

1 **Small unmanned aerial model accuracy for**
2 **photogrammetrical fluvial bathymetric survey**

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6 Fluvial systems offer a challenging and varied environment for topographic survey, displaying a rapidly
7 varying morphology, vegetation assemblage and degree of submergence. Traditionally theodolite or GPS
8 based systems have been used to capture cross-section and breakline based topographic data which has
9 subsequently been interpolated. Advances in survey technology has resulted in an improved ability to
10 capture larger volumes of information with infrared terrestrial and aerial LiDAR systems capturing high-
11 density (<0.02 m) point data across terrestrial surfaces. The rise of Structure from Motion (SfM)
12 photogrammetry, coupled with small unmanned aerial vehicles (sUAV), has potential to record elevation
13 data at reach scale sub decimetre density. The approach has the additional advantage over LiDAR of
14 seeing through clear water to capture bed detail, whilst also generating ortho-rectified photographic
15 mosaics of the survey reach. However, data accuracy has yet to be comprehensively assessed. Here we
16 present a survey protocol for sUAV deployment and provide a reach scale comparison between a
17 theodolite and SfM sUAV survey on the River Sprint, Kendal, the River Ehen at Egremont, England and
18 the Afon Elwy, at Llanfair Talhaiarn, Wales. Comparative analysis between theodolite survey and SfM
19 suggest similar accuracy and precision across terrestrial surfaces with error lowest over solid surfaces,
20 increasing with vegetation complexity. Submerged SfM data, captured bed levels generally to within
21 ± 0.25 m with only a weak relationship recorded between error and flow depth. Significantly, associated
22 error when linked to channel D_{50} highlights the ability of unmanned aerial vehicles to capture accurate
23 fluvial data across a range of river biotopes and depths to 2.4 m.

24

25 **Keywords:** UAV, SfM, Biotopes, surveying

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27

28 **1. Introduction**

29 New techniques for rapid and detailed spatial data collection combined with
30 sophisticated spatial analytical software facilitates the construction of Digital Elevation
31 Models (DEMs) that accurately represent landform surface variability and offer an
32 increased ability to measure and monitor morphological change across a range of spatial
33 scales (Brasington et al., 2000; Fuller et al., 2005). Fluvial systems offer a challenging
34 and varied environment for topographic survey, displaying a rapidly varying
35 morphology, diverse vegetation assemblage and varying degree of inundation.
36 Traditionally theodolite or GPS based systems have been used to capture cross-section
37 and break of slope-based data which are subsequently interpolated to generate a
38 topographic surface. Advances in survey technology has resulted in an improved ability
39 to capture larger volumes of data with infrared terrestrial and aerial LiDAR systems
40 capturing high-density (<0.02 m) data across terrestrial surfaces (Heritage and
41 Hetherington, 2007; Bangen et al., 2014; Entwistle et al. 2018) but instruments are
42 expensive and cumbersome and generally fail to survey through water resulting in a
43 lack of bathymetric data (Milan et al., 2010). The issue of measurement through water
44 has to some degree been overcome through the advent of Structure from Motion (SfM)
45 photogrammetry, coupled with small unmanned aerial vehicles (sUAV) and there is
46 now the potential to rapidly record the information needed to derive elevation data at a
47 reach scale with sub decimetre density, seeing through clear water to capture bed detail
48 (Entwistle et al., 2018).

49 Software utilising the photogrammetry Structure-from-Motion workflow (SfM)
50 photogrammetry workflow facilitates the utilization of this technique by non-specialists
51 allowing high-resolution morphometric 3D models and derived products such as digital
52 surface models (DSMs) and orthophotographs to be produced (see Westoby et al., 2012;

53 Fonstad et al., 2013; Micheletti et al., 2014; Carrivick et al., 2016; Entwistle and
54 Heritage, 2017).

55 There has been a recent proliferation in publications assessing the accuracy of SfM-
56 derived data studies (for example Entwistle and Heritage, 2017, Harwin and Lucieer,
57 2012; James and Robson, 2012; Westoby et al., 2012; Fonstad et al., 2013; Tonkin et
58 al., 2014; Smith and Vericat, 2015; Brunier et al., 2016, James and Quinton, 2014;
59 Stumpf et al., 2015). Reported accuracies vary widely, from <0.1 m to over 1 m, with
60 error attributed variously to image resolution/quality, image distortion, camera
61 calibration and to the characteristics of the surface being measured particularly with
62 respect to vegetation (see Harwin and Lucieer, 2012; James and Robson, 2012;
63 Westoby et al., 2012; Fonstad et al., 2013; James and Quinton, 2014; Tonkin et al.,
64 2014; Smith and Vericat, 2015; Stumpf et al., 2015; Brunier et al., 2016; Entwistle and
65 Heritage 2017).

66 Of interest is the lack of studies reviewing the accuracy of SfM photogrammetry
67 bathymetric data. Woodget et al., (2015) surveyed the River Arrow and Coledale Beck
68 in the UK to produce digital elevation models at 0.02 m resolution reporting error on
69 submerged areas between 0.016 m to 0.089 m, reducing to 0.008 m to 0.053 m when
70 corrected for refraction. Woodget et al., (2017a) report near continuous underestimation
71 of water depth from sUAV based image photogrammetry for the River Teme and a
72 study by Dietrich (2017) reduced error on bathymetric data to 0.01 m or less on the
73 White River, Vermont using a spatially varied refraction correction. This study builds
74 on their work through the collection and analysis of bathymetric data from three
75 contrasting watercourses capturing a variety of hydraulic habitats. The accuracy of the
76 data are assessed against theodolite measurements.

77

78 *1.1 Approaches to bathymetric survey*

79 Theodolite based survey techniques and Global Positioning by Satellite (GPS)
80 instruments have traditionally been used for shallow water bathymetric mapping
81 (Woodget et al., 2015). Such point-based survey techniques, whilst accurate, are time
82 consuming (Winterbottom and Gilvear, 1997) and the sparse data sets require careful
83 interpolation to achieve a realistic surface representation (Fuller et al., 2003). They have
84 also been shown to suffer from operator bias (Heritage and Hetherington 2007).

85 Several remote sensing techniques are also able to collect data over submerged
86 surfaces. Spectral depth approaches rely on an empirical relationship between the
87 spectral absorption properties of water and water depth. Using this technique Lejot et
88 al., (2007) achieved bathymetric measurements at a 0.05m resolution with elevation
89 error generally below 0.1m through water depths up to 1 m. However, other researchers
90 have noted that the technique requires field data collection for calibration and have
91 documented issues associated with turbidity, water surface disruption, illumination
92 angle and substrate type (Winterbottom and Gilvear 1997; Westaway et al., 2003;
93 Legleiter et al., 2004; Carbonneau et al., 2006; Lejot et al., 2007; Legleiter et al., 2009;
94 Bergeron and Carbonneau 2012; Legleiter, 2012).

95 Terrestrial Laser Scanning (TLS) has emerged as a valuable technique in the fields of
96 fluvial geomorphology and hydromorphology, providing means to acquire high
97 precision, three-dimensional topographic data at resolutions previously unobtainable
98 by conventional monitoring techniques. In addition, recent advances in analytical
99 apparatus, computer software and computational ability have permitted construction of
100 complex digital elevation models (DEMs) that accurately represent variability of
101 landform through time (Heritage and Hetherington, 2007). In turn, this provides an
102 opportunity to measure and monitor, quantifiably, morphological change at various

103 spatial and temporal scales (Marcus and Fondstad, 2010). Whilst these studies have
104 elucidated the benefits of TLS, they have typically been of limited areal coverage (e.g.
105 Resop and Hession, 2010). In addition, a number of limitations in its application
106 including absorption and refraction over water (Wheaton, 2008) and vegetation
107 (Heritage and Hetherington 2007) must be considered.

108 Airborne Lidar systems are emerging as major sources of topographic data and faster
109 systems are achieving data density comparable to older terrestrial systems. The laser
110 pulse is also capable of canopy penetration, overcoming a significant limitation in terms
111 of photogrammetry for DEM generation. Kraus and Pfeifer (1998) demonstrated that
112 the accuracy of LiDAR- derived DEM in forested areas is equivalent to that of
113 photogrammetry-derived DEM across open areas. The common use of eye safe near
114 infra-red laser sources result in absorption and refraction issues with water (Legleiter,
115 2012). Blue-green scanning approaches are less affected by turbidity and water surface
116 roughness than passive remote sensing techniques (Marcus, 2012). This is partially due
117 to active blue-green lasers being less affected by turbidity and water surface roughness
118 (Marcus, 2012), however their pulse footprint is larger than for infra-red lasers and
119 instruments are currently expensive. Estimation of gravel-bed river bathymetry from
120 space has been accomplished using a variety of methods, as an example Legleiter et al.,
121 (2009) utilised hyperspectral image data and a spectrally based remote sensing
122 algorithm to gain results that were spatially coherent, although greater error was found
123 at channel margins where pixels mixed. Yoon et al., (2012) estimated bathymetry using
124 data from the Surface Water and Ocean Topography (SWOT) satellite to improve
125 simulation of discharge, but only on large rivers (> 50 m wide), however Biancamaria
126 et al (2016) review other land hydrology capabilities of SWOT, including those related
127 to transboundary river basins, human water withdrawals and wetland environments.

128 Others have used satellite data to map habitats (Hugue et al., 2016), for flood
129 forecasting (García-Pintado et al., 2015) and to advance river modelling in ungauged
130 basins (Maswood and Hossain, 2016).

131 Digital photogrammetry is now widely used to capture topographic data with data
132 resolution and positional accuracy dependent on image resolution and distance of
133 capture. Early work used terrestrial photogrammetry to produce dense accurate
134 morphometric data, but areal coverage was restricted by the camera field of view
135 (Heritage et al.,2009). The recent development of small unmanned aerial vehicles and
136 associated software advances have improved coverage and many studies are now
137 published on its use across a range of environments (see Harwin and Lucieer, 2012;
138 James and Robson, 2012; Westoby et al., 2012; Fonstad et al., 2013; Tonkin et al.,
139 2014; Smith and Vericat, 2015; Brunier et al., 2016, James and Quinton, 2014; Stumpf
140 et al., 2015). Issues have been reported with light penetration and inaccurate positioning
141 due to refraction through the water column. Westaway et al., (2001) partially overcame
142 this using simple refraction correction and Dietrich (2017) further refined the correction
143 process using spatially varying refraction rectification. Both approaches have helped
144 adjust elevation predictions and improve depth estimation across submerged surfaces.

