performed a mediation analysis for each of the KAM variables  
144 using a Baron and Kenny approach, with coefficients calculated using maximum likelihood  
145 regression modeling. Direct, indirect, and total effects were evaluated to determine whether  
146 frontal plane knee angle was a partial or complete mediator of the relationship between minimum  
147 frontal plane rearfoot angle and KAM (Figure 1). Partial mediation was defined by a significant  
148 indirect and direct effect, while complete mediation was defined by a significant indirect but  
149 nonsignificant direct effect, with statistical significant set to  $p < 0.05$  for each of these <sup>22</sup>. Finally,  
150 we tested for effect modification for each of the four target variables by creating interaction  
151 terms between each target variable and minimum frontal plane rearfoot angle, and then including  
152 them in a final model (Model 4 for each KAM variable). The presence of effect modification was  
153 indicated by a significant p-value ( $p < 0.05$ ) of the interaction term in these models. In the event  
154 of significant effect modification, a tertile-based approach was used to visually inspect the nature  
155 of this effect. Specifically, the dataset was split into equal tertiles based on the target variable,  
156 and the bivariable correlation between minimum frontal plane rearfoot angle and the KAM was  
157 computed for each tertile.

158

159 Regression diagnostics were conducted on all models using residual analysis, Quantile-Quantile  
160 Plots (Q-Q plots) and Shapiro-Wilk for normality, and multicollinearity to ensure that the  
161 assumptions for linear modeling were satisfied. Finally a number of sensitivity analyses were  
162 conducted: first, all modeling was re-run using data from each site (UBC or Salford) separately  
163 and removing the indicator (site) variable from the models, and compared against the models  
164 using the full, combined dataset; second, all analyses were re-run using KAM data that were in  
165 raw Nm units, rather than divided by body mass. For these analyses, body mass was included as  
166 a forced covariate in the multiple regression modeling on raw KAM data. Finally, all analyses  
167 were re-run using the values of frontal plane knee and rearfoot angles at the times of early stance  
168 KAM peak, KAM at 50% of stance, and late stance KAM peak. All statistical analyses were  
169 conducted using Jamovi version 1<sup>23</sup>.

170

171 This study was a secondary analysis of combined available data (n=226) from the two sites. For  
172 the mediation analysis, a large effect size of the mediator variable (frontal plane knee angle) on  
173 the KAM variables was assumed based on previous literature<sup>24</sup>, with a medium effect between  
174 frontal plane rearfoot and knee angles (based on the moderate bivariable correlation observed in  
175 the present study). As a result, our 226 participants exceeds the minimum requirement (n=204) to  
176 detect complete mediation (ie.  $\tau' = 0$ ), based on published sample size requirements<sup>25</sup>.

177

## 178 **Results**

179 Participant demographic information is summarized in Table 1. Although the magnitudes of the  
180 differences were small, there were a number of demographic, clinical, and biomechanical  
181 differences when comparing the samples from both sites.

182

183 A statistically significant negative bivariable relationship existed between the minimum frontal  
184 plane rearfoot angle and all KAM outcomes ( $r = -0.411 - -0.447$ ); that is, greater rearfoot  
185 eversion was associated with higher KAM magnitudes, regardless of the specific KAM measure  
186 (Figure 2). When examining the potential influence of height and gait velocity, these two  
187 variables explained additional variance only in the models predicting KAM at 50% of stance and  
188 KAM impulse ( $p < 0.05$ ). Accordingly, height and velocity remained in subsequent models as  
189 covariates for these two KAM outcomes.

190

191 When examining the effect of WOMAC pain, KL grade, static frontal plane rearfoot angle, and  
192 on the relationship between minimum frontal plane rearfoot angle and the KAM, none of these  
193 variables were found to be confounders or effect modifiers in this relationship (Supplementary  
194 Tables 1-3).

195

196 For the frontal plane knee angle mediation analysis, there was a statistically significant total  
197 effect in all measures of the KAM ( $p < 0.001$ ) (Table 2). While the indirect effects were all  
198 statistically significant ( $p < 0.001$ ), none of the direct effects were ( $p > 0.195$ ), indicating complete  
199 mediation of the relationship between minimum frontal plane rearfoot angle and KAM. Indeed,  
200 while minimum frontal plane rearfoot angle still contributed significantly ( $p = 0.042$ ) to the early  
201 stance KAM model in the presence of frontal plane knee angle, it did not contribute any  
202 significant portion to any of the other KAM models ( $p > 0.380$ ) (Table 3). Frontal plane knee  
203 angle was also found to be an effect modifier in the relationship between minimum frontal plane  
204 rearfoot angle and late stance KAM peak (interaction term:  $p = 0.021$ ) and KAM impulse

205 (interaction term:  $p = 0.045$ ), and almost for early stance KAM peak (interaction term:  $p =$   
206  $0.076$ ). (Table 3, Figure 3).

