A single-arm, non-randomized investigation into the short-term effects and follow up of
 a 4-week lower limb exercise programme on kinesiophobia in individuals with knee
 osteoarthritis.

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17 Concept and design. Molyneux, Herrington, Riley, Jones.

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- 25 Osteoarthritis, Knee
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- 27 Pain
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- 29 Abstract

### 30 **Objective**

To investigate the short-term effects and follow-up of a 4-weeks lower limb exerciseprogramme on kinesiophobia in individuals with knee osteoarthritis.

## 33 Design

Participants diagnosed with knee OA clinically against the American College of Rheumatology criteria (ACR) were recruited. Participants completed a 4 weeks lower limb exercise programme. Each participant completed two questionnaires, the Tampa kinesiophobia scale (TSK) and the knee injury and osteoarthritis outcome score (KOOS). Each measurement was completed at the baseline assessment, at session 4 of the programme, at session 8 of the programme, and 6-weeks after the exercise programme. Perceived levels of exertion (RPE) were measured after each exercise session using the modified Borg scale.

# 41 **Results**

Fifty-four participants took part in the study. Kinesiophobia decreased from the baselineassessment to 6-weeks after the exercise programme. KOOS pain, symptoms, sports and

recreation, quality of life and activities of daily living score increased, therefore showed
improvement. Correlational analysis highlighted a moderate negative correlation between the
KOOS pain and kinesiophobia at baseline and 6- weeks after the exercise programme (0.44,
0.48 respectively).

### 48 Conclusions

49 Understanding baseline kinesiophobia scores could provide an important resource for health 50 professionals who manage individuals with knee osteoarthritis to improve the quality of care 51 due to the correlation with pain changes and may improve exercise related outcomes for a 52 longer duration.

# 53 CONTRIBUTION OF THE PAPER

-Kinesiophobia is prevalent in individuals diagnosed with knee osteoarthritis.

-Kinesiophobia decreased in 75% of individuals with knee osteoarthritis after a 4weeksexercise programme.

-Correlation between pain and kinesiophobia at baseline and 6-weeks after an exerciseprogramme.

-An understanding of an individual's kinesiophobia level before an exercise programme isimportant for future prognosis of changes in pain.

## 61 CLINICAL TRIAL NUMBER

62 NCT02734342

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66 Introduction

Osteoarthritis (OA) is one of the leading causes of pain and musculoskeletal disability (1) and 67 represents a typical chronic musculoskeletal condition (2). The management of knee 68 osteoarthritis can be categorized into pharmacological, surgical, and conservative 69 70 management. Usage of pharmacological treatments such as paracetamol and intra-articular injections provide a reduction in pain (1). However, both have complications e.g. renal 71 toxicity, septic arthritis, and joint degradation (3). Surgical interventions may be required, 72 such as arthroscopic resection, osteotomies, and joint replacements, but come with risks such 73 as infection, deep vein thrombosis and revision surgery (4). Non-pharmacological core 74 75 interventions recommended via the NICE guidelines (1) include local muscle strengthening, general aerobic exercises, and education for their effectiveness in reducing pain and 76 increasing function. 77

Increasing muscle strength can significantly reduce knee OA symptoms, pain and therefore 78 improve the quality of life and activities of daily living. Exercise has been recommended as a 79 core treatment for knee osteoarthritis (1). Despite positive evidence regarding exercise (7) 80 81 individuals with knee OA avoid exercise to prevent pain (12) and are not achieving the recommended level of exercise (13). Psychological factors such as kinesiophobia are as 82 83 important as the physical characteristics in driving this behaviour (14). Kinesiophobia is a 84 psychological impairment that results from a feeling of vulnerability to a painful injury or re-85 injury and therefore prevents individuals completing an activity (15). Kinesiophobia is prevalent in individuals with knee OA with greater pain and functional limitations being 86 87 reported in individuals with increased kinesiophobia (16, 17). Therapeutic exercise has the potential to improve knee instability and psychological factors (23, 35). 88

