1	An Amazonian rainforest and its fragments as a
2	laboratory of global change
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33

34 ABSTRACT

35 We synthesize findings from one of the world's largest and longest-running experimental investigations, the Biological Dynamics of Forest Fragments Project (BDFFP). Spanning an 36 37 area of ~1,000 km<sup>2</sup> in central Amazonia, the BDFFP was initially designed to evaluate the 38 effects of fragment area on rainforest biodiversity and ecological processes. However, over its 39 38-year history to date the project has far transcended its original mission, and now focuses 40 more broadly on landscape dynamics, forest regeneration, regional- and global-change phenomena, and their potential interactions and implications for Amazonian forest 41 42 conservation. The project has yielded a wealth of insights into the ecological and environmental changes in fragmented forests. For instance, many rainforest species are 43 44 naturally rare and hence are either missing entirely from many fragments or so sparsely 45 represented as to have little chance of long-term survival. Additionally, edge effects are a 46 prominent driver of fragment dynamics, strongly affecting forest microclimate, tree mortality, 47 carbon storage and a diversity of fauna.

Even within our controlled study area, the landscape has been highly dynamic: for example, the matrix of vegetation surrounding fragments has changed markedly over time, succeeding from large cattle pastures or forest clearcuts to secondary regrowth forest. This, in turn, has influenced the dynamics of plant and animal communities and their trajectories of change over time. In general, fauna and flora have responded differently to fragmentation: the most locally extinction-prone animal species are those that have both large area requirements and low tolerance of the modified habitats surrounding fragments, whereas the most vulnerable plants are those that respond poorly to edge effects or chronic forest disturbances, and that rely on vulnerable animals for seed dispersal or pollination.

57 Relative to intact forests, most fragments are hyperdynamic, with unstable or 58 fluctuating populations of species in response to a variety of external vicissitudes. Rare 59 weather events such as droughts, windstorms and floods have had strong impacts on 60 fragments and left lasting legacies of change. Both forest fragments and the intact forests in our study area appear to be influenced by larger-scale environmental drivers operating at 61 62 regional or global scales. These drivers are apparently increasing forest productivity and have 63 led to concerted, widespread increases in forest dynamics and plant growth, shifts in tree-64 community composition, and increases in liana (woody vine) abundance. Such large-scale 65 drivers are likely to interact synergistically with habitat fragmentation, exacerbating its effects for some species and ecological phenomena. Hence, the impacts of fragmentation on 66 67 Amazonian biodiversity and ecosystem processes appear to be a consequence not only of local site features but also of broader changes occurring at landscape, regional and even 68 69 global scales.

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*Key words*: Amazonia, biodiversity, carbon storage, climate change, drought, ecosystem
 services, edge effects, environmental synergisms, habitat fragmentation, nature reserves.

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#### 125 I. INTRODUCTION

126 The Biological Dynamics of Forest Fragments Project (BDFFP) is the world's largest and 127 longest-running experimental study of habitat fragmentation (Lovejoy et al., 1986; 128 Bierregaard et al., 1992; Laurance et al., 2002, 2011). Located in central Amazonia (Fig. 1), the BDFFP has evolved since its inception in 1979 into an epicenter for long-term research. 129 130 Beyond this, its research mission has gradually broadened to include not only forest 131 fragmentation but also studies of forest regeneration, landscape dynamics, climatic variation, 132 regional- and global-change phenomena and a variety of interdisciplinary research topics. 133 The BDFFP is strategically located at the heart of the Amazon, the world's largest 134 tropical forest. The Amazon itself lies at the intersection of key questions in global change, 135 both for research and for action. It is believed to be one of the major regions that will be most 136 impacted by projected climatic change (Salazar et al., 2007; Dai, 2012; IPCC, 2013; Nobre et 137 al., 2016). If effectively conserved and managed, the Amazon has the potential to contribute 138 markedly to efforts to limit climate change during the narrow window of time we have 139 remaining to avert 'dangerous' global warming (Fearnside, 2000, 2012; Houghton et al., 140 2015). Because of its enormous carbon-storage capacity, it is also one of the places on Earth 141 where sharply reducing greenhouse-gas emissions could be achieved by limiting forest loss 142 and degradation, thereby delivering great global benefits for humankind (Stickler et al., 143 2009).

144Today, the BDFFP is one of the most enduring, influential and highly cited145environmental investigations in the world (Gardner *et al.*, 2009; Peres *et al.*, 2010; Pitman *et al.*, 2011). Its wide-ranging research has involved hundreds of Brazilian and international146*al.*, 2011). Its wide-ranging research has involved hundreds of Brazilian and international147investigators and thousands of students and other trainees. Here we synthesize the148contributions of this singular project to the study of habitat fragmentation, including its149broader consequences for Amazonian ecosystems and biota. We emphasize that many of the

150 local impacts of fragmentation in the Amazon are being modified or exacerbated by

151 environmental changes occurring at wider landscape, regional and even global scales. We

assert that the effects of fragmentation cannot be fully understood without considering the

- 153 influence of these larger-scale phenomena.
- 154

### 155 II. LARGER-SCALE DRIVERS

#### 156 (1) Landscape-scale phenomena

157 The correlated processes of forest loss and fragmentation are among the greatest threats to

tropical biodiversity (Lovejoy et al., 1986; Ewers & Didham, 2006; Laurance & Peres, 2006;

159 Gibson *et al.*, 2011). Amazonia harbors more than half of the world's surviving tropical

160 forest, and is currently being altered by large-scale agriculture (Fearnside, 2001a; Gibbs *et al.*,

161 2010), industrial logging (Asner *et al.*, 2005), proliferating roads (Laurance *et al.*, 2001a;

162 Fearnside, 2002, 2007; Killeen, 2007), increasing biofuel production (Butler & Laurance,

163 2009), hydroelectric dams (Fearnside, 2016a) and oil, gas and mining developments (Finer *et al.*, 2008).

165 Large expanses of the Amazon have already been cleared, resulting in considerable fragmentation. By the early 1990s, the area of forest that was fragmented (<100 km<sup>2</sup>) or 166 167 vulnerable to edge effects (<1 km from edge) was over 150% greater than the area that had 168 been deforested (Skole & Tucker, 1993). From 1999 to 2002, deforestation and industrial 169 selective logging in Brazilian Amazonia, respectively, created ~32,000 and ~38,000 km of 170 new forest edge annually (Broadbent et al., 2008). Prevailing land uses in Amazonia, such as 171 cattle ranching and small-scale farming, typically produce landscapes dominated by small (<400 ha) and irregularly shaped forest fragments (Fig. 2)(Cochrane & Laurance, 2002; 172 173 Broadbent et al., 2008). Such fragments are especially vulnerable to a wide array of edge 174 effects and other external vicissitudes (Bierregaard et al., 1992; Laurance et al., 2002, 2011).

175 Changes in forest cover can have important effects on local climate and vegetation. 176 Habitat fragmentation can promote forest desiccation via phenomena such as the "vegetation 177 breeze" (Fig. 3). This occurs because fragmentation leads to the juxtaposition of cleared and 178 forested lands, which differ greatly in their physical characteristics. Air above forests is 179 cooled by evaporation and especially plant evapotranspiration, but such cooling is greatly 180 reduced above clearings (Avissar & Schmidt, 1998). As a result, the air above clearings heats 181 up and rises, reducing local air pressure and drawing moist air from the surrounding forests 182 into the clearing. As the rising air cools, its moisture condenses into convective clouds that 183 can produce rainfall over the clearing (Avissar & Liu, 1996). The air is then recycled—as 184 cool, dry air—back over the forest. In this way, clearings of a few hundred hectares or more 185 can draw moisture away from nearby forests (Laurance, 2004a; Cochrane & Laurance, 2008; 186 Nobre et al., 2016). In eastern Amazonia, satellite observations of canopy-water content 187 suggest such desiccating effects can penetrate from 1.0-2.7 km into fragmented forests (Briant 188 et al., 2010). This moisture-robbing function of clearings, in concert with frequent burning in 189 adjoining pastures, could help to explain why fragmented forests are so vulnerable to 190 destructive, edge-related fires (Cochrane & Laurance, 2002, 2008; Barlow et al., 2006).

191

### 192 (2) Regional-scale phenomena

Extensive forest clearing reduces the rate of evapotranspiration because pasture grasses and
croplands have far less leaf area and shallower roots than do rainforests (Jipp *et al.*, 1998). At
regional scales, declining evapotranspiration could reduce rainfall and cloud cover and
increase albedo and soil-surface temperatures. Moisture recycling via evapotranspiration is
exceptionally important in the hydrological regime of the Amazon (Salati & Vose, 1984;
Eltahir & Bras, 1994), especially during the dry season (Malhi *et al.*, 2008), because the forest
is both vast and far from the nearest ocean.