207

208 When comparing models using the combined dataset, or each dataset individually (without the  
209 indicator (site) variable), no differences between sites in direction (positive or negative), or  
210 statistical significance, of the beta coefficients were observed for Models 1 or 2. While the tests  
211 for confounding variables (Model 3) did not differ in the overall conclusions, KL grade showed  
212 mild confounding in the UBC data set only, while WOMAC pain was not a confounder in either  
213 individual data set. Additionally, tests for effect modification (Model 4) of the frontal plane knee  
214 angle and KL grade were only significant in the UBC data set. No other differences were  
215 observed for any of the mediation or effect modification models.

216

217 Only subtle differences in our findings were observed based on the other sensitivity analyses. For  
218 example, when using raw early stance peak KAM data (in Nm) and using body mass a covariate  
219 (Supplementary Table 4), minimum frontal plane rearfoot angle was no longer a significant  
220 predictor ( $p=0.086$  vs.  $p=0.042$ ) in the final model (Model 4), however there were no changes to  
221 the mediation analyses. When using frontal plane knee and rearfoot data at the time of KAM  
222 peaks, no changes in the role of frontal plane rearfoot kinematics were observed in the multiple  
223 regression modelling (Supplementary Table 5), while frontal plane knee angle was now a partial  
224 (rather than complete) mediator of the relationship between frontal plane rearfoot angle and the  
225 early stance KAM peak (Supplementary Table 6).

226

227 **Discussion**

228 Findings from this study suggest that there is little direct relationship between frontal plane  
229 rearfoot motion and our surrogate of the distribution of load across the tibiofemoral joint, the  
230 KAM. While we did observe a statistically significant bivariate correlation between rearfoot  
231 motion and the KAM, this relationship became non-existent when examining the mediating role  
232 of dynamic frontal plane knee alignment during walking. As a result, it does not appear that  
233 frontal plane rearfoot angle has any independent association with the KAM, which suggests that  
234 interventions that aim to reduce the KAM should not primarily target rearfoot biomechanics.

235  
236 Our data shed light on previous research which has shown contradictory findings related to the  
237 role of rearfoot motion in tibiofemoral joint load distribution. Early research supported the notion  
238 that greater rearfoot eversion was directly associated with less medial knee joint load (as  
239 evidenced by lower KAM magnitudes), in cross-sectional designs<sup>12</sup>, and that individuals with  
240 more available rearfoot eversion during natural walking are more likely to reduce KAM  
241 magnitudes with LWIs<sup>13</sup>. However, this association was not consistent, as Levinger et al<sup>12</sup>  
242 reported different associations (in magnitude or direction) between rearfoot eversion and the  
243 KAM depending on the frame of reference (global or anatomical) or KAM outcome (early stance  
244 peak vs. late stance peak). It is important to note that rearfoot alignment does not represent fully  
245 the static or dynamic posture of the foot, and thus our findings should only be considered with  
246 respect to rearfoot biomechanics. Future research investigating the relationships between  
247 different components of foot posture and knee biomechanics is warranted.

248  
249 More recent research has shown that the relationship between rearfoot motion and the KAM may  
250 not be consistent across all individuals. Buldt et al.<sup>26</sup> reported no statistically significant

251 differences in KAM magnitudes among groups of individuals similarly categorized by the Foot  
252 Posture Index <sup>27</sup>, and also reported no correlation ( $r = 0.04$ ) between rearfoot eversion and the  
253 early stance KAM peak. Using LWIs as a model, Sawada et al. reported different changes in the  
254 KAM based on foot posture in both healthy individuals <sup>28</sup> and those with knee OA <sup>14</sup>. Finally,  
255 Koshino et al <sup>29</sup> reported that rearfoot kinematics in healthy individuals may be more closely  
256 coupled to hip kinematics than knee kinematics during walking. It is likely that factors such as  
257 frontal plane knee alignment played a role in these discordant findings. Taken together with our  
258 current findings, there does not appear to be a consistent relationship between rearfoot motion  
259 and tibiofemoral joint load distribution across all individuals, and other factors (especially frontal  
260 plane knee angle, or whether walking was assessed barefoot or shod) are important to consider.  
261 Therefore, differences in dynamic alignment distributions across samples, or shod/unshod testing  
262 differences may explain the discrepancies seen in the literature.

263  
264 Frontal plane knee angle was found to be both a mediator and effect modifier in the current  
265 study, supporting previous reports of static lower limb alignment mediating changes in KAM  
266 magnitudes <sup>30</sup>. Data from the current study show a moderate correlation between minimum  
267 frontal plane rearfoot angle and frontal plane knee angle ( $r = 0.525$ ; 95% CI: 0.424, 0.614), such  
268 that people with more rearfoot eversion also exhibited more knee adduction/varus. This finding  
269 provides further evidence of a mediating effect of lower limb alignment on the relationship  
270 between rearfoot kinematics and KAM magnitudes. Varus alignment is known to have strong  
271 associations with KAM magnitudes <sup>24</sup>, as well as the risk of knee OA progression <sup>31</sup>. In fact,  
272 lower limb alignment has been shown to explain the majority of variance in KAM magnitudes <sup>32</sup>.  
273 This is due to the finding that the relative orientation between the ground reaction force and the

274 knee joint centre (i.e. the lever arm) is more closely related to the KAM than the magnitude of  
275 external load (i.e. the ground reaction force)<sup>33</sup>. It is unlikely that the changes in the orientation of  
276 the calcaneus alone will significantly alter either the lever arm or ground reaction magnitude.

277

278 Our findings have important implications for the design and testing of foot-based interventions  
279 aiming to reduce KAM magnitudes. The magnitude of the change in rearfoot eversion likely  
280 does not contribute to reductions in the KAM, and that changes in rearfoot position with these  
281 interventions are a consequence of the approach rather than a mechanism for KAM reduction.  
282 Indeed, while Chapman et al<sup>13</sup> reported that individuals with knee OA who exhibited more  
283 rearfoot eversion during normal, shod walking were more likely to experience KAM reductions  
284 with LWIs, there was no statistically significant correlation between the changes measured in  
285 these variables. Instead, it is likely that any KAM reductions with interventions such as LWIs are  
286 produced through alterations in lower limb alignment or centre of pressure position that will  
287 decrease the lever arm. Studies investigating LWI mechanisms<sup>14, 28, 34</sup> show a combination of a  
288 lateralized centre of pressure and less varus lower limb alignment, with only small increases in  
289 rearfoot eversion. Further, Sawada et al<sup>14</sup> reported that individuals who could lateralize the  
290 centre of pressure with LWIs were more likely to reduce the KAM, independent of rearfoot static  
291 or dynamic posture.

292

293 Given that some have suggested that large amounts of eversion may increase the risk of foot pain  
294 or lower limb injury<sup>35</sup>, and with the known associations between knee OA and foot pain<sup>5, 6</sup>,  
295 treatments can still effectively reduce KAM magnitudes while normalizing foot mechanics. In  
296 fact, LWIs that incorporate arch supports to normalize rearfoot motion can still reduce the KAM



297 <sup>36,37</sup> and may, in fact, improve knee pain to a greater extent than LWIs alone <sup>38</sup>. More research in  
298 this area is needed to optimize knee biomechanics and OA symptoms, while ensuring that foot  
299 mechanics are considered.

300

301 A primary innovation and strength of this study is the combination of data from different  
302 laboratories to create a very large dataset that is unique in knee OA gait biomechanics studies.  
303 Similarities in inclusion/exclusion criteria and data collection parameters permitted the analysis  
304 of data using a single biomechanical model and approach. Any small differences in the  
305 magnitude of some variables was countered by the overall large sample size, and also served to  
306 provide more conservative estimates of the relationships we investigated. When data were  
307 examined separately, small differences in the models were found (for example, confounding of  
308 KL grade or WOMAC pain). Importantly, the primary finding of our study – the mediating effect  
309 of frontal plane knee angle – was consistent between datasets. That being said, subtle differences  
310 in certain data collection parameters (for example, motion analysis equipment or site-specific  
311 sample demographics), must still be acknowledged.

