

1 **The Roosevelt – Rondon Expedition Marmoset (*Mico marcai*): Unveiling a Data**

2 **Deficient Species**

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25 **Abstract**

26 The Roosevelt-Rondon Expedition marmoset, *Mico marcai*, was collected in 1914 and  
27 to date, all information on this species comes from three skins brought back by the  
28 Expedition and two additional skins collected in the 1990s. It is no surprise then that *M.*  
29 *marcai* has been classified as Data Deficient (DD). Given that *Mico marcai*'s suspected  
30 range sits on the path of the advancing Brazilian "Arc-of-Deforestation", it is urgent  
31 that relevant data be collected to assess this taxon. Here we present the first  
32 comprehensive field data on the distribution, population size and threats on *M. marcai*  
33 with the goal of removing the species from the DD category. From 2012 to 2015, we  
34 surveyed for the species in 11 localities, in and around the Marmelos-Aripuanã  
35 interfluve, and estimated density using distance sampling on 10 transects. We also used  
36 spatial predictive modelling to project the amount of habitat that will be lost within its  
37 range in 18 years under different deforestation scenarios. We found marmosets in 14  
38 localities and calculated its Extent of Occurrence to be 31,073 km<sup>2</sup>. We walked 271 km  
39 and detected 30 marmoset groups, allowing us to estimate their density to be 8.31  
40 individuals/km<sup>2</sup> and a total population of 258,217.71 individuals. By a "Business as  
41 usual" scenario, 20,181 km<sup>2</sup> of habitat will be lost in three marmoset generations (~18  
42 years), compromising 33% of the species' range. Accordingly, *M. marcai* should be  
43 classified as globally Vulnerable under category A3c. Following our study, we propose  
44 the Amazonian marmosets, genus *Mico*, should undergo similar re-assessment as their  
45 ranges all fall in the path of the Arc-of-Deforestation.

46

47 **Keywords:** Amazonian marmosets, Conservation Status, Data Deficient, Habitat Loss,  
48 Southern Amazonia

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## 51 INTRODUCTION

52 The assessment of a species threat status is the first step towards its  
53 conservation. Non-assessed species remain outside the conservation ‘radar’ whereas  
54 species for which important ecological and population data are lacking remain as Data  
55 Deficient (DD) (IUCN, 2012), a highly undesirable status as DD species can be  
56 seriously threatened but remain overlooked by conservationists. One such species is the  
57 Roosevelt-Rondon Expedition *Mico marcai*) from the Southern Amazon, an area  
58 heavily impacted by the advancing Brazilian agricultural frontier. In the latest Brazilian  
59 National Threat Assessment of Primates, *Mico marcai* was the only marmoset classified  
60 as DD, the same classification as it has on the latest IUCN Red List (Rylands & Silva Jr,  
61 2008, Silva 2015). This primate was first observed and collected by the Roosevelt-  
62 Rondon Expedition in 1914 but remained overlooked in the National Museum of Rio de  
63 Janeiro mammal collection for 79 years until Alperin (1993), while revising all  
64 marmosets of the *argentata* group, described it as a new taxon and named it *Callithrix*  
65 *argentata marcai*. This taxon was later elevated to full species status and included in the  
66 genus *Mico* (Rylands et al., 2000).

67 Marca’s marmoset type specimen was apparently collected at the confluence of  
68 Roosevelt and Aripuanã Rivers (information on the specimens’ museum tag) (Alperin,  
69 2002) (Figure 1). In 2000, van Roosmalen et al. described a new species of marmoset,  
70 *M. manicorensis* and its type locality was considered as the confluence of the Manicoré  
71 and Madeira Rivers (Figure 1). However, the hypothesized distribution of *M.*  
72 *manicorensis* encompassed the Manicoré-Aripuanã interfluve, including the type  
73 locality of *M. marcai*. Based on an examination of the few available specimens,

74 Garbino (2014) proposed that van Roosmalen et al. (2000) *manicorensis* was a junior  
75 synonym of Alperin (1993) *marcai*, a taxonomic proposition held to date.