89 There is little research exploring kinesiophobia in knee OA with only one recent study investigating the effects of dynamic balance and exercise in forty individuals diagnosed with 90 medial knee OA (28). Kinesiophobia was measured as a secondary outcome using the brief 91 92 fear of movement scale questionnaire with positive effects after completing a 10-weeks partially supervised exercise programme. The study undertaken is the first study to measure 93 the short-term effects of kinesiophobia using the Tampa Scale of Kinesiophobia throughout a 94 95 4 weeks exercise programme at baseline, session 4, session 8 and 6-weeks after the exercise programme in individuals with knee OA. The Tampa Scale of Kinesiophobia (TSK) is a 96 97 brief, reliable and a valid measure to link fear of movement with knee OA (26). We hypothesized that a short-term exercise programme reduces kinesiophobia in individuals 98 diagnosed with knee OA. 99

### **100** Participants and Methods

101 The study is a level one prospective clinical trial (clinical trial number NCT02734342)
102 whereby ethical approval was obtained from the South West Research Ethics Committee
103 (16/SW/0036).

# 104 Participants

Individuals referred to physiotherapy were invited to participate who had a clinical diagnosis 105 of knee OA using the American College of Rheumatology guidelines, which are 95% 106 sensitive and 69% specific for the diagnosis of osteoarthritis (18). Individuals aged 45 and 107 above were invited into the study, as per national guidelines (1) with specific sign and 108 109 symptoms to include stiffness for less than thirty minutes; crepitus; bony tenderness; bony enlargement and no palpable joint warmth, individuals must elicit three of the six to be 110 included in the study. Individuals who had a radiographic diagnosis were also included in the 111 112 study, as x-rays are gold standard in the diagnosis of OA with a greater specificity (18). Reasons for exclusion from the study included previous lower limb joint injection within three months; previous bilateral hip or knee joint replacement; any severe cognitive, cardiorespiratory, musculoskeletal or neurological diagnosis that prevents participants from exercising. Individuals with a body mass index (BMI) over 40 had a choice of being involved in the study or be referred to the NHS weight management service, as per service specification. Other minor health related issues were assessed prior to the commencement of the study to ensure safe practice. All participants provided written informed consent.

120 Intervention

#### 121 Procedures

Participants were asked to attend eight exercise sessions within a group class environment 122 that lasted for 1 hour per session. Participants attended each class, twice per week for four 123 124 weeks. Four-weeks was chosen due to time and workload constraints within the physiotherapy department. Clinical guidelines suggest two to three exercise sessions per 125 week are required to attain a positive response in symptoms (20). During the hour, all 126 participants completed a 5-minute warm up and then commenced the 14-station exercise 127 programme, which were specifically orientated to strengthen the lower limb and improve 128 aerobic capacity. Exercises included cycling, treadmill walking, cross trainer, step machine, 129 wall squats with a swiss ball, mini- squats with an elastic band, trampette, step-ups, heel 130 131 raises, hip extension over a plinth, crab walking with an elastic band, monster walking with 132 an elastic band, balancing on a tilt board and single leg stands. Participants recorded the 133 number of repetitions, and progression of exercises was patient led based on pain and perceived exertion. Each exercise was timed for two minutes with approximately one minute 134 135 in between each exercise. After seven exercises, a 5-minute hydration break occurred. After each exercise class, a cool down was completed, with the participant completing the Borg 136

137 scale for patient specific maximal exertion. Participants were advised to have a recovery day to prevent overloading (21). The group class operated from the physiotherapy department 138 gymnasium and was supervised by a specialist physiotherapist, who received three hours 139 140 training by the principal investigator, which consisted of reviewing each exercise station, outcome measurements, and documentation. Both the principal investigator and specialist 141 physiotherapist offered telephone support to any of the participants during department open 142 times. Participants also received text message reminders the day before each exercise class to 143 increase attendance, which is cost effective (22). Other forms of interventions during the 144 145 study were not permitted such as the provision of injections or orthotics.

146 All individuals completed the Knee Injury and Osteoarthritis Outcome Score (KOOS) and the Tampa Scale of Kinesiophobia (TSK) at the baseline assessment. TSK Scores range from 17-147 68 points, with high kinesiophobia being classified with a score above 37 (15). Although no 148 149 evidence has been reported in relation to the minimal clinical detectable change for the TSK in knee OA, a 4.6-point change in kinesiophobia was found using the brief fear of movement 150 151 with medial knee OA (28), with a 5.6-point change for generalised chronic pain (26) and 9.2 point for low back pain (38). Furthermore, a minimal clinical detectable change of 13.4 152 points has been suggested for the KOOS pain scale in knee OA (29-30). 153

The questionnaires were repeated at session 4 and session 8 of the exercise programme. At the end of the allocated sessions, all participants were issued with a six-week follow up assessment and referred to a local leisure centre for further exercise. Perceived level of exertion was measured after each exercise programme using the modified Borg scale.