312

313 Limitations of this study include the omission of a full foot analysis that would permit the  
314 examination of forefoot kinematics, as has been done previously <sup>12</sup>. Further, the use of a clinical  
315 measure of foot posture prevented a more thorough analysis of the role of static foot posture in  
316 knee OA gait. Additionally, WOMAC pain levels in our sample were relatively mild. It is  
317 unknown whether the relationship among variables reported in the current study would have  
318 been different in different groups of individuals such as healthy individuals, or in individuals  
319 with greater amounts of pain who would likely exhibit compensatory gait characteristics in

320 response to the pain. Indeed, pain was found to be a small statistical confounder in the  
321 association between minimal frontal plane rearfoot angle and the KAM peak in early stance.  
322 Both datasets were largely populated by knees with KL grades 2 and 3, so generalizing these  
323 findings to more severe structural knee OA should be done with caution. Finally, we chose to  
324 report and analyze frontal plane knee and rearfoot angles as singular values (mean or peak,  
325 respectively), rather than across different time points (for example, magnitudes occurring at the  
326 same times as KAM peaks, or at 50% of stance) to minimize the number of analyzed variables  
327 for ease of interpretation, and to improve the clinical applicability of our findings. However, as  
328 indicated above, there were no meaningful changes to any of our findings when time-matched  
329 kinematic data were used instead of peak values. Our current findings support the involvement of  
330 frontal plane knee angle, as a whole, in the relationship between frontal plane knee kinetics and  
331 rearfoot kinematics, and justify further expansion of this work using different aspects of these  
332 variables, or by using sophisticated analysis techniques such as principal component analysis or  
333 statistical parametric mapping.

334

335 Overall, data from our large sample of barefoot walking gait biomechanics data collected from  
336 people with knee OA refute suggestions that rearfoot kinematics play a significant independent  
337 role in tibiofemoral load distribution. Instead, other factors, such as frontal plane knee angle,  
338 play a much more important role and mediate any relationship between rearfoot kinematics and  
339 knee joint load distribution. Accordingly, treatments aiming to reduce the KAM should not  
340 primarily focus on altering rearfoot kinematics; rather, ensuring that the centre of pressure is  
341 lateralized and/or minimizing the lever arm between the knee joint centre and ground reaction  
342 force vector, while also considering the effects on frontal plane knee alignment to have the

343 desired effect on the KAM. Importantly, these findings provide an impetus to better understand  
344 the relationship between foot and knee biomechanics in this patient population. This may aid us  
345 in the optimization of foot-derived treatments that consider the entire lower limb kinetic chain as  
346 a strategy to improve both foot and knee symptoms and function.

347

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353

#### 354 **Author contributions**

355 Conception and design: MAH, RKJ.

356 Data acquisition: JMC, GEC, AL, AG

357 Analysis and interpretation of the data: MAH, JMC, DTF, GEC, AL, AG, RKJ.

358 Drafting of the article: MAH.

359 Critical revision of the article for important intellectual content: JMC, DTF, GEC, AL, AG, RKJ.

360 Final approval of the article: MAH, JMC, DTF, GEC, AL, AG, RKJ.

361 Statistical expertise: MAH, JMC, DTF

362

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371 **Conflict of interest**

372 None

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570 **Figure Headings**

571

572 **Figure 1.** Conceptualization of the mediation analysis of frontal plane knee angle during gait in  
573 the relationship between minimum frontal plane rearfoot angle on the knee adduction moment.  
574 The analysis considers both the indirect effect (the component that acts through the mediator)  
575 and the direct effect (does not act through the mediator). Partial mediation was defined by a  
576 significant indirect and direct effect, while complete mediation was defined by a significant  
577 indirect but nonsignificant direct effect, with statistical significant set to  $p < 0.05$  for each of these.

578

579 **Figure 2.** Scatterplots showing the bivariable relationship between minimum frontal plane  
580 rearfoot angle and each component of the knee adduction moment. The shaded areas indicate the  
581 95% confidence interval bands for each set of relationships.

582

583 **Figure 3.** Scatterplots of the relationship between minimum frontal plane rearfoot angle and the  
584 two components of the knee adduction moment where frontal plane knee angle was found to be  
585 an effect modifier (late stance KAM and KAM impulse). Regression lines, 95% confidence  
586 interval bands, and the associated r-values are provided for data based on each tertile of the  
587 frontal plane knee angle.

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**Table 1.** Summary statistics for entire sample and each contributing sample from the University of British Columbia and Salford University. Data are summarized by the mean [95% confidence interval], except for KL Grade, Bilateral vs. Unilateral involvement, and Sex which are the number of participants in each category.

Outcome	All Participants (n=226)		UBC (n=110)		Salford (n=116)	
	Mean	95% CI	Mean	95% CI	Mean	95% CI
Age (years)	63.8	[62.6, 65.0]	64.8	[63.3, 66.3]	63.0	[61.2, 64.8]
Height (cm)	167	[166, 168]	165	[163, 167]	169	[167, 171]
Body Mass (kg)	78.8	[76.8, 80.8]	74.0	[71.6, 76.4]	83.3	[80.5, 86.1]
KL Grade n (%)						
2	96 (43%)		57 (52%)		39 (34%)	
3	116 (51%)		47 (43%)		69 (59%)	
4	14 (6.2%)		6 (5%)		8 (7%)	
Bilateral:Unilateral <sup>†</sup> (n)	114:112		85:25			29:87
Sex (n Males : n Females)	100:126		29:81		71:45	
WOMAC Pain subscale (0-20)	9.0	[8.54, 9.54]	6.4	[5.8, 7.0]	11.4	[10.9, 11.9]
Velocity (m/s)	1.14	[1.12, 1.17]	1.19	[1.16, 1.22]	1.10	[1.07, 1.14]
Early stance KAM (Nm/kg)	0.436	[0.415, 0.457]	0.453	[0.423, 0.483]	0.42	[0.391, 0.449]
KAM at 50% of stance (Nm/kg*s)	0.287	[0.268, 0.306]	0.264	[0.239, 0.289]	0.308	[0.280, 0.336]
Late stance KAM (Nm/kg)	0.364	[0.343, 0.385]	0.334	[0.305, 0.363]	0.394	[0.364, 0.424]
KAM impulse (Nm/kg*s)	0.177	[0.166, 0.188]	0.162	[0.148, 0.176]	0.191	[0.175, 0.208]
Frontal plane rearfoot angle at initial contact (°)	2.3	[1.8, 2.8]	2.9	[2.2, 3.6]	1.7	[1.0, 2.5]
Minimum frontal plane rearfoot angle (°)	-4.3	[-4.7, -3.9]	-3.2	[-3.8, -2.6]	-5.4	[-6.0, -4.8]
Frontal plane rearfoot angle excursion (°)	9.0	[8.4, 9.5]	7.8	[7.2, 8.3]	10.1	[9.2, 11.0]
Static frontal plane rearfoot angle (°)	-2.0	[-2.6, -1.5]	-3.6	[-4.4, -2.7]	-0.6	[-1.1, -0.0]
Frontal plane knee angle during midstance (°)	-1.5	[-2.1, -0.8]	-1.0	[-2.0, 0.0]	-1.9	[-2.8, -1.1]

Abbreviations: KL Grade, Kellgren and Lawrence Grade; OA, osteoarthritis; WOMAC, Wester Ontario and McMaster Osteoarthritis Index; KAM, Knee Adduction Moment; 95% CI, 95% confidence interval. <sup>†</sup>Note that both radiographic findings and presence of pain was required to characterize osteoarthritis in a given knee.

Table 2. Mediation analysis results for the relationship between minimum frontal plane rearfoot angle and the four KAM outcomes, mediated by the frontal plane knee angle. Every model showed complete mediation of the relationship.

	Total Effect		Direct Effect		Indirect Effect	
	Effect [95% CI]	p	Effect [95% CI]	p	Effect [95% CI]	p
Early Stance KAM	-0.021 [-0.027, -0.015]	< .001	-0.001 [-0.006, -0.003]	0.521	-0.020 [-0.024, -0.015]	< .001
KAM at 50% Stance	-0.019 [-0.024, -0.014]	< .001	-0.003 [-0.007, -0.002]	0.195	-0.016 [-0.020, -0.012]	< .001
Late Stance KAM	-0.020 [-0.003, -0.014]	< .001	-0.001 [-0.006, 0.004]	0.631	-0.019 [-0.024, -0.014]	< .001
KAM Impulse	-0.011 [-0.014, -0.008]	< .001	-0.001 [-0.003, 0.001]	0.403	-0.010 [-0.013, -0.008]	< .001

\* Abbreviations: 95% CI, 95% confidence interval; KAM, knee adduction moment.