76 In this study, we present the first comprehensive data analysis on *M. marcai*  
77 distribution and population size from field surveys and literature records. Our goal is to  
78 fulfil an important knowledge gap on the species' occurrence, density, and threats that  
79 will enable us to carry out its first conservation status assessment. Finally, using spatial  
80 predictive modelling, we predicted the amount of Marca's marmosets' habitat that will  
81 be lost by 2036 under a more conservative 'Governance' scenario and a more realistic  
82 'Business as Usual' scenario. To the best of our knowledge, this is the first study  
83 specifically aimed at removing an Amazonian primate species from its DD status by  
84 gathering relevant *in situ* information through a series of surveys and systematic  
85 transect sampling and using the latest available remote sensing data to model current  
86 and future habitat loss.

87

## 88 **STUDY AREA**

89 This study was carried out in the Marmelos-Aripuanã interfluve, two right bank  
90 tributaries of the Madeira River in Brazil (Figure 1). The climate is tropical, with a short  
91 dry season from July to September, a mean annual temperature of 28°C and a mean  
92 annual precipitation of 2,500 to 3,000 mm/year (Hayakawa & Rossetti, 2015). The  
93 vegetation is comprised mostly of upland forest, seasonally flooded forests, and patches  
94 of white sand *campinaranas* (Anderson, 1981). The study area is within the "Arc-of-  
95 Deforestation" region of Amazonia, which is under severe threat from the rapidly  
96 expanding Brazilian agricultural frontier, urban encroachment, logging and  
97 infrastructure projects (Nepstad et al., 2001; Vieira et al., 2008). Our study area is  
98 located inside the Manicoré municipality, which together with Apuí municipality, are

99 the two main municipalities in livestock production in Amazonas State, forming the  
100 ‘Arc of Cattle Ranching’ (Carrero et al., 2015). Additionally, the study area has been  
101 recently considered a deforestation hotspot due to the Transamazônica Highway  
102 (Fearnside et al., 2009; Carrero & Fearnside, 2011).

103

## 104 **METHODS**

### 105 *Surveys and Transect Sampling*

106       Between 2012 and 2014, we carried out six expeditions to the Marmelos–  
107 Aripuanã interfluvium to survey for marmosets and other primates, totalling 63 days of  
108 fieldwork. Our surveys included, (1) the confluence of the Roosevelt and Aripuanã  
109 rivers, (2) the upper and lower Manicoré River, and (3) the mid Aripuanã River (Fig. 1).  
110 Surveys were conducted on the ground using existing trails and roads, and on board of  
111 small boats along the rivers searching for the species presence (National Research  
112 Council, 1981). We recorded all sightings with a Global Position System (GPS) device  
113 and, using these locality records along with data from the literature (Ferrari, 1993; van  
114 Roosmalen et al., 2000; Alperin, 2002; Röhe, 2007, Garbino 2014), we defined the  
115 species’ Extent of Occurrence (EOO, *sensu* IUCN, 2012). To do that, we followed  
116 IUCN’s guideline to calculate a species EOO where a minimum convex polygon (MCP)  
117 containing all of the species records is produced (IUCN, 2012). Assuming rivers are  
118 effective barriers to primate dispersal (see Ayres & Clutton-Brock, 1992), we  
119 subsequently adjusted our EOO by linking our calculated MCP to the nearest large  
120 rivers to produce a more accurate map and measurement of the total area potentially  
121 occupied by the species, *i.e.*, its geographical range.

122       In order to estimate the species abundance and population density, we carried  
123 out systematic transect sampling in two sites from January to February 2015. The first

124 set of transects was placed near the species' type locality (7°31'17.86" S;  
125 60°40'24.65"W). The second set was placed in the lower Manicoré River (6°1'19.56" S;  
126 61°37'54.81"W). In total, we opened six transects in the first site and four in the second,  
127 averaging  $3.07 \pm 0,63$  km in length each (Figure 1). The exact distribution of transects  
128 within the area was done by randomization of each trail starting point and direction  
129 using ArcGIS. We followed standardized field protocols for data collection using the  
130 distance sampling method (Burnham et al., 1980; Buckland et al., 1993; Peres, 1999) to  
131 estimate marmoset densities where two observers would walk the length of the transects  
132 at a speed of 1.5 km/h recording, when detected, the number of individuals sighted and  
133 the perpendicular distance between the transect and the center of the group. Transect  
134 surveys were carried out from 7 am to 11 am in one direction, and from 2 pm to 5 pm in  
135 the reverse direction. We gave transects a two-day rest in between sampling to reduce  
136 the impact of the observers' presence on the detection rate. We estimated the density of  
137 marmosets using the software DISTANCE 7.1 (REF). This analysis fits several  
138 detection functions to provide the probability of detecting groups and estimate the  
139 possible number of individuals missed by the observers. The encounter rate (groups/km)  
140 obtained and the average number of individuals per group was used as parameters to  
141 estimate density.

142 For these calculations, we first used a Chi-Square Goodness-of-Fit Test (GOF)  
143 to determine the appropriate truncations and perpendicular distances intervals to adjust  
144 the detection functions, considering  $p > 0.6$ . We compared the adjustments of the  
145 detection functions using Akaike Information Criterion values (AIC). Two models were  
146 considered distinct when they presented differences greater than two points between  
147 AIC values; those with smaller AIC values were considered more parsimonious. If more  
148 than one function was considered a good fit to the data, we would then select the model

149 where the density estimate had the lowest coefficient of variation. We then used the  
150 density value to estimate the average abundance of marmosets in the region by the  
151 formula  $A = D * a$ , where  $A$  means abundance,  $D$  is the density value and  $a$  is the  
152 species' distribution area predicted in this study. Mean estimates are shown followed by  
153 the 95% confidence intervals (CI) and the coefficient of variation (CV).

154

### 155 *Species Threatened Status Evaluation*

156 As recommended by the IUCN, we multiplied the lower confidence interval of  
157 the species' density by the predicted distribution area to obtain a conservative  
158 population size of Marca's marmoset. We also calculated total habitat lost to date within  
159 our newly calculated *M. marcai*'s range and constructed predictive models to assess  
160 how much of the species range will be lost by 2036 (in 18 years). This period represent  
161 three generation time estimated for *Mico* (Mittermeier & Rylands 2008; Nishijima et al.  
162 2012). To meet the first level of a treat category, Vulnerable (VU), we should observe,  
163 estimate, inferred or suspect population size reduction of  $\geq 50\%$  over the last 10 years or  
164 three generations (IUCN 2012). Since data on generation time or lifespan for *M. marcai*  
165 is not available, we used information provided by Mittermeier & Rylands (2008) for *M.*  
166 *leucippe* (see also Nishijima et al., 2012).

167 Data on current forest loss was obtained from PRODES (2015) for the years  
168 between 1997 and 2015. For the construction of our predictive models of habitat loss,  
169 we considered two scenarios (after Soares-Filho et al., 2006):

170 (i) "Governance" scenario, *i.e.*, assuming 1) current deforestation trends, but  
171 with a 50% cap in forest loss due to current laws that prohibit farmers to clear more than  
172 50% of forest in their properties, and 2) that existing and proposed protected areas are  
173 effectively managed.

174 (ii) "Business-as-usual" scenario, *i.e.*, considering current deforestation trends  
175 across the Amazon basin plus the effect of infrastructure development and low  
176 management effectiveness of protected areas. We calculated the amount of forest loss in  
177 each scenario and the percentage of it that lies within *M. marcai* distribution to estimate  
178 the species' habitat loss.

179 Using all the data generated in this study, we then adopted IUCN criteria and  
180 sub-criteria to evaluate if *M. marcai* belongs in an IUCN Redlist threat category, *i.e.*,  
181 Vulnerable, Endangered or Critically Endangered (IUCN, 2012).

182

## 183 **RESULTS**

### 184 *Species Geographical Range*

185 During our initial survey work, we observed *M. marcai* groups in 14 different  
186 localities: 1) along the left bank of the Aripuanã and Roosevelt Rivers, 2) on both banks  
187 of the Manicoré River, and 3) on the left bank of Branco River, a small tributary of the  
188 Marmelos River (Figure 1; Table 1). Based on these data and data from the literature,  
189 we calculated our adjusted EOO or the species geographical range to be 31,073.13 km<sup>2</sup>,  
190 limited to the east by the Aripuanã River, to the west by the Marmelos River, to the  
191 north by the Madeira River and to the south by the open savannah vegetation of the  
192 Campos Amazônicos National Park, an unsuitable habitat for Amazonian marmosets  
193 (Figure 1).

194

### 195 *Density and abundance*

196 In total, we walked 271.6 km on the 10 transects. We registered groups of *M.*  
197 *marcai* on 30 occasions, resulting in an encounter rate of 0.11 individuals/km (CV:  
198 21.80). The best distribution of perpendicular distances was obtained through five



199 intervals of 10 meters each (GOF  $\chi^2 = 0.52$ ; df = 4; p = 0.91) (Figure 2). The detection  
200 function that furnished the best fit was Uniform with one cosine adjustment term (AIC  
201 82.22; n=29). The number of individuals detected per group tended to decrease with the  
202 increase in perpendicular distances ( $r = -0.22$ ; p = 0.13). Therefore, we performed an  
203 estimate of the average group size using a linear regression, yielding a value of 4.09  
204 individuals/group (CI: 3.23-5.16; CV: 11.41). The density of marmosets was estimated  
205 at 8.31 individuals/km<sup>2</sup> (CI: 4.85-14.22; CV: 25.94) while the density of groups  
206 corresponded to 2.03 groups/km<sup>2</sup> (CI: 1.23-3.36; CV: 23.29). The average abundance of  
207 Marca's marmosets within the species' range was estimated at 258,217.71 individuals  
208 (CI: 150,704.70 - 441,859.91).

209

#### 210 *Species conservation status*

211 Our conservative population size estimate for *M. marcai* was 150,704.70  
212 individuals. In terms of forest cover, we calculated a loss of 635.49 km<sup>2</sup> of habitat  
213 within the species range to date, or 2% of the species' total range area. In our predictive  
214 models, the species' future habitat loss in the next 33 years will amount to 5,800.18 km<sup>2</sup>  
215 (19%) under the Governance scenario, and to 20,181.29 km<sup>2</sup> (33%) under the Business  
216 as Usual scenario. Such levels of habitat loss translate into a loss of 49,732.6 *M. marcai*  
217 individuals in the near future if we consider our conservative population size estimate..

218

#### 219 **DISCUSSION**

220 This study presents, for the first time, data on the geographic distribution and  
221 population size of *Mico marcai*. Such data were collected with the specific goal of  
222 gathering sufficient information to remove this species from its IUCN Data Deficient  
223 status (DD). IUCN Red List guidelines (IUCN, 2012) recommend that species should

224 be assessed using all available evidence (published and/ or unpublished) to avoid as  
225 much as possible placing a species in the Data Deficient category (IUCN 2012). DD  
226 status does not mean the species is not under threat, it means it becomes a priority for  
227 future research. In fact, there are examples where a DD species turned out to be eligible  
228 to a threatened category as soon as relevant data **became** available (Bland et al., 2015).  
229 This is the case of our study species. *M. marcai* was considered DD in the last IUCN  
230 Red List assessment with the justification of lack of information on its occurrence,  
231 distribution and potential threats that could affect its population. With the new data  
232 provided by our study, we can safely place it in the Vulnerable (VU) category under  
233 criteria A3c; a 30% population reduction projected for the next 18 years (three  
234 generations) due to a decline in its Extent of Occurrence (EOO).

235         The results of our field study show that *M. marcai* currently has a large  
236 estimated population of 150,704.70 individuals occupying a sizeable range of 31,073.13  
237 km<sup>2</sup> (minus 635.49 km<sup>2</sup> lost to agriculture). Nevertheless, the accelerating rate of  
238 deforestation in this region caused by the ever-expanding Brazilian agriculture frontier  
239 and infrastructure development (roads and hydroelectric power plants) poses a serious  
240 threat to the future survival of this marmoset. Under a ‘Business as Usual’ scenario, our  
241 predictive model projected a total loss of 33% of the species total range by 2036, thus a  
242 bleak future for this marmoset.

243         Although part of the current species geographic range is theoretically protected  
244 by Indigenous Lands (ILs) and by Protected Areas (PAs), these units are under strong  
245 pressure by the current trend in PA downgrading, downsizing, and degazettement  
246 (PADDD) in the Brazilian Amazon (Bernard et al., 2014; Ferreira et al., 2014; Pack et  
247 al., 2016). Three main factors drive the PADDD which we think directly decrease the  
248 effectiveness of PAs within *M. marcai*'s range: 1) The political instability and the

249 changes in governmental policies on land use and conservation in the Amazon; 2) The  
250 planned hydroelectric plants for the biome, especially on the south margin tributaries of  
251 the Amazon river.; 3) The increase in human settlements surrounding the PAs and ILs.  
252 Four hydroelectric dams will be constructed within *M. marcai*'s range flooding an area  
253 of 1,118.42km<sup>2</sup> (ANEEL, 2012). The reservoirs of “Prainha” (7°13'41''S, 60°39'08''W)  
254 and “Samaúma” (7°54'44''S, 60°11'48''W) on the Aripuanã River, and the reservoirs  
255 “Inferninho” (8°25'17"S, 60°57'35"W) and “Cachoeira Galinha” (7°42'19"S,  
256 60°54'51"W) on the Roosevelt River, will directly affect the area of occurrence of *M.*  
257 *marcai* and two other marmosets: its sympatric *Callibella humilis* and the marmoset  
258 found in the right bank of Aripuanã River, *M. chrysoleucos*. In addition to that, the  
259 Transamazônia Highway bisects *M. marcai*'s range. This road is notorious for the  
260 negative impact it has brought to the conservation of Southern Amazonia (Ayres et al.,  
261 1991). Finally, the municipalities of Apuí and Manicoré have been considered the two  
262 top municipalities in livestock production in Amazon State, forming the ‘Arc of Cattle  
263 Ranching’ (see above).

264         Following the same parameters of the IUCN and providing a baseline for the  
265 global assessment, the Brazilian government – through Chico Mendes Institute for  
266 Biodiversity Conservation (ICMBio) led the assessment of the conservation status of the  
267 Brazilian primates in 2013 (<http://www.icmbio.gov.br/portal/faunabrasileira>), where 9  
268 *Mico* species were classified as “Least Concern”, 2 as “Near Threatened”, and one as  
269 “Vulnerable”. *Mico marcai* was the only one considered “Data Deficient. However, the  
270 threats for Amazonian marmosets are known from less than a handful studies  
271 (Gonçalves et al., 2003; Ochoa-Quintero et al., 2017) and the distribution of many of  
272 these species are estimated based on few occasional records (Ferrari, 1993; Silva Jr &  
273 Noronha, 1995; van Roosmalen et al., 2000; Noronha et al., 2007; Fialho, 2010; Garbino

274 2011). Most *Mico* species, however, also inhabit the “Arc-of-Deforestation” region  
275 where threats and habitat loss trends are similar to or higher than those estimated in this  
276 study for *M. marcai*. For instance, Ochoa-Quintero et al. (2017) recently estimated a  
277 decline of more than 50% of the potential distribution of *M. rondoni* due to habitat loss.  
278 Thus, we advocate that the conservation status of all marmosets should be re-examined  
279 following the same steps used in this study. The data set presented here provide a  
280 baseline to both national and global assessment lists of endangered species. As a  
281 consequence of our fieldwork and data analysis, we recommend the change of status of  
282 *M. marcai* to a VU species. These findings shed light on the need for reliable and  
283 complete population and distribution data to properly assess threats to other Amazonian  
284 primates and build plans for its effective conservation in a changing scenario.

285

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294

## 295 **AUTHOR CONTRIBUTIONS**

296 FES, HEB, JRG, LPL, RCA, IJL, CLBF, ATS and MIS designed the study. FES, JRG,  
297 LPL, RCA, IJL, ATS and MIS collected the data. FES, HEB, JRG, CLBF and JPB

298 conducted data analysis. All authors contributed to results interpretation and assisted  
299 with manuscript writing and revision.

300

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#### 409 **BIOGRAPHICAL SKETCHES**

410 Felipe E. Silva has been contributing to the taxonomy, distribution, and conservation of  
411 Amazonian primates. Hani El Bizri is interested in wildlife conservation and hunting  
412 impacts in the tropics. Jonas R. Gonçalves' research is focused on primate conservation  
413 and spatial analysis. Lísley P. Lemos has been involved with research on primate  
414 conservation and wildlife use in rural Amazonia. Rodrigo C. Araújo research includes  
415 Ecology and Conservation of Primates. Ivan J. Lima research is focused on the  
416 diversity, biogeography and evolution of small mammals in the Amazon Rainforest.  
417 Aline S. Tavares is interested in ecology and conservation of Neotropical vertebrates,  
418 especially chelonians. Marcelo I. Santana is interested in mammal morphology and  
419 wildlife photography. Caetano L.B. Franco's research is focused on conservation and  
420 sustainable use of natural resources, and territorial planning. Jean P. Boubli research  
421 scope includes biogeography, ecology and conservation with a focus on Amazonian  
422 primates.

423 **TABLES**

424

425 **Table 1.** Occurrence records of *Mico marcai* obtained from published data and on-the-  
426 ground surveys in the Ariupanã-Marmelos interfluve.

Locality	Latitude	Longitude	Reference
BR 230 - Matá Matá	-7.5212	-60.6733	This study
Acampamento BR 230	-7.6052	-60.7512	This study
Igarapé do Acampamento	-7.5443	-60.6783	This study
Vicinity BR 230	-7.4932	-60.6868	This study
Manicoré River (Right Bank), Comunidade Mocambo	-5.9841	-61.5374	This study
Manicoré River (Right Bank), Comunidade Lago dos Remédios	-5.9327	-61.4449	This study
Manicoré River (Left Bank), Comunidade do Bom Fim	-6.0224	-61.6492	This study
Manicoré River (Left Bank), Comunidade Três Estrelas	-6.0221	-61.6319	This study
Manicoré River (Right Bank), Comunidade Terra Preta	-5.9948	-61.5812	This study
Linha Nova Esperança between Branco River (Right Bank) and Santo Antônio do Matupi)	-7.9411	-61.6427	This study
Estrada do Estanho, PARNA Campos Amazonicos	-8.1049	-61.8560	This study
Type locality (Rio Castanho=Roosevelt River)	-7.55	-60.7167	Alperin 2002
Humaitá-Apuí Road (BR-230), km 292, left margin of Rio Aripuanã	-7.5333	-60.6667	Garbino 2014

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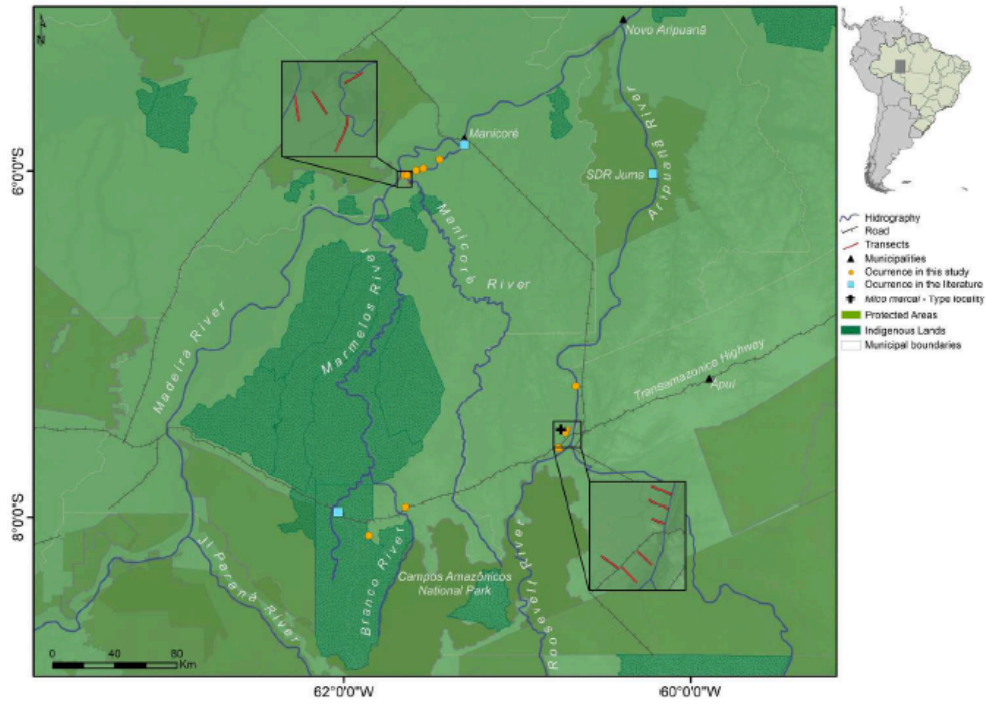
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432 **FIGURES**



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435 **Figure 1.** Sites sampled by survey and distance sampling method within the Aripuanã-  
436 Marmelos interfluvium – area of occurrence of *Mico marcai* in southern Brazilian  
437 Amazonia

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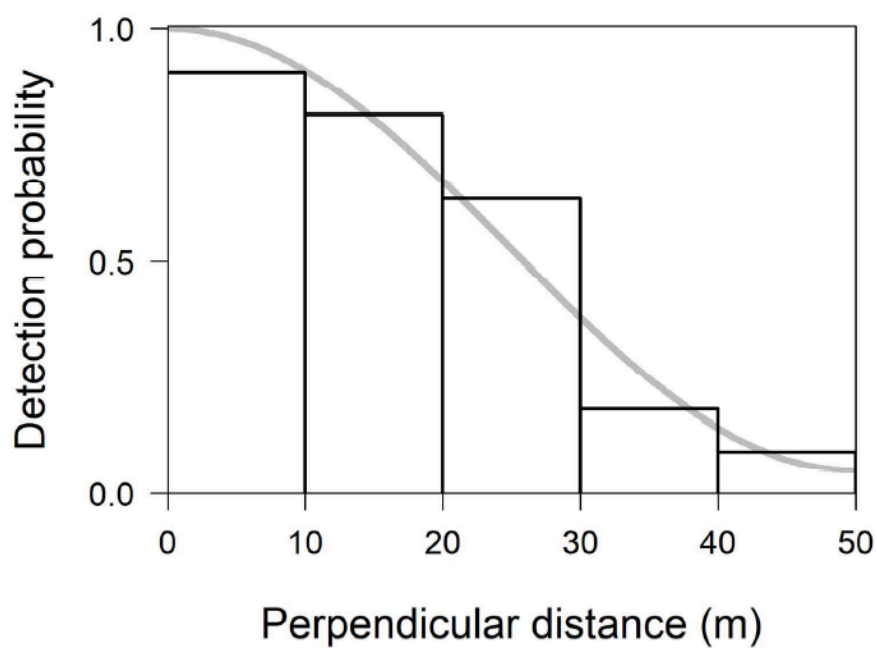
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452 **Figure 2.** Distribution of perpendicular distances of *Mico marcai* sightings on transects  
453 in the Marmelos-Aripuanã interfluve. The trend line represents the best detection  
454 function fitted to the distance classes.

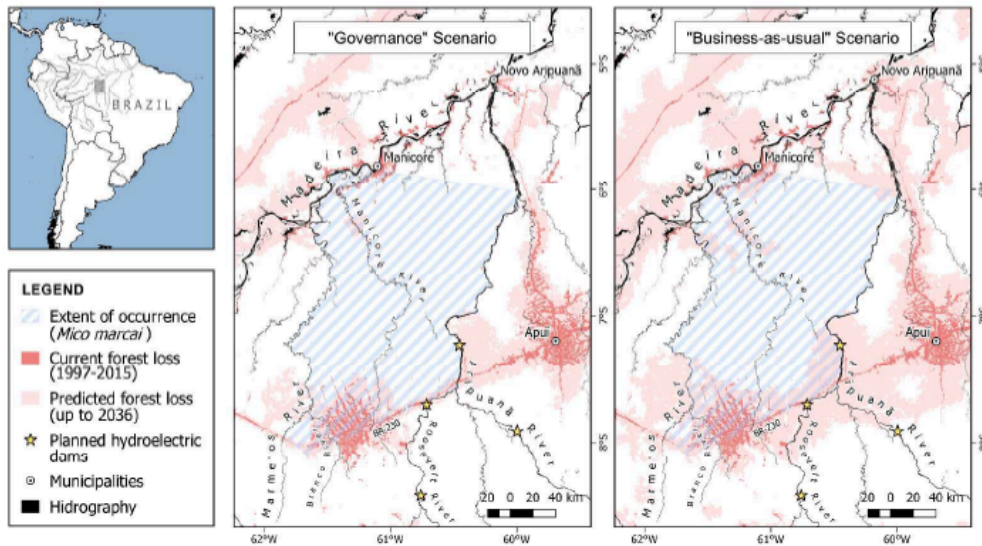
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462 **Figure 3.** Extent-of-occurrence for *Mico marcai* in the Aripuanã-Marmelos interfluve,

463 and the current and predicted species habitat loss by deforestation until 2036 under

464 “Governance” and “Business as Usual” scenarios.

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