158 Statistical Analysis

159 Statistical analysis was performed using Statistical Package for Social Sciences (SPSS 160 version 24.0) with the significance level set at p < 0.05. Data were reviewed for normality prior to data analysis with the Kolmogorov-Smirnov tests being completed. The KOOS data were normally distributed; therefore, a repeated measure of analysis of variance (ANOVA) was completed to investigate the mean variability within the participants' scores. Normal distribution was not found in the TSK; therefore, a Friedman test was completed with a post hoc Wilcoxon sign ranks test. A Spearman's correlation coefficient was completed to analyse the TSK and KOOS.

167

# 168 **Results**

Ninety- five individuals diagnosed with knee OA were invited into the study. Thirty-one 169 individuals did not consent to the exercise programme and were re-appointed with another 170 physiotherapist. Ten individuals completed the 60-minute assessment and then e-171 172 mailed/telephoned directly after to decline participation. Therefore, Fifty- four individuals with knee OA participated in the study, 27 males and 27 females with a mean age of 63.35 173 (SD 8.1) years; mean height 1.64 (SD 0.34) metres; mean mass 78.37 (SD 21.22) kilograms; 174 mean body mass index 27.12 (SD 4.08). Seventeen participants (31.5%) were diagnosed with 175 grade 2 Kellgren and Lawrence scale (KL); 19 participants (35.2%) diagnosed with grade 3 176 KL; 12 participants (22.2%) diagnosed with grade 4 KL, all with medial compartment OA, 177 and six participants (11.1%) diagnosed without x-ray but with specific clinical symptoms 178 using the American College of Rheumatology criteria (ACR). 179

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**Insert Table 1 here** 

183 Table 2 shows the median points scored after completing the TSK at baseline, session 4, session 8 and 6-weeks after the exercise programme. Participants' baseline scores recorded a 184 median of 37 (IQR 9.25), at session 4, median score 33.5 (IQR 11), at session 8, median 185 186 score 32 (IQR 8.5) and 6-weeks post-exercise programme, median score 33 (IQR 12). Therefore, an overall median change score of four was recorded from baseline to 6-weeks 187 post-exercise programme. Secondary analysis using the Wilcoxon signed ranks test data 188 indicated a non-significant change from baseline to session 4 (p= 0.052), however from 189 baseline to session 8 (p=0.002) and baseline to 6-weeks post-exercise programme (p=0.001) 190 191 there was a statistically significant change. Over half of the participants' recorded high levels of kinesiophobia at baseline (52% scored 37 and over). Fourteen participants' remained high 192 after 6-weeks post-exercise programme, 12 scored highly at baseline and remained high 193 194 throughout the exercise programme. Two participants scored low at baseline, but had a reoccurrence of their symptoms at the 6-week follow-up. Those participants whose 195 kinesiophobia remained high, the pain levels minimally reduced (baseline 46.69, 6-weeks 196 post-exercise programme 45.88). 197

198

#### **Insert Table 2 here**

199 Table 3 shows the KOOS mean scores at baseline, session 4, session 8 and 6-weeks after the exercise programme. Participant's baseline KOOS pain score recorded a mean of 41.06 (SD 200 17.46) at session 4, mean score 47.79 (SD 14.83) at session 8, mean score 51.18 (SD 21.82) 201 and 6-weeks post-exercise programme, mean score 56.53 (SD 22.21). A non-significant 202 change from baseline to session 4 (p=0.06) occurred, however from baseline to session 8 203 204 (p=0.009) and baseline to 6-week post- exercise programme (p=0.001) the change was statistically significant. Participant's baseline KOOS symptoms score recorded a mean of 205 41.67 (SD 18.78) at session 4, mean score 49.34 (SD 14.09) at session 8, mean score 49.03 206 207 (SD 20.29) and 6-weeks post-exercise programme, mean score 56.48 (SD 19.19). With

significant changes occurring from baseline to session 4 (p= 0.001), from baseline to session 208 8 (p=0.05) and baseline to 6-week post-exercise programme (p=0.001). Participant's baseline 209 KOOS activities of daily living score recorded a mean of 46.9 (SD 21.62) at session 4, mean 210 score 54.33 (SD 18.04) at session 8, mean score 57.44 (SD 25.31) and 6-weeks post-exercise 211 programme, mean score 61.39 (SD 20.97). There was a non-significant change from baseline 212 to session 4 (p=0.09) was recorded, however from baseline to session 8 (p=0.038) and 213 baseline to 6-week post- exercise programme (p=0.01) the change was statistically 214 significant. Participant's baseline KOOS sport and recreation score recorded a mean of 21.39 215 216 (SD 29.71) at session 4, mean score 29.07 (SD 21.06), at session 8, mean score 32.41 (SD 26.22) and 6-weeks post-exercise programme, mean score 32.94 (SD 27.13). There was a 217 non-significant change from baseline to session 4 (p=0.25), however from baseline to session 218 219 8 (p=0.010) and baseline to 6-week post-exercise programme (p=0.029) the change was 220 statistically significant. Participant's baseline KOOS quality of life score recorded a mean of 24.15 (SD 19.39) at session 4, mean score 37.06 (SD 17.74) at session 8, mean score 40.33 221 (SD 24.21) and 6-weeks post -exercise programme, mean score 43.08 (SD 23.47). A 222 significant change occurred from baseline to session 4 (p= 0.001), baseline to session 8 (p= 223 (0.0001) and baseline to 6 week post-exercise programme (p=0.001). Mean scores throughout 224 the 8-session exercise programme ranged from 13.22 to 14.07. Baseline scores for the 225 participants perceived exertion was 13.5, with the greatest increases at session 4 (13.98) and 226 227 session 5 (14.07), then the score levels decreased to 13.29 at session 8. Correlational analysis suggests that the KOOS pain and TSK have a moderate negative correlation at baseline 228 (coefficient -0.48) and at 6-weeks after the exercise programme (coefficient -0.44). Mean 229 scores for the Borg scale ranged from 13.22 to 14.07 throughout the programme. 230

231

### **Insert Table 3 here**

232 Discussion

The study aimed to understand whether kinesiophobia was altered following an 8 session 233 physiotherapy intervention. To the authors' knowledge, this is the first study to demonstrate 234 that an 8-session exercise programme in the national health service setting reduces 235 236 kinesiophobia, pain, and symptoms, increases quality of life, sporting and recreation activities. Kinesiophobia has a negative influence on the outcome of rehabilitation with a 237 high level of kinesiophobia presenting poorer rehabilitation outcomes (34) with an increased 238 239 level of disability and a reduction in strength being reported (37). Kinesiophobia interferes with descending pain-inhibitory systems and facilitates neuroplastic changes in the spinal 240 241 cord during painful stimulation, which ends with pain sensitisation (38). Consequently, this pain sensitisation causes functional decline that in turn causes depression and disturbed sleep 242 that can increase psychological distress (19). Over half of the participants' recorded high 243 244 levels of kinesiophobia at baseline (23), therefore assessing for kinesiophobia during an initial assessment is important to allow the therapist and patient to collaborate a physical 245 activity programme with specific goals that will reduce the fear of movement sooner and 246 potentially reduce the chronic processes that can be related to kinesiophobia. 247

Previous research into kinesiophobia using the TSK for functional disability reported an 248 average score of 24.5 with osteoarthritis (39), which is much lower than our study. However, 249 a mean reduction of 4.6 points in kinesiophobia was found using the brief fear of movement 250 scale after an exercise programme in individuals with medial knee OA (28), which aligns 251 with our findings. Furthermore, the difference in this study is lower than the average score for 252 other musculoskeletal conditions, which is 42 (24). Higher levels of kinesiophobia have been 253 reported at baseline in individuals with chronic low back pain, which reduced after 6 months 254 of physical activity (25). Although no evidence has been reported in relation to the minimal 255 clinical detectable change for kinesiophobia in knee OA, the median change of 4 during this 256 study does not appear to meet the minimal clinical detectable change of 5.6 for generalised 257

258 chronic pain (26) or even 9.2 for low back pain (27). Correlational analysis between the TSK and KOOS pain highlighted a moderate negative correlation at baseline and 6-weeks post 259 programme, therefore, the reduction in pain might be potentially related to the reduction in 260 261 kinesiophobia, but it is unlikely this is the only reason and future study should attempt to investigate this in detail. Identifying individuals who have high TSK at the start of the 262 exercise programme may help to stratify different strategies to increase physical activity 263 levels, reduce chronic behaviour patterning, and improve the rehabilitation process. Similarly, 264 pain coping strategies and exercise programmes have been positively associated in 265 266 individuals with knee OA (17, 35), however not cost effective (17).

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268 At the 6-week review compared to baseline, the KOOS pain subscale significantly improved. However, between baseline and session 4 no significant improvement was found, this could 269 270 be related to the individuals starting an exercise programme or even commencing exercises 271 that they had never completed before and after the first few sessions developing pain due to working the muscles. From session 4 onwards, the exercise programme provided the 272 individuals with reduced pain. With a mean change of 15.47, which is clinically significant 273 274 (29, 30). Further KOOS subscale scores highlighted statistically significant scores reducing symptoms, increasing quality of life, increasing sport and recreation and increasing activities 275 of daily living. However, between baseline and session 4 no significant improvement was 276 found in the individual's activities of daily living, with the exercise programme reducing the 277 individuals' activities, which could be down to pain and reduced function (32).Perceived 278 279 exertion was recorded whilst using the Borg scale, with scores ranging from 13.22 to 14.07, which can significantly influence knee OA (33). Moderate activity has been linked with 280 improved function and reduced pain for up to 6-months (34). 281

282 The main limitation of this study relates to the multiple factors that are associated with kinesiophobia, as it is considered a psychological behavioural factor with sociological, lack 283 of confidence and previous experience being as important as the physical characteristics. We 284 285 have assumed that the exercise programme had a positive impact on kinesiophobia; however, the interaction with the physiotherapist could have influenced the results and reduced 286 kinesiophobia. Therefore, a control group is an essential part of research to minimize the 287 effects of the intervention; future studies should include a control group with a matched 288 alternative therapy. Understanding individual exercise behaviours and habits should be 289 290 established as part of the routine examination and treatment for chronic musculoskeletal conditions especially in relation to physical activity. 291

292

In conclusion, our findings demonstrate that kinesiophobia and pain reduces after completing an exercise programme in participants with knee OA. During the exercise programme, as kinesiophobia reduced, so did the participant's pain, therefore an understanding an individual's kinesiophobia level before an exercise programme is important for future prognosis of changes in pain, as individuals who interpret pain as none threatening confront the situation, maintain daily activities, and are more likely to recover quicker and are less likely to experience problems.

#### 300 ETHICAL APPROVAL

The study is a level one prospective clinical trial (clinical trial number NCT02734342) whereby ethical approval was obtained from the South West Research Ethics Committee (16/SW/0036).

307 Table 1: Descriptive characteristics. Data presented as mean (SD) unless otherwise
308 indicated.

N=54
63.35 (SD 8.1)
Range: 47-79
Male: 27
Female: 27
78.37 (SD 21.22)
Range: 57.15 – 120.6
1.64 (SD 0.34)
Range: 1.49- 1.91
27.12 ( SD 4.08)

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- 317 Table 2: Friedman Test. Median change (IQR), percentage difference between sessions,
- 318 p-values, and changes in kinesiophobia using the TSK (\* Significant value)

	TSK	P-value from baseline	% of change betweem session
Baseline Score	37 (9.25)		
Session 4 Score	33.5 (11)	0.052	-9.46%
Session 8 Score	32 (8.5)	0.002*	-4.48%
6-week post Score	33 (12)	0.002*	+3.13%
Total change	4		-10.81%

- 329 Table 3: Repeated Analysis of Variance. Mean (SD) changes in the KOOS
- 330 questionnaire at baseline, session 4, session 8, and 6-week post exercise programme (\*
- 331 Significant value).

KOOS Subscale	Baseline score	Session 4 score	Session 8 score	6-week post score	Total change from baseline	P-value from baseline
Pain	41.06 (17.46)	47.79 (14.83)	51.18 (21.82)	56.53 (22.21)	15.47	0.001*
Symptoms	41.67 (18.78)	49.34 (14.09)	49.03 (20.29)	56.48 (19.19)	14.81	0.001*
Activities of daily living	46.9 (21.62)	54.83 (18.04)	57.44 (25.31)	61.39 (20.97)	14.49	0.002*
Sport/Recreation	21.89 (29.71)	29.07 (29.07)	32.41 (26.22)	32.94 (27.13)	11.55	0.011*
Quality of life	24.15 (19.39)	37.06 (17.74)	40.33 (24.21)	43.08 (23.47)	18.93	0.001*

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