

The association between suicidal behavior, attentional control, and frontal asymmetry

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10 Abstract

It can be difficult to identify those at risk of suicide because suicidal thoughts are often internalized 11 12 and not shared with others. Yet to prevent suicide attempts it is crucial to identify suicidal thoughts 13 and actions at an early stage. Past studies have suggested that deficits in attentional control are 14 associated with suicide, with the argument that individuals are unable to inhibit negative thoughts and direct resources away from negative information. The current study aimed to investigate the 15 16 association of suicidal behavior with neurological and behavioral markers, measuring attentional bias 17 and inhibition in two Stroop tasks. Fifty-four participants responded to the color of color words in a 18 standard Stroop task and the color of positive, negative, and neutral words in an emotional Stroop 19 task. Electroencephalographic (EEG) activity was recorded from frontal areas during each task and at 20 resting. Participants were separated into a *low-risk* and *high-risk* group according to their self-21 reported suicidal behavior. Participants in the high-risk group showed slower response times in the 22 color Stroop and reduced accuracy to incongruent trials, but faster response times in the emotional 23 Stroop task. Response times to the word "suicide" were significantly slower for the high-risk group. 24 This indicates an attentional bias towards specific negative stimuli and difficulties inhibiting 25 information for those with high levels of suicidal behavior. In the emotional Stroop task the high-risk 26 group showed reduced activity in leftward frontal areas, suggesting limitations in the ability to 27 regulate emotional processing via the left frontal regions. The findings support the argument that 28 deficits in attentional control are related to suicidal behavior. The research also suggests that under 29 certain conditions frontal asymmetry may be associated with suicidal behavior.

30 1 Introduction

- 31 Suicidal behavior refers to a wide range of suicide-related cognitions, emotions, and behaviors
- 32 (Silverman, 2006). It is a term that has been used to categorize behavior associated with ideas,
- 33 intentions, motivations, plans, and attempts for suicide. Prediction and prevention of suicide is
- 34 challenging because it is a personal and sensitive topic (Nock et al., 2010). Those who experience
- 35 suicidal behavior may avoid discussing this with others and sharing their thoughts can often trigger
- 36 feelings of stigmatization. This can also lead to difficulties in identifying those who are vulnerable to
- 37 suicide because assessments are largely based on clinical interviews and self-report measures

38 (Wilson, 2009). This means clinicians have to rely on an individual self-disclosing information

39 regarding their current suicidal thoughts and plans, and any history of past suicide attempts. Such

disclosure may be unreliable if a person is unwilling to report their intentions (Mann & Currier,
2007) and individuals may deliberately deny or conceal their suicide tendency to avoid intervention

41 2007) and individuals may deliberately deny or conceal their suicide tendency to avoid intervention 42 or hospitalization (Nock et al., 2010). This highlights the importance of developing alternative

- 42 of hospitalization (Nock et al., 2010). This inglinghts the importance of developing attendative 43 measures for identifying individuals with a suicide risk. One potential option would be to use
- 44 measures of cognitive and neurological processing (Mann et al., 2006).

45 Deficits in cognitive processing and neurological activity have been found in suicidal individuals and these are specifically related to 'executive function' (Baddeley, 1992; Imbir & 46 47 Jarymowicz, 2013; Løvstad et al., 2016; Miller & Wallis, 2009). Executive function (also termed 48 cognitive control, e.g. Joormann & Tanovic, 2015) constitutes many components that allow an 49 individual to plan and execute goal-directed behavior including the ability to regulate emotions, 50 exert inhibitory control, shift focus between multiple tasks, and flexibly modify behavior according to a situation (Burgess et al., 2000; Burton et al., 2011; Diamond, 2013; Doty, 2012). Deficits 51 52 therefore relate to impairment of a broad range of cognitive functions such as memory, attention, and 53 decision-making.

54 Miyake et al. (2000) identify three key components of executive function: shifting, updating, and inhibition. Updating is the ability to maintain relevant information within working memory and 55 to update this information in accordance with changes in task demands. Shifting refers to directing 56 57 attentional resources away from task-irrelevant information and towards task-relevant information. 58 Inhibition is the ability to override an automatic but irrelevant response. Attentional control (the 59 ability to flexibly shift attentional resources in dynamic situations, maintain focus on relevant 60 information, and inhibit irrelevant information) is implicated in each of these components. Deficits in 61 attentional control are argued to be related to affective disorders as individuals have difficulties 62 shifting resources away from negative thoughts and re-directing attention towards more positive information (Keilp et al., 2013). The importance of executive control in the development and 63 maintenance of affective disorders is outlined in an integrative cognitive-biological model of 64 depression that proposes two key components (Auerbach et al., 2013; Disner et al., 2011). Initially, 65 low-level bottom-up processing of negative information results in attentional biases. Second, deficits 66 67 in top-down control processes mean that an individual is unable to direct attention away from 68 negative information.

69 Executive dysfunction is argued to have a direct impact on emotional regulation as it prevents 70 individuals engaging in effective mood-regulation strategies and instead a person may utilize 71 maladaptive strategies that serve to sustain negative biases. For instance, Joormann and Tanovic 72 (2015) make the argument that individuals with major depressive disorder may have difficulties 73 changing the contents of working memory and moving the focus away from negative thoughts 74 (updating). Deficits in shifting have also been associated with increased rumination in depressed 75 individuals due to an inability to shift the focus of attention away from negative thoughts (e.g. 76 Demeyer et al., 2012). This increased focus on negative information serves to enhance and maintain negative mood states (Gotlib & Joormann, 2010). 77

Whilst the theoretical explanations for the links between executive function and affective disorders focus on depression, deficits in executive control have also been linked to the reduced ability to deal with emotional disturbances that are commonly found in suicidal patients (Desmyter et al., 2011; Jollant et al., 2011). For example, difficulties with response inhibition can make one more likely to act impulsively, whilst impairments in interference control can prevent the inhibition of irrelevant and intrusive thoughts, such as those relating to self-harm (Carter & van Veen, 2007; 84 Diamond, 2013). Richard-Devantoy and Courtet (2016) proposed that suicidal individuals with

- 85 impaired executive function are at a greater risk of attempting suicide due to their diminished ability
- 86 to engage in protective cognitive strategies. This is because they are less able to accurately assess the 87 consequences of their behavior, and less capable of inhibiting maladaptive emotional and behavioral
- responses. They found that individuals who had attempted suicide showed deficits in decision-
- 89 making, problem solving, autobiographical long-term memory, and working memory. Loyo et al.
- 90 (2013) measured the links between executive functioning and suicidal behavior, taking measures of
- 91 attentional control, abstract reasoning ability, and decision making in 25 suicide attempters with
- 92 depressive symptoms, 25 non-suicide attempters with depressive symptoms, and 24 non-depressed
- 93 participants. Consistent with Richard-Devantoy and Courtet (2016), they found that compared to
- 94 the non-suicide attempters and non-depressed participants, suicide attempters showed greater deficits
- in a range of tasks including the Wisconsin Card Sorting Task and the Iowa Gambling Task. These
 findings suggest a relationship between executive dysfunction and suicidal behavior. Interestingly, a
- findings suggest a relationship between executive dysfunction and suicidal behavior. Interestingly, a
 study by Keilp et al. (2013) that compared executive function in depressed suicide attempters,
- 98 depressed non-attempters, and healthy controls found that whilst the patients group showed poor
- 99 performance across a number of measures, those with a past suicide attempt showed specific deficits
- 100 in tests of attentional control and working memory.