145

146 **2. Study sites**

147 Three sites were used in this study to assess the accuracy of photogrammetric
148 estimation of water depth using imagery obtained from sUAV survey reflecting a
149 diversity of fluvial environments. These were the River Sprint and River Ehen in
150 Cumbria, England and the Afon Elwy in Wales, (Figure 1).

151

152 **Figure 1.** Location for the three sites used in this study to reflect a diversity of fluvial
153 environments, A) River Sprint, Cumbria, England. B) Afon Elwy, North Wales, C)
154 River Ehen, Cumbria, England.

155

156

157 *2.1 River Sprint*

158 The Sprint is a small river with a catchment area of around 35 km² joining the River
159 Kent just south of Burnside in the English Lake District. Average rainfall in the
160 catchment is very high, amounting to 2,018 mm per year. Flow has been recorded at
161 Sprint Mill since 1976, located just upstream of the confluence with the River Kent.
162 Median flow there is around 1.0 m³s⁻¹, whilst the Q95 (typical summer flow) is around
163 0.17 m³s⁻¹ and the Q10 (typical winter flow) is around 4.8 m³s⁻¹. The land use and
164 habitat of the catchment is >80% grassland, approximately 10% mountainous, heath or
165 bog with around 6% woodland, with a history of slate mining in the upper catchment
166 and a number of steep coarse-bedded tributaries. These tributaries drain the surrounding
167 fells delivering a coarse sediment load onto a flatter wider piedmont zone below where
168 transport energy drops off rapidly creating a long (>750 m) depositional zone at the
169 Sadghyll gravel trap study site (Figure 2a). This area is characterised by a wide coarse-
170 sediment covered valley floor dissected by multiple active and inactive distributary
171 channels (Figure 2b). The bathymetric survey captured data in pool areas. A combined
172 sUAV and theodolite survey generated a DEM for the site (Figure 2c) the
173 characteristics of which are given in Table 1. Local Wolman samples suggest a general
174 medium gravel size distribution (D₁₆ 0.024 m, D₅₀ 0.055 m, D₈₄ 0.103 m).

175

176 **Figure 2.** River Sprint sUAV derived orthophoto (A) and Digital Terrain Model (B)
177 including boundary of pool area used for bathymetry data analysis.

178

179 *2.2 Afon Elwy*

180 The Elwy is the largest sub-catchment of the Clwyd catchment in North Wales. The
181 confluence of the Afon Elwy with the Afon Clwyd is downstream of St Asaph. The
182 study site is located at Bryn Yr Ur the on the main river. The watercourse here is
183 characterised by a low sinuosity single thread channel with occasional bifurcations
184 around gravel/cobble shoals. The study site was located at a bifurcation displaying a
185 high morphologic and hydraulic diversity. Data were captured across, riffle, pool, glide,
186 chute and backwater zones (Figure 3) considering a variety of surface water biotopes
187 and a range of depths. A combined sUAV and theodolite survey generated a DEM for
188 the site the characteristics of which are given in Table 1. Local Wolman samples
189 suggest a general medium gravel size distribution (D_{16} 0.03 m, D_{50} 0.049 m, D_{84} 0.107
190 m).

191

192 **Figure 3.** Afon Elwy sUAV derived orthophoto (A) and Digital Terrain Model (B).
193 Inset image delimits the area used for biotope-based bathymetry data analysis.
194

195 *2.3 River Ehen*

196 The study area at Egremont lies within the lower part of the River Ehen, approximately
197 10 km downstream from its source at the outflow of Ennerdale Lake. The river, in the
198 vicinity of Egremont, Cumbria is an active single thread channel that has historically
199 been heavily modified to stabilise the channel planform and to utilise the power of the
200 water flow for industry. Median flow from records at Braystones (1974-2014) is around
201 $70 \text{ m}^3\text{s}^{-1}$, whilst the Q95 (typical summer flow) is around $0.96 \text{ m}^3\text{s}^{-1}$ and the Q10 (typical
202 winter flow) is around $11.9 \text{ m}^3\text{s}^{-1}$. The study site is located across a transverse bar
203 upstream of Ennerdale Mill Dam Weir (Figure 4) allowing data to be captured across
204 an extensive riffle area and associated rapidly flowing chute and a shallow pool zone.
205 A combined sUAV and theodolite survey generated a DEM for the site the

206 characteristics of which are given in Table 1. Local Wolman samples suggest a general
207 medium gravel size distribution (D_{16} 0.038 m, D_{50} 0.068 m, D_{84} 0.153 m).

208

209 **Figure 4.** River Ehen sUAV derived orthophoto (A) and Digital Terrain Model (B)
210 showing the area used for bathymetry data analysis.

211

212 **Table 1.** Site survey characteristics for the three study sites

213

214 **3. Method**

215 *3.1 sUAV Data acquisition*

216 A small unmanned aerial vehicle (sUAV) (DJI quadcopter – Phantom 3 professional)
217 was used to obtain multiple aerial photographs of each study reach using a high-
218 resolution (12.76 Megapixels, at an image size resolution of 4000×3000). 94° of a
219 20mm field of view was utilised by the on board 1/2.3” CMOS digital camera sensor,
220 which is mounted on a remotely operated 3 axis gyroscopic gimble to allow for optimal
221 stability during flight reducing blur issues on the captured imagery (see Woodget et al.,
222 2017b). Remote activation ensured sufficient spatial coverage and substantial image
223 overlap (following the SfM principles of Micheletti et al., 2014). Further, manual flying
224 minimised the likelihood of unfocussed images though maintaining a consistent flight
225 height, controlling speed, curtailing external influences and ensuring sUAV stability
226 for focused photographs.

227 The importance of camera settings for standard photogrammetry has been reviewed by
228 James et al. (2017) and survey settings were optimized for light conditions for each
229 study reach, these included: ISO levels, exposure compensation, white balance, and
230 capture format.

231 The sUAV was operated by a UK Civil Aviation Authority approved qualified drone
232 capturing (>80%) overlapping nadir images. This was supplemented with a range of
233 off-nadir images across the study reaches. The sUAV was flown at uniform height (~30
234 m, 100 ft) to allow for accurate reconstruction during post-processing, although
235 external influences, such as significant air turbulence, can affect the vertical hover
236 accuracy, flights for this research were flown in optimal conditions and a hover
237 accuracy range resulted in a ± 0.1 m margin. Operator experience suggests that this
238 altitude was optimal for day survey of a river and floodplain with a combined width of
239 around 250 m.

240 High quality survey georeferencing was achieved through a system of ground control
241 points (GCPs) spaced roughly equidistant around 10 channel widths apart through the
242 survey area. Such a systematic distribution maximises their effectiveness in post-
243 processing (Tonkin and Midgley, 2016), whilst James and Robson (2014) highlighted
244 the importance of well-focussed, similar distance, imagery of consistent surface texture
245 and as the important factor in accurate DEM construction, facilitating survey accuracy
246 and reducing the overall number of GCPs required. GCPs and real-world bathymetric
247 ground points in this research were surveyed using a calibrated TopCon GTS-210 EDM
248 theodolite (± 0.01 m accuracy) to provide a robust local coordinate system for each
249 model and to test the bathymetric accuracy

250

251 *3.2 Post-processing of sUAV data*

252 All post-processing was conducted on Intel Xeon desktop computer with 256Gb RAM
253 using Agisoft Structure from Motion (SfM) professional software. Images were
254 mosaicked together using a SfM photogrammetry approach (Micheletti et al., 2015)

255 whereby rasterized three-dimensional representations are constructed from two-
256 dimensional (camera calibrated) images (see Scaramuzza et al., 2006).

257 Images were manually inspected for quality, with out-of-focus or blurred photographs
258 discarded. Whilst Agisoft's image quality algorithm can automatically analyse images
259 using the contrast between pixels to determine image quality, camera blur is often
260 directional and as a result some sharp edges can remain. Therefore using the Image
261 Quality function estimated quality is not necessarily a meaningful value for sharpness.

262 All images were subsequently cropped to utilise only the central (90%) area, this
263 reduced any lens image distortion effects (Wackrow and Chandler, 2011) on the final
264 model. Images were then aligned through the automated SfM software through
265 identification of conjugate points common in several photographs. This was
266 propagated over the all of the study reaches. SfM photogrammetry strategies suggest
267 that fewer systematic errors are a direct result of combining nadir and off-nadir image
268 datasets (James and Robson, 2014; Dietrich 2017).

269 Within each aerial image, the ground control points were manually assigned their
270 corresponding theodolite-derived coordinate in the SfM software allowing the
271 photographs to be realigned and scaled based on the local theodolite coordinate system.

272 Dense point clouds were then built from the geo-rectified imagery using depth filtering
273 to remove the lowest number of points which do not belong to a connected surface.

274 This ignores unnecessary micro-scale details during processing, thereby decreasing
275 computing time. Geometry was constructed using a height field approach and disabled
276 interpolation yielded geometry based on points constructed in the dense point cloud. A
277 textured model was then built using the previously computed geometry. Here, raw
278 image pixels were draped over the geometric model to yield a DEM. In addition, this
279 process provided fully orthorectified aerial images of each study reach.

280 To support accurate data comparison the sUAV survey approach followed the protocol
281 set by Heritage and Hetherington (2007) and successfully adopted in a pool-riffle study
282 by Entwistle (2011) whereby the channel and surrounding floodplain were surveyed to
283 a single project coordinate system using the independent theodolite points and set to a
284 point spacing of 0.02 m. The resultant meshed set of UAV derived data points were
285 clipped to remove unwanted information such as distant points, overhanging tree
286 canopy and any spurious aerial data points.

287

288 *3.3 Water Surface and Depth data collection*

289 A theodolite survey was conducted at each site to capture independent depth
290 measurements across a range of submerged topography in the same coordinate system
291 as the sUAV survey, Table 2 summarises the data collected. The reflector pole was
292 placed on the bed of the channel, and then raised to the level of the water surface in the
293 same place allowing flow depth to be computed from the difference between the two
294 values. In addition, water edge points were surveyed to compute a water elevation
295 surface map and sUAV points corresponding to the theodolite depth values were
296 subtracted from this surface to generate a depth estimate from the sUAV approach.

297 Comparative data points were collected across each study site to reflect hydraulic
298 biotopes present (sensu Newson and Newson, 2000) allowing the sUAV data to be
299 evaluated across each of these flow types. These data are summarised in table 2,
300 numbers of points reflect the size and distribution of each biotope type at each site.

301

302 **Table 2.** Measured water depth data characteristics for the three study sites

303

304 *3.4 Bed Roughness Estimation*

305 Each sUAV surface point cloud was interrogated through filtering a moving window
306 standard deviation (equivalent to the calibre of the largest grains observed in the field)
307 to generate a surface roughness map of the surveyed sites. These data were multiplied
308 by 2 to generate an approximation of the grain protrusion characteristics (see Gomez
309 1995; Entwistle and Fuller, 2009; Heritage and Milan 2009). These data were then
310 investigated to extract the roughness values (C axis) at each of the depth measurement
311 points for later comparison against the depth estimation error.

312

313 **4. Results**

314 *4.1 Model build characteristics*

315 Summary statistics of the general survey for each study site are presented in Table 1. It
316 is clear that the SfM technique is able to locate georeferenced GCP sites to a high level
317 of accuracy (RMSE $< \pm 0.019$ m) comparable with that reported by James and Robson,
318 (2014); Fonstad et al., (2013); Dietrich (2017). The data point density may be controlled
319 within the SfM software up to the pixel resolution on the captured images with higher
320 density point clouds requiring considerably increased post-processing time and
321 computing power. To overcome computational limitations, or reduce processing time
322 on standard desktop machines, the point cloud can be extracted from the SfM software
323 and imported into CloudCompare (Girardeau-Montaut, 2018) freeware to build a
324 structured point cloud and generate the mesh for DEM construction.

325

326 *4.2 Overall sUAV Error associated with Submerged Surfaces*

327 sUAV derived depth estimates and those measured with the theodolite were
328 comparatively plotted (Figure 5). Depths up to 2.4 m were measured with the majority
329 falling below 1.75 m. Whilst some scatter appears in the data. The distribution of

330 difference (Figure 6) statistics reveal a low mean error of 0.04 m, the data are skewed
331 slightly to the right of this mean with a tail of more positive error (skew = 0.224). The
332 tails on the error are relatively large with the data displaying a kurtosis value of -0.229.

333

334 **Figure 5.** Comparative theodolite and sUAV depth data for the three study rivers. The
335 solid line represents equality and dashed lines $\pm 10\%$ difference.

336

337 **Figure 6.** Theodolite and sUAV estimate depth discrepancy for Rivers Sprint A) Ehen
338 B) and Elwy C).

339

340 The difference between the sUAV and theodolite values are calculated independently
341 for each study site (Figure 7a-c). For the River Sprint (Figure 7a) the relationship is
342 strongly linear (r^2 0.85) with a 1.02 multiplier on the regression line up to depths of 1m
343 suggesting that the sUAV depths closely match the theodolite values across all depths.

344 Error bands have been included on the graph representing the D_{84} grainsize measured
345 at the site and the majority of error occurring within these bounds. The errors recorded

346 on the Afon Elwy are shown in Figure 7b; again, the relationship is a strong linear one
347 (r^2 0.88), however, here there is a consistent underestimation of depth relative to the

348 theodolite data. This may in part be due to refraction, however, there does not appear
349 to be a trend of increasing difference with measured depth (up to 0.8 m depths

350 measured) with the trend on the data and a refraction correction of 1.2 on the sUAV
351 data would provide optimal depth prediction. Error bands have been included on the

352 graph representing $\pm D_{84}$ grainsize measured at the site. This characteristic continues
353 with the error plot for the River Ehen (Figure 7c) up to depths of around 1.5 m. After

354 this error is seen to increase above that which could be attributed to the general bed
355 roughness. A linear regression relationship also best described these data (r^2 0.89) with

356 a multiplier of 0.8 suggesting minor under prediction of depth by the sUAV

357

358 **Figure 7.** sUAV model estimate depth discrepancy relationship with measured depth
359 for the a) River Sprint, b) Afon Elwy and c) River Ehen. Solid line represents
360 regression, dashed lines equivalent to D_{84} grain size error.
361

362 *4.3 sUAV Error and Local Bed Roughness*

363 Figure 8 illustrates the bed roughness variability across the three study sites as defined
364 by the local standard deviation of the sUAV point cloud. These data were multiplied by
365 2 to generate an approximation of the grain protrusion characteristics (see Gomez 1995,
366 Heritage and Milan, 2009; Entwistle and Fuller, 2009). The majority of the area subject
367 to theodolite survey exhibits surface roughness variation up to 0.2 m. The River sprint
368 is generally finest with the Afon Elwy exhibiting a finer apical pool area and smaller
369 gravels are associated with a developing transverse bar feature towards the upstream
370 survey extent on the River Ehen. These roughness values are less than those measured
371 using a Wolman count as they are more characteristic of the sediment c-axis

372

373 **Figure 8.** Bed roughness characteristics calculated by a moving window standard
374 deviation across the DM surface for a) River Sprint, b) Afon Elwy and c) River Ehen.
375

376 The local grain surface roughness character was extracted for each theodolite
377 measurement point for all three rivers and these data were plotted against the error on
378 the sUAV data compared to the theodolite survey (Figure 9). On the River Sprint the
379 majority of the roughness data are below 0.3 m. The Afon Elwy plot shows a near
380 random distribution of error compared to bed roughness (linear regression r^2 0.1). The
381 River Ehen suggests greatest error (up to 0.3 m) across areas of finer sediment (< 0.05
382 m) before showing no relationship across rougher surfaces (Figure 9c).

383

384 **Figure 9.** Local bed roughness associated with measured sUAV error across a) River
385 Sprint, b) Afon Elwy and c) River Ehen.
386

387 This general absence of any relationship between sUAV error and grainsize suggest
388 that it is unlikely that theodolite error is playing any major role in influencing the
389 evaluation of the accuracy of the sUAV survey. It also suggested that the sUAV survey
390 accuracy is also unaffected by bed roughness with the resolution on the survey
391 sufficient to record local bed surface variation.

392

393 *4.4 sUAV Error and Local Hydraulic Roughness*

394 Error in the sUAV data was further investigated with respect to water surface
395 conditions. Whilst water surface variation was not directly measured it can be inferred
396 from the biotope distribution recorded at each site. As mentioned previously biotope
397 types were assigned to each theodolite survey point during site survey and these were
398 confirmed through interrogation of the sUAV orthophoto. For example, Milan et al.
399 (2010) used water surface roughness delimiters to map hydraulic biotopes and through
400 sUAV orthophoto analysis water surface roughness was seen to increase through pool,
401 backwater, glide, run, riffle, chute biotope units.

402

403 The spatial variation in sUAV error is shown for all three study sites in Figure 10. This
404 error is overlain on the biotope distribution. For the River Sprint there is a strong
405 tendency for the sUAV depth estimates to exhibit high error across chute units (Figure
406 10a). On the Afon Elwy (Figure 10b) error is generally lower with pools exhibiting the
407 worst depth predictions, this may reflect the general lower energy biotope ensemble
408 present during the survey. sUAV error on the River Ehen was highest across the weir

409 zone where chuting flow dominated and was also recorded along channel margins
410 characterised by a well-developed woody riparian (Figure 10c).

411

412 **Figure 10.** Water surface roughness and sUAV depth error on a) River Sprint, b) Afon
413 Elwy and c) River Ehen.

414

415 The apparent links between sUAV depth estimation error and hydraulic conditions was
416 investigated further through categorisation of the depth data by observed hydraulic
417 biotope. Plotting the sUAV error against measured depth for each biotope (Figure 11)
418 and linear regression lines were fitted to each hydraulic habitat. The slope each line
419 reflects the degree of difference between the two measures and these are summarised
420 in Table 3.

421

422 **Figure 11.** sUAV and theodolite depth measurements split by hydraulic biotope for a)
423 River Sprint, b) Afon Elwy and c) River Ehen.

424

425 **Table 3.** Linear regression multipliers on sUAV depth error estimates for the study sites
426 on the River Sprint, Afon Elwy and River Ehen.

427

428 Shallow backwaters displaying no discernible water surface disruption appear to show
429 near agreement between the theodolite and sUAV depth measurements. This is also
430 true of the riffle areas, despite considerable water surface disruption and this is
431 attributed to the shallow nature of these features effectively minimising refraction
432 issues. This is not true of chute features where white water is severely impacting on bed
433 visibility and the disrupted water surface is adding further complexity to refraction
434 angles resulting in generally poor depth prediction from the sUAV survey. Glide and
435 run linear regression multipliers range between 0.7 and 0.9 suggesting a general slight
436 under prediction of depth.

437

438 **5. Discussion**

439 In this paper we have investigated the accuracy of structure from motion digital
440 elevation model using imagery collected from an sUAV platform. The three rivers
441 studied exhibited measured depths up to 2.4 m extending the evaluation beyond the
442 depths of 1.1 m, 0.7 m and ~1.5 m reported by Westaway et al., (2001), Woodget et al.,
443 (2015) and Dietrich (2017) respectively and cover a wide range of hydraulic roughness
444 elements ranging from pools through to chuting flow.

445

446 Individual histograms of mean average error on depth prediction by the sUAV at each
447 of the survey sites are shown in Figure 6, a combined dataset generated a mean average
448 error on depth prediction by the sUAV of ± 0.03 m ($\sigma \pm 0.12$ m), with individual data of
449 River Sprint ± 0.04 cm ($\sigma 0.05$), River Ehen ± 0.03 ($\sigma 0.12$) and River Elwy ± 0.03 cm
450 ($\sigma 0.06$ cm) comparing favourably with the work of Westaway et al., (2001), who used
451 conventional stereo photogrammetry to predict water depth achieving mean errors from
452 0.054 to 0.105 m with standard deviations of 0.092 to 0.116 m. This study did not apply
453 a refraction correction to the data, preferring to investigate the degree to which
454 refraction was influencing the predictive capability of the sUAV technique, however
455 our uncorrected general results were comparable to those of Woodget et al., (2015),
456 who used a simple refraction correction to achieve mean depth errors of 0.029 to 0.053
457 m ($\sigma 0.064$ to 0.086 m) and Dietrich (2017) applied a spatially varied refraction
458 correction on two surveys of the White River achieving mean errors of -0.011 and 0.
459 014m with standard deviations of 0.077 and 0.059 m.

460

461 It is recognised that refraction through water can impact depth estimation and many
462 authors have utilised the simple depth correction factor of 1.4 proposed by Westaway
463 et al., 2001 and Woodget et al., (2015) argue for a refraction correction to improve
464 sUAV depth estimation accuracy. Results from these studies showed an improvement
465 in mean error following refraction correction, and for depths less than 0.4m mean error
466 became comparable with that of exposed terrain. However, larger errors were observed
467 at depths beyond 0.4m which scaled with depth (Westaway et al., 2000). This study
468 has found that the level of error in the raw data is generally insufficient to warrant the
469 application of any correction with errors in depth estimation within the range of bed
470 roughness for all three study sites and measurement error on the water surface caused
471 by turbulence. Shallow water error was recorded, however, the multiplier required to
472 correct the depth estimates was closer to 1.2. Other regions characterised by a generally
473 smooth water surface and depths up to a metre showed even stronger with only a 10%
474 correction needed to increase the depth to that recorded by the theodolite survey. Higher
475 energy flow areas create a more complex refraction effect, and this is discussed further
476 below.

477

478 Water surface disruption is also a source of survey error using remotely sensed data
479 (Milan et al. 2010). This is true for both the sUAV (et al., 2017b) and the theodolite
480 approach (Heritage et al., 2009) where a disrupted surface or fast flowing water requires
481 the surveyor to estimate the average height of a rapidly varying water level. This effect
482 has not been directly quantified in this study, however the biotope categorisation of the
483 data can be used as a surrogate measure for water surface roughness with roughness
484 seen to increase in the sequence, pool, glide, run, riffle, chute. Examination of the
485 statistical significance of the empirical depth relationships discussed earlier suggest

486 much poorer relationships with the higher energy biotopes, most notably chutes where
487 white water is common. Here the variability in depth prediction was highest, with
488 regression correlation coefficients to between 0.6 and 0.7. This strongly suggests that
489 optical approaches to characterising submerged surfaces should not be attempted over
490 areas with rapidly varying water surface conditions.

491

492 A source of possible error in the depth estimation process exists in the choice of DEM
493 resolution. Point spacing of 0.08 m was selected in the SfM software to avoid excessive
494 processing times. These data must then be interpolated to generate the topographic and
495 bathymetric surfaces and measured depth points falling across interpolated areas may
496 be in error. This error is likely to be a function of the local surface roughness.
497 Comparison of the sUAV error compared to measured bed sediment size suggests that
498 the error is within that of the bed roughness as defined by the grain size D_{84} . When local
499 bed roughness (defined by the standard deviation of the local elevation data on the
500 DEM) was compared to the sUAV depth error, no relationship was found suggesting
501 factors other than sediment size variability were influencing survey accuracy.

502

503 Finally of note were errors recorded along the banks of the River Ehen study site, where
504 riparian trees formed a dense canopy obscuring direct imaging of the bed of the channel.
505 Insufficient oblique imagery meant that this was not correctable. Where vegetation
506 infringes on survey areas further concentration of camera images, from multiple angles
507 should be fed into the SfM facilitating DEM construction.

508

509 **6. CONCLUSION**

510 The use of high resolution remote sensing from a UAV is an encouraging technique for
511 quantifying the topography of fluvial environments at the meso-habitat scale. This
512 study has critically evaluated the ability of sUAV survey data and subsequent DEM
513 development using SfM point cloud generation to predict water depth and by inference
514 to accurately map bathymetric surfaces in clear water. It has extended the published
515 depth research to 2.4 m and has refined the data analysis to differentiate error according
516 to hydraulic conditions. Linear regression relationships were found to best fit the error
517 data suggesting that error estimates did not increase with depth. Error on the direct
518 estimates showed a general under prediction, however, depth over predictions also
519 occurred. These errors were generally within the bounds of the bed roughness as
520 defined by the grain size D_{84} . When investigated at the biotope scale across all three
521 study sites the regression relationships suggest potential depth error corrections of 1.1
522 to 1.2, these values are lower than that suggested by Westaway (2001) and suggest that
523 applying such a correction to all data would result in less accurate depth estimation,
524 most notably for pools/backwaters, glides, runs and riffles. Error on chute estimations
525 were higher and certainly more varied and it would appear that water surface disruption
526 is the key cause of this.

527

528 It would appear from the results that good depth estimation levels can be achieved using
529 the sUAV approach described. Caution must be exercised, however, where hydraulic
530 energy levels and/or water depths relative to bed roughness are high as this appears to
531 significantly increase the impact of refraction. More generally DEM generation can
532 also be significantly impacted by vegetation and care must be taken to ensure that
533 sUAV imagery captures detail across all wet areas to ensure correct model build.

534

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702

703 **Table 1.** Site survey characteristics for the three study sites

	River Sprint	Afon Elwy	River Ehen
Model extent (km²)	0.148	0.173	0.164
Survey height (m AGL)	30	30	30
Images used	650	642	643
Final Model resolution (m)	0.020	0.024	0.021
Total number of points	391,871,123	387,382,170	496,849,445
GCP accuracy (m)	0.012	0.011	0.019
Field survey time (hours)	3.5	3	2.5
Post-processing time (Hours)	8.1	9.5	12.5

704

705

706

707 **Table 2.** Measured water depth data characteristics for the three study sites

		River Sprint			Afon Elwy			River Ehen		
Total number of data points		188			204			327		
Mean depth (m)		0.49			0.24			0.63		
Minimum depth (m)		0.02			0.02			0.15		
Maximum depth (m)		0.96			0.71			2.57		
		Min	Mean	Max	Min	Mean	Max	Min	Mean	Max
Hydraulic habitat (data points) (m)	Pool	0.13	0.62	0.96	0.02	0.33	0.71	1.01	1.22	2.57
	Glide	0.65	0.78	0.88	0.12	0.26	0.61	0.70	0.86	0.99
	Run	0.07	0.56	0.95	0.03	0.19	0.44	0.51	0.59	0.69
	Riffle	0.02	0.24	0.58	0.02	0.18	0.58	0.16	0.34	0.49
	Chute	0.12	0.38	0.90	0.12	0.23	0.41	0.15	0.49	0.66
	Back-water	0.69	0.83	0.96	0.03	0.35	0.63	n/a	n/a	n/a

708

709

710 **Table 3.** Linear regression multipliers on sUAV depth error estimates for the study sites
 711 on the River Sprint, Afon Elwy and River Ehen.

	Pool	Backwater	Glide	Run	Riffle	Chute
Sprint	0.73	1.08	0.9	0.87	0.98	0.76
Elwy	0.8	0.97	0.87	0.68	0.95	0.66
Ehen	0.86	not present	0.87	0.86	1.17	0.57

712

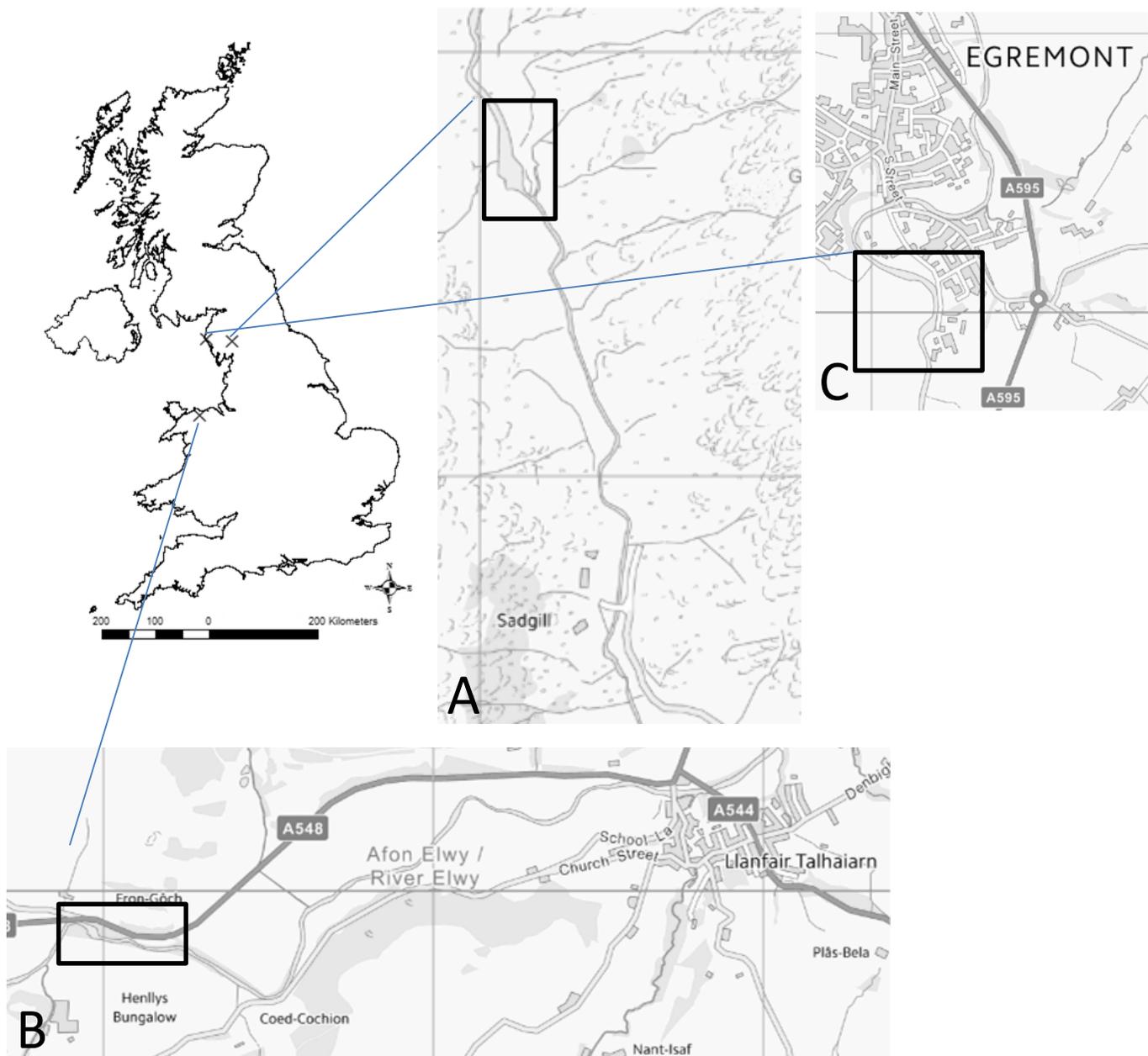


Figure 1. Location for the three sites used in this study to reflect a diversity of fluvial environments, A) River Sprint, Cumbria, England. B) Afon Elwy, North Wales, C) River Ehen, Cumbria, England.

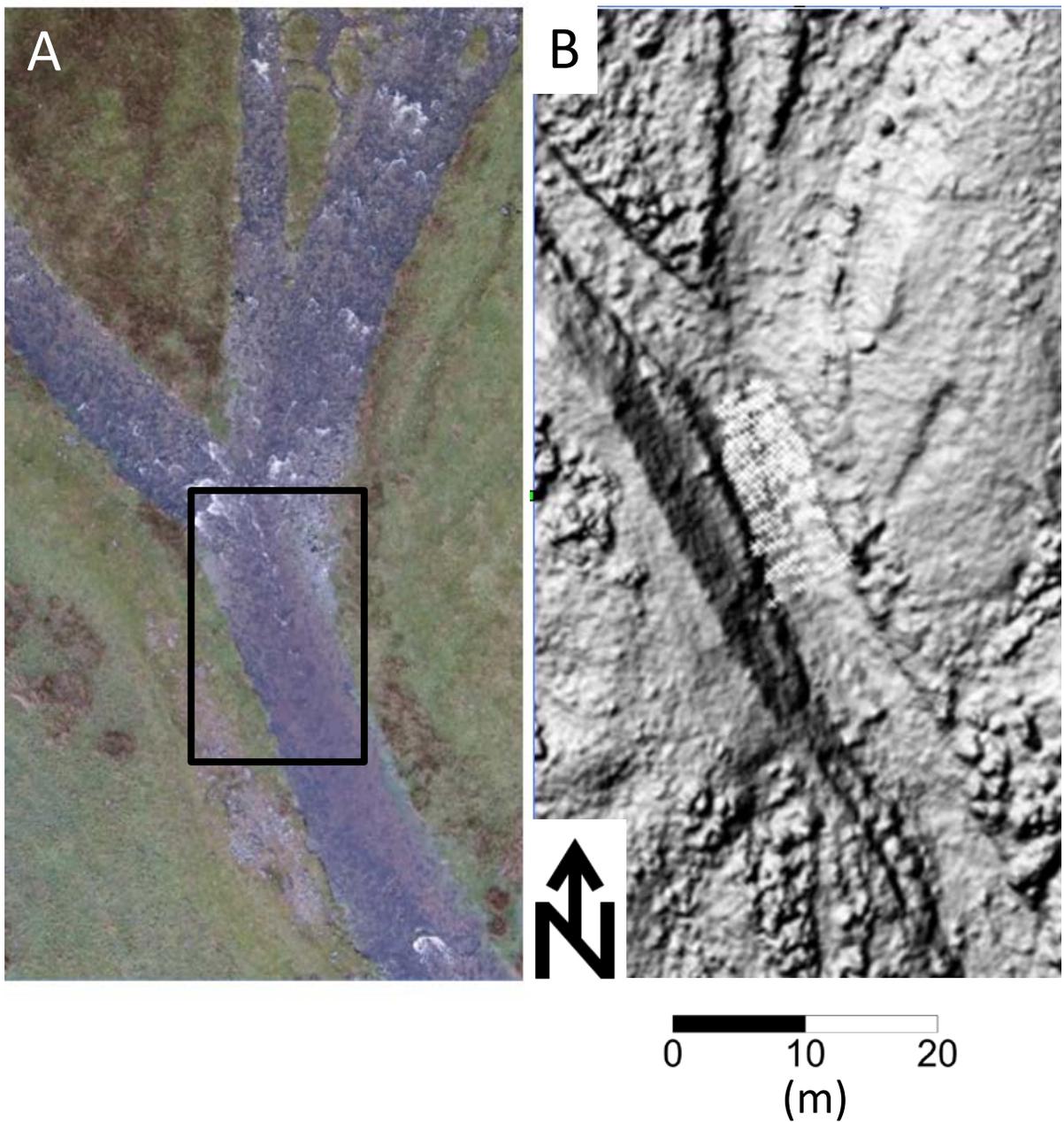
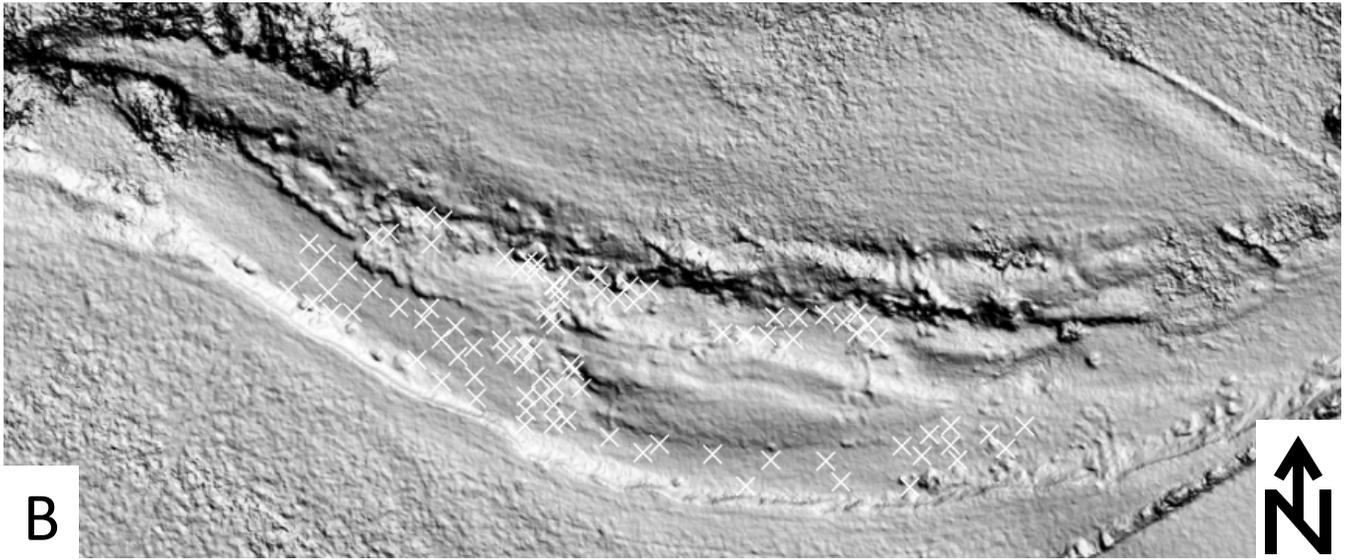


Figure 2. River Sprint sUAV derived orthophoto (A) and Digital Terrain Model (B) including boundary of pool area used for bathymetry data analysis.



A



B

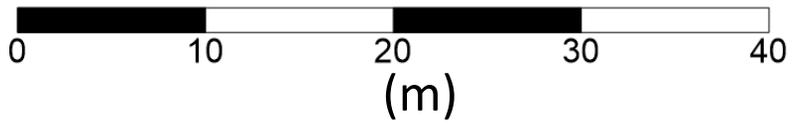


Figure 3. Afon Elwy sUAV derived orthophoto (A) and Digital Terrain Model (B). Inset image delimits the area used for biotope-based bathymetry data analysis.

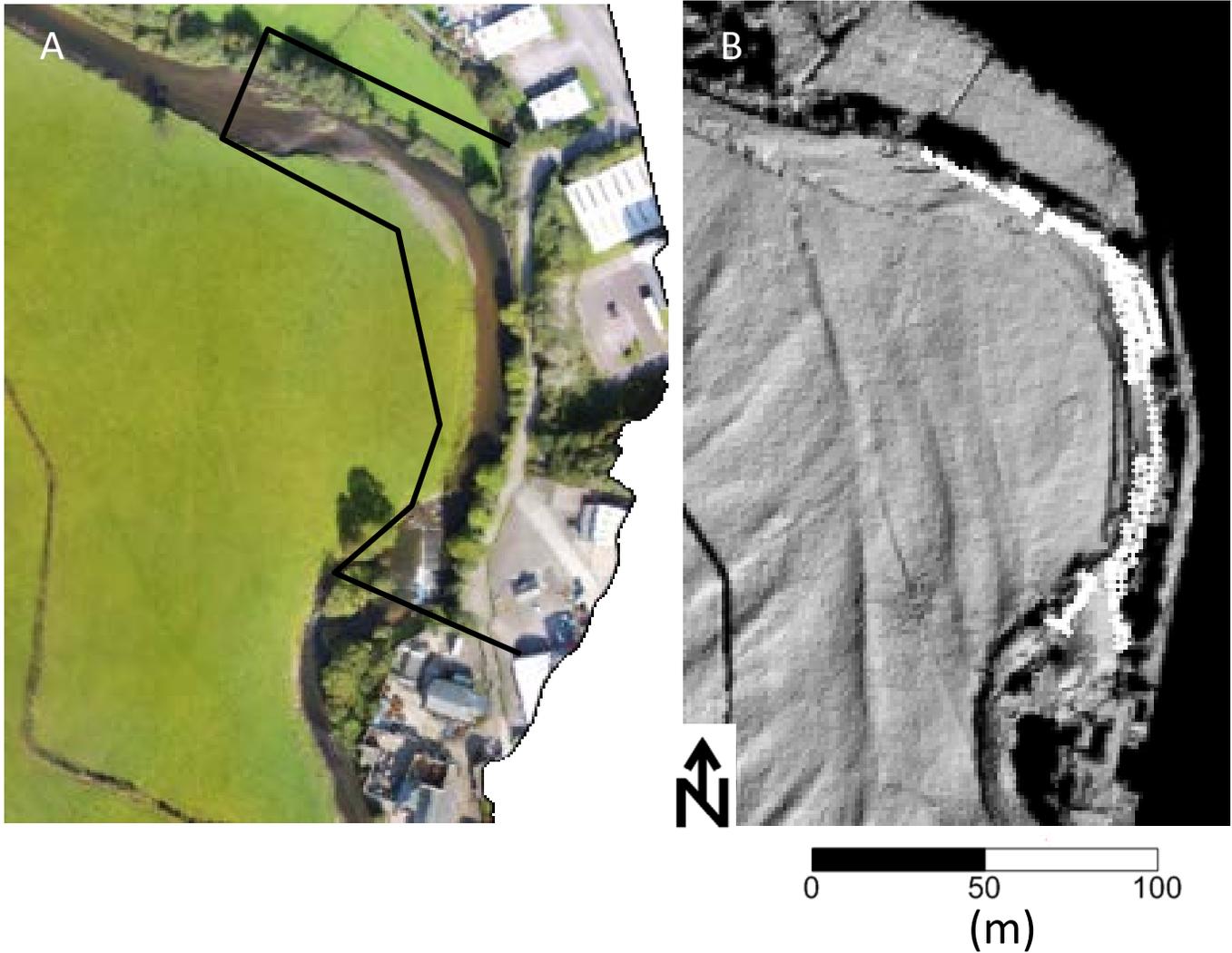


Figure 4. River Ehen sUAV derived orthophoto (A) and Digital Terrain Model (B) showing the area used for bathymetry data analysis.

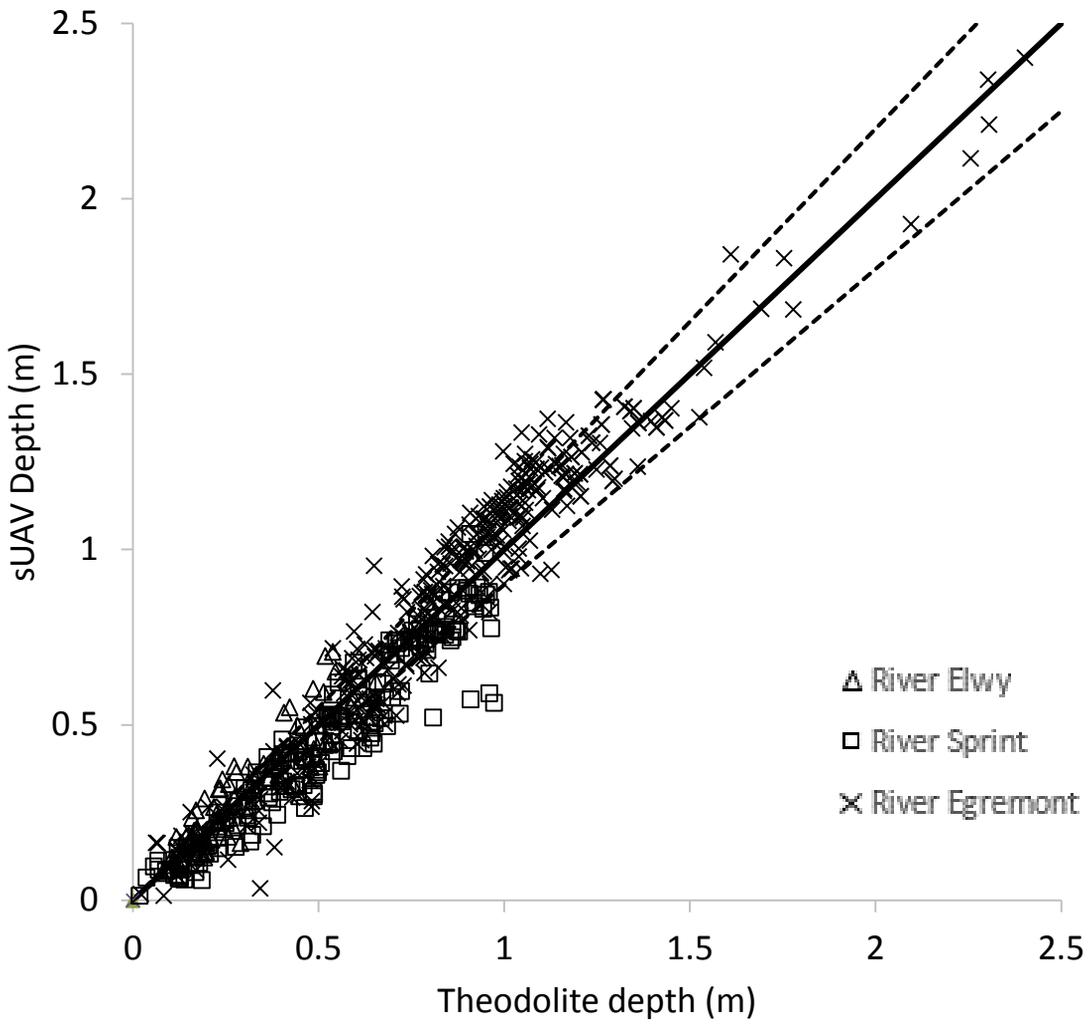


Figure 5. Comparative theodolite and sUAV depth data for the three study rivers. The solid line represents equality and dashed lines $\pm 10\%$ difference.

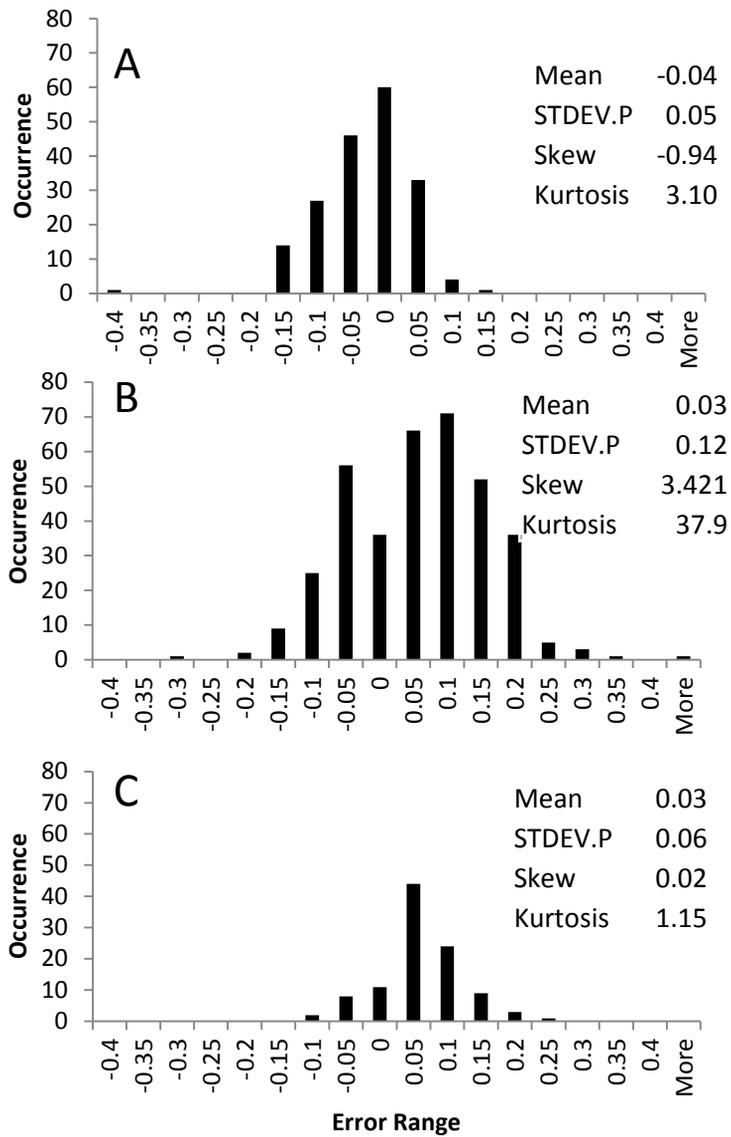


Figure 6. Theodolite and sUAV estimate depth discrepancy for Rivers Sprint A) Ehen B) and Elwy C).

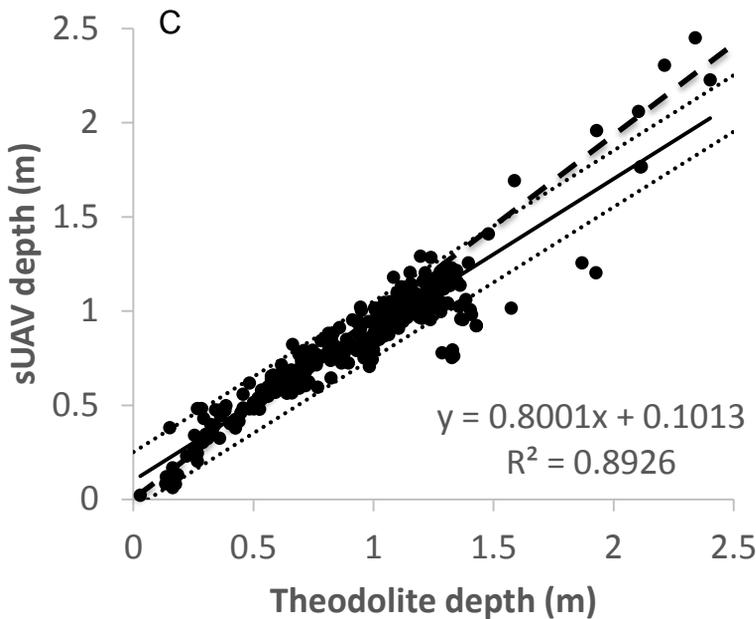
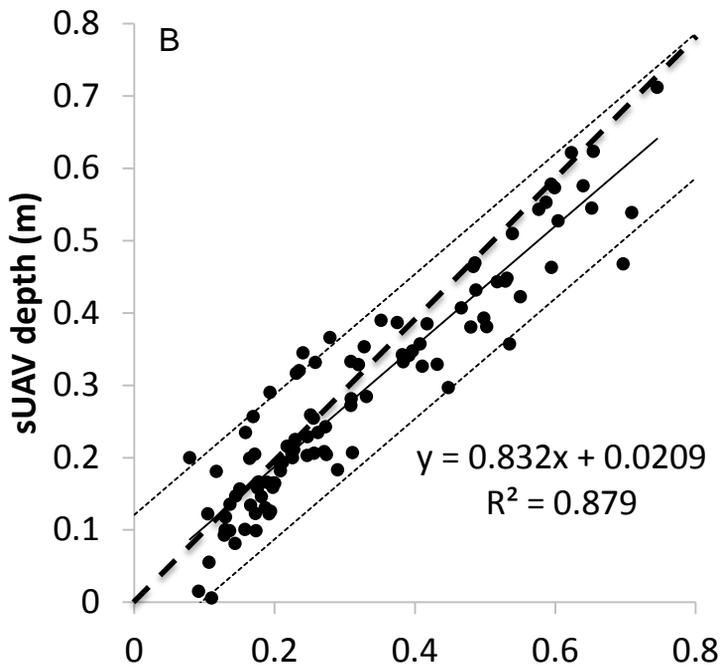
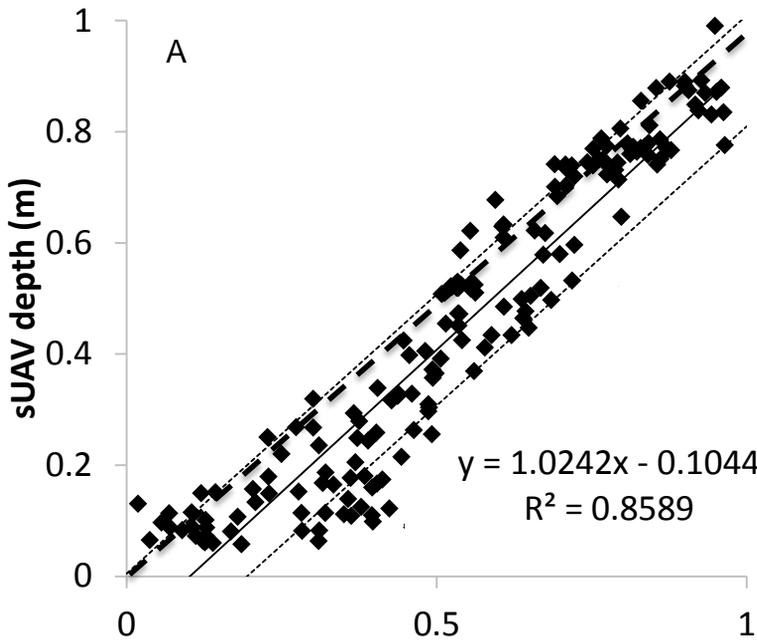


Figure 7. sUAV model estimate depth discrepancy relationship with measured depth for the a) River Sprint, b) Afon Elwy and c) River Ehen. Solid line represents equality, dashed lines show deviation equivalent to the D_{84} grain size.

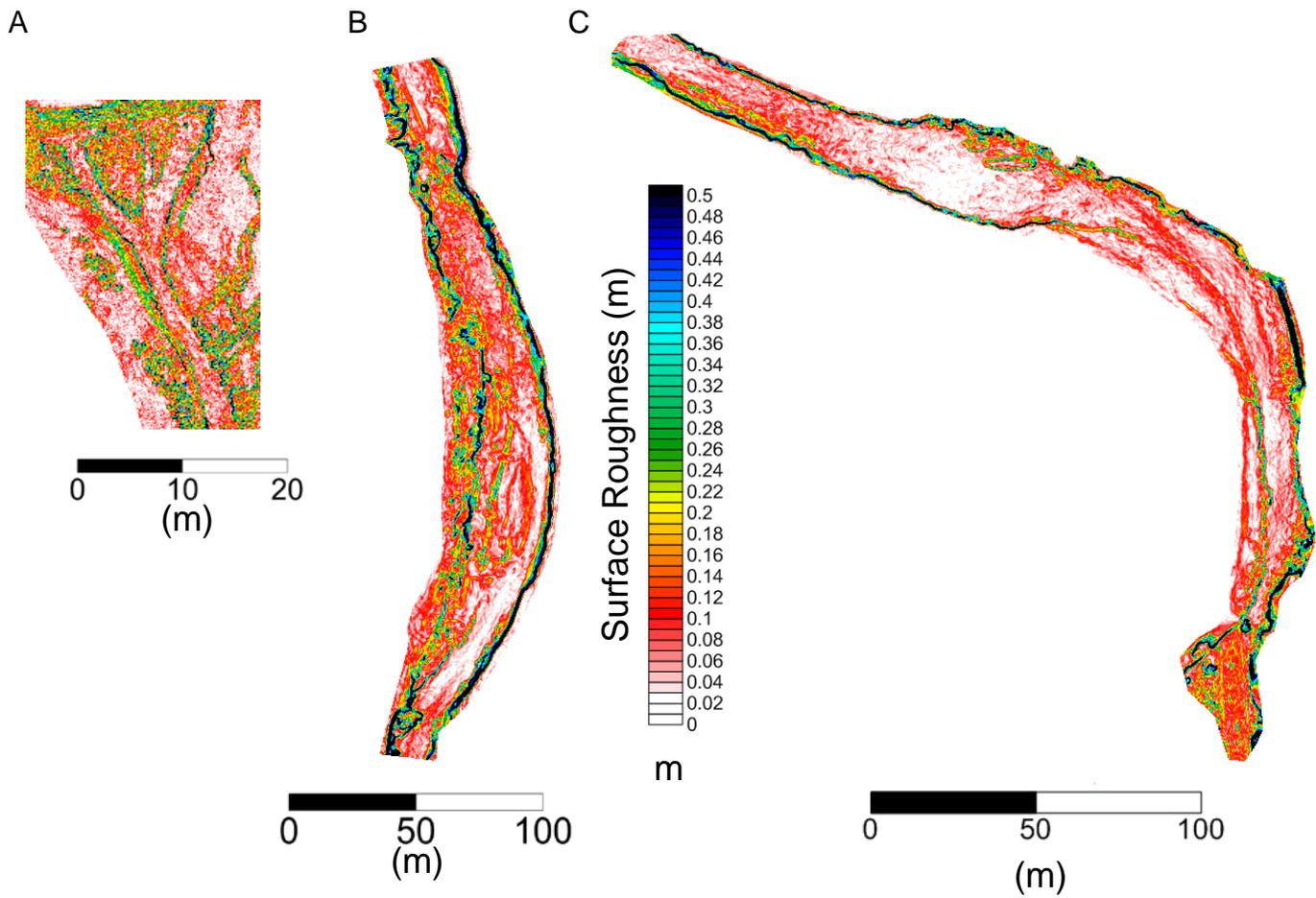


Figure 8. Bed roughness characteristics across a) River Sprint, b) Afon Elwy and c) River Ehen.

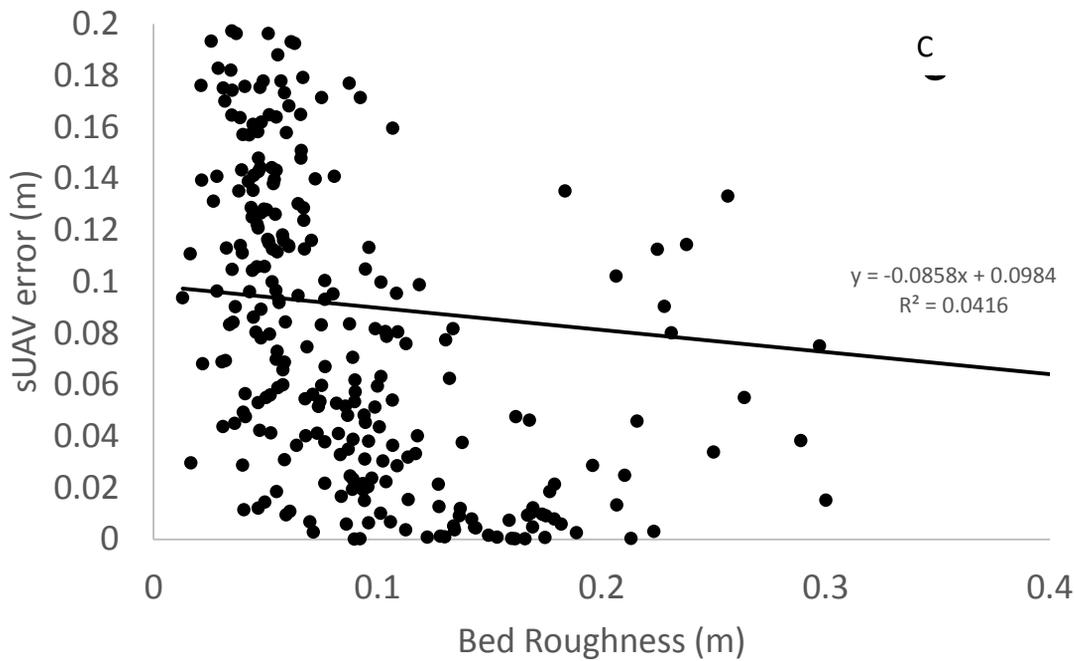
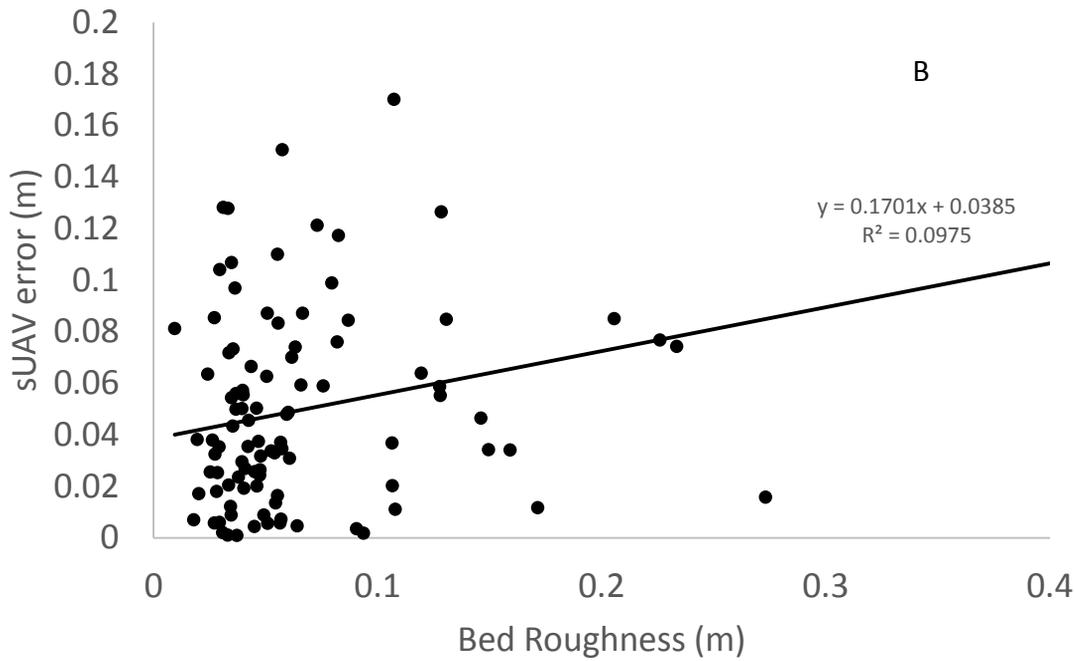
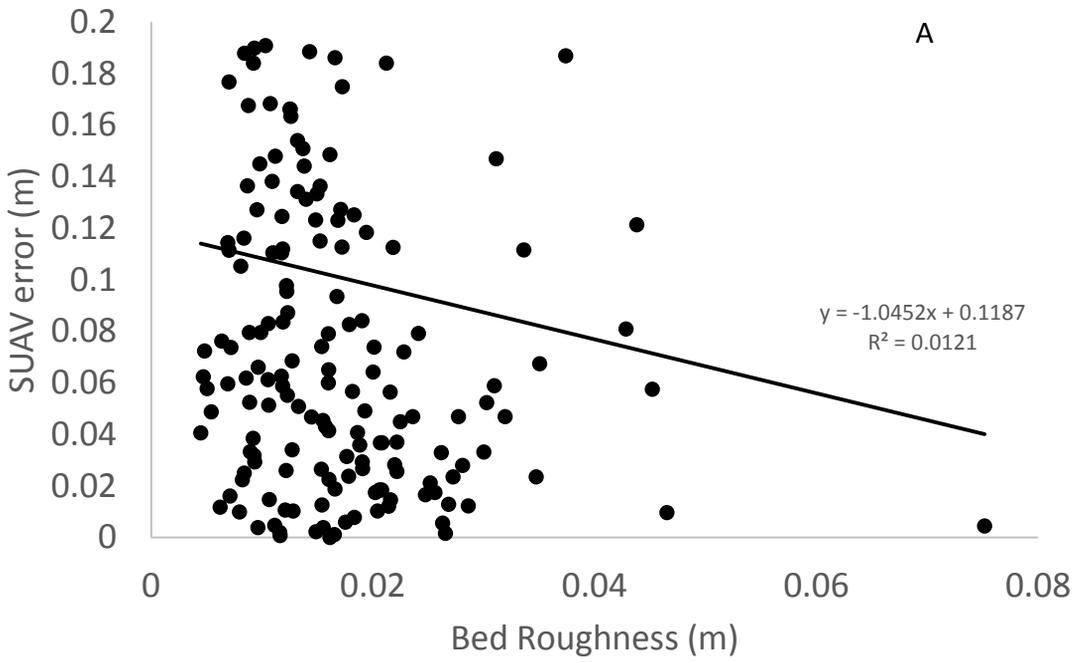


Figure 9. Local bed roughness associated with measured sUAV error across a) River Sprint, b) Afon Elwy and c) River Ehen.

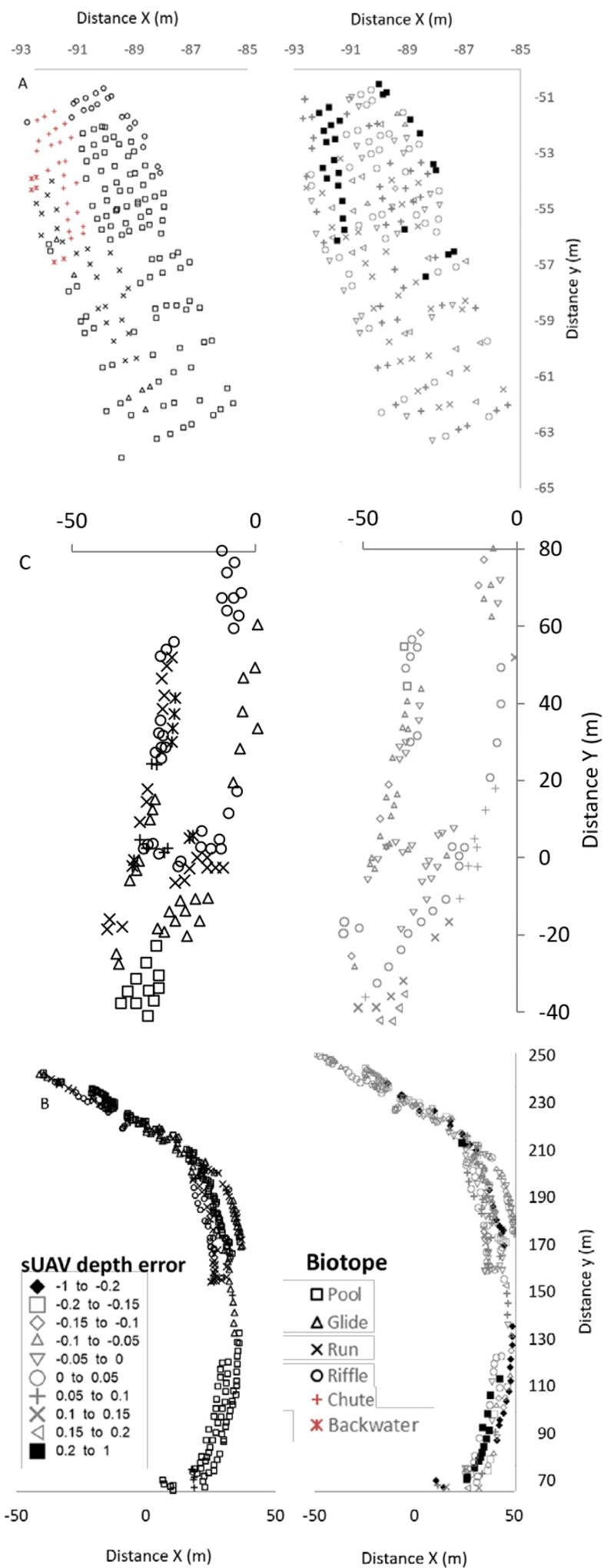


Figure 10. Water surface roughness and sUAV depth error on a) River Sprint, b) Afon Elwy and c) River Ehen.

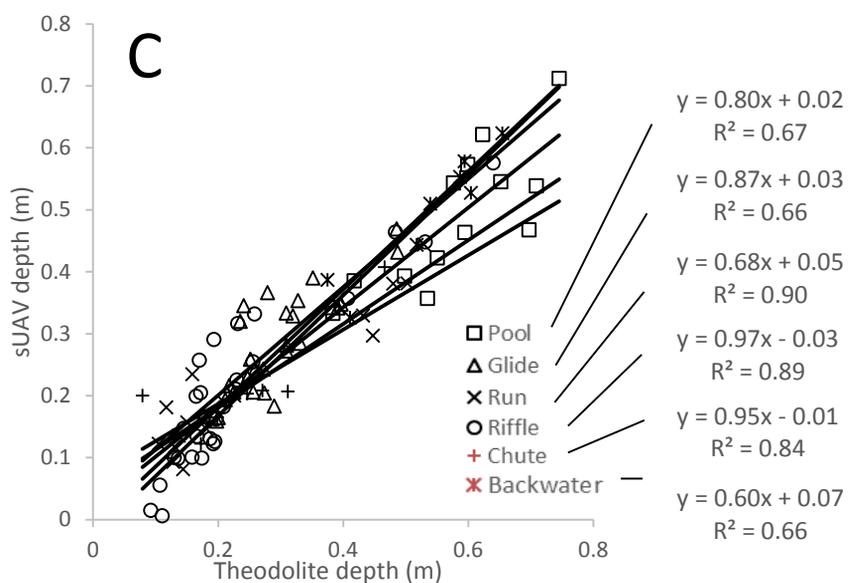
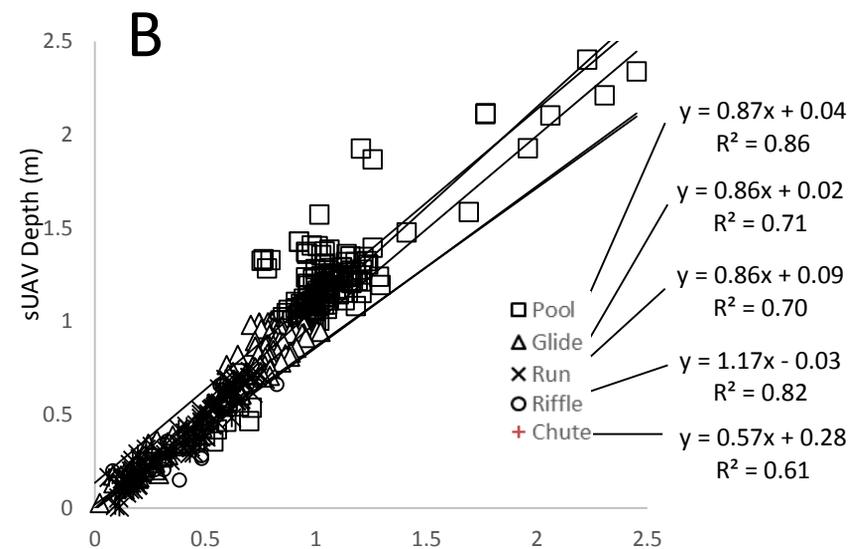
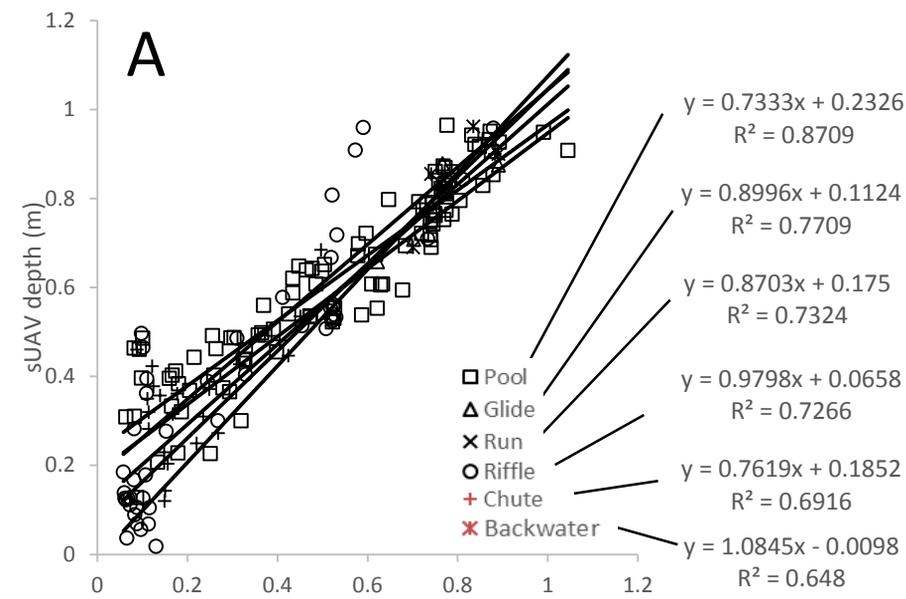


Figure 11. sUAV and theodolite depth measurements split by hydraulic biotope for a) River Sprint, b) Afon Elwy and c) River Ehen.