Table 3. Multivariable regression results for relationship between minimum frontal plane rearfoot angle and the four knee adduction moment outcomes. Each model builds on the previous, where Model 1 included the minimum frontal plane rearfoot angle and a binary variable for the two data sets (UBC and Salford). Model 2 added the covariates gait velocity and height, which were only carried forward only if they significantly improved the model. Note that Model 3 (confounding) was not created for the frontal plane knee angle as it was considered a mediator. Model 4 included the interaction of minimum frontal plane rearfoot angle and frontal plane knee adduction angle. Grey areas indicate that the particular variable had not yet entered the modelling progression.

	Model 1: Preliminary Models			Model 2: Covariate Models			Model 4: Effect Modification Models		
	$\beta$ [95%CI]	p	$R^2$ (adj $R^2$ )	$\beta$ [95%CI]	p	$R^2$ (adj $R^2$ )	$\beta$ [95%CI]	p	$R^2$ (adj $R^2$ )
<b>Early Stance KAM</b>									
Minimum frontal plane rearfoot angle	-0.025 [-0.031, -0.019]	< .001	0.262 (0.256)	-0.025 [-0.031, -0.020]	< .001	0.272 (0.259)	-0.005 [-0.009, 0.000]	0.042	0.684 (0.679)
Site (indicator)	0.088 [0.049, 0.126]	< .001		0.097 [0.057, 0.137]	< .001		0.067 [0.042, 0.092]	< .001	
Gait velocity				-0.018 [-0.118, 0.082]	0.721	-			
Height				0.002 [-0.000, 0.003]	0.086	-			
Frontal plane knee angle							-0.022 [-0.026, -0.018]	< .001	
Interaction term							0.001 [-0.001, 0.001]	0.076	
<b>KAM at 50% of Stance</b>									
Minimum frontal plane rearfoot angle	-0.019 [-0.024, -0.013]	<.001	0.192 (0.185)	-0.018 [-0.024, -0.013]	< .001	0.280 (0.267)	-0.002 [-0.007, 0.003]	0.380	0.590 (0.579)
Site (indicator)	-0.003 [-0.040, 0.033]	0.866		0.024 [-0.013, 0.060]	0.198		-0.005 [0.033, 0.023]	0.704	
Gait velocity				-0.224 [-0.314, -0.133]	< .001	-0.143 [-0.213, -0.073]	< .001		
Height				-0.002 [-0.001, 0.004]	0.013	0.001 [-0.001, 0.002]	0.293		
Frontal plane knee angle							-0.018 [-0.023, -0.014]	< .001	
Interaction term							0.000 [-0.000, 0.001]	0.360	
<b>Late Stance KAM</b>									
Minimum frontal plane rearfoot angle	-0.019 [-0.025, -0.013]	< .001	0.173 (0.165)	-0.019 [-0.025, -0.013]	< .001	0.191 (0.177)	0.002 [-0.004, 0.007]	0.562	0.598 (0.590)
Site (indicator)	-0.020 [-0.061, 0.020]	0.328		-0.005 [-0.048, 0.037]	0.803		-0.041 [-0.070, -0.013]	0.005	
Gait velocity				-0.090 [-0.197, 0.016]	0.095	-			
Height				0.002 [0.000, 0.004]	0.089	-			
Frontal plane knee angle							-0.021 [-0.025, -0.016]	< .001	
Interaction term							0.001 [0.000, 0.002]	0.021	
<b>KAM Impulse</b>									
Minimum frontal plane rearfoot angle	-0.011 [-0.014, -0.008]	< .001	0.201 (0.193)	-0.011 [-0.014, -0.008]	< .001	0.310 (0.298)	-0.000 [-0.003, 0.002]	0.844	0.687 (0.679)
Site (indicator)	-0.005 [-0.026, 0.016]	0.648		0.013 [-0.007, 0.034]	0.207		-0.006 [-0.020, 0.009]	0.445	
Gait velocity				-0.140 [-0.192, -0.089]	< .001	-0.089 [-0.124, -0.054]	< .001		
Height				0.002 [0.001, 0.003]	0.001	0.001 [0.000, 0.001]	0.039		
Frontal plane knee angle							-0.011 [-0.013, -0.009]	< .001	
Interaction term							0.000 [0.000, 0.001]	0.045	

Abbreviations: KAM, knee adduction moment; 95% CI, 95% confidence interval; adj  $R^2$ , adjusted  $R^2$ .

Indirect Effect