200 However, the regional consequences of large-scale deforestation are far from fully 201 understood. Some modeling studies suggest that Amazonian deforestation could reduce basin-202 wide precipitation by roughly 20-30%, but these estimates rely on a simplistic assumption of 203 complete, uniform forest clearing (e.g. Nobre et al., 1991; Dickinson & Kennedy, 1992; Lean 204 & Rowntree, 1993). Model results based on actual (circa 1988) deforestation patterns in 205 Brazilian Amazonia have been less dramatic, with deforested regions predicted to experience 206 modest (6-8%) declines in rainfall, moderate (18-33%) reductions in evapotranspiration, 207 higher soil-surface temperatures and greater windspeeds (from reduced surface drag), which 208 could affect moisture convergence and circulation (Walker et al., 1995; Sud et al., 1996). It is 209 even possible that moderate forest loss and fragmentation could *increase* net regional 210 precipitation in the near term, as a result of increasing convectional storms driven by 211 vegetation breezes, although the main effect would be to remove moisture from forests and 212 redistribute it over adjoining clearings. The greatest concern is that if deforestation reaches 213 some critical threshold (see below), Amazonian rainfall might decline abruptly as the regional 214 hydrological system collapses (Avissar et al., 2002; Nobre et al., 2016). 215 Massive smoke plumes produced by forest and pasture fires cause two additional 216 effects of forest loss. Smoke hypersaturates the atmosphere with cloud condensation nuclei 217 (microscopic particles in aerosol form) that bind with airborne water molecules and thereby 218 inhibit the formation of raindrops (Rosenfeld, 1999). In addition, by absorbing solar radiation, 219 smoke plumes warm the atmosphere, inhibiting cloud formation. As a result of these two 220 effects, large fires can create rain shadows that extend for hundreds or even thousands of 221 kilometers downwind (Freitas et al., 2000). This can be a serious threat to forests because 222 tropical fires are lit during the critical dry-season months, when plants are already moisture 223 stressed and most vulnerable to fire.

#### 225 (3) Global-change phenomena

226 How will global-change drivers affect the Amazon? Although model predictions for future 227 climates in Amazonia vary considerably, it is generally expected that parts of the basin will 228 become hotter and drier under projected global warming (IPCC, 2013; Nobre et al., 2016). 229 What this portends for the Amazon is a matter of some controversy. Earlier studies assuming 230 CO<sub>2</sub> concentrations about twice those in the pre-industrial atmosphere, notably by the UK 231 Hadley Centre, projected disastrous forest die-offs (Cox et al., 2000, 2004). However, this 232 conclusion has now been countered by new models from the same research group, suggesting 233 the Amazon forest will remain almost entirely intact at up to four times pre-industrial CO<sub>2</sub> 234 levels (Cox et al., 2013; Good et al., 2013; Huntingford et al., 2013). The main difference is 235 that the newer models include CO<sub>2</sub>-fertilization effects (Kimball et al., 1993), which are 236 assumed to increase plant growth and water-use efficiency. This is because the higher 237 atmospheric CO<sub>2</sub> concentration should allow plants to conserve water by decreasing the 238 duration of stomatal-opening periods while still taking in adequate CO<sub>2</sub> for photosynthesis. 239 Other global-change phenomena, such as extreme climatic events, could also 240 potentially have important impacts. For instance, droughts in the Amazon are normally 241 associated with El Niño events and are strongest in the southern, eastern and north-central 242 Amazon—areas of the basin that already experience pronounced dry seasons. However, 243 severe droughts in 2005 and 2010 arose from a completely different cause—exceptionally 244 high Atlantic sea-surface temperatures, which caused the rain-bearing inter-tropical 245 convergence zone to shift northward (Lewis et al., 2011). The resulting droughts affected not 246 just the drier, more seasonal parts of the basin but also its wettest areas in central and western 247 Amazonia. Because plant species in these wet areas are adapted to perennially humid 248 conditions, the new droughts caused massive plant mortality, killing tens of millions of trees 249 while releasing several billion tonnes of atmospheric carbon emissions (Lewis et al., 2011;

250 Marengo *et al.*, 2012). With mounting evidence that climatic extremes could become more

frequent and intense in a warming world (Vera et al., 2006; Herring et al., 2015; Jiménez-

252 Muñoz et al., 2016), could the Amazon be driven into a new kind of climatic dynamic—one

- 253 for which its ecosystems and biodiversity are poorly adapted?
- 254

#### 255 III. STUDY AREA AND KEY DATASETS

#### 256 (1) Study area

The experimental landscape of the BDFFP spans  $\sim 1000 \text{ km}^2$  in area and is located 80 km 257 258 north of Manaus, Brazil. The topography is relatively flat (80-160 m elevation) but dissected 259 by numerous stream gullies. The heavily weathered, nutrient-poor soils of the study area are 260 typical of large expanses of the Amazon Basin. Rainfall ranges from 1900 to 3500 mm 261 annually with a moderately strong dry season from June to October. The forest canopy is 30-262 37 m tall, with emergent trees to 55 m. Species richness of trees ( $\geq 10$  cm diameter at breast height) often exceeds 280 species ha<sup>-1</sup>, which is among the highest known tree diversity in the 263 264 world (Oliveira & Mori, 1999; S. G. Laurance et al., 2010b). Comparably high levels of 265 diversity are seen in many other plant and animal taxa.

266 The study area includes three large cattle ranches (~5000 ha each) containing 11 forest 267 fragments (five of 1 ha, four of 10 ha and two of 100 ha), and large expanses of nearby 268 continuous forest that serve as experimental controls (Fig. 1). In the early 1980s, the 269 fragments were isolated from nearby intact forest by distances of 80-650 m through clearing 270 and burning of the surrounding forest. A key advantage was that pre-fragmentation censuses 271 were conducted for many animal and plant groups (e.g. trees, understory birds, small 272 mammals, primates, frogs, many invertebrate taxa), thereby allowing long-term changes in 273 these groups to be assessed far more confidently than in most other fragmentation studies. 274 Because of poor soils and low productivity, the ranches surrounding the BDFFP

275 fragments were largely abandoned, especially after government fiscal incentives dried up 276 from 1988 onwards. Secondary forests-initially dominated by Vismia spp. in areas that were 277 cleared and burned, and by *Cecropia* spp. in areas that were cleared without fire—proliferated 278 in many formerly forested areas (Mesquita et al., 2001). Some regenerating areas initially dominated by *Cecropia* later grew into structurally complex (>20 m tall), species-rich 279 secondary forests (Longworth et al., 2014). Vismia-dominated regrowth, however, which is 280 281 relatively species poor, is maturing far more slowly (Norden et al., 2011; Williamson et al., 282 2014).

To help maintain isolation of the experimental fragments, 100 m-wide strips of regrowth were cleared and burned around each fragment on 4-5 occasions, most recently in 2013-2014. However, human disturbances that affect many fragmented landscapes in the Amazon, such as major fires, logging and hunting (Michalski & Peres, 2005), are largely prevented at the BDFFP.

288

#### 289 (2) Unique datasets

290 The BDFFP sustains some of the longest-running and highest-quality environmental datasets 291 in the Amazon. This includes a network of 69 1-ha forest-dynamics plots arrayed across intact 292 and fragmented forests in the study area, which has been monitored since the early 1980s, and 293 a permanent 25-ha plot in intact forest established in 2005. These plots have made important 294 contributions to reducing uncertainties in biomass and carbon-storage estimates for the 295 Amazon (e.g. Phillips et al., 1998; Baker et al., 2004; Nascimento & Laurance, 2002). For 296 example, in comparison to the 3000 1-ha plots surveyed by the RADAMBRASIL Project 297 (Nogueira et al., 2008, 2015), the BDFFP plots include data on nearly all other forest 298 components such as smaller (1-30 cm diameter) trees, palms, lianas, strangler figs, understory 299 vegetation and dead biomass (Nascimento & Laurance, 2002, 2004). These data allow one to

assess spatial variability in aboveground biomass with a high degree of confidence. For example, the aboveground biomass of trees varies considerably among the 69 1-ha plots in the BDFFP landscape (mean  $\pm$  SD = 356  $\pm$  47 Mg ha<sup>-1</sup>; Laurance *et al.*, 1999). This high variability demonstrates a need for many plots that are spatially stratified, rather than only a few plots of 1 ha or smaller scattered irregularly around the Amazon, for calibrating satellite imagery for biomass mapping, and for estimating greenhouse-gas emissions from ongoing deforestation (see Fearnside, 2016b).

307 Floristic data from the BDFFP are exceptional for their high quality of species 308 identifications, allowing better matching with plant functional and phylogenetic traits such as 309 wood density and tree form (e.g., Fearnside, 1997; Nogueira et al., 2005, 2007; Chave et al., 310 2006; Souza et al., 2016). Given their broad spatial extent and temporal depth, these data have 311 also contributed to knowledge of the diversity of Amazonian plant species and their 312 relationships to soil texture and chemistry, topography, forest dynamics and climatic variables 313 at both landscape and regional scales (e.g. Bohlman et al., 2008; S. G. Laurance et al., 2009, 314 2010a, 2010b; ter Steege et al., 2013). Biodiversity and ecosystem processes represent part of 315 what is lost when the forest is destroyed or degraded. Understanding these processes is 316 essential for assessing not only the vulnerability of forests, but also their potential resilience 317 in the face of global change and their rates of recovery following various perturbations 318 (Williamson et al., 2014; Souza et al., 2016). Datasets for a number of faunal groups, such as 319 birds, amphibians, primates and major invertebrate taxa, are of comparable quality and 320 duration.

321

## 322 IV. CHANGES IN INTACT FORESTS

323 (1) Unexpected trends

324 As part of its original mission to assess long-term changes in fragmented forests, the BDFFP 325 has two types of experimental controls (Lovejoy et al., 1986; Bierregaard et al., 1992). The 326 first is that standardized censuses of many plant and animal taxa were conducted in each 327 experimental fragment before it was isolated from the surrounding forest. The second is that 328 dozens of 'control' sites in nearby intact forests have been monitored for up to 38 years, to 329 assess the temporal dynamics of these sites. The intact-forest sites were expected to vary 330 randomly over time or respond to occasional vicissitudes such as droughts, but not to change 331 over time in a directional manner.