101 Further supporting evidence for the link between suicide and executive control comes from a 102 study by Richard-Devantoy et al. (2015) using a color-word interference test similar to the Stroop task. Participants were 17 healthy controls and 38 depressed individuals with no suicide attempts or 103 104 ideation (thoughts of suicide), 16 depressed individuals with suicide ideation, 14 depressed low-105 lethality suicide attempters, and 17 depressed high-lethality suicide attempters. The task involved 106 color naming, word reading, inhibition, and inhibition/switching trials and compared to healthy 107 controls and those with suicide ideation, high-lethality suicide attempters took longer to respond to 108 inhibition trials. Richard-Devantoy et al. (2015) argued that the results have important implications 109 for suicidal behavior because deficits in executive control may undermine the ability to deal with 110 real-life emotional distractions. Whilst individuals with adequate inhibition may exert control over inappropriate behaviors (such as self-harm) and are better able to resist suicidal urges, those with 111 112 impaired inhibition may be less able to exercise control over these impulses, and may have difficulty 113 resisting the urge to act on suicidal thoughts. Such deficits may therefore predict whether an 114 individual will engage in suicidal behavior. The authors do however state that executive control is 115 impacted by age and their findings are limited due to the fact that they used a group of older adults. 116 Consequently it would be beneficial to assess executive dysfunction in a younger population.

117 Executive function is primarily controlled by the prefrontal cortex (PFC), which interacts 118 closely with other brain regions such as the anterior cingulate and the amygdala (Anderson, 2008; 119 Diamond, 2013). It has been proposed that the frontal regions of the brain, predominantly the dorsal 120 lateral and ventral lateral PFC (dlPFC, vlPFC) are responsible for guiding attention, maintaining 121 information within the mind, shifting cognitive resources between different sources of information, 122 and inhibiting the processing of task-irrelevant information (Compton et al., 2003; Lovstad et al., 123 2016; Miller & Wallis, 2009; Miyake & Friedman, 2012; Ochsner et al., 2012). Compton et al. 124 (2003) measured PFC activity using functional Magnetic Resonance Imaging (fMRI) in a color 125 Stroop and an emotional Stroop task. In a color Stroop task (Stroop, 1935), participants are asked to 126 name the color of words that possess a congruent (e.g. the word red printed in red) or incongruent 127 semantic meaning (e.g. the word red printed in green). In an emotional Stroop task (see Williams et al., 1996) participants are asked to name the color of emotional and neutral words. Both tasks require 128 129 the inhibition of an automated, irrelevant response (reading the word) and the allocation of resources 130 to process a relevant response (the name of the color), therefore they allow for the measurement of 131 attentional control. In general, studies show that responses are slower to incongruent trials compared

- 132 to congruent trials in a standard color Stroop, revealing difficulties inhibiting the automatic
- 133 processing of irrelevant information (known as the Stroop interference effect; Beall & Herbert, 2001;
- 134 MacLeod, 1991). Responses are also slower to emotional words compared to neutral words in an
- emotional Stroop task (also known as the emotional Stroop effect; Ben-Haim et al., 2016; Cothran &
 Larsen, 2008; Gilboa-Schechtman et al., 2000). This is particularly the case in patient groups when
- the emotional words are related to affective disorders (e.g. Gotlib et al., 2004). Compton et al. (2003)
- found that activity in the dIPFC increased for trials in which the word was incongruent to the color
- and for trials in which negative words were presented. It is argued that increased activity reflects
- 140 greater investment of resources in order to inhibit automatic responses (regardless of whether these
- 141 are emotionally significant); therefore difficulties recruiting the dlPFC would lead to impaired
- 142 inhibition.

143 Pan et al. (2011) measured response inhibition using the Go/No-go task in adolescents with 144 various degrees of suicidal thoughts and actions. The sample included 15 depressed adolescents with a history of suicide attempts, 15 depressed adolescents with no history of suicidal behavior, and 14 145 healthy controls. The Go/No-go task requires participants to press a button in response to a target 146 147 stimulus (Go), but to inhibit the button press and do nothing in response to a non-target stimulus (No-148 go). In the healthy controls fMRI recordings showed increased activation of the prefrontal, anterior 149 cingulate, and parietal cortical regions. The anterior cingulate in particular is considered crucial for 150 inhibitory control (Løvstad et al., 2016) and whilst the depressed individuals with no past history of 151 suicidal behavior did not differ from the controls with regard to activity in this area, the depressed 152 adolescents with a history of suicide attempts showed significantly reduced activity. This indicates 153 impairments in inhibitory control for suicidal individuals and also shows the relationship between 154 cognitive processing and cortical activity. The findings were consistent with those of Compton et al. 155 (2003) regarding the association between frontal activity and attentional control. They also suggest 156 that this association may constitute a neurobiological basis for predisposition to suicidal behavior. It 157 is proposed that executive control may moderate frontal cortical activity and neurological measures may therefore be used to predict suicidal behavior of individuals beyond the currently used self-158

159 report measures.

160 The majority of past research exploring the neurological basis of affective disorders has focused on clinical depression and there are comparatively fewer studies that measure executive 161 162 function and neurological activity in suicidal populations. The initial argument that limited executive 163 function and patterns of PFC activity may be related to affective disorders came from observations of patients who had experienced a stroke and were suffering from clinical depression (Gainotti, 1972; 164 165 see Harmon-Jones et al., 2010 for a review). It was evident that following damage to the left 166 prefrontal regions some patients became increasingly depressed, whilst damage to the right frontal regions resulted in increasing levels of manic symptoms. Schaffer et al. (1983) explored this 167 168 dissociation by measuring cortical activity in patients who were suffering from depression to varying 169 extents. The aim was to identify any 'asymmetry' of activity to support the claim that different 170 patterns of frontal activation may be related to the severity of the disorder. Electroencephalogram 171 (EEG) electrodes were placed on the frontal and parietal regions of the brain and similar to the 172 clinical report of Gainotti (1972), patients indicating more severe symptoms of depression showed 173 greater activity in the right compared to the left. Importantly, this pattern was only found in the 174 frontal regions, not the parietal regions.

These findings led to a rapid expansion of research surrounding lateralized frontal activation and EEG has been a common tool used to measure the correlates of relative hemispheric dominance (Tomarken et al., 1992; Tucker, 1981). It has been posited that the left hemisphere is dominant for processing positive emotions whereas the right hemisphere is dominant for processing negative

- 179 emotions. This means that if individuals have greater electro cortical activity in the right frontal
- 180 region, they will have a disposition towards focusing on negative emotions and information.
- 181 Supporting evidence for this came from Davidson and Fox (1982) who were among the first to use
- 182 asymmetric frontal cortical activity to make inferences about frontal asymmetry and emotions. They 183 suggested that patterns of lateralized brain activity can be identified as early as infancy and to test
- this hypothesis they recruited 10-month old infants to view videotapes consisting of happy or sad
- facial expressions. Activity in frontal and parietal regions was recorded and it was found that
- 186 increased activation in the left (relative to the right) corresponded to viewing happy faces whilst
- 187 increased activation in the right (relative to the left) corresponded to viewing sad faces. The findings
- 188 were also consistent with those of Schaffer et al. (1983) as the differential pattern of activity was only
- 189 found in the frontal regions, not the parietal regions.

190 Based on this research, Davidson et al. (1979, 1984) developed the dispositional model. The 191 model holds a valence hypothesis that positive affect is associated with leftward frontal cortical 192 activity, and negative affect is associated with rightward frontal cortical activity (e.g. Tomarken et 193 al., 1992). Since the introduction of this model research has been conducted to show the relationship 194 between asymmetric frontal activation and depression (Allen & Kline, 2004; Allen & Reznik, 2015; 195 Heller & Levy, 1981; Thibodeau et al., 2006; Tomarken et al., 1992; Tucker, 1981). Overall the 196 findings show that patients with a history of depression, or with recurrent depression have relatively 197 lower left frontal cortical activity (Gotlib et al., 1998), also known as left frontal hypoactivation (for reviews, see Davidson et al., 2002; Miller & Cohen, 2001; Miller, et al., 2013). This is in contrast to 198 199 healthy controls that show the opposite pattern with greater leftward frontal cortical activity (Stewart 200 et al., 2010; Thibodeau et al., 2006). The level of reduced leftward activity also correlates with the 201 level of symptoms reported suggesting that this may provide a potential marker for assessing severity 202 of disorders in patients (Saletu et al., 2010).

203 The dispositional model makes the assumption that positive emotion is always associated 204 with leftward frontal activation and negative emotion is always associated with rightward frontal 205 activation. This has been challenged by Coan et al. (2006) who proposed the *capability model*. This 206 model supports the claim that individual differences in frontal asymmetry exist but argues that the 207 differences will vary according to different situational contexts (Coan & Allen, 2004; Harmon-Jones 208 et al., 2003). Therefore, whilst the dispositional model posits that rightward frontal activity will 209 correspond to more negative emotional responses in all situations (e.g. in events that trigger joy, fear, 210 or sadness), Coan and Allen (2006) propose that differences in frontal asymmetry correspond to the 211 different emotional demands of a situation. The capability model therefore suggests that 212 asymmetrical differences are best thought of as interactions between individual differences and 213 situational demands.

214 Despite the differences in these two models, neurological findings provide evidence that 215 frontal asymmetry may serve as an indirect neurological indicator for predicting depression, or even 216 suicide risk. For instance, using event-related fMRI, Jollant et al. (2008) compared the neural activity 217 of previously depressed men with past suicide attempts, previously depressed men with no suicide 218 attempts, and healthy male controls. Across the three groups, only those with a history of suicide 219 attempts showed frontal asymmetrical differences in response to emotional faces (angry, happy, and 220 neutral). Specifically, they showed increased neural activation in the right lateral orbitofrontal cortex 221 in response to angry faces relative to neutral faces. Jollant et al. argue that increased sensitivity to 222 another person's disapproval (e.g. in the form of an angry facial expression) and a higher propensity 223 to process and act on negative emotions may exacerbate suicidal behavior in suicidal individuals. 224 This links to the proposal that increased processing of negative information (as demonstrated by 225 increased activation) may serve to maintain negative attentional biases in individuals suffering from

affective disorders (Auerbach et al., 2013; Disner et al., 2011; Joormann & Tanovic, 2015).

227 Grimshaw and Carmel (2014) provided an explanation for the inhibitory difficulties in 228 depressed individuals arguing that they are unable to utilize the parts of the brain (i.e. the left PFC) 229 responsible for inhibition, particularly the inhibition of negative information. Studies have supported 230 this by showing that failure to recruit the left dIPFC when presented with irrelevant negative 231 information is associated with depression (Engels et al., 2010; Herrington et al., 2010) and trait negative affect (Crocker et al., 2012). Given the relationship between frontal asymmetry and 232 233 inhibition, Grimshaw and Carmel (2014) have proposed the asymmetric inhibition model. It is 234 predicted that each frontal region specializes in the inhibition of different types of emotions, with the left dlPFC responsible for inhibiting negative stimuli, and the right dlPFC responsible for inhibiting 235 positive stimuli. Therefore, frontal asymmetric activation reflects the ability to inhibit different types 236 237 of emotional stimuli.

238 The current study aims to further investigate the relationship between frontal asymmetry, 239 executive function (specifically attentional control), and suicidal behavior. Whilst the majority of the previous research focuses on clinical samples there is an argument that early identification of those at 240 241 risk of suicidal behavior is essential (Palmer, 2004; Klonsky & May, 2014). On the basis of this the 242 present work explores the links between suicidal behavior, attentional control, and asymmetry using 243 a non-clinical population reporting relatively mild symptoms. Frontal asymmetry was recorded from 244 individuals reporting high and low levels of suicidal behavior at resting state (both eyes closed and eves opened) and during a color Stroop task and an emotional Stroop task. The dispositional model 245 (Davidson et al., 1979; 1984) asserts that individuals who report higher levels of suicidal thoughts 246 247 and behaviors will exhibit rightward frontal activity compared to those with low suicide risk 248 regardless of the situation. However, the capability model (Coan et al., 2006) argues that the effect of 249 suicidal behavior on asymmetric frontal brain activation will be more pronounced during emotionally 250 demanding situations. By comparing frontal asymmetry at resting state and in emotional and non-251 emotional tasks it will be possible to test the predictions of these two models. Using the Stroop task 252 also allows differences in attentional control to be compared according to levels of suicidal behavior. 253 It is proposed that individuals reporting higher levels of suicidal behavior (high-risk) will show more difficulties in attentional control and will therefore be at a greater risk of suicide (and more likely to 254 255 make a future suicide attempt) because they are less able to inhibit negative thoughts and direct 256 attention towards task-relevant information. In contrast, those who experience low levels of suicidal 257 behavior will have effective attentional control and will therefore be less likely to focus on irrelevant 258 negative thoughts and actions. On the basis of this it was predicted that individuals with a high-risk 259 would show a bigger Stroop interference effect in the color Stroop task compared to those in the low-260 risk group. For the emotional Stroop task, it was predicted that all participants would show the expected emotional Stroop effect, but that the high-risk group would show increased difficulty 261 inhibiting negative words. According to the models of frontal asymmetry it was hypothesized that 262 those who report high levels of suicidal behavior would also show relatively higher rightward frontal 263 264 activation during the color Stroop task. Additionally, in the emotional Stroop task, leftward frontal activation would correspond to inhibition of negative stimuli whereas rightward frontal activation 265 266 would correspond to inhibition of positive stimuli.

267 2 Materials and Method

268 2.1 Design

The study used a mixed measures design to investigate the effects of suicidal behavior in a Stroop task and an emotional Stroop task. Suicidal behavior was a between-participants variable with two

- 271 conditions, high-risk and low-risk. In the color Stroop task a 2 (suicidal behavior) x 2 (congruency)
- design was used. Congruency referred to whether each color word was the same (congruent) or
- 273 different (incongruent) to the color of ink in which the word was presented and this was a within-
- 274 participants variable. In the emotional Stroop task a 2 (suicidal behavior) x 3 (emotion) design was
- used. Emotion was the valence of the words presented with positive, negative, and neutral words.
- This was a within-participants variable. The dependent variables were accuracy (total number of correct responses), and response times (milliseconds) to respond to the color of each word. A self-
- reported measure of depression was also recorded for each participant.
- Frontal asymmetry (uV^2) was recorded during resting state and during the color Stroop and emotional Stroop tasks. In the resting state and color Stroop task asymmetry was compared between the high and low risk groups. In the emotional Stroop task asymmetry was compared between these two groups and across the three conditions of emotion.
- This study was carried out in accordance with the recommendations of The British
 Psychological Society. The protocol was approved by the Research Ethics Panel for the School of
 Health Sciences at the University of Salford. All participants gave written informed consent in
 accordance with the Declaration of Helsinki.

287 2.2 Participants

Fifty-four undergraduate students (32 females) studying at The Open University in Hong Kong were recruited by convenience sampling. Age ranged from 18 to 27 years, with a mean of 21.65 years (SD = 2.10). Prospective participants were prescreened for previous history of neurological and mental health problems (e.g., currently taking medication known to affect cognitive performance, cognitive deficits, and diagnosis of PTSD).

293 2.3 Stimuli and Materials

294 Suicidal behavior was measured using the Suicidal Behavior Ouestionnaire - Revised (SBO-R; 295 Osman et al., 2001). This is a 4-item inventory that explores different dimensions of suicidal thoughts 296 and actions. Item 1 measures lifetime suicide ideation and/or suicide attempts, item 2 assesses the 297 frequency of suicidal thoughts in the previous 12 months, item 3 quantifies the threat of a suicide 298 attempt, and item 4 is the self-reported likelihood of future suicidal behavior. Each question was 299 answered using a Likert scale and the scale for each question differed slightly, with scales ranging 300 from a minimum of 1 to a maximum of 6. Total scores, ranging from 3 to 18, represent overall 301 suicide risk whereby higher scores represent greater risk. In an undergraduate student population the 302 SBQ-R has demonstrated good internal reliability with Cronbach's alpha ranging from 0.76 (Osman 303 et al.) to 0.8 (Aloba et al., 2017; Cotton et al., 1995). Individuals scoring a total of 7 or above were 304 considered to be at a significant risk of suicidal behavior. A cut-off of 7 was selected on the basis of 305 past findings from Osman et al. (2001) who found a total score of 7 was most effective at 306 distinguishing between those who had suicide ideation and/or had made a suicide attempt from those 307 who had not experienced suicide behavior. This differs from clinical populations, and whilst Osman 308 et al. (2001) suggest a cut-off of 8, Rueda-James et al. (2017) propose a cut-off of 11 for clinical 309 populations.

The Stroop tasks were presented on a 19" computer monitor using E-Prime. In the color Stroop task the words "red", "yellow, "blue", and "green" were presented in bold Times New Roman font, size 28. Each word was presented in the color red, yellow, blue, or green depending on the congruence of the trial. The emotional Stroop task was adapted from Herrington et al. (2010) and consisted of positive, negative, and neutral words presented in one of the four colors (red, yellow, blue, and green). A total of 192 words were used from the Affective Norms for English Words

316 (ANEW, Bradley & Lang, 1999), 64 positive (e.g., birthday, laughter, angel), 64 negative (e.g.,

bankrupt, suicide, funeral), and 64 neutral (e.g., handle, carpet, time). Valence of positive words

ranged from 6.17 to 8.43 with a mean of 7.49, valence of negative words ranged from 1.61 to 3.69 1.61×10^{-2}

319 with a mean of 2.47, and valence of neutral words ranged from 4.02 to 7.57 with a mean of 5.64.

Depression was measured using the Beck Depression Inventory-II (BDI-II; Beck, Steer, & Brown, 1996). This self-report inventory measures different aspects of depression such as sadness

and irritability. It is a 21-item inventory and all items are assessed on a four-point rating scale from

323 zero to three (0 indicates no symptoms and a score of 3 indicates severe symptoms). Each item

focuses on a particular feeling or behavior and respondents are asked to indicate the extent to which they have experienced this in the previous two weeks. For instance, item 14 focuses on

326 "worthlessness" with responses from 0 ("I do not feel I am worthless") to 3 ("I feel utterly

327 worthless"). The total score ranges from 0 to 63 with higher scores indicating more severe depression

symptoms. A score of 17 or above represents a risk of clinical depression, and scores higher than 31
 are indicative of more severe depression. In the current investigation responses to item 9 were

removed. This item refers to suicidal behavior and was removed to avoid any overlap with the SBQ R.

EEG activity was recorded using an Emotive EEG Neuroheadset with a sampling rate of 128Hz (Emotiv Technology Inc., USA) that records from 14 sites (AF3, AF4, F3, F4, FC5, FC6, F7, F8, T7, T8, P7, P8, O1, O2) using a 16-channel Biosemi Active Two system. Two additional electrodes situated at the back of the ears (CMS, DRL) were selected as the reference of choice for all analyses and all sites were referenced to the average of these electrodes during recording, and rereferenced off-line. Frontal electrodes were F3, F4, F7, F8, AF3, and AF4. Central electrodes were FC5, FC6, T7, and T8. Parietal electrodes were P7 and P8 and occipital electrodes were O1 and O2.

The numbers also indicated the area of the right/left hemispheres of the brain an electrode was

340 located, where even numbers represent the right hemisphere and odd numbers refer to the left

341 hemisphere. Prior to use, all felt pads on top of the sensors were moistened with a saline solution.

342 **2.4 Procedure**

343 After providing written informed consent participants were seated in a dimly lit room and the EEG 344 headset was affixed to the scalp with sites located according to the 10/20 system (Malmivuo & 345 Plonsey, 1995). The impedence at each site was checked to ensure good contact quality (large signal 346 to noise ratio). Participants were instructed to remain seated in a relaxed state and EEG recordings 347 were taken with the eyes closed for two minutes and the eyes open for two minutes to provide a resting state measure. Next, participants were asked to complete the color and the emotional Stroop 348 349 tasks whilst wearing the EEG headset. The order of the tasks was counterbalanced across 350 participants. For both tasks, a trial began with a fixation cross of 500ms followed by the presentation 351 of a word in the center of the computer screen. For each word participants were asked to identify the color of the text (red, yellow, blue, or green) as quickly as possible by pressing the corresponding key 352 353 on the computer keyboard (R, Y, B, and G). A total of 60 trials were completed in the color Stroop 354 task with 30 congruent and 30 incongruent trials. There were an equal number of words presented in red, yellow, blue, and green and all trials were presented in a random order. The emotional Stroop 355 task consisted of three emotional blocks showing positive, negative, and neutral words. The order of 356 357 the blocks was randomized and there were 64 trials in each block. An equal number of words were 358 presented in each of the four colors within each block and all trials were presented in a random order.

359 2.5 EEG Data Processing

360 Activity was recorded across the entirety of each block to allow for a general pattern of hemispheric

- asymmetry to be gained. Consequently activity was taken for all elements within a trial (fixation,
 stimulus presentation, and response) and a precise measure of electro cortical activity in specific time
- 363 epochs was not generated. Activity within each block was compared to a baseline measure taken over
- a period of 20 seconds in eyes-open resting state immediately prior to each block. All artifact
- 365 screening, re-referencing, and spectral analysis were performed using EEGLAB toolbox (Delorme &
- 366 Makeig, 2004) and custom scripts in MATLAB (release 2007). Each data file was visually inspected
- to manually remove artifacts such as aberrant signals due to large non-blink eye movements, muscle
 movements, or signal discontinuities. Further EEG artifacts were removed using an independent
- 369 component analysis (ICA, Delorme & Makeig, 2004) during offline signal processing. A bandpass
- 370 filter of 2-45Hz and a notch filter of 50Hz were applied to the raw data with 128Hz sampling
- 371 frequency per channel. A Hamming window (1024 sample and 50% overlap) was also applied to the
- data in preparation for spectral analysis, from which the power and asymmetry estimates werederived.

374 The experiment was completed in blocks (eyes open resting, eyes closed resting, color Stroop, 375 positive, negative, and neutral emotional Stroop) and activity was recorded and analyzed across each 376 block. Frontal alpha asymmetry was calculated in 1Hz frequency bins and averaged across the 377 frequency bandwidths of interest: delta (1.5-3.5Hz), theta (4-7.5Hz), alpha (8-13Hz), alpha1 (8-378 10Hz), alpha2 (10-13Hz), beta1 (13-20Hz), and beta2 (20-28Hz). Frontal alpha asymmetry was 379 calculated for F3 (left frontal) and F4 (right frontal) electrodes using the Fast Fourier Transform 380 (FFT) method. The alpha power values for F3 and F4 were natural log transformed (Delorme & 381 Makeig, 2004) such that an asymmetry score comparing activity in the right hemisphere (RH) to 382 activity in the left hemisphere (LH) in each block was computed (ln ALPHA=(ln[RH] - ln[LH])). 383 Frontal asymmetry indices were calculated by subtracting the natural log of the power of the left 384 hemisphere electrode from that of the right hemisphere electrode (ln [right (F4)] – [left (F3)]) (Allen 385 et al., 2004). Given the inverse relationship between alpha power and cortical activity (Oakes et al., 386 2004), a positive alpha asymmetry index reflects relatively higher left frontal activity and lower right 387 frontal activity, and a negative asymmetry index reflects relatively higher right frontal activity and 388 lower left front cortical activity.

389 **3 Results**

390 Two participants were excluded from the analysis due to poor EEG data or missing behavioral 391 data. The remaining 52 participants (31 females) were all right handed and were not taking any 392 medication known to affect brain activity or cognitive performance. The SBO-R had a suitable level 393 of internal reliability that was consistent with past studies (e.g. Osman et al. 2001), Cronbach's $\alpha =$ 394 0.74. Participants were separated into high and low suicidal behavior groups according to their total 395 score on the SBQ-R. Participants with a total score below 7 were categorized as low-risk (median 396 score = 5, range = 3-6), whilst participants with a score of 7 or above were categorized as high-risk 397 (median score = 9.5, range = 7-15). There were a total of 22 participants (13 female, aged 20-23, 398 mean age of 21.55) in the high-risk group and 30 (18 female, aged 20-25, mean age of 21.94) in the 399 low-risk group. Six participants in the high-risk group and none of the participants in the low-risk 400 group reported a past suicide attempt. To ensure that any group differences were not driven by those 401 who had made a past suicide attempt, the results were analyzed once with all participants included, 402 and a second time without attempters. Analysis without attempters is only reported where the results 403 differed from that of the full sample.

404 The data did not meet parametric assumptions therefore a Mann Whitney U test was used to 405 confirm that the SBQ-R scores between the two groups were significantly different, U = 0.001, z = 406 -6.162, p < .001, r = 0.85. Analysis of scores from the BDI-II (without item 9) also showed that 407 participants in the high suicidal behavior group reported significantly higher levels of depression 408 (median score = 28, range = 17-42) than those in the low suicidal behavior group (median score = 409 8, range = 1-16), U = 112.500, z = -4.034, p < .001, r = 0.57.

410 **3.1 Resting EEG**

411 To investigate differences in alpha asymmetrical activation in relation to suicidal behavior in the

- 412 resting state, independent t-tests were conducted with suicidal behavior group as the between-
- 413 participant variable and alpha asymmetrical index as the dependent variable. Opposite to what was
- 414 expected, the alpha asymmetrical index was higher in the high-risk group ($M = 0.07 uV^2$, SD =
- 415 0.49) than the low-risk group (M = 0.51uV², SD = 0.67) during the eyes open resting state, t (50) =
- 416 -2.63, p = .01, Cohen's d = 0.75. This means that whilst both groups showed more activity in the 417 left compared to the right, this was most pronounced for the high-risk group. There was no
- 418 significant difference in alpha asymmetry between the high and low risk groups during the eyes

419 closed resting state, t(50) = -4.497, p = .141, Cohen's d = 0.42.

420 **3.2 Performance in the Stroop task**

421 All incorrect trials were removed and any correct response times that were more than 2.5 standard

422 deviations from the mean were classed as outliers and removed (a total of 4.84% of trials). Accuracy

423 was analyzed using a generalized estimating equation (GEE) assuming a negative binomial

424 distribution. All RTs were log transformed to ensure data met the assumptions of a normal

425 distribution and RT data was analyzed using a 2 (suicidal behavior) x 2 (congruency) mixed

426 measures ANOVA.

427 Analysis of accuracy in the color Stroop task showed a significant main effect of suicidal 428 behavior, Wald $\chi^2(1) = 4.385$, p < 0.05, Cohen's d = 0.61. Accuracy was higher for the low-risk group (M = 28.82, SD = 1.16) compared to the high-risk group (M = 27.35, SD = 1.71) There was 429 also a significant effect of congruency, Wald $\chi^2(1) = 24.053$, p < .001, Cohen's d = 1.86, with higher 430 accuracy in congruent (M = 29.4, SD = 0.76) compared to incongruent trials (M = 27.78, SD = 1.51). 431 There was a significant interaction between suicidal behavior and congruency. Wald $\gamma^2(1) = 6.158$, p 432 433 < .05, Cohen's d = 0.73. Differences between the low and high-risk groups were only found in the 434 incongruent trials (means of 28.19 and 27.35 respectively, standard deviations of 1.12 and 1.76) and 435 not the congruent trials (means of 29.44 and 29.36, standard deviations of 0.89 and 0.67), see figure 436 1a.

437 For RT (see figure 1b) there was a significant effect of suicidal behavior, F(1, 50) = 28.916, MSE = 27712.152, p < .001, partial $\eta^2 = 0.366$. Participants reporting lower levels of suicidal 438 439 behavior showed faster response times than those with higher levels (means of 700.95ms and 440 878.62ms respectively and standard deviations of 146.60 and 144.17). There was a significant effect of congruency, F(1, 50) = 157.325, MSE = 4360.631, p < .001, partial $\eta^2 = 0.719$, with faster 441 response times to congruent (M = 704.17ms, SD = 135.59) than incongruent trials (M = 848.05ms, 442 443 SD = 170.78). There was no interaction between suicidal behavior and congruence (F (1, 50) = 1.222, MSE = 4360.63, p = .274, partial $\eta^2 = 0.084$. 444

There was no significant difference in alpha asymmetrical index between high (M = $0.334uV_2$, SD = 0.50) and low (M = $0.452uV_2$, SD = 0.85) risk groups in the color Stroop task, *t* (50) = -.580, *p* = .564, Cohen's *d* = 0.18, see figure 2.

448 **3.3** Performance in the emotional Stroop task

449 Analysis of the emotional Stroop task followed that of the color Stroop task. Accuracy was analyzed

450 using a GEE and RT was analyzed with a 2 (suicidal behavior) x 3 (emotion) mixed measures

451 ANOVA. A total of 4.21% of trials were removed due to low accuracy or because response times 452 were more than 2.5 standard deviations from the mean. All RTs were log transformed to satisfy

453 distributional assumptions.

454 For accuracy (figure 2a) the model revealed a significant main effect of suicidal behavior, 455 Wald χ^2 (1) = 4.069, p < .05, Cohen's d = 0.58. Accuracy was higher for the low-risk group (M = 456 61.88, SD = 2.39) compared to the high-risk group (M = 61.17, SD = 1.74). There was no main effect 457 of emotion, Wald χ^2 (1) = 1.034, p = .309, Cohen's d = 0.28, and no interaction between suicidal 458 behavior and emotion, Wald χ^2 (1) = 2.483, p = .115, Cohen's d = 0.45.

For RT (figure 2b), there was a significant effect of suicidal behavior, F(1, 50) = 11.30, *MSE* = 8495.123, p < .001, partial $\eta^2 = 0.184$, with faster response times in the high-risk group (M = 593.31ms, SD = 84.83) compared to the low-risk group (M = 679.76ms, SD = 94.95). There was no significant effect of emotion, F(2, 100) = 1.824, *MSE* = 3969.585, p = .110, partial $\eta^2 = 0.035$, and no interaction between suicidal behavior and emotion, F(2, 100) = .608, *MSE* = 2413.522, p = .546, partial $\eta^2 = 0.012$.

To assess inhibition of suicide-related stimuli response times were also considered across the two groups when responding to the word "suicide". A between-participants t-test was conducted that showed significantly longer response times for the high-risk group compared to the low-risk group (means of 725.20ms and 652.78ms respectively, standard deviations of 126.32 and 116.12), t (50) = 2.17, p = .035, Cohen's d = 0.6.

470 A 2 (suicidal behavior) x 3 (emotion) mixed measures ANOVA was conducted to analyze alpha asymmetrical index in the emotional Stroop task (where sphericity was violated Greenhouse-471 472 Geisser corrections are reported). This showed a significant effect of suicidal behavior, F(1, 50) =4.024, MSE = 0.484, p = .05, partial $\eta^2 = 0.074$, with a more positive index in the low-risk group (M 473 = 0.49uV^2 , SD = 0.81) compared to the high-risk group (M = 0.10uV^2 , SD = 0.61). With the removal 474 of attempters from the high-risk group this effect was no longer significant, F (1, 44) = 2.955, MSE = 0.501, p = .093, partial $\eta^2 = 0.063$. There was also a significant effect of emotion, F (1.358, 67.91) = 475 476 13.73, MSE = 0.113, p < .001, partial $\eta^2 = 0.215$. Planned contrasts were completed to compare 477 asymmetry in the positive and negative conditions to that in the neutral condition. These revealed 478 479 that the alpha asymmetry index was significantly higher for negative words ($M = 0.44 \text{uV}^2$, SD =0.78) compared to neutral words (M = 0.18uV², SD = 0.80), F (1, 50) = 16.632, MSE = 0.231, p < 480 .001, partial $\eta^2 = 0.250$, and higher for positive words (M = 0.37 uV², SD = 0.66) compared to neutral 481 words, F(1, 50) = 12.852, MSE = 0.175, p = .001, partial $\eta^2 = 0.204$, see figure 3. There was no 482 483 interaction between emotion and suicidal behavior, F(1.358, 67.91) = 3.068, MSE = 0.113, p = .072, partial $\eta^2 = 0.058$. This interaction was however significant when attempters were removed from the 484 high-risk group, F (1.38, 60.902) = 5.312, MSE = 0.079, p < .05, partial $\eta^2 = 0.11$. This supported a 485 486 trend showing that the high-risk participants showed a negative asymmetry index in the neutral 487 condition compared to the positive (F (1, 44) = 7.724, MSE = 0.185, p < .01, partial $\eta^2 = 0.15$) and negative conditions (F (1, 44) = 4.565, MSE = 0.232, p < .05, partial $\eta^2 = 0.094$). This reflects more 488 489 rightwards relative to leftwards activity in the neutral condition and this pattern was not found for the low-risk participants. 490

491 **4 Discussion**

492 Deficits in cognitive processing and neurological activity have been consistently linked to suicidal

- 493 behavior in previous research (Imbir & Jarymowicz, 2013; Miller & Wallis, 2009; Richard-
- Devantoy & Courtet, 2016) and the current study sought to extend this work by examining the
- 495 association between frontal asymmetry, attentional control, and suicidal behavior. Frontal asymmetry 496 was compared between individuals reporting high and low levels of suicidal behavior at resting state
- 496 was compared between individuals reporting high and low levels of suicidal behavior at resting state497 (both eyes closed and eyes opened), during a color Stroop task, and during an emotional Stroop task.
- 498 It was predicted that individuals with a high risk of suicidal thoughts and actions would show general
- 499 difficulties in attentional control, difficulties inhibiting negative stimuli, and reduced leftwards-
- 500 frontal activity.

501 In the color Stroop task, the high-risk group took significantly longer and were less accurate 502 than the low risk group to identify the color of each word, regardless of whether this was congruent 503 or incongruent. They were also less accurate when responding to incongruent trials. This shows their 504 difficulties with inhibiting irrelevant information. The results are consistent with previous research 505 (e.g., Keilp et al., 2013; Richard-Devantoy et al., 2015), showing that suicidal individuals have more 506 difficulty inhibiting distracting information. Inhibition is one of three components of executive 507 function (Miyake et al., 2000) that contributes to the control and regulation of behavior. It is a crucial 508 element within attentional control and in many every-day tasks an individual needs to inhibit the 509 automatic processing of irrelevant information and direct attention towards relevant information. It is 510 argued that poor attentional control contributes to suicidal behavior as it prevents the disengagement from suicide-related thoughts making one less able to resist suicidal urges (Richard-Devantoy et al., 511 512 2015), and it limits the redirection of resources to more positive information therefore maintaining 513 negative biases.

514 In contrast to the results of the color Stroop task, in the emotional Stroop task the high-risk 515 group responded quicker than the low-risk group (although this was at the expense of accuracy). This 516 pattern was found for all three types of words (positive, negative, and neutral) and would indicate 517 that those reporting high levels of suicidal behavior are able to inhibit irrelevant information more effectively than those reporting low levels. The overall lack of any emotional Stroop effect within 518 519 this task is also inconsistent with past findings showing that response times in an emotional Stroop 520 task are generally slower to emotional words compared to neutral words (Ben-Haim et al., 2016; 521 Cothran & Larsen, 2008). It may be proposed that individuals with a high risk of suicidal behavior 522 are slower to inhibit irrelevant information at a general level, yet when presented with emotional 523 stimuli they may act more quickly and somewhat impulsively (this would be supported by the speedaccuracy trade-off whereby the high-risk group sacrificed accuracy for faster responses). The 524 525 importance of impulsivity has been identified in the warning signs for suicidal behavior listed by the 526 American Association of Suicidology and includes acting recklessly (American Association of 527 Suicidology, 2017). The Association documented that the presence of impulsivity, inhibitory 528 problems, and inflexible thinking processes may lead to an increased risk of suicidal behavior. Rudd 529 (2006) has also incorporated the measures of impulsivity into suicide risk assessment tools. This 530 reflects the proposed importance of executive dysfunction in suicidal behavior with symptoms 531 indicative of poor updating (sustained focus on negative information), shifting (inability to direct 532 resources to task-relevant information), and inhibition (inability to suppress the processing of 533 irrelevant, negative information).

534 Whilst it may be argued that those with high levels of suicidal behavior can respond more 535 quickly to emotional stimuli compared to neutral, one may question why this group did not show 536 longer response times in the neutral condition of the emotional Stroop task (similar to the color 537 Stroop). This effect illustrates key differences between these two tasks. In particular, in a color 538 Stroop the to-be-ignored information in incongruent trials (the word) is in direct competition with the to-be-identified information (the color). This is not the case in the emotional Stroop task.

- 540 Consequently the differing patterns of performance across the two tasks may indicate that individuals
- 541 with a greater risk of suicide will have more difficulty inhibiting directly competing responses, but
- 542 not information that has no semantic relationship to the task they are completing. Further support for 543 this argument comes from the response times in identifying the color of the word "suicide" in the
- 545 this argument comes from the response times in identifying the color of the word suicide in the 544 emotional Stroop task. Results showed that the pattern of performance in the task reversed and those
- 545 in the high-risk group took longer to respond to the color of this word showing that they have
- 546 difficulties inhibiting emotionally relevant information. It is proposed that such "personally" relevant
- 547 information is more salient and despite being irrelevant to the task it competes for attentional
- 548 resources in the same way that the directly competing word meaning does in the color Stroop.

549 The bias of attention to emotionally significant stimuli supports the findings of Chung and 550 Jeglic (2016) who also reported no emotional Stroop effect in individuals high in suicidal behavior 551 but found evidence for a specific attentional bias to the word "suicide". Cha et al. (2010) propose that a stimulus-specific Stroop interference effect (whereby only disorder-related words lead to longer 552 553 response times) may be particularly useful for clinicians. They found that it was able to predict, 554 above and beyond other clinical measures, those individuals who went on to make a suicide attempt 555 within the following 6 months. Evidently, the current findings support this suggestion, in that a specific attentional bias may exceed the predictive ability of any general negativity bias. This can add 556 557 to cognitive models that attempt to explain the development and persistence of affective disorders 558 such as depression (e.g., Auerbach et al., 2013; Disner et al., 2011). It is theorized that an individual will be automatically distracted by negative information and the processing of this information will 559 560 lead to an attentional bias. The results of the emotional Stroop task would suggest that these biases 561 are disorder-specific, and whilst general deficits in top-down control predicted in the model will limit 562 inhibitory processing at a general level (as demonstrated in the Stroop task) it will also manifest in 563 specific impairments in the ability to inhibit disorder-related thoughts and behaviors.

564 In addition to measuring the importance of attentional control in suicidal behavior, the current 565 study also aimed to determine whether patterns of frontal asymmetry could be used to identify those 566 at risk of suicidal behavior. The dispositional model (Davidson et al., 1979; 1984) argues that positive affect is associated with leftward frontal cortical activity and negative affect is associated 567 with rightward frontal cortical activity, whereas the capability model (Coan et al., 2006) predicts that 568 569 frontal asymmetrical differences will be more pronounced under specific situational contexts (Coan 570 et al., 2006; see also Stewart et al., 2014). To examine frontal asymmetry in relation to both models, activity was measured during an emotionally challenging state (the emotional Stroop) to see if this 571 572 may provide a more promising indicator of suicide risk than activity measured during resting state (as favored by the dispositional model) and during a challenging but non-emotional task (the color 573 574 Stroop).

575 The EEG recordings in the eyes closed resting state gave no support for the dispositional 576 model as individuals with high and low risk did not differ in their alpha asymmetry index. Although 577 there was a significant group difference during the eyes open resting condition, the difference was 578 opposite to the predictions made. Individuals in the high-risk group had more leftward frontal activity 579 than the low-risk group indicating that this side of the brain is more active at baseline. It is interesting 580 to note that increased leftward frontal activity is associated with the inhibition of negative 581 information (Grimshaw & Carmel, 2014) and may reflect inhibition of general negative thoughts that 582 an individual with suicidal behavior could be experiencing when not completing a demanding task 583 (this would not be apparent in the low-risk group as it is predicted they would not experience 584 upsetting thoughts and so would not need to engage in inhibition). However, when the EEG 585 recordings were taken during the color Stroop task there was no significant difference in alpha

586 asymmetrical index between high and low risk groups. This reveals that measurements of frontal 587 asymmetry taken during a demanding task are no more effective than those taken in a resting state with regards to identifying individuals high in suicidal behavior. The differences between activity in 588 589 the Stroop and the eyes open resting state may also suggest that when engaged in a demanding 590 neutral task high-risk participants in the current sample (reporting relatively mild suicidal behavior) 591 are not having to devote additional resources to the inhibition of negative thoughts because the focus 592 on the task itself prevents the processing of such information. Yet the results of asymmetry do not 593 reflect performance in the Stroop task as the high-risk group performed less well than the low-risk 594 group but showed no corresponding differences in frontal asymmetry. This may be due to the fact 595 that stimuli in this task were neutral and therefore any increase in activity is unlikely to be related to 596 specific inhibition of positive (right) or negative (left) information. This indicates a limitation to the 597 use of asymmetry as a marker for affective disorders, including suicide. Compton et al. (2003) found 598 increased activity overall in the dIPFC for incongruent trials in a color Stroop and suggested that this 599 shows greater investment in cognitive control processes in order to inhibit this information. Asymmetry does not provide a direct measure of activity and instead shows relative differences 600 601 between the left and right. Arguably the measure is more relevant to the processing of emotional 602 information if left and right areas are associated with inhibition of negative and positive stimuli 603 respectively.

604 Consistent with proposals of the capability model, the results did reveal a significant difference in frontal asymmetry between the high and low-risk groups during the emotional Stroop 605 606 task. In particular, the low-risk group showed more leftward frontal activation compared to the high-607 risk group. This suggests greater recruitment of left frontal areas during completion of a task that 608 requires inhibition of emotional information (although not specifically negative information as the 609 models of asymmetry suggest). It should be noted that this effect disappeared when individuals 610 reporting a past suicide attempt were removed from the analysis suggesting that the effect was driven 611 by this subset of participants. This is supported by the findings of Jollant et al. (2008) in which asymmetrical differences were only found in individuals with a history of suicide attempt. Whilst 612 behavioral performance in the Stroop tasks may be able to distinguish those at risk of mild levels of 613 614 suicidal behavior (and would therefore be beneficial in identifying those at risk at an early stage) the same may not be concluded for measures of alpha asymmetry. In addition, the findings for 615 asymmetry do not reflect performance in the task because those in the high-risk group were faster to 616 617 make accurate responses. Once again this may indicate the limitations of using asymmetry as a 618 marker because it reflects relative activity, it does not show whether an individual is putting more 619 effort overall into the task. A study by Kaiser et al. (2014) showed increased activation in the dorsal 620 anterior cingulate cortex and the posterior cingulate cortex for depressed patients when completing a task requiring the inhibition of negative distracters. They proposed that increased activity 621 demonstrates that individuals are devoting more cognitive resources to directing attention away from 622 negative information, however frontal asymmetry does not provide information about such overall 623 624 patterns of activity.

625 Grimshaw and Carmel (2014) suggest that inhibition of different emotional stimuli is linked to frontal alpha asymmetry and that individuals will exhibit leftward frontal cortical activity during 626 inhibition of negative stimuli, and rightward frontal cortical activity during inhibition of positive 627 stimuli. Although there is considerable evidence to suggest that frontal asymmetry reflects the 628 inhibitory control of emotions (e.g., Grimshaw & Carmel, 2014; Pérez-Edgar et al., 2013, see Gable 629 630 et al., 2015), the current findings provide only partial support for the asymmetric inhibition model. 631 Individuals were showing more leftward frontal activation during inhibition of negative stimuli as 632 predicted, however they did not show an increase in rightward frontal activity when inhibiting

633 positive stimuli. These results are similar to past findings (Grimshaw et al., 2014, Herrington et al.,

634 2010) that have shown that the links between cortical activity in the right dlPFC and control of

635 positive distractors are different to those between the left dlPFC and the control of negative 636 distractors. For example, Pérez-Edgar et al. (2013) conducted a study investigating frontal

637 asymmetry in relation to attentional bias and avoidance. Frontal EEG was measured from young

- adults at rest and under a socially threatening situation (preparing to give a short speech about their
- 639 most embarrassing moment in public). Following this, participants performed a dot probe task in
- 640 which they had to respond to probes appearing in the same spatial location as emotional faces.
- Results showed that although frontal alpha asymmetry in the resting state did not predict performance in the dot probe task, there was a strong link between behavioral performance and frontal asymmetry
- in the dot probe task, there was a strong link between behavioral performance and frontal asymmetry
 in the socially threatening condition. Specifically, an increase in rightward frontal alpha asymmetry
 in this condition was associated with increased attentional bias to angry faces and avoidance of happy
- faces but no association between leftward frontal asymmetry and emotions. This trend was replicated
 by Grimshaw et al. (2014) who suggested that positive and negative stimuli may not exert the same
 level of influence on frontal alpha asymmetry.

One unexpected finding from the alpha asymmetry analysis was the trend towards a negative 648 alpha asymmetry index in the neutral condition of the emotional Stroop task for the high-risk group. 649 650 This trend did not reach significance until participants reporting a past suicide attempt were removed from the analysis, but the pattern of activation was markedly different to that of the other conditions. 651 652 The finding shows that the high suicidal behavior group had relatively lower leftward activation in 653 the neutral condition suggesting that they only recruited more left frontal areas when inhibiting 654 emotional but not neutral information. Again, this was not evidenced by differences in performance 655 in this task, providing limiting support for the use of asymmetry as a marker of suicidal behavior, and 656 showing that the exact role of the right and left PFC is not yet apparent with regards to the inhibition 657 of positive and negative distracters. Furthermore, Gable et al. (2015) proposed that frontal asymmetry 658 may reflect a wide range of cognitive mechanisms, not just inhibitory processes. For example, the 659 dlPFC is activated during tasks requiring task switching (Ambrosini & Vallesi, 2016), working memory (Petrides, 2000), emotion regulation (for a review, see Ochsner et al., 2012), and attentional 660 661 disengagement (Vanderhasselt et al., 2011). All of these are implicated in vulnerability to psychopathologies associated with frontal asymmetry (Snyder, 2013). These processes also require 662 663 the executive control components of updating and shifting in addition to inhibition (see Joormann & Tanovic, 2015; Miyake et al., 2000; Schmeichel & Tang, 2015). Future work would benefit from 664 665 recording performance and activity in a wider range of neuropsychological tasks (i.e. Richard-666 Devantoy et al., 2013).

667 The present results show some support for the association between attentional control, frontal 668 asymmetry, and suicidal behavior. However the findings do not fully support previous work and therefore may indicate that other factors may be involved. In particular the current results may be 669 670 influenced by depression. A measure of depression was taken from all participants and analysis 671 showed clear differences between the two groups with the high-risk group reported significantly higher symptoms of depression. It is well documented that depression is co-morbid with suicide (e.g. 672 673 Richard-Devantov et al., 2013) and studies provide strong evidence for the links between depression 674 and executive dysfunction (e.g. Joormann & Tanovic, 2015) and depression and frontal asymmetry (e.g. Schaffer et al., 1983). Consequently the present findings may be showing differences due to 675 676 depression, rather than suicide. However, researchers argue that the Stroop task is one of very few 677 measures of executive control that is able to identify differences between levels of depression and suicidal behavior. Richard-Devantoy et al. (2013) conducted a meta-analysis to explore the findings 678 679 of studies investigating executive control in patients with mood disorders, patients with mood 680 disorders and reporting a past suicide attempt, and healthy controls. Across a number of tasks

designed to assess executive function they found that the patients performed worse than the healthy

- 682 controls, yet performance in the Stroop task was also able to distinguish suicide attempters from non-
- attempters. Given the differences between the two groups in the color Stroop task, and the fact that
- the high-risk group showed a specific attentional bias to suicide-related information, rather than a
 general negativity bias (e.g. Gotlib et al., 2004), it is argued that the present study is assessing
- 686 suicidal behavior additional to the effects of depression.

687 Whilst it may be argued that this study assesses suicidal behavior, the results are limited due 688 to the use of the SBQ-R (Osman et al., 2001). This is a relatively simplistic single-item assessment 689 that groups a variety of quite distinct suicidal behaviors together. Many past studies in this field 690 utilize more in-depth assessments and often use a mixture of clinical measures and interviews. 691 Millner et al. (2015) express concern over the use of single-item assessments due to the increased 692 risk of Type I and II errors and after conducting an evaluation of such measures they found that many 693 were unable to capture the precise nature of suicide related thoughts and behaviors that were 694 reported. Whilst these limitations are acknowledged and future research would make use of more 695 detailed measures, it is important to note that the aim of this study was to measure the association of 696 attentional control, asymmetry, and suicidal behavior, rather than to measure whether deficits varied 697 according to the severity of symptoms. The SBQ-R has benefits in this case due to the relative ease 698 of administration.

699 Related to the measurement of suicide, future studies that explore variations in attentional 700 control due to severity of suicidal behavior may employ a correlation design to allow for the 701 prediction of suicide through measures of executive control. The small sample size and the relatively 702 limited spread of suicidal behavior in the current study supported the use of group comparisons but 703 arguably the findings have no predictive power. Given that past research focuses on more clinical 704 samples, and often uses older patients (e.g. Richard-Devantoy et al., 2015) one key feature of the 705 present work was to explore possible cognitive deficits associated with relatively mild symptoms of 706 suicidal behavior. By showing that suicidal behavior in a non-clinical population is associated with 707 deficits in attentional control (specifically difficulties inhibiting irrelevant information and an 708 attentional bias to emotionally-pertinent information) the current work expands on the past studies. 709 For instance, when comparing executive function in depressed suicide attempters, depressed non-710 attempters, and healthy controls Keilp et al. (2013) supported the findings of Richard-Devantoy et al. 711 (2013) by showing that performance in a Stroop task was a "relatively independent marker of suicide 712 risk" (p546). In their study, deficits in attentional control (as evidenced through the Stroop task) were 713 found in all individuals with a history of suicide attempt. In the current study the comparison of 714 attempters and non-attempters was not possible as only 6 of those in the high-risk group reported a 715 past suicide attempt, yet performance in the Stroop task did identify those more vulnerable to suicidal thoughts and behaviors. The findings demonstrate the effectiveness of the Stroop task in assessing 716 717 vulnerability to suicide in non-clinical samples and support its use in the intervention and prevention 718 of suicidal behavior.

719 Using EEG in a color Stroop task and an emotional Stroop task, the current study examined 720 whether measures of cognitive and neurological processing can be used to identify individuals at risk of suicidal behavior. The study compared attentional control and frontal asymmetry between 721 722 individuals reporting high and low levels of suicidal behavior. Results showed that individuals 723 reporting higher levels of suicidal behavior are more likely to encounter difficulties in attentional 724 control and will struggle to disengage attention from suicide-related information. The findings 725 provide relatively limited support for the effectiveness of frontal asymmetry in identifying those vulnerable to suicide, and in line with the capability model of Coan et al. (2006) general differences 726 727 were only apparent in the emotional Stroop task. By exploring executive dysfunction in a non-

- clinical sample reporting relatively mild symptoms of suicidal behavior the current work lends
- support to those who advocate the use of the Stroop task in prevention of suicide, showing that its
- rational reflectiveness extends beyond patient groups.
- 731

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- 962

963 6 Conflict of Interest

964 The authors declare that the research was conducted in the absence of any commercial or financial965 relationships that could be construed as a potential conflict of interest.

966 7 Author Contributions

- 967 CT and EO conceived and designed the study. EO gained ethical approval and collected the data. CT968 and EO analysed the data and drafted the manuscript.
- 969

970 Figure Legends

971 Figure 1: Accuracy (total correct) and RT (ms) in the color Stroop task. Error bars represent standard

- 972 error of the mean. Figure 1a shows the interaction between suicidal behaviour and congruency for
- 973 accuracy. Reduced accuracy to incongruent trials compared to congruent trials was more apparent for
- the high-risk group. Figure 1b shows that participants with a high-risk of suicide were slower to
- 975 identify the color of the words (regardless of congruency) than the low-risk group. Response times
- 976 were also slower to incongruent trials compared to congruent.
- 977 Figure 2: Accuracy (total correct) and response times (ms) in the emotional Stroop task. Error bars
- 978 represent standard error of the mean. There was a speed-accuracy trade-off in this task whereby the
- high-risk group responded faster (2b) but were less accurate (2a).

- 980 Figure 3: Measures of frontal alpha asymmetry (uV^2) in the emotional Stroop task showed a more
- 981 positive index for the low-risk group (a positive alpha asymmetry index reflects lower right front
- 982 cortical activity and a negative asymmetry index reflects lower left front cortical activity). The
- asymmetry index was also higher for emotional trials compared to neutral. Error bars represent
- 984 standard error of the mean.