Nonreciprocity Compensation Combined With Turbo Codes for Secret Key Generation in Vehicular Ad Hoc Social IoT Networks

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physical Abstract—The attributes of the dynamic 2 vehicle-to-vehicle propagation channel can be utilized for 3 the generation of highly random and symmetric cryptographic 4 keys. However, in a physical-layer key agreement scheme, 5 nonreciprocity due to inherent channel noise and hardware 6 impairments can propagate bit disagreements. This has to 7 be addressed prior to the symmetric key generation which is 8 inherently important in Social Internet of Things networks, 9 including in adversarial settings (e.g., battlefields). In this paper, 10 we parametrically incorporate temporal variability attributes, 11 such as 3-D scattering and scatterers' mobility. Accordingly, 12 this is the first work to incorporate such features into the key 13 generation process by combining nonreciprocity compensation 14 with turbo codes (TCs). Preliminary results indicate a significant 15 improvement when using TCs in bit mismatch rate and key ¹⁶ generation rate in comparison to sample indexing techniques.

Index Terms—Internet of Battlefield Things, Internet of
 Military Things, key generation rate (KGR), secret bit extraction,
 Social Internet of Things (SIoT) networks, turbo codes (TCs).

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I. INTRODUCTION

²¹ CONVENTIONAL cryptographic solutions in wireless ²² communications generate shared secrets using precompu-²³ tational techniques or asymmetric cryptographic protocols [1].

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However, the challenges of generating such secret keys are 24 compounded due to other competing requirements such as 25 energy efficiency, and the need to minimize computational 26 complexity and processing-communication overhead, partic-27 ularly in autonomous communication of Internet of Things 28 (IoT) nodes and Social IoT (SIoT) networks [2]. In recent 29 literature, there have been efforts to extend data sharing for 30 different types of traffic in vehicle-to-vehicle (V2V) commu- 31 nications, in both civilian and military context (e.g., Internet 32 of Military Things and Internet of Battlefield Things) [3]. 33 Human social network infrastructures and subscription ser-34 vices are now available to sensors, where the establishment 35 and exploitation of social relationships among them is completely transparent to the users or their owners [4], [5]. This 37 necessitates the redesign of existing data networks, based on 38 a new network paradigm to maximize security and reliability. ³⁹ However, these are challenging issues due to vehicle mobility in vehicular ad hoc networks (VANETs). Unsurprisingly, 41 smart vehicles are the objects of SIoT interactions building 42 relationships to enhance the driving knowledge and provide a 43 wider range of the services to the drivers. 44

Existing cryptographic solutions are designed independently 45 to the physical properties of the network in which they are 46 applied. This has initiated research activities in the area of 47 fast and efficient key generation algorithms based on physical 48 layer characteristics, such as those based on broad received 49 signal strength (RSS) and frequency selectivity [6]–[8]. In 50 these approaches, the wireless channel acts as a medium to 51 increase key generation rate (KGR), cryptanalysis resilience, 52 and quality of keys generated between end points due to 53 the inherent stochastic nature of wireless propagation chan- 54 nels [9]. In addition, the ability to generate cryptographic keys using these approaches removes the reliance on higher-layer 56 encryption protocols. These "channel-based key" extraction 57 approaches seek to exploit the physical properties of wireless 58 channels, such as reciprocity and temporal/spatial variabil-59 ity, in an attempt to provide the necessary randomness for 60 symmetric key generation [8], [10]. 61

In a typical VANET environment, the wireless links between 62 nodes and co-existent adversaries experience uncorrelated 63 channel attributes. Therefore, these channels can offer a certain degree of confidentiality during the key generation process 65

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66 between parties. Thus, this reduces computational complex-67 ity and eases key management. Secret key information is 68 usually generated from one or more channel characteristics 69 as part of the signal quantization phase. However, the pro-70 cess to determine appropriate channel metrics to characterize unique wireless channel still remains a challenging and 71 a 72 complex domain of scientific inquiry [11], [12]. A tradeoff 73 also exists between quantization performance and selection thresholds with a direct impact (positive or negative) to 74 Of 75 the KGR. The unification of the shared secret key must 76 also adhere to error correction principles and valid processes 77 around privacy enhancement techniques in order to minimize 78 information leakage during message exchanges. This process ⁷⁹ assures symmetric operation between peers and confidentiality ⁸⁰ by minimizing information exchange during the process of cor-81 recting bit mismatch between transceivers. This is especially 82 important in SIoT networks, due to the autonomous nature of ⁸³ the nodes exchanging private information.

This paper is the first attempt in the literature to incorpo-84 85 rate all essential V2V communication characteristics, such as 86 3-D multipath propagation and surrounding scatterers' mobil-87 ity (i.e., other vehicles), in the key generation process. Our key ⁸⁸ generation technique can be used to establish secure commu-⁸⁹ nication channels within ad hoc social vehicular networks. We ⁹⁰ employ the comprehensive parametric stochastic V2V channel ⁹¹ model presented in [13] to synthetically generate the receiver's 92 channel response (Bob's channel), where the transmitter's ⁹³ response arises after applying the nonreciprocity compensation ⁹⁴ technique presented in [14]. After the necessary thresholding is ⁹⁵ used to allocate bits according to designated signal levels, we ⁹⁶ apply turbo coding (TC) techniques for information reconcilia-⁹⁷ tion. At the time of this research, this is the first application of 98 TC techniques in such a setting (V2V channels with parametric 3-D multipath propagation and scatterers' mobility). We report 99 significant improvement in certain key performance indica-100 tors, in comparison to existing standard indexing technique 101 described in [15]. To ensure a fair comparison, the particular 102 indexing technique was again applied in conjunction with the 103 nonreciprocity compensation technique in [14]. More specifi-104 105 cally, the KGR and bit mismatch rate (BMR) are significantly 106 improved when combining both nonreciprocity compensation 107 and TCs in this paper.

The rest of this paper is structured as follows. Section II reviews existing works in secret key extraction focusing on reviews existing works in secret key extraction focusing on reconciliation techniques. In Section III, we briefly present the performance metrics employed in similar works. In Section IV, we present the adopted key generation process by applying TCs and nonreciprocity compensation in V2V applying TCs and nonreciprocity compensation in V2V accommunication channels incorporating 3-D multipath propatis gation and scatterers' mobility. A comparative summary is also presented. Finally, Section V concludes this paper.

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II. RELATED WORKS

In VANETs (see Fig. 1), nodes are distributed and selfrug organized with the majority of wireless communication carried up on-board units integrated with additional services and rug processes running [16]. High mobility of these nodes and IEEE INTERNET OF THINGS JOURNAL

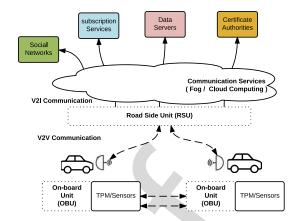


Fig. 1. Vehicular networking architecture.

propagation mechanisms of vehicular channels render these ¹²² environments susceptible to faster fading, multipath delay, path loss, and increased Doppler frequency shift. These unique ¹²⁴ temporal and spatial properties can generate significant randomness in secret-bit extraction and key distribution because ¹²⁶ channel responses are reciprocal between two end points. Also, ¹²⁷ the prediction of randomness in these dynamic environments ¹²⁸ is more difficult than static ones due to the high entropy bits ¹²⁹ extracted in shorter time [17]. Different approaches have been ¹³⁰ published in secure key extraction protocols with different ¹³¹ strengths and limitations with regards to entropy, secret bit ¹³² extraction rate, KGR, number of nodes and threat models. ¹³³ For an exhaustive comparison of these protocols, readers are encouraged to see work in [18]. ¹³⁵

A. Challenges in Secret Key Generation

The secret key information is usually generated from one 137 or more channel characteristics as part of the signal quanti- 138 zation phase, including fluctuations of signal amplitudes and 139 channel phase [12], [19], [20]. A tradeoff exists between quan- 140 tization performance and selection of thresholds with a direct 141 impact (positive or negative) to the KGR, entropy, and BMR. 142 These metrics can be affected by the time difference between 143 channel estimates at Alice and Bob, channel decorrelation in 144 time (channel coherence time), inherent communication noise 145 and hardware impairments [21]. The unification of the shared 146 secret key must also adhere to error correction principles 147 and valid processes around privacy enhancement techniques 148 in order to minimize information leakage during message 149 exchanges. Specifically in V2V communications very high 150 temporal variability takes place due to mobility of transmitter, 151 receiver, and surrounding scatterers [13], [22], [23]. Though 152 disadvantageous for communication purposes, such temporal 153 variability can be readily exploited in the key generation pro- 154 cess. Signal strength variations due to dynamically changing 155 environments have been leveraged in secret key extraction 156 in [24] and [25]. Authors have demonstrated certain degree 157 of entropy in the key generation and exchange process under 158 the assumption that an adversary has unbounded capacity to 159 estimate RSS values of the packets transmitted. Ali et al. [26] 160 introduced a filtering technique promised to maintain entropy 161

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¹⁶² and improve signal correlation between communication parties ¹⁶³ by restricting bit generation only for the period of time that ¹⁶⁴ high motion-related fluctuation is present. Movement charac-¹⁶⁵ teristics and their influence in RSS variation have also been ¹⁶⁶ exploited for key generation in [21] and [27]. The correla-¹⁶⁷ tion between the probing rate and KGR was observed in [28]. ¹⁶⁸ Authors introduced an adaptive probing scheme that dynam-¹⁶⁹ ically changes the probing rate subject to channel-related ¹⁷⁰ parameters.

171 B. Secure Key Generation Strategies

Shehadeh et al. [29] positively correlated entropy of secret 172 173 bits as a function of mobility with high secret-bit extraction 174 rate. A single channel observation can lead to lower average 175 number of secret bits generated whereas Wilson et al. [12] 176 modeled the upper bound of the average secret key extrac-177 tion rate as a function of the signal bandwidth. Most of the 178 approaches rely on the assumptions that Eve cannot jam the 179 communication channel and is not close to either Alice or Bob. Additional challenges have been recorded when RSS is used 180 ¹⁸¹ as a metric to be quantized [14]. Typical thresholds selected 182 usually do not account for points in between them thus reduc-183 ing the overall key quality or information available for the 184 key generation process. In addition, RSS is usually extracted 185 by a single frequency resulting in low bit generation rates. 186 On the other hand, channel-phased quantization presents sev-187 eral benefits as higher level of secrecy can be achieved by 188 the uniform distribution of the phases on the channel taps 189 and increase KGR by leveraging the whole channel impulse 190 response (CIR) [18]. It is also noticed that a higher number ¹⁹¹ of secret bits can be extracted that removes the need to esti-¹⁹² mate RSS over a certain time window. RSS-based approaches 193 though do not require significant hardware modifications with better overall performance in respect to synchronization errors. 194 ¹⁹⁵ The CIR can be described as follows [9]:

196
$$h(t) = \sum_{i=0}^{L-1} h_i \delta(t - t_i)$$
(1)

¹⁹⁷ where $\delta(.)$ is the impulse delta function, L is the number of ¹⁹⁸ channel paths, h_l is the *l*th path complex gain, and t_l is the 199 delay of the signal on the *l*th path in the multipath chan-200 nel. The multipath fading channel properties in frequency 201 domain have also been investigated in the literature as an 202 alternative way to achieve high entropy and KGR. Channel 203 state information extracted from OFDM subcarriers has been also introduced in an attempt to reduce random noise and 204 205 improve overall KGR [14]. Multiple thresholds are also used 206 to further quantize these average values of channel response generate a binary sequence. That bit sequence is then 207 to normalized through error reconciliation techniques to assure 208 symmetric and identical bits within the key space. Although 209 ²¹⁰ this approach is generic, applies more on static nodes and does 211 not depend on mobility aspects making it suitable for wireless ²¹² sensor networks. A further challenge would be the violation of ²¹³ orthogonality due to Doppler effect inherent in VANETs [30]. Liu et al. [14] argued that channel state information 214 215 extracted within the coherence time of the channel could be nonreciprocal due to different electrical properties of wire- 216 less devices including antenna systems and RF front circuitry. 217 This unavoidably prevents the extraction of symmetric crypto- 218 graphic keys with low-BMR. However, the channel response 219 in different subcarriers should be different due to diversified 220 frequencies. The location and time in which channel response 221 measurements were taken for a specific subcarrier also differ 222 which can be argued as a factor increasing key random- 223 ness. Wilhelm et al. [31] added that channel information at 224 the receiver can be modeled as a location-dependent variable 225 with enough information entropy to be utilized in key gen- 226 eration. However, if channel response is measured in a short 227 period of time highly correlated estimates are generated in 228 both transmitters. A channel gain complement (CGC) algo- 229 rithm was introduced in an attempt to reduce the disparity of 230 channel responses [14]. The nonreciprocity components were 231 identified with the use of probe packets for each subcarrier. 232 Authors have recorded high BMR when channel state informa- 233 tion is quantized in the time domain compared to the frequency 234 domain. 235

The randomness of signal envelope to share the secret key 236 between two parties has also been examined where deep fades 237 have been used to extract correlated bit strings based on a theo- 238 retical analysis and simulation results only [21], [32]. Multiple 239 antenna diversity has also been investigated for secret key 240 extraction with limitations in the KGR [33]. Mathur et al. [21] 241 have argued that the signal envelope can provide (to a pair 242 of transceivers) enough entropy required to extract a crypto- 243 graphic key for data exchange without the necessity to experi- 244 ence identical signal envelops between transceivers. Although 245 focus on deep fades can partially overcome interference prob- 246 lems, however, the quality of the symmetric key and the KGR 247 is low. Authors also limit their discussion on the secure ways 248 that key verification information can be exchanged. They also 249 hold assumptions that the size of the bit streams between the 250 two transceivers are the same although calculated by different 251 random sources. Also, work in [32] proved to be computa- 252 tionally expensive when it comes to key recovery phase that 253 render the algorithm difficult to be implemented in V2V com- 254 munications. Their fuzzy information reconciliation algorithm 255 seems to remove these constraints but the outcome is reduced 256 entropy in the overall quality of the key produced. Information 257 reconciliation is the process of correcting mismatch bits of the 258 quantization phase by publicly exchanging information to be 259 used for corrective actions [34]. 260

Quantization and thresholding are the most important processes in the key establishment process as they provide initial information based on channel characteristics. Also, these processes directly affect the bit mismatch probability due to nonfully reciprocal but highly correlated channel responses of Alice and Bob as a result of inherent communication noise and transceivers hardware impairments. The number of thresholds selected during quantization also presents a tradeoff between KGR and random noise. Additional issues with fixed and multiple thresholds were also reported such as susceptibility to active attacks and discard of sampled values between thresholds, respectively [9]. Protection against active attacks has been partially addressed in [6] with an adaptive secret 273 ²⁷⁴ bit generation scheme. In this approach, sampled values were ²⁷⁵ divided into blocks and each block has been independently ²⁷⁶ quantized using its own thresholds based on its average and ²⁷⁷ standard deviation. Although this paper seem to improve over-²⁷⁸ all key generation does not account for imperfect channel ²⁷⁹ reciprocity.

Specifically in V2V communications very high temporal 280 variability takes place due to the mobility of transmitter, 281 282 receiver and surrounding scatterers. Though disadvantageous 283 for communication purposes, such temporal variability can be 284 readily exploited in the key generation process. Two differ-285 ent techniques have been introduced in [35], namely least 286 square thresholding and neural network-based error recon-287 ciliation. Authors recorded an improvement in the detection 288 of fades with smaller depth in environments with no deep 289 fades (e.g., line-of-sight situations). The latter technique uses 290 two similar bit strings to generate keys of arbitrary length 291 known to both Alice and Bob. The security of this sys-²⁹² tem is based on the assumption that Eve cannot adequately ²⁹³ reverse the training process of the neural network. A low-²⁹⁴ cost approach with regards to channel sampling effort was 295 introduced in [28]. The authors modeled mathematically an 296 adaptive channel probing approach based on Lempel-Zin and ²⁹⁷ proportional-integral-derivative controller. Adaptation of the ²⁹⁸ probing rate showed improvements in both KGR and efficiency 299 of the probing process.

300 C. Privacy Amplification

The last step in the key generation process assumes that 301 302 the information extraction about the shared key used should 303 be computationally expensive to adversaries (privacy ampli-³⁰⁴ fication). Most existing approaches focus on different threat 305 models and assumptions around level of access to the chan-306 nel. "Trapdoor" functions are used as a mean to assure certain ³⁰⁷ level of authentication and integrity in this process [36]. These 308 functions are also used as a mean to deduce the size of the ³⁰⁹ final key and amplify any errors if hashing a reasonable copy 310 of the key is attempted, to a degree that even an exhaustive 311 search of the key space would be infeasible. This process is 312 also used to account for any information exposed during error ³¹³ reconciliation phase and ensure that eavesdroppers do not gain 314 significant advantage to the point where they are able to recon-315 struct a significant part of the key. In the next, we present an 316 overview of the most important error correction codes that can 317 be potentially used in the information reconciliation stage.

318 D. Error Correction Codes

Error reconciliation is the next step in the secret key generation process to correct miss-matched information due to imperfect reciprocity and random noise in the channel. Several ferent tradeoffs between communication and computational complexity and throughput error correction capabilities (e.g., Cascade and Winnow). The Cascade error reconciliation protocol assumes that two legitimate parties agree on a random permutation over a public channel [37]. This random permutation takes place over their shifted keys in an attempt to evenly distribute errors. Their shifted keys are then divided in blocks ³²⁹ where each block does not present more than one error based ³³⁰ on the error rate calculated [38]. ³³¹

Linear error correction codes known as Hamming codes ³³² have been also introduced in [39]. In order for a sender to ³³³ transmit a message with a Hamming code the dot product ³³⁴ of a generator matrix and the message must be calculated ³³⁵ (code word). The code word is then transmitted at the receiver ³³⁶ who computes the product of the code word and the parity ³³⁷ check matrix (syndrome). If the calculated syndrome at the ³³⁸ receiver is a zero vector, the message was received without any ³³⁹ errors. In Winnow protocol [40], the operation is much similar ³⁴⁰ with Cascade. The protocol also suggests privacy maintenance ³⁴¹ throughout the whole reconciliation phase as a mean to protect ³⁴² information exposed during parity and syndrome exchanges. ³⁴³

Low density parity codes (LDPCs) are known for the low 344 density of their parity check matrices which linearly increases 345 the complexity of the decoding algorithm as the length of the 346 message increases [41]. In LDPC codes, the minimum distance 347 (as in Hamming codes) and the decoding algorithm used are 348 considered essential parameters to their performance. In their 349 original form LDPC codes have fixed number of 1s in each 350 column k and each row j along with the block n, known as $_{351}$ (n, j, k) low density code. The original algorithm developed by 352 Gallager [41] to generate those LDPC matrices was deemed 353 insufficient for large key spaces and limited to work only with 354 regular codes (codes with fixed number of 1s in both columns 355 and rows). LDPC can be more efficient than Cascade as they 356 can become rate adaptive leading to more efficient interactive 357 reconciliation protocols [42], [43]. 358

The invention of TCs [44] was a revival for the channel coding research community. Historical TCs, also sometimes called parallel concatenated convolutional codes, are based on a parallel concatenation of two recursive systematic convolutional codes separated by an interleaver. They are called "turbo" in reference to the analogy of their decoding principle with the turbo principle of a turbo compressed engine, which reuses the exhaust gas in order to improve efficiency.

The turbo decoding principle calls for an iterative algo- 367 rithm involving two component decoders exchanging infor- 368 mation in order to improve the error correction performance 369 with the decoding iterations. This iterative decoding principle 370 was soon applied to other concatenations of codes sepa- 371 rated by interleavers, such as serial concatenated convolutional 372 codes [45], [46], sometimes called serial TCs, or concate- 373 nation of block codes, also named block TCs [47], [48]. 374 The near-capacity performance of TCs and their suitability 375 for practical implementation explain their adoption in various 376 communication standards. Nguyen et al. [49] proposed uti- 377 lizing TCs for reconciliation purposes. Further investigation 378 in [50] shows that TCs are good candidates for reconcilia- 379 tion. The efficacy of TCs with regards to their error correction 380 capabilities in various wireless communication standards is 381 also recorded in [51]. Further work in [23] demonstrates the 382 improved performance of TCs over Reed Solomon and CCs 383 which are the de-facto error correction codes used in 802.11p 384 vehicular networks. However, this paper does not comprehen- 385 sively incorporate physical propagation characteristics such as 386

³⁸⁷ 3-D scattering and scatterers' mobility which is addressed in ³⁸⁸ this paper.

389 III. PERFORMANCE METRICS

As VANETs are inherently rapidly time-varying due to 390 ³⁹¹ multipath propagation, this paper parametrically models and 392 quantifies such temporal variability attributes and incorporates 393 them into the key generation process. In addition, violation 394 of reciprocity due to hardware impairments or other penalty 395 factors will be compensated in the architectural design and ³⁹⁶ implementation. The proposed algorithmic process will have 397 to compensate for penalty factors influencing the coherence ³⁹⁸ region. The necessity for this paper stems from the research 399 effort to further reduce BMR while maintaining high KGR 400 in practical VANET environments where mobility of the 401 nodes and large network scale imposes unique security chal-402 lenges. Three performance indicators, namely entropy, secret 403 bit extraction rate, and BMR, are discussed. The later deter-404 mines the rate at which the V2V channel is probed in order 405 to secure highly uncorrelated successive samples. We thus 406 present in the following the probing rate together with the 407 three performance indicators.

408 A. Probing Rate

⁴⁰⁹ The probing rate for both Alice and Bob $F_P = f_{PA} = f_{PB}$ ⁴¹⁰ is considered the same for the purpose of channel estimates ⁴¹¹ collection. To achieve uncorrelated successive channel probes, ⁴¹² thus achieving highest entropy, successive probes have to be ⁴¹³ taken in different coherence regions. Thus, we must define ⁴¹⁴ $F_P \leq v_{\text{max}}$, where v_{max} is the maximum Doppler frequency ⁴¹⁵ shift [13]. Considering single bounce of multipath power onto ⁴¹⁶ mobile scatterers (e.g., other vehicles), it is defined as [13]

417
$$v_{\max} = \frac{f_c}{c} (u_{T\max} + u_{R\max} + 2u_{S\max})$$
 (2)

⁴¹⁸ where f_c is the carrier frequency, c the speed of light in free ⁴¹⁹ space, and $u_{T \max}$, $u_{R \max}$, and $u_{S \max}$ the maximum velocities ⁴²⁰ of transmitter, receiver, and mobile scatterers, respectively. In ⁴²¹ order to maximize the bit extraction rate, we should investigate ⁴²² the feasibility of defining F_P as equal to v_{\max} .

423 B. Entropy Measures

The de-facto metric which quantifies the uncertainty is the entropy of the generated bit string. The higher the entropy the limited the ability to deduce a secret key established by the limited the larger uncertainty introduced. Entropy per bit *i* is defined as [9]

$$H_i = -p_0 \log_2 p_0 - (1 - p_0) \log_2 (1 - p_0)$$
(3)

⁴³⁰ where p_0 the probability of having zero and $1 - p_0 = p_1$ ⁴³¹ the probability of having one. Ideally, we should have $p_0 =$ ⁴³² $p_1 = 0.5$. For independent bit sequences, the total entropy is ⁴³³ $H_{\text{total}} = \sum_{i=1}^{N} H_i$, where *N* is the total number of bits in a ⁴³⁴ sequence [52]. In an ideal case, $H_{\text{total}} = N$ bits.

C. Secret Bit Extraction Rate

The rate is measured in terms of the final secret-bits ⁴³⁶ extracted after error reconciliation and privacy amplification. ⁴³⁷ In practice, the secret bit extraction rate depends on the prob-⁴³⁸ ing rate from Alice and Bob and the number of secret bits per probing. The amount of secret bits extracted in a time vary-⁴⁴⁰ ing channel is influenced by the thresholding. Considering 0s and 1s to be generated with equal probabilities (after proper thresholding) the secret bit extraction rate will be R_k [15] ⁴⁴³

$$R_k = 2f_P p(A = 1, B = 1) \tag{4}$$

where p(A = 1, B = 1) is the joint probability of having 1 445 simultaneously at Alice's and Bob's bit strings. However, in 446 this paper we consider KGR as the number of symmetric keys 447 produced per unit time. 448

Usually BMR will be measured as a ratio of the number 450 of bits that do not match between Alice and Bob to the num- 451 ber of bits extracted at the thresholding stage often used as 452 a performance criterion for the quantization process [9]. The 453 BMR is measured immediately after the thresholding stage 454 because a single mismatch in the bitstring can render the secret 455 key unusable. BMR differs from the bit error rate (BER) in 456 communication theory, which represents the number of bits 457 received in error. The two reasons for bit mismatch are the 458 unavoidable inherent noise in any wireless communication link 459 and the violation of reciprocity due to hardware impairments. 460 As violation of nonreciprocity is compensated we are left with 461 the inherent noise as a unique problem. This noise will add 462 uncertainty to the transmitted bit strings given the received 463 bit strings. Ideally, both bit strings should have been identical. 464 The bit mismatch probability can be described as follows [15]: 465

$$P_N = 1 - (1 - p_e)^N \tag{5}$$
 466

479

where p_e will be the probability of a single erroneous bit $_{467}$ defined as [32] $_{468}$

$$p_e = P(B=0|A=1) = \frac{P(B=0, A=1)}{P(A=1)}$$
 (6) 469

where P(B = 0|A = 1) is the conditional probability of Bob's 470 bit being 0 when Alice's is 1. 471

IV. NONRECIPROCITY COMPENSATION AND 472 TC RECONCILIATION IN VANET 473

The key generation process presented in Fig. 2 considers 474 for error reconciliation the method presented in [15] and for 475 a first time TCs in a V2V environment. However, the input 476 data in our case are generated synthetically in order to comply 477 with V2V propagation settings. 478

A. V2V Channel Model

The synthetic simulated Bob's channel response is generated 480 by employing the Monte Carlo simulation method [53]. For 481 the V2V setting the theoretical channel model that needs to 482

compensation (Transmitter) using a single threshold generation (Monte-Carlo) Bit missmatch Change of identification Privacy ationarity reg and TC Amplification correction

Data Envelope

Optimised Quantisation

Non-reciprocity

Fig. 2. Algorithmic process for combined TC and NR compensation.

Synthetic

envelopes

ata Envelope

(Receiver)

⁴⁸³ be simulated has been described in detail in [13]. Thus Bob's 484 response in time domain is written as

485
$$G_B(t) = \sum_{l=1}^{L} |\alpha_l| \exp(j\phi_l) \exp(j2\pi u_l t).$$
(7)

⁴⁸⁶ The Doppler frequency u_l is determined by

487
$$u_l = v_{T,l} + u_{S,l} + u_{R,l}$$
 (8)

⁴⁸⁸ where $u_{T,l}$, $u_{S,l}$, and $u_{R,l}$ are the contributions due to Tx mobil-489 ity, scatterers' mobility, and Rx mobility, respectively. The ⁴⁹⁰ Doppler shift $u_{T(R),l}$ results from the departure (arrival) of the ⁴⁹¹ *l*th multipath component from the mobile Tx (to the mobile ⁴⁹² Rx). It is defined as [13]

493
$$u_{T(R),l} = u_{T(R)\max} \cos \beta_{T(R),l} \cos \alpha_{T(R),l}$$
 (9)

⁴⁹⁴ where $u_{T(R) \max} = v_{T(R)}/\lambda$, λ is the carrier wavelength, $u_{T(R)}$ is ⁴⁹⁵ the Tx (Rx) velocity, $\alpha_{T(R),l}$ is the azimuth angle of departure ⁴⁹⁶ (AOD) [angle of arrival (AOA)], and $\beta_{T(R),l}$ is the elevation 497 AOD (AOA) with respect to the Tx (Rx) motion. $\alpha_{T(R),l}$ counts 498 from the value $-\pi$ in the negative y-axis returning to the same ⁴⁹⁹ point in the clockwise direction and $\beta_{T(R),l}$ is zero on the X–Y 500 plane, $\pi/2$ on the positive z-axis and $-\pi/2$ on the negative z-axis. Considering interaction of the *l*th multipath compo-501 ⁵⁰² nent with a single mobile scatterer, the Doppler shift $v_{S,l}$ will 503 be [13]

$$u_{S,l} = (v_{S,l}/\lambda)(\cos\alpha_{l,l} + \cos\alpha_{2,l}) \tag{10}$$

⁵⁰⁵ where $v_{S,l}$ is the scatterer's velocity, $\alpha_{l,l}$ the AOA, and $\alpha_{2,l}$ the AOD with respect to scatterer's motion. 506

The target is to appropriately model each factor affecting 507 508 the V2V channel response, namely $\{|\alpha_l|\}, \{u_l\}, \{u_l\}, \{\phi_l\}$. In ⁵⁰⁹ this paper we consider a normalized (power equal to unity) 510 Rayleigh V2V channel with partially uniform 3-D scattering both Alice's and Bob's sides with a Weibull distribution at 511 the mobile scatterers' velocity. Rather than just a scenario 512 Of 513 for demonstration, the partially 3-D uniform scattering can 514 be further generalized to represent any multipath propagation 515 scenario [54] whereas the Weibull distribution for the multi-516 path power contributed by mobile scatterers has been proved 517 a suitable modeling approach [55]. Thus the scatterers veloc-518 ity, which in fact models the power contributed by mobile 519 scatterers, is defined as

$$p_{u_s} = w u_S^{b-1} \exp\left(-w u_S^b/b\right) \tag{11}$$

where $b \leq 1$ is the shape parameter and w the scale param- 521 eter. The amplitudes $|\alpha_1|$ are constant and phases ϕ_i are 522 uniformly distributed in $[-\pi, \pi]$, i.e., $|\alpha_l| = \sqrt{2/L}$ and 523 $\phi_l \sim U[-\pi,\pi]$ [53]. Each Doppler contribution of (7) has 524 the following parameters need to be modeled: azimuth AOD, 525 AOA $\alpha_{T(R),l} \sim U[A_{T(R)\min}, A_{T(R)\max}]$ elevation AOD (AOA) 526 $\beta_{T(R),l} \sim U[B_{T(R)\min}, B_{T(R)\max}]$, AOA to mobile scatterer 527 $\alpha_{1,l} \sim U[-\pi,\pi]$, AOD to mobile scatterer $\alpha_{2,l} \sim U[-\pi,\pi]$, 528 and power contributed by mobile scatterers $u_S \sim p_{u_s}(u_S)$. The 529 symbolism U[.,.] stands for the uniform distribution in the 530 designated interval. This scenario can approximate an urban 531 environment with other mobile vehicles and heavy scattering. 532

Yès

In order to simulate a purely diffuse Rayleigh environ- 533 ment we need at least seven sum of sinusoids such as those 534 seen in (7) [56]. For simulation purposes, we define L = 20. 535 The sampling/probing rate $F_p = 1/T_{c \min}$ where $T_{c \min} = 536$ $1/v_{\text{max}} = (c/f_c)/(u_{T \max} + u_{R \max} + 2u_{S \max})$ is the minimum 537 coherence in time and $u_{T \max}$, $u_{R \max}$, and $u_{S \max}$ are the max- 538 imum Doppler shifts due to mobile transmitter, receiver, and 539 scatterers, respectively. In this way, we secure that the channel 540 is mostly probed in different coherence regions, thus succes- 541 sive bits will be independent, resulting keys with maximum 542 entropy. Considering the maximum velocity of transmitter, 543 receiver, and scatters to be 30 m/s, frequency of operation 544 $f_c = 6$ GHz, the probing rate is calculated as $F_p = 2400$ sam- 545 ples per second. We can further reduce F_P , as $1/T_{c \min}$ is in 546 fact its upper bound, however doing so, will reduce the KGR, 547 resulting marginal improvement in the key entropy. The latter 548 is just our perception and further research is required; how- 549 ever, it goes beyond the scope of this article, which focuses on 550 the applicability of TCs at the information reconciliation stage 551 and potential performance improvement. A possible solution 552 might be to adapt $F_P = 1/T_{c \min}$ to fit in changes of the 553 coherence region due to variations in the propagation condi- 554 tions (e.g., more intense scatterers' mobility, more directional 555 propagation, etc.). 556

B. Algorithmic Process

Alice's channel response would normally arise by sim- 558 ilar channel probing rate in time instances such that hers 559 and Bob's responses are taken within the same coherence 560 region. However, to further improve performance, Alice's 561 response $G_A(t)$ will arise after applying the nonreciprocity 562

504

557

(14)

⁵⁶³ compensation model presented in [14]. Thus considering ⁵⁶⁴ M estimates within the same coherence region between ⁵⁶⁵ Alice and Bob, their channel responses are related as [14]

566
$$G_A(t) - G_B(t) \sim N(0, \sigma^2).$$
 (12)

567 The variance is estimated by the discrepancy of 568 Alice's and Bob's estimates as follows:

69
$$\sigma^2 = \frac{1}{M} \sum_{i=1}^{M} (G_{A,i}(t) - G_{B,i}(t) - \mu_t)^2$$
(13)

570 where

571

$$\mu_t = \frac{1}{M} \sum_{i=1}^{M} (G_{A,i}(t) - G_{B,i}(t)).$$

This method was presented in [15] where Alice and Bob 572 573 determine samples from channel estimates above and below 574 an upper and lower threshold discarding those in between, 575 i.e., lossy thresholding. We use this approach to compare it 576 against our TC correction process presented in Fig. 2. Those 577 estimates are samples in a form of an excursion. The quantiza-578 tion process creates segments of those samples (also referred 579 as excursions) of successive bit values of 1s and 0s. Each of 580 those segments are created whenever a channel probe returns a ⁵⁸¹ reading that does not fall inside the thresholds. Alice selects a ⁵⁸² random set of these segments and sends to Bob the index of the 583 channel estimate lying in the center of the segment defined as $i_{\text{center}} = \lfloor [(i_{\text{start}} + i_{\text{end}})/2] \rfloor$ as a list L_a . The number of chan-585 nel estimates are modeled in the simulation and the total size 586 for each segment has been setup to m = 5 successive esti-⁵⁸⁷ mates that fall outside the thresholds (acceptable estimates). 588 However, m is a configurable parameter of the algorithm that 589 combined with the quantization process affects the tradeoff 590 between KGR and bit miss-match probability. Indeed a larger value of *m* reduces the number of secret bits that can be gener-591 ⁵⁹² ated per second. Following implementation and testing in [15], ⁵⁹³ we define m = 5. For each index from Alice, Bob checks his 594 segments and verifies his samples centered around that index ⁵⁹⁵ above or below the thresholds q-, q+ matched with Alice and ⁵⁹⁶ generates a new list of those indices $L_b \leq L_a$. Bob sends L_b 597 over to Alice. Both Alice and Bob quantize their channel esti-⁵⁹⁸ mates at each index of L_b in order to generate the bit-string. 599 Thus, this method simultaneously accomplishes thresholding 600 and information reconciliation.

601 C. Results and Discussion

Part of the algorithmic operation is to develop an optimization subroutine to adaptively change the threshold as a function of the temporal variability of the channel. The optimization routine will consider several attributes such as multiclustered 3-D scattering, specular-reflected multipath components, and multiple bounces on mobile objects in dense propagation environments. Threshold selection has to be adopted dynamically to the temporal variations induced by the aforementioned effects. The thresholds should be refreshed after a specific amount of time over which the stationarity region has been crossed. We anticipate the refresh to take place every ten coherence regions due to the inherent nonstationarity of the V2V channel [13]. An alternative way to refresh the thresholding process could be to consider a Doppler spectrum correlation criterion. More specifically, considering the normalized Doppler spectrum as a probability distribution of Doppler frequencies, the Doppler correlation coefficient will be defined as

$$\rho(X, Y) = \frac{\operatorname{cov}(X, Y)}{\sigma_X \sigma_Y} \tag{15} \quad 620$$

where cov(X, Y) is the covariance of the X, Y normalized 621 Doppler spectra and σ_X, σ_Y are the standard deviations of X, 622 Y, respectively. When the correlation coefficient falls below 623 a specified threshold, e.g., the quantization and threshold- 624 ing process will be refreshed. The first phase of the routine 625 developed is the construction of the Synthetic data which 626 will be generated via Monte Carlo simulation taking into 627 account the number of multiple components, the sampling rate 628 and total number of samples. In the next stage the probed 629 received envelopes are generated considering an appropriately 630 defined probing rate in order to maximize the entropy in 631 the subsequent quantization step. From the received data, the 632 transmitted data are modeled by considering nonreciprocity 633 compensation. At this stage a lossy quantization process is 634 preferred due to its computational simplicity. The target is to 635 end up with a maximum secret bit extraction rate and entropy. 636 For that purpose, in the following step several runs should take 637 place considering the thresholds multiple pairs. A feasibility 638 study of both lossless and lossy quantization processes and 639 their applicability in V-V scenarios is an area for further inves- 640 tigation. We consider the transmission scenario between Alice 641 and Bob. The transmitter's samples are modeled by adopting 642 a CGC technique which compensates channel nonreciprocity. 643 This is done by adding a zero mean Gaussian variability to the 644 receivers samples. Thus, the input information sequence in the 645 TC represents the generated key for Bob, while the output of 646 the AWGN channel after turbo encoding designates the gen- 647 erated key for Alice. Then, turbo decoding is performed and 648 the performance of the reconciliation method can be evaluated 649 by measuring the BER and the KGR. 650

Bob's generated sequence after quantization is fed to the 651 input of a TC. During this process a single threshold is adopted 652 as a lossless quantization scheme with the potential to substan- 653 tially increase the KGR [32]. The threshold adopted in this 654 paper is static and equal to 1. However, an adaptive quan- 655 tization process related to the channel temporal variability 656 that updates the threshold at each stage is currently investi- 657 gated. Turbo decoding is then performed in order to generate 658 a symmetric output, i.e., symmetric keys for Alice and Bob. 659 Increasing the number of decoding iterations in TCs reduces 660 the BER, thus, improving the bit miss-match rate between 661 Alice and Bob. Furthermore, it would result to an increased 662 KGR at the expense of added computational complexity as 663 part of the turbo decoding process. In our algorithm, TCs 664 are simulated with a single iteration. Performance of the rec- 665 onciliation method can be evaluated by measuring the BMR 666 and to the BER in our case. The comparison is made against 667

 TABLE I

 TC Simulation Results in Secret Key Generation

Key Length	KGR (with	KGR (with In-
(bits)	TCs)	dexing [16])
128	35	3 to 7 keys/min
	keys/min	
256	17	2 to 5 keys/min
	keys/min	
512	8 keys/min	1 to 2 keys/min

TABLE II Comparison of BMR With Existing RSS-Based Approaches

Scheme	Design Approach	BMR
Patwari et al. [57]	RSS-based	0.482
Jana et al. [17]		$0 \sim 0.55$
Premnath et al. [6]		$0.02 \sim 0.24$
Croft et al. [58]		$0.01 \sim 0.07$
Zan et al. [7]		$0.005 \sim 0.02$
Mathur et al. [15]		0.22
Non-reciprocity compensation with TC (Our approach)		0.02

668 the sample indexing technique already applied in our algo-⁶⁶⁹ rithm as discussed in Section IV-B. We measure the efficiency 670 and efficacy of our algorithm against widely adopted metrics, namely entropy, bit miss-match rate, probing rate, and KGR. 671 We calculated BMR for the indexing method by considering 672 673 the discarded indexes after Alice's and Bob's channel prob-674 ing. In Table I we compute the KGR for different key lengths. 675 Compared to the samples' indexing method in [9], there was 676 a significant improvement on both BMR and KGR. The simu-677 lated BER to generate a symmetric shared key between Alice 678 and Bob after error reconciliation is estimated to only 0.0752 679 using TCs. Furthermore, the BMR with single thresholding only 0.02 whereas the estimated BMR with the indexing 680 is 681 technique is around 0.22 in both cases of static and mobile 682 scatterers. The KGR was also reported high considering dif-683 ferent key lengths requested. For instance, the secret key rate to 684 generate the 128-bit symmetric key is 35 good keys per minute 685 with TCs while it varies from 3 to 7 symmetric keys per minute with the indexing technique. As shown in Table I, simulations 686 687 proved similar improvements for different key lengths as part 688 of the error reconciliation process. Satisfactory entropy values were obtained throughout all rounds of simulation during the 690 key extraction process ranging from 0.85 to 0.97 bits per sam-⁶⁹¹ ple. Note that the BMR with the indexing technique is nearly 692 the same for different key lengths which is coherent with the ⁶⁹³ uniform method used by the authors. In Table II, we present a 694 comparison between the BMR achieved in our approach with 695 existing RSS-based approaches published in the literature.

V. CONCLUSION

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We successfully combined nonreciprocity compensation and TCs for information reconciliation as the most important features in V2V communication including 3-D scattering and scatterers' mobility. Findings from our evaluations indicated significant improvements were achieved in KGR with reduced BMR when TCs are employed against an existing indexing method. Our proposed technique can be used to secure communications between vehicular nodes in an *ad hoc* SIoT network, and this has applications in both civilian and adversarial/military context (e.g., Internet of Military Things and 706 Internet of Battlefield Things). 707

Future studies include the investigation of TCs for error conciliation purposes especially in the context of SIoT networks. ⁷⁰⁹ For example, we will focus on several parameters that affect ⁷¹⁰ performance of TCs such as component decoding algorithms, ⁷¹¹ number of decoding iterations, generator polynomials, constraint lengths of the component encoders and the interleaver ⁷¹³ type. Increasing the number of iterations in the TC can significantly improve the BER, thus generating more symmetric ⁷¹⁵ keys. Furthermore, we are working toward the single thresholding process by creating a dynamic threshold that is updated according to the receiver's samples. ⁷¹⁸

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He has served many years in a variety of research

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Incoming Fellow of cyber forensics and a Fellow of

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or co-authored numerous professional and peer-reviewed articles on topics, 980 including authentication methods, IoT forensics, cyberstalking, anonymity, 981 and steganography. 982 983

the Ph.D. degree in cyber security.

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and industrial positions.



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Dr. Choo was a recipient of the Cybersecurity 1001 Educator of the Year-APAC (Cybersecurity 1002 Excellence Awards are produced in cooperation 1003

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Dr. Kbaier Ben Ismail was a recipient of the award by two French lecturer 965 966 qualifications in two different fields in 2016, the IEEE Best Paper Award, and several productivity bonuses. She is a Fellow of the U.K. Higher Education 968 Academy and an Engineering Professors' Council Member.

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