332 A major surprise, however, is that the BDFFP controls have changed in several 333 concerted ways (Laurance et al., 2014b). Before interpreting how fragmentation has altered 334 ecological communities in the BDFFP, it is first important to identify how the intact-forest 335 sites have changed—as these widespread effects are presumably altering the forest fragments 336 as well. The long-term monitoring of tens of thousands of trees and populations of many other 337 plant and animal groups has allowed researchers to identify synchronous changes in the 338 undisturbed forests at the intact sites-and to attempt to infer their environmental causes. 339 How have the intact forests changed? Over the past 2-3 decades, we have found that 340 (1) forest dynamics (tree mortality and recruitment) have accelerated significantly over time 341 (Laurance et al., 2004a, 2014b; S. G. Laurance et al., 2009); (2) tree-community composition 342 has shifted, generally in favor of faster-growing canopy trees and against shade-tolerant 343 subcanopy trees (Laurance et al., 2004a, 2005); (3) growth rates have increased for the large 344 majority (84%) of tree genera in our study area (Fig. 4)(Laurance et al., 2004a); (4) 345 aboveground tree biomass has increased significantly over time (although tree-stem numbers 346 have not changed significantly; S. G. Laurance et al., 2009); and (5) lianas have increased 347 markedly in abundance (Fig. 5)(Laurance et al. 2014a, 2014b).

#### 349 (2) Potential environmental drivers

350 Why are the intact forests changing? The causes of such changes are incompletely understood 351 (Lewis et al., 2004a, 2009a) and often controversial (Clark, 2004; Fearnside 2004). 352 Nonetheless, the trends we detected appear broadly consistent with those observed elsewhere 353 in many Amazonian (Phillips & Gentry, 1994; Phillips et al., 1998, 2002; Baker et al., 2004; 354 Lewis et al., 2004b; Schnitzer & Bongers, 2011) and African (Lewis et al., 2009a) tropical 355 forests. These trends are consistent with ecological patterns expected from rising forest 356 productivity—including faster plant growth, increasing forest biomass, intensifying 357 competition leading to greater plant mortality and turnover, and increasing abundances of 358 plant species that can attain high growth rates or are advantaged in dynamic forests (Laurance 359 et al., 2004a; Lewis et al., 2004b, 2009a).

The most frequently invoked driver of rising tropical forest productivity is  $CO_2$ fertilization (e.g. Lewis *et al.*, 2004a, 2009b), presumably because many plants show faster growth under enriched  $CO_2$  (Oberbauer *et al.*, 1985; Granados & Körner, 2002; Körner 2004) and because atmospheric  $CO_2$  levels have risen rapidly, especially in recent decades. This view is supported by compelling evidence of a large carbon sink in the biosphere (Ballantyne *et al.*, 2013), a substantial part of which appears to be on land (Sarmiento *et al.*, 2010) and in the tropics (Lewis *et al.*, 2009b; Huntingford *et al.*, 2013).

Other explanations for the rising productivity, however, are not implausible. For instance, droughts can influence forest dynamics and composition and appear to be increasing in parts of the Amazon (Lewis *et al.*, 2009b; Marengo *et al.*, 2011; Chou *et al.*, 2013; Fu *et al.*, 2013). The increase in forest dynamics we observed in intact forests appears to be driven primarily by rising tree mortality, with recruitment and growth often lagging behind periods of high mortality. These mortality pulses are positively associated with several factors, including El Niño droughts and increasing rainfall seasonality (S. G. Laurance *et al.*, 2009). Additionally, multi-decadal shifts in solar radiation or cloudiness could potentially increase forest productivity, although evidence for such shifts in the tropics is limited (Lewis *et al.*, 2009b). Recovery from past disturbance has also been hypothesized to underlay changes at some tropical forest sites, but there is no evidence of widespread disturbance in our study area (Laurance *et al.*, 2004a, 2005) aside from charcoal fragments that are at least four centuries old (Bassini & Becker, 1990; Fearnside & Leal Filho, 2001), possibly indicating major fires during past mega-El Niño events (Meggers, 1994).

381 The notable increases in liana abundance in our intact forests (Laurance et al., 2014a) 382 might arise because lianas appear to exploit rising CO<sub>2</sub> concentrations and drier conditions 383 more effectively than do trees (Condon et al., 1992; Granados & Körner, 2002; but see 384 Marvin et al., 2015). Trees with heavy liana infestations are known to exhibit elevated 385 mortality and reduced growth (Ingwell et al., 2010). Notably, in our study area, liana 386 abundance is strongly and negatively correlated with live tree biomass (Fig. 6)(Laurance et 387 al., 2001b). Liana increases over time have also been observed in tropical forests in western 388 Amazonia, the Guianas, Central America and elsewhere (Schnitzer & Bongers, 2011), with 389 rising atmospheric  $CO_2$  and possibly increasing drought being the most frequent explanations 390 (see Laurance et al., 2014a and references therein). This potentially negative effect of CO<sub>2</sub> 391 enrichment on forest biomass via increasing liana infestations is not included in the latest 392 Hadley Centre models (Cox et al., 2013; Good et al., 2013; Huntingford et al., 2013), and 393 could cancel out some of the carbon-storage benefits suggested for a high-CO<sub>2</sub> future (Körner, 394 2004, 2017).

Hence, for whatever the reason or reasons, it is apparent that the intact forests in our study area are changing in a variety of ways. Such changes are likely to interact with, and potentially complicate or amplify, the impacts of fragmentation on tropical forest communities. 399

# 400 **V. CONSEQUENCES OF FRAGMENT SIZE** 401 The BDFFP's original mission focuses on assessing the effects of fragment area on 402 Amazonian forests and fauna, and on key ecological and ecosystem processes. Here we 403 summarize major findings and conservation lessons that have been gleaned to date. 404 405 (1) Sample effects 406 Many species in Amazonian forests are rare or patchily distributed. This phenomenon is 407 especially pronounced in the large expanses of the basin that overlay heavily weathered, 408 nutrient-poor soils (e.g. Radtke et al., 2008). In such areas resources such as fruits, flowers 409 and nectar are typically scarce and plants are heavily defended against herbivore attack 410 (Laurance, 2001). 411 Herein lies a key implication for understanding forest fragmentation: given their rarity, 412 many species may be absent from fragments not because their populations have vanished, but 413 because they were simply not present at the time of fragment creation-a phenomenon termed 414 the 'sample effect' (Wilcox & Murphy, 1985). Such sample effects are the hypothesized 415 explanation for the absence of many rare understory bird species from fragments (Ferraz et 416 al., 2007). In addition, many beetles (Didham et al., 1998a), bats (Sampaio, et al., 2003; 417 Farneda et al., 2015; Meyer et al., 2015; Rocha et al., 2016), ant-defended plants (Bruna, et 418 al., 2005) and trees (Bohlman et al., 2008; Laurance et al., 2010b) at the BDFFP exhibit high 419 levels of rarity, habitat specialization or patchiness. 420 421 (2) Area effects 422 Understanding fragment-area effects has long been a central goal of the BDFFP (Lovejoy &

423 Oren, 1981; Lovejoy *et al.*, 1984, 1986; Pimm, 1998). The species richness of many

424 organisms declines with decreasing fragment area, even with constant sampling effort across 425 all fragments. Such declines are evident in leaf bryophytes (Zartman, 2003), tree seedlings 426 (Benítez-Malvido & Martinez-Ramos, 2003a), palms (Scariot, 1999), understory 427 insectivorous birds (Stratford & Stouffer, 1999; Ferraz et al., 2007), bats (Sampaio, 2000; 428 Rocha et al., 2016), primates (Gilbert & Setz, 2001; Boyle & Smith, 2010a) and larger 429 herbivorous mammals (Timo 2003), among others. For such groups, smaller fragments (<100 430 ha) are often unable to support viable populations. A few groups, such as ant-defended plants 431 and their ant mutualists, show no significant decline in diversity with fragment area (Bruna, et 432 al., 2005).

433 Fragment size also influences the rate of species losses, with smaller fragments losing 434 species more quickly (Lovejoy et al., 1986; Stouffer et al., 2008). Assuming that the 435 surrounding matrix is hostile to bird movements and precludes colonization, Ferraz et al. 436 (2003) estimated that a 1000-fold increase in fragment area would be needed to slow the rate 437 of local species extinctions by 10-fold. Even a fragment of 10,000 ha in area would be 438 expected to lose a substantial part of its bird fauna within one century (Ferraz et al., 2003). 439 Similarly, long-term mark-recapture studies suggest that very large fragments will be needed to maintain fully intact assemblages of certain faunal groups, such as ant-following birds, 440 441 which forage over large areas of forest (Van Houtan et al., 2007).

442

### 443 VI. EDGE EFFECTS

An important insight from the BDFFP is the extent to which edge effects—physical and biotic
changes associated with the abrupt, artificial margins of habitat fragments—influence the
dynamics and composition of plant and animal communities. Here we summarize key
findings from this work.

#### 449 (1) Forest hydrology

450 The hydrological regimes of fragmented landscapes differ markedly from those of intact

451 forest (Kapos, 1989; Kapos *et al.*, 1993). Pastures or crops surrounding fragments have much

- 452 lower rates of evapotranspiration than do forests, causing such areas to be hotter and drier
- 453 than forests (Camargo & Kapos, 1995). Field observations and heat-flux simulations suggest
- that desiccating conditions can penetrate up to 100-200 m into fragments from adjoining
- 455 clearings (Malcolm, 1998; Didham & Lawton, 1999). Further, streams in fragmented
- 456 landscapes experience greater temporal variation in flow rate than do those in forests, because
- 457 clearings surrounding fragments have less evapotranspiration and rainfall interception and
- 458 absorption by vegetation (Trancoso, 2008). Rapid runoff promotes localized flooding in the
- 459 wet season and stream failure in the dry season, with potentially important impacts on aquatic
- 460 invertebrates (Nessimian *et al.*, 2008) and fish assemblages.
- 461
- 462 (2) Striking diversity of edge effects

At least over the first 3-4 decades after isolation, edge effects have been among the most
important drivers of ecological change in the BDFFP fragments. The distance to which
different edge effects penetrate into fragments varies widely, ranging from 10-300 m at the
BDFFP (Laurance *et al.*, 2002) and considerably further (at least 2-3 km) in areas of the
Amazon where edge-related fires are common (Cochrane & Laurance, 2002, 2008; Briant *et al.*, 2010).

Edge phenomena are remarkably diverse (Fig. 7). They include increased desiccation
stress, wind shear and wind turbulence that sharply elevate rates of tree mortality and damage
(Laurance *et al.*, 1997, 1998a). These in turn cause wide-ranging alterations in the community
composition of trees (Laurance *et al.*, 2000, 2006a, 2006b) and lianas (Laurance *et al.*,
2001b). Such stresses may also reduce germination (Bruna 1999) and establishment (Uriarte

*et al.*, 2010) of shade-tolerant plant species in fragments, leading to dramatic changes in the
composition and abundance of tree seedlings (Benítez-Malvido, 1998; Benítez-Malvido &
Martinez-Ramos, 2003a).

477 Many animal groups, such as numerous bees, wasps, flies (Fowler et al., 1993), 478 beetles (Didham et al., 1998a, 1998b), ants (Carvalho & Vasconcelos, 1999), butterflies 479 (Brown & Hutchings, 1997), understory birds (Quintela, 1985; S. G. Laurance, 2004) and 480 gleaning predatory bats (Rocha, 2016; Rocha et al., 2016), decline in abundance near forest 481 edges. Edge habitats of continuous forest and larger fragments (100 ha) have fewer species of 482 bats and higher levels of dominance by a few common species (Rocha, 2016; Rocha et al., 483 2016). Negative edge effects are apparent even along narrow forest roads (20-30 m width). 484 Among understory birds, for example, five of eight foraging guilds declined significantly in 485 abundance within 70 m of narrow roads, evidently in response to increased light and forest 486 disturbance near road edges (Laurance, 2004b).

487 Some groups of organisms remain stable or even increase in abundance near edges. 488 Leaf bryophytes (Zartman & Nascimento, 2006), wandering spiders (Ctenus spp; Rego et al., 489 2007; Mestre & Gasnier, 2008) and many frogs (Gascon, 1993) displayed no significant 490 response to edges. Organisms that favor forest ecotones or disturbances, such as many species 491 of gap-favoring and frugivorous birds (Laurance, 2004b), hummingbirds (Stouffer & 492 Bierregaard, 1995a), frugivorous bats that exploit early successional plants (Sampaio, 2000, 493 Rocha et al., 2016), light-loving butterflies (Leidner et al., 2010) and fast-growing lianas 494 (Laurance et al., 2001b), increase in abundance near edges, sometimes dramatically. 495

496 (3) Impacts of multiple edges

497BDFFP research demonstrates that plots near two or more edges suffer more severe edge

498 effects than do those near just one edge (Fig. 8). This conclusion is supported by studies of

499 edge-related changes in forest microclimate (Kapos, 1989; Malcolm, 1998), vegetation structure (Malcolm 1994), tree mortality (Laurance et al., 2006a), abundance and species 500 501 richness of tree seedlings (Benítez-Malvido, 1998; Benítez-Malvido & Martinez-Ramos, 502 2003a), liana abundance (Laurance et al., 2001b) and the density and diversity of disturbance-503 loving pioneer trees (Laurance et al., 2006a, 2006b, 2007). The additive effects of nearby 504 edges probably help to explain why small (<10 ha) or irregularly shaped forest remnants are 505 often so severely altered by forest fragmentation (Zartman, 2003; Laurance et al., 2006a). 506 Some fauna are likewise sensitive to multiple edges. For instance, the number of nearby forest 507 edges was found to be an important predictor of local bat abundance (Rocha et al., 2016).

508

# 509 (4) Effects of edge age and adjoining vegetation

510 When a forest edge is newly created, it is open to fluxes of wind, heat and light, creating 511 sharp edge-interior gradients in forest microclimate that stress or kill many rainforest trees (Lovejoy et al., 1986; Sizer & Tanner, 1999). As the edge ages, however, proliferating vines 512 513 and lateral branch growth tend to 'seal' the edge, making it less permeable to microclimatic 514 changes (Camargo & Kapos, 1995; Didham & Lawton, 1999). Tree death from microclimatic 515 stress is likely to decline over the first few years after edge creation (D'Angelo et al., 2004) as 516 the edge becomes less permeable, because many drought-sensitive individuals die 517 immediately and because surviving trees may acclimate to drier, hotter conditions near the 518 edge (Laurance *et al.*, 2006a). Tree mortality from wind turbulence, however, probably 519 increases as the edge ages and becomes more closed because, as suggested by wind-tunnel 520 models, downwind turbulence increases if edges are less permeable (Laurance, 2004a). 521 Regrowth forest adjoining fragment edges can also lessen edge-effect intensity. 522 Microclimatic changes (Didham & Lawton, 1999), tree mortality (Mesquita et al., 1999) and 523 edge avoidance by understory birds (Develey & Stouffer, 2001; Laurance, 2004b, S. G.

Laurance *et al.*, 2004) and gleaning animal-eating bats (Sampaio, 2000; Meyer *et al.*, 2016; Rocha, 2016; Rocha *et al.*, 2016) are all reduced when forest edges are buffered by adjoining regrowth forest, relative to edges bordered by cattle pastures. Mature regrowth can be particularly benign for some fauna; for example, diverse assemblages of aerial-feeding insectivorous bats showed similar activity patterns in primary forest and in adjoining 30-yearold secondary forests (Navarro, 2014).

530

## 531 VII. FOREST ISOLATION AND THE MATRIX

Unlike true islands encircled by water, habitat fragments are surrounded by a matrix of
modified vegetation that can be highly variable in space and time. Here we highlight key
factors that can influence the matrix and how, in turn, the matrix influences fragment
dynamics and composition.

536

## 537 (1) Matrix structure and composition

538 The BDFFP landscape has experienced considerable dynamism over time. In particular,

secondary forests have gradually overgrown most pastures in the study area. This regrowth

540 lessens the effects of fragmentation for some species, with the matrix becoming less hostile to

faunal use and movements. Several species of insectivorous birds that had formerly

542 disappeared from fragments have recolonized them as surrounding secondary forests

543 regenerated (Stouffer & Bierregaard, 1995b; Stouffer *et al.*, 2011). The rate of local

544 extinctions of birds has also declined (Stouffer *et al.*, 2008).

545 The regenerating forest in the matrix now permits fragments as small as 100 ha to

546 support bird and bat assemblages similar to those in continuous forest (Wolfe *et al.*, 2015;

547 Rocha *et al.*, 2016). For bats, matrix recovery has resulted in marked compositional changes

548 in fragments and shifts in the rank order of the most abundant species (Meyer *et al.*, 2016;

Rocha, 2016). Gleaning animal-eating bats, which formerly occurred at low abundances in
fragments (Sampaio, 2000) and young regrowth (Bobrowiec & Gribel, 2010), have increased
over the past 10-15 years as the surrounding regrowth has expanded and matured (Meyer *et al.*, 2016; Rocha, 2016; Rocha *et al.*, 2016). A number of other species, including certain
forest spiders (Mestre & Gasnier, 2008), dung beetles (Quintero & Roslin, 2005), euglossine
bees (Becker *et al.*, 1991) and monkeys such as red howlers, bearded sakis and brown
capuchins (Boyle & Smith, 2010a), have also recolonized some of the fragments.

556 The surrounding matrix also has a strong effect on plant communities in fragments by 557 reducing edge effects (see above), influencing the movements of pollinators (Dick, 2001; 558 Dick et al., 2003) and seed dispersers (Jorge, 2008; Bobrowiec & Gribel, 2010; Boyle & 559 Smith, 2010a) and strongly influencing the seed rain that arrives in fragments. For instance, 560 pioneer trees regenerating in fragments differed strikingly in composition between fragments 561 surrounded by Cecropia-dominated regrowth and those encircled by Vismia-dominated 562 regrowth (Nascimento et al., 2006). In this way plant and animal communities in fragments 563 may increasingly tend to mirror the composition of the surrounding matrix (Laurance et al., 564 2006a, 2006b), a phenomenon observed elsewhere in the tropics (Janzen, 1983; Diamond et 565 al., 1987; Laurance, 1991).

566

## 567 (2) Factors influencing the matrix

Land-use history is a key driver of secondary succession in Amazonia, resulting in distinct
trajectories of regeneration that differ in structure, composition, biomass and dynamics
(Mesquita *et al.*, 1999; Williamson *et al.*, 2014). The recurring use of fire to maintain pastures
reduces regenerative potential, leaving lands dominated by scrubby trees in the genus *Vismia*,
which are prodigious resprouters that stall succession by inhibiting growth of other tree
species (Jakovac *et al.*, 2015). Compared to slash-and-burn agriculture, vegetation biomass

recovers much more slowly in lands previously used as pasture, which is currently the
predominant land use in Amazonia (Wandelli & Fearnside, 2015). However, where land and
fire use has been less intensive, a more diverse vegetation dominated by the genus *Cecropia*fosters relatively rapid plant succession (Longworth *et al.*, 2014).

578 In regenerating forests, plant density and species diversity both decline with distance 579 from primary forest, and also differ between Vismia- and Cecropia-dominated regrowth. 580 These differences were initially attributed to differential seed-dispersal limitations (Mesquita 581 et al., 2001; Puerta, 2002). However, it now appears that the seed rains are similar in both 582 types of regrowth and are strongly dominated by pioneer species (Wieland et al., 2011). This 583 suggests that birds and bats, the primary seed dispersers, are feeding mainly in regrowth and 584 rarely transporting primary-forest seeds into the regrowth. Instead, the legacy of past land use 585 endures as abandoned pastures—especially those dominated by Vismia—remain depauperate 586 for at least a quarter of a century (Massoca et al., 2013; Mesquita et al., 2015).

587

## 588 (3) Narrow forest clearings

589 Many Amazonian species avoid forest clearings, even those that are surprisingly narrow. A 590 number of understory insectivorous birds exhibit depressed abundances near roads of just 20-591 40 m width (S. G. Laurance, 2004b) and their rate of movements across those roads is 592 strongly reduced (S. G. Laurance et al., 2004). Experimental translocations of resident adult 593 birds reveal that such species can be compelled to cross a highway (50-75 m width) but not a 594 small pasture (250 m width) to return to their territory (Laurance & Gomez, 2005). 595 Individuals of some other vulnerable bird species, however, have traversed clearings to escape 596 from small fragments to larger forest areas (Harper, 1989; Van Houtan et al., 2007). Captures 597 of understory birds declined dramatically in fragments when a 100 m-wide swath of regrowth 598 forest was cleared around them, suggesting that species willing to traverse regrowth had a

599 strong aversion to such clearings (Stouffer et al., 2006).

Aside from birds, clearings of just 100-200 m width can evidently reduce or halt the 600 601 movements of many forest-dependent organisms (Laurance *et al.*, 2009), ranging from 602 herbivorous insects (Fáveri et al., 2008), euglossine bees (Powell & Powell, 1987) and dung 603 beetles (Klein, 1989) to the spores of epiphyllous lichens (Zartman & Nascimento, 2006; 604 Zartman & Shaw, 2006). Narrow clearings can also provide invasion corridors into forests for 605 exotic and non-forest species (Gascon et al., 1999; Laurance et al., 2009). 606

#### 607 **VIII. DYNAMICS OF FOREST FRAGMENTS**

608 Here we highlight some factors that can influence the dynamics of Amazonian forest

609 fragments and the unusual ecological communities than can arise as a consequence.

610

#### 611 (1) Rare disturbances

612 Rare events such as droughts, local flooding and windstorms have strongly influenced the 613 ecology of BDFFP fragments. Rates of tree mortality rose abruptly in both fragmented 614 (Laurance et al., 2001c) and intact forests (Williamson et al., 2000) in the year after the 615 intense 1997 El Niño drought and heavy 1998 La Niña rains. Such pulses of tree death can 616 drive changes in the floristic composition and carbon storage of fragments (Laurance et al., 617 2007). Leaf-shedding by drought-stressed trees also increases markedly during droughts, 618 especially within ~60 m of forest edges, increasing the quantity of leaf litter on the forest floor 619 (Laurance & Williamson, 2001). Such dense litter elevates the susceptibility of fragments to 620 intrusion by destructive surface fires (Cochrane & Laurance, 2002, 2008) and can slow forest 621 regeneration by suppressing seed germination and seedling establishment (Bentos et al., 622 2013). Local flooding caused tree mortality in one of our plots to rise five-fold (S. G. 623 Laurance et al., 2009), a pattern also observed in other low-lying plateaus and microsites in

624 the BDFFP study area (Mori & Becker, 1991).

625 Intense windblasts from convectional thunderstorms have occasionally flattened parts 626 of the BDFFP landscape and caused intense forest damage and tree mortality, especially in 627 the fragments. Fragments in the easternmost cattle ranch at the BDFFP have had substantially 628 lower rates of tree mortality than those in the other two ranches (Fig. 1), because the former 629 have so far escaped major windstorms (Laurance et al., 2007). These differences have 630 strongly influenced the rate and trajectory of change in tree-community composition in 631 fragments (Laurance et al., 2006b). Hence, by altering forest dynamics, composition, 632 structure and carbon storage, rare disturbances have left an enduring imprint on the ecology of 633 fragmented forests.

634

### 635 (2) Hyperdynamism

636 Relative to intact forest, the BDFFP fragments experience exceptional variability in 637 population and community dynamics, despite being largely protected from ancillary human 638 threats such as fires, logging and overhunting. Having a small resource base, a habitat 639 fragment is inherently vulnerable to stochastic effects and external vicissitudes. Species 640 abundances can thus fluctuate dramatically in small communities, especially when 641 immigration is low and disturbances are frequent (Hubbell, 2001). Edge effects, reduced 642 dispersal, external disturbances and changing herbivore or predation pressure can all elevate 643 the dynamics of plant and animal populations in fragments (Laurance, 2002, 2008). 644 Many examples of hyperdynamism have been observed in the BDFFP fragments. 645 Some butterfly species have experienced dramatic population irruptions in response to a 646 proliferation of their favored host plants along fragment margins (Brown & Hutchings, 1997), 647 and butterfly communities in general are hyperdynamic in fragments (Fig. 9)(Leidner et al., 648 2010). Bat assemblages also show atypically high species turnover (Meyer et al., 2016), as do 649 understory birds (Stouffer et al., 2008, 2011), especially in smaller fragments. Streamflows 650 are far more variable in fragmented than forested watersheds (Trancoso, 2008). Rates of tree 651 mortality and recruitment are chronically elevated in fragments (Laurance *et al.*, 1998a, 652 1998b), with major mortality pulses associated with rare disturbances (see above). These 653 pulses of tree death followed by accelerated recruitment of young trees lead to large 654 fluctuations in the number of trees per plot (Fig. 10). Further, tree species disappear and turn 655 over far more rapidly in fragments than intact forest, especially within ~100 m of forest 656 margins (Laurance et al., 2006b). These and many other instabilities plague small, dwindling 657 populations in the BDFFP fragments.

658

# 659 (3) Diverging trajectories of fragments

A key insight from our long-term experiment is that different fragmented landscapes— even those as alike as the three large cattle ranches in the BDFFP, which have very similar forests, soils, climate, fragment ages and land-use histories—can diverge to a surprising degree in species composition and dynamics. Although spanning just a few dozen kilometers, the three ranches are following unexpectedly different trajectories of change.

665 At the outset, small initial differences among the ranches multiplied into much bigger 666 differences. Parts of the western and eastern ranches were cleared in 1983, when an early wet 667 season prevented burning of the felled forest. Tall, floristically diverse Cecropia-dominated 668 regrowth quickly developed in these areas, whereas areas cleared with fire in the years just 669 before or after became cattle pastures or, eventually, scrubby Vismia-dominated regrowth 670 (Williamson & Mesquita, 2001). For example, these different successional trajectories led to 671 distinct bat assemblages: Cecropia-dominated regrowth retained a considerably higher 672 fraction of the forest-specialist bat species found in continuous forest, compared to Vismia 673 regrowth (Bobrowiec & Gribel, 2010). As discussed above, the differing matrix vegetation

674 strongly affected the dynamics of plant and animal communities in the nearby fragments. These differences were magnified by subsequent windstorms, which heavily damaged most 675 676 fragments in the central and western ranches, yet left fragments in the eastern ranch 677 unscathed. Even identically sized fragments in the three ranches have had remarkably 678 different dynamics and trajectories of compositional change (Laurance et al., 2007). 679 The apparently acute sensitivity of fragments to local landscape and weather dynamics—even 680 within a study area as initially homogeneous as ours-prompted us to propose a "landscape-681 divergence hypothesis" (Laurance et al., 2007). We argue that fragments within the same 682 landscape will tend to have similar dynamics and trajectories of change in species 683 composition, which will often differ from those in other landscapes. Over time, this process 684 will tend to homogenize fragments within the same landscape, and promote ecological 685 divergence among fragments in different landscapes. Evidence for this hypothesis is provided 686 by tree communities in our fragments, which appear to be diverging in composition among 687 the three cattle ranches (Fig. 11). Pioneer and opportunistic trees are increasing in all 688 fragments, but the composition of these secondary plant species and their rates of increase 689 differ markedly among the three ranches (Scariot, 2001; Laurance et al., 2006a, 690 2007; Nascimento et al., 2006). A similar pattern of biotic divergence is evident in the 691 secondary-forest bat assemblages found at the different ranches (Bobrowiec & Gribel, 2010). 692

### 693 (4) Ecological distortions

Many ecological interactions are altered in fragmented landscapes. For instance, in mixedspecies bird flocks, interspecific interactions are lower, both in number and frequency, in 10ha fragments and the secondary forest matrix than in more preserved habitats (continuous
forest and 100-ha fragments), resulting in reduced flock cohesion and stability (Mokross *et al.*, 2014). Fragmented communities can pass through unstable transitional states that may not

otherwise occur in nature (Terborgh *et al.*, 2001; Gibson *et al.*, 2013). Moreover, species at
higher trophic levels, such as predators and parasites, are often more vulnerable to
fragmentation than are herbivores, thereby altering the structure and functioning of food webs
(Didham *et al.*, 1998b; Terborgh et al., 2001).
BDFFP findings suggest that even forest fragments that are unhunted, unlogged and

704 unburned have reduced densities of key mammalian seed dispersers. As a result, seed 705 dispersal for the endemic, mammal-dispersed tree Duckeodendron cestroides was far lower in 706 fragments, with just  $\sim 5\%$  of the number of seeds being dispersed >10 m away from parent 707 trees than in intact forest (Cramer et al., 2007a). Leaf herbivory appears reduced in fragments, 708 possibly because of lower immigration of insect herbivores (Fáveri et al., 2008). Dung beetles 709 exhibit changes in biomass and guild structure in fragments (Radtke et al., 2008) that could 710 alter rates of forest nutrient cycling and secondary seed dispersal (Klein, 1989; Andresen, 711 2003). Exotic Africanized honeybees, a generalist pollinator, are abundant in matrix and edge 712 habitats and can alter pollination success and gene flow for some tree species (Dick, 2001; 713 Dick et al., 2003). A bewildering variety of ecological distortions can pervade fragmented 714 habitats, and a challenge for conservation biologists is to identify those of greatest importance 715 and generality.

716

## 717 (5) Forest-carbon dynamics

Habitat fragmentation affects far more than biodiversity and interactions among species;

many ecosystem functions, including forest hydrology (see above) and biochemical cycles,

are also being altered. Among the most important of these are fundamental alterations in

721 forest biomass and carbon storage.

A suite of interrelated changes affects carbon stocks in fragmented forests. Many trees
die near forest edges (Laurance *et al.*, 1997, 1998a), including an alarmingly high proportion

of large ( $\geq$ 60 cm dbh) canopy and emergent trees that store a large fraction of the total forest carbon (Laurance *et al.*, 2000). Compared to the mature-phase trees they replace, fast-growing pioneer trees and lianas that proliferate in fragments are smaller and have lower wood density and thereby sequester much less carbon (Laurance *et al.*, 2001b, 2006a). Based on current rates of forest fragmentation, the edge-related loss of carbon storage in the tropics could produce tens of millions of tons of atmospheric carbon emissions annually, above and beyond that caused by deforestation per se (Laurance *et al.*, 1998c; Groeneveld *et al.*, 2009).

731 In addition, biomass is being fundamentally redistributed in fragmented forests (Fig. 732 12). Less biomass is stored in large, densely wooded old-growth trees and more in fast-733 growing pioneer trees, disturbance-loving lianas, woody debris and leaf litter (Sizer et al., 734 2000; Nascimento & Laurance, 2004; Vasconcelos & Luizão, 2004). Soil carbon also 735 increases as the abundant dead biomass in fragments decomposes (Barros & Fearnside, 2016). 736 Finally, carbon cycling accelerates. The large, old-growth trees that predominate in intact 737 forests can live for many centuries or even millennia (Chambers et al., 1998; Laurance et al., 738 2004b), sequestering carbon for long periods of time. However, the residence time of carbon 739 in early successional trees, vines and necromass (wood debris, litter), which proliferate in 740 fragments, is far shorter (Nascimento & Laurance, 2004). Other biochemical cycles, such as 741 those affecting key nutrients such as phosphorus (Sizer et al., 2000) and calcium 742 (Vasconcelos & Luizão, 2004), might also be altered in fragmented forests, given the striking 743 changes in biomass dynamics, hydrology and thermal regimes they experience there.

744

## 745 IX. SPECIES RESPONSES TO FRAGMENTATION

Individual species and ecological groups can differ greatly in their responses to habitat
fragmentation. Some decline or disappear, others remain roughly stable and yet others
increase, sometimes dramatically. Understanding how and why different species vary so

dramatically in their responses has been a major goal of conservation researchers. Here weunderscore key conclusions from the BDFFP.

751

# 752 (1) Non-random extinctions

Local extinctions of species in the BDFFP fragments have occurred in a largely predictable
sequence, with certain species being consistently more vulnerable than others. Among birds,
various species of understory insectivores, including army ant-followers, solitary species,
terrestrial foragers and obligate mixed-flock members, are most susceptible to fragmentation.
Others, including edge/gap species, insectivores that use mixed flocks facultatively,
hummingbirds and many frugivores, are far less vulnerable (Antongiovanni & Metzger, 2005;

759 Stouffer *et al.*, 2006, 2008, 2011).

760 In a similar vein, among bats, gleaning predators are consistently the most vulnerable 761 species whereas many frugivores respond positively to fragmentation and other types of forest 762 disturbance (Sampaio, 2000; Bobrowiec & Gribel, 2010; Farneda et al., 2015; Rocha, 2016; 763 Rocha et al., 2016). Many animal-eating bat species rarely persist in small (<100 ha) 764 fragments and in the secondary-forest matrix, reflecting trait-mediated environmental filters 765 that selectively benefit smaller fruit- and nectar-feeding species (Farneda et al., 2015). 766 Primates exhibit similarly predictable patterns of species loss, with wide-ranging frugivores, 767 especially the black spider-monkey, being most vulnerable (Boyle & Smith, 2010a). Hence, 768 local extinctions in fragments follow a foreseeable pattern, with species assemblages in 769 smaller fragments rapidly forming a nested subset of those in larger fragments (Stouffer et al., 770 2008). Random demographic and genetic processes may help to drive tiny populations into 771 oblivion, but the species that reach this precarious threshold are far from random.

- 772
- 773

#### 774 (2) Non-neutral extinctions

775 An important corollary of nonrandom species loss is that fragmented forests are not neutral. 776 Neutral theory (Hubbell, 2001) assumes that species in diverse, space-limited communities, 777 such as tropical trees, are roughly equivalent in competitive and demographic terms. Making 778 these assumptions allows one to make predictions about phenomena such as species-area 779 curves, the relative abundances of species in communities, and the rate of species turnover in 780 space. Hubbell (2001) emphasizes the potential utility of neutral theory for predicting 781 community responses to habitat fragmentation: for isolated communities, locally abundant 782 species should be least extinction prone, with rare species being lost more frequently from 783 random demographic processes. Over time, fragments should become dominated by the 784 initially abundant species, with rare species gradually vanishing; other ecological traits of 785 species are considered unimportant.

786 Gilbert et al. (2006) tested the efficacy of neutral theory for predicting changes in tree communities at the BDFFP. Neutral theory effectively predicted the rate of local extinctions 787 788 of species from plots in fragmented and intact forest, as a function of the local diversity and 789 mortality rate of trees. However, in most fragments, the observed rate of change in species 790 composition was 2-6 times faster than predicted by the theory. Moreover, the theory was 791 wildly erroneous in predicting which species are most prone to local extinction. Rather than 792 becoming increasingly dominated by initially common species, fragments in the BDFFP 793 landscape have experienced striking increases over time in disturbance-loving pioneer species 794 (Fig. 13) (Laurance et al., 2006a), which were initially rare when the fragments were created. 795 As a model for predicting community responses to habitat fragmentation, neutral theory 796 clearly failed, demonstrating that ecological differences among species strongly influence 797 their responses to fragmentation.

#### 799 (3) Key correlates of animal vulnerability

800 In the BDFFP landscape, the responses of animal species to fragmentation appear largely 801 governed by two key sets of traits. The first is their spatial requirements for forest habitat. 802 Among birds (Van Houtan et al., 2007) and mammals (Timo, 2003), wide-ranging forest 803 species are more vulnerable than are those with localized ranges and movements. Species with limited spatial needs, such as many small mammals (Malcolm, 1997), hummingbirds 804 805 (Stouffer et al., 2008), frogs (Tocher et al., 1997) and ants (Carvalho & Vasconcelos, 1999), 806 are generally less susceptible to fragmentation. 807 The second key trait for fauna is their tolerance of matrix habitats (Gascon et al., 808 1999), which comprises regrowth forest and cattle pastures in the BDFFP landscape. 809 Populations of species that entirely avoid the matrix will be demographically and genetically 810 isolated in fragments, and therefore vulnerable to local extinction, whereas those that tolerate 811 or exploit the matrix often persist (Laurance, 1991; Malcolm, 1997; Antongiovanni & 812 Metzger, 2005; Ferraz et al., 2007; Bobrowiec & Gribel, 2010). 813 At least among terrestrial vertebrates, matrix use is positively associated with 814 tolerance of edge habitats (Laurance, 2004b; Farneda et al., 2015), an ability to traverse small 815 clearings (S. G. Laurance et al., 2004; S. G. Laurance & Gomez, 2005), behavioral flexibility 816 (Neckel-Oliveira & Gascon, 2006; Stouffer et al., 2006; Van Houtan et al., 2006; Boyle & 817 Smith, 2010b) and a capacity to feed on early successional plants that thrive in the matrix 818 (Farneda et al., 2015; Rocha et al., 2016; Meyer et al., 2016). Within particular animal 819 groups, such as beetles or small mammals, traits such as body size and natural abundance are 820 generally poor or inconsistent predictors of vulnerability (Laurance, 1991; Didham et al., 821 1998a; Jorge, 2008; Boyle & Smith, 2010a; but see Jorge et al., 2015). 822

#### 824 (4) Key correlates of plant vulnerability

825 Among plants, a different suite of factors is associated with vulnerability to fragmentation. 826 Because fragments suffer chronically elevated tree mortality, faster-growing pioneer trees and 827 lianas that favor treefall gaps are favored at the expense of slower-growing old-growth trees 828 (Laurance et al., 2006a, 2006b). Pioneer species often flourish in the matrix and produce 829 abundant small fruits that can be carried into fragments by frugivorous birds and bats that 830 move between the matrix and nearby fragments (Sampaio, 2000; Nascimento et al., 2006; 831 Rocha et al., 2016). Especially vulnerable in fragments are the diverse assemblages of smaller 832 subcanopy trees that are physiologically specialized for growth and reproduction in dark, 833 humid, forest-interior conditions (Laurance *et al.*, 2006b). Tree species that have obligate 834 outbreeding systems, rely on animal seed dispersers or have relatively large, mammal-835 dispersed seeds also appear vulnerable (Laurance et al., 2006b; Cramer et al., 2007b). 836 These combinations of traits suggest that plant communities in fragmented forests are

structured primarily by chronic disturbances and microclimatic stresses, and possibly also by alterations in animal pollinator and seed-disperser communities. For long-lived plants such as many mature-phase trees, demographic models suggest that factors that reduce adult survival and growth—such as recurring wind disturbance and edge-related microclimatic stresses have a strong negative influence on population growth (Lindenmayer & Laurance, 2016).

842

#### 843 X. HORIZONS FOR NEW RESEARCH

Although BDFFP researchers have attacked a diversity of research themes, some topics
remain poorly explored or enigmatic. For instance, there has been relatively little work to date
on the effects of fragmentation on the phylogenetic and functional composition of forests (but
see Didham *et al.*, 1998b; Andresen, 2003). A study that examined changes in the
phylogenetic structure of trees at the BDFFP concluded that most study sites—including

small and large fragments as well as intact-forest plots—exhibited a progressive decline over
time in phylogenetic diversity (Fig. 14)(Santos *et al.*, 2014). This evidently occurred because
tree genera that have increased in abundance across the study area are more closely related
phylogenetically than are those that have declined. Do such changes reflect community-wide
responses to large-scale drivers, such as global-change phenomena (Laurance *et al.*, 2004b),
shifts in regional rainfall (S. G. Laurance *et al.*, 2009), or some other widespread event?
Further study is needed.

856 Similarly, ecological interactions such as pollination and seed dispersal have been 857 poorly studied at the BDFFP. Changes in pollinator assemblages (Dick, 2001; Dick et al., 858 2003) might be expected to alter plant pollination, seed set, and gene flow among plants, but 859 such effects are largely unknown. Could shifts in the abundance of old-growth tree species-860 such the decline of obligate outbreeders and species that require animal seed dispersers 861 (Laurance et al., 2006b)—reflect losses of key fauna in fragmented forests? Other ecological 862 interactions, such as predator-prey, host-pathogen, and plant-mycorrhizal relationships, are 863 virtually unstudied (but see Benitez-Malvido et al., 1999).

864 Species invasions are also poorly understood in the BDFFP landscape. Taxon-specific 865 studies suggest that the matrix supports a variety of plant, vertebrate, and invertebrate species 866 that are foreign to Amazon rainforests, many of which are also detected in forest fragments 867 (e.g. Brown and Hutchings, 1997; Tocher et al., 1997; Dick, 2001; Scariot, 2001; Laurance et 868 al., 2002, 2011). Do such invaders have significant ecological effects? Are they increasing in 869 diversity or abundance over time, as might be expected as new invasive species colonize the 870 study area? Are expanding roads and powerline clearings providing avenues for species 871 invasions (Laurance et al., 2009)? Are some species capable of invading intact forests? Are 872 foreign pathogens arriving? An array of such questions remains unanswered. 873 Finally, there is considerable scope to use modeling approaches with BDFFP data to

874 generate long-term ( $\geq$ 100-year) projections about the fate of fragmented forests. One such 875 study, using a novel neural-network approach, suggested that tree communities in forest 876 fragments will become increasingly dominated by early successional species but that seed rain 877 from forest interiors will continue to maintain a mix of pioneer and old-growth species, even 878 near heavily disturbed forest edges (Ewers et al., 2017). Another modeling study used data on 879 elevated tree mortality and floristic changes from the BDFFP to make projections of long-880 term carbon-storage declines and shifts in plant-functional groups in fragmented forests 881 (Groeneveld et al., 2009).

882

## 883 XI. GENERAL LESSONS

The BDFFP provides a number of valuable lessons for environmental researchers and those
working in developing nations. Here we highlight two conclusions of particular relevance.

## 887 (1) Values of long-term research

888 Many insights from the BDFFP would have been impossible in a shorter-term study. The 889 exceptional vulnerability of large trees to fragmentation (Laurance et al., 2000) only became 890 apparent after two decades of fragment isolation. Likewise, the importance of ephemeral 891 events such as El Niño droughts (Williamson et al., 2000; Laurance et al., 2001c) and major 892 windstorms (Laurance *et al.*, 2007) would not have been captured in a less-enduring project. 893 Many other key phenomena, such as the kinetics of species loss in fragments (Ferraz et al., 894 2003), the strong effects of matrix dynamics on fragmented bird and bat assemblages 895 (Antongiovanni & Metzger, 2005; Stouffer et al., 2006, 2011; Meyer et al., 2016; Rocha, 896 2016), the divergence of fragments in different landscapes (Laurance et al., 2007) and the 897 effects of fragmentation on rare or long-lived species (Benítez-Malvido & Martinez-Ramos, 898 2003b; Ferraz et al., 2007) and alternative successional pathways (Mesquita et al., 2015), are
899 only becoming understood after decades of effort.

Far more remains to be learned. For example, forest-simulation models parameterized 900 901 with BDFFP data suggest that even small (<10 ha) fragments will require a century or more to 902 stabilize in floristic composition and carbon storage (Groeneveld et al., 2009), given the long-903 lived nature of many tropical trees. Eventually, these fragments might experience a 904 fundamental reorganization of their plant communities, given major shifts in the composition 905 of their tree, palm, liana and herb seedlings (Scariot, 2001; Benítez-Malvido and Martinez-906 Ramos, 2003a; Brum et al., 2008) relative to those in intact forest. If these newly recruited 907 plants represent the future of the forest, then the BDFFP fragments could eventually 908 experience dramatic changes in floristic composition—comparable to those observed in some 909 other tropical forests that have long been fragmented (e.g. da Silva & Tabarelli, 2000; Girão 910 et al., 2007; Santos et al., 2010).

911

## 912 (2) Training is vital

913 Among the most enduring legacies of the BDFFP has been its leading role in training students 914 and environmental decision-makers. To date, the project has yielded over 700 technical 915 publications (http://pdbff.inpa.gov.br) and more than 200 Ph.D. and M.Sc. theses. It has also 916 trained more than 700 graduate students and conservation professionals in sponsored courses, 917 and hosted over 1,000 student interns to date. Many of those who have benefited from BDFFP 918 training are from Brazil or other Latin American nations. Among these are numerous 919 individuals who have now advanced professionally to hold important positions in government 920 agencies, universities and nongovernmental conservation organizations. 921 These training programs have had manifold benefits. For example, former BDFFP 922 students and researchers have led opposition to a Brazilian government scheme to settle

923 colonists in and around the BDFFP study area—an initiative that could bisect the Central

924 Amazonian Conservation Corridor, a complex of protected and indigenous lands that is one of 925 the most important conservation networks in Amazonia (Laurance & Luizão, 2007). BDFFP 926 trainees have also been leaders in documenting the impacts of major highways and 927 infrastructure projects that are crisscrossing the Amazon (e.g. Laurance et al., 2001a; 928 Fearnside & Graça, 2006) and that could promote large-scale human migration and forest 929 disruption (Barni et al., 2015). A near-term threat to the BDFFP is a nearly completed 930 highway (BR-319) that will link the 'arc of deforestation' in southern Amazonia to Manaus 931 and the BDFFP, potentially promoting large-scale invasions or settlement of the study area 932 (Fearnside, 2015).

933

# 934 XII. LESSONS FOR CONSERVATION

We conclude by highlighting some important general lessons from the BDFFP for conservingthe Amazon and other tropical forests.

937

## 938 (1) The BDFFP is a best-case scenario

939 Although the BDFFP's forest fragments are experiencing a wide array of ecological 940 alterations, it is important to emphasize that it is a controlled experiment. The fragments are 941 square, not irregular, in shape. They are isolated by clearings of only 80-650 m width from 942 large tracts of surrounding mature forest. They are embedded within a relatively benign 943 matrix dominated by forest regrowth, not harsher anthropogenic habitats. In addition, these 944 fragments are largely free from ancillary threats, such as selective logging, wildfires and 945 overhunting, which plague many fragmented landscapes and wildlife populations elsewhere 946 in the tropics (e.g. Moura et al., 2014). Such threats can interact additively or synergistically 947 with fragmentation, creating even greater perils for the rainforest biota (Laurance & 948 Cochrane, 2001; Michalski & Peres, 2005; Brook et al., 2008). For these reasons, the effects 949 of fragmentation at the BDFFP are clearly modest, relative to many human-dominated950 landscapes elsewhere in the tropics.

951

## 952 (2) Reserves should be large and numerous

953 A key conclusion from BDFFP research is that nature reserves in Amazonia should ideally be 954 very large—on the order of thousands to tens of thousands of square kilometers in area 955 (Laurance, 2005; Peres, 2005). Only at this size will they be likely to maintain natural 956 ecological processes and sustain viable populations of the many rare and patchily distributed 957 species in the region (Ferraz et al., 2007; Radtke et al., 2008). Such large reserves will also 958 provide greater resilience from rare calamities such as droughts (Feldpausch et al., 2016) and 959 intense storms (Laurance et al., 2007), facilitate persistence of terrestrial and aquatic animals 960 that migrate seasonally (Bührnheim & Fernandes, 2003) and buffer the reserve from external 961 threats such as fires, large-scale forest desiccation and human encroachment (Cochrane & 962 Laurance, 2002; Briant et al., 2010).

963 Large reserves will also maximize forest carbon storage (Laurance *et al.*, 1997, 1998c) 964 and provide greater resilience to future climatic and atmospheric changes (Laurance, 2005, 965 2016; Peres, 2005). Further, on the ancient, nutrient-starved soils of central and eastern 966 Amazonia, low plant productivity translates into low population densities of many animals, 967 especially as one moves up the food chain, so reserves must be proportionately larger to 968 harbor viable populations of these species (Radtke et al., 2008; Deichmann et al., 2011, 969 2012). The recent observation that within-species genetic variation of terrestrial vertebrates is 970 higher in wilderness areas than in human-disturbed habitats further underscores the value of 971 large nature reserves for sustaining biological diversity and the capacity of species to adapt to 972 future environmental insults (Miraldo et al., 2016).

973 Beyond large size, nature reserves in Amazonia should also be numerous and stratified

across major river basins and climatic and edaphic gradients in order to preserve

biophysically distinctive ecoregions (Olson *et al.*, 2001; Tscharnke *et al.*, 2012) and locally
endemic species (Bierregaard *et al.*, 2001; Laurance, 2007). In addition, the core areas of
nature reserves should ideally be free of roads, which facilitate human encroachment and
hunting, internally fragment wildlife populations and promote invasions of exotic species
(Laurance *et al.*, 2009).

- 980
- 981 (3) No fragment is unimportant

982 Tropical forests are being rapidly lost and fragmented (e.g. Myers et al., 2000; Sloan et al., 983 2014), and a key question is whether smaller (e.g. <10 ha) forest fragments have much value 984 for nature conservation. We assert that there is no such thing as an 'unimportant' forest 985 fragment. In heavily fragmented landscapes, protecting remaining forest remnants is highly 986 desirable, as they are likely to be key sources of plant propagules and animal seed dispersers 987 and pollinators (Mesquita et al., 2001; Chazdon et al., 2008). They may also act as stepping 988 stones for animal movements in human-dominated lands (Laurance & Bierregaard, 1997; 989 Lima & Gascon, 1999; Dick et al., 2003). In regions where forest loss is severe, forest 990 fragments could sustain the last surviving populations of locally endemic species. 991 underscoring their potential value for nature conservation (Arroyo-Rodríguez et al., 2009). 992 Finally, the observation that regenerating forests recover floristic diversity far faster in 993 regions where small fragments of primary forest remain than in those lacking such fragments 994 underscores the vital role of retaining even tiny fragments of the original forest (Van Breugel 995 *et al.*, 2013).

996

### 997 (4) Wounded landscapes can recover

A further lesson is that fragmented landscapes, if protected from fires and other major

999 disturbances, can begin to recover in just a decade or two. Newly created forest edges tend to 1000 'seal' themselves in a few years, reducing the intensity of deleterious edge effects (Camargo 1001 & Kapos, 1995; Didham & Lawton, 1999; Mesquita et al., 1999). Secondary forests can 1002 develop quite rapidly in the surrounding matrix (Mesquita et al., 2001), especially if soils and 1003 their seedbanks are not depleted by repeated burning and grazing (Ribeiro et al., 2009; 1004 Norden et al., 2011). Secondary forests facilitate movements of many animal species (Gascon 1005 et al., 1999; Powell et al., 2013), allowing them to recolonize fragments from which they had 1006 formerly disappeared (Becker et al., 1991; Quintero & Roslin, 2005; Stouffer et al., 2008; 1007 Bobrowiec & Gribel, 2010; Boyle & Smith, 2010a; Rocha, 2016; Rocha et al., 2016). Species 1008 clinging to survival in fragments can also be rescued from local extinction via the genetic and 1009 demographic contributions of immigrants (Pimm & Jenkins, 2005; Zartman & Nascimento, 1010 2006; Stouffer et al., 2008). Compared to the BDFFP landscape, rates of forest recovery are 1011 probably slower in localities with severe forest loss, but such regions are likely to be of 1012 particular conservation significance and thereby worthy of efforts to reduce their recurring

- 1013 threats.
- 1014

#### 1015 XIII. FRAGMENTATION AND LARGER-SCALE DRIVERS

1016 (1) Interacting drivers

1017 Taken in its entirety, it seems apparent from the large-scale, long-term research effort at the 1018 BDFFP that forest fragments and their biodiversity are being influenced by a variety of local 1019 and larger-scale factors. The intrinsic attributes of a fragment, such as its size, shape and 1020 degree of isolation from intact forest, are unquestionably important. However, these attributes 1021 are clearly modified by the features of the surrounding landscape and its dynamics over time. 1022 Such landscape features can influence the nature and magnitude of edge effects in fragments 1023 (Fig. 7), the permeability of the matrix for faunal movements, the composition of the seed rain entering fragments, the likelihood of destructive surface fires penetrating into fragments,
and the intensity of abiotic forces such as microclimatic changes, wind turbulence and
vegetation breezes (Fig. 3) that in turn can strongly influence fragment biodiversity and
ecosystem processes.

1028 External vicissitudes, such as rare droughts, windstorms and intense rainfall events, 1029 can also leave a lasting imprint. Such phenomena might be influenced both by landscape-1030 scale features as well as regional and possibly global climatic drivers. More generally, it is 1031 apparent that even intact forests in the BDFFP are experiencing concerted long-term changes 1032 in their composition and dynamics, which seem to reflect increasing forest productivity. 1033 These changes appear broadly consistent with those expected from increasing  $CO_2$ 1034 fertilization, although other environmental causes, such as declining cloudiness and increasing 1035 forest insolation, are also plausible. Whatever their causes, it is likely that the suite of changes 1036 observed in Amazonian forest fragments are partly a consequence of drivers operating at 1037 much larger spatial scales.

1038 In some cases, large-scale drivers could exacerbate ecological changes in forest 1039 fragments. For instance, elevated forest dynamics and proliferating lianas could result both 1040 from edge effects in fragments (microclimatic stresses and elevated wind turbulence that kill 1041 many trees) as well as from larger-scale drivers that increase forest productivity and 1042 dynamism while favoring fast-growing plant species (Laurance et al., 2014b). In other cases, 1043 the larger-scale drivers might operate in opposition to local fragmentation effects. For 1044 example, the dramatic 'biomass collapse' observed in fragments from the mortality of many 1045 trees (Laurance et al., 1997, 2000) might be partially countered by increasing forest 1046 productivity that in turn promotes faster tree growth—although this is likely to have only a 1047 modest effect given the pronounced loss of large, old-growth trees in fragments and their

replacement by smaller, lighter-wooded trees and vines (Fig. 6) that store much less carbon
(Laurance *et al.*, 2006a, 2006b).

1050 That fragments are being influenced by multiple drivers operating at widely varying 1051 spatial scales underscores serious complications for those seeking to understand and predict 1052 the effects of habitat fragmentation. Such drivers could interact in complex and potentially 1053 synergistic ways (Laurance & Useche, 2009), and it is virtually impossible to establish 1054 reliable experimental controls for global phenomena that may be operating everywhere 1055 (Laurance *et al.*, 2014b). Indeed, it is quite possible that even the most remote and seemingly 1056 pristine regions of the Earth are being influenced by certain global-change phenomena.

1057 A further complicating matter is that even relatively modest differences between 1058 landscapes, such as rare weather events or subtle differences in land-use practices, could 1059 potentially multiply over time into far more pervasive changes. This idea is supported by the 1060 marked differences in trajectories of floristic change in forest fragments in the different cattle 1061 ranches (Fig. 11), even in a landscape as nearly uniform in its soils, climate, vegetation and 1062 land-use history as the BDFFP. This observation leads to the prediction that fragments within 1063 the same landscape will tend to converge in composition and dynamics over time, whereas those in different landscapes will tend to diverge. That such minor differences can seemingly 1064 1065 provoke large consequences sends a strong note of caution for conservation biologists: it may 1066 be possible to make general predictions about the consequences of habitat fragmentation, but 1067 the interplay of local and larger-scale phenomena could render efforts to make precise local 1068 predictions or draw broad generalizations virtually impossible.

1069

1070 (2) The Amazon and climate change

1071 Amazonian forests store roughly 150-200 billion tonnes of carbon in their live biomass

1072 (Malhi et al., 2006, Feldpausch et al. 2012), the release of which could seriously hinder

efforts to limit harmful climate change. Beyond this, Amazonian forests play vital roles in
regional and global hydrological regimes, transporting massive quantities of moisture and
heat to higher latitudes (Avissar & Worth, 2006; Nobre *et al.*, 2016). For such reasons,
conserving tropical forests such as the Amazon is likely to have markedly greater benefits for
limiting global warming than would protecting higher-latitude forests (Bala *et al.*, 2007).

1078 Efforts to sustain the Amazon as a viable biophysical system can be guided by current 1079 research, which while constrained by uncertainties provides provisional guidelines for 1080 conserving the basin's forests (Nagy et al., 2016). The best available information suggests 1081 that the destruction of more than 30-40% of all Amazonian forests could sharply increase the 1082 chances of a collapse of the crucial water-recycling functions that help to sustain Amazonian 1083 rainfall, especially during the critical dry-season months when forests are most susceptible to 1084 fire (Malhi et al., 2008; Nobre et al., 2016). With current Amazon deforestation levels at 1085 about 20% and large areas of additional forest being degraded by logging and surface fires 1086 and penetrated by new roads, hydroelectric dams, mining and other developments, there is 1087 clearly a real potential for further large-scale forest loss (Laurance et al., 2001a; Fearnside, 1088 2002, 2007, 2016a).

1089 The ongoing fragmentation of the Amazon at a large spatial scale will clearly increase 1090 the chances of both planned and unplanned forest destruction, because fragmented forest 1091 tracts are far more vulnerable than intact forests to predatory logging, wildfires, climate 1092 change and other anthropogenic impacts (Cochrane & Laurance, 2002, 2008). Hence, a 1093 blueprint for conserving the Amazon and thereby reaping its bioclimatic benefits for humanity 1094 and the global ecosystem would be to greatly discourage further large-scale fragmentation 1095 while maintaining large, intact forest blocks that could potentially persist in perpetuity. 1096

1097

#### 1098 XIV. CONCLUSIONS

(1) In the heart of the Amazon, a large-scale, 38-year research project has revealed
that the dynamics and community composition of fragmented rainforests cannot be
understood simply as a consequence of local site attributes, such as fragment size or the
surrounding topography. Rather, at least some ecological changes appear to result from
interactions among local features and larger-scale changes occurring at landscape, regional
and even global scales.

(2) In undisturbed forests, observed changes are consistent with those expected from
rising forest productivity, and include accelerating forest dynamics, concerted shifts in treecommunity composition, elevated growth rates for most tree species, and increasing
abundances of disturbance-loving lianas. Plant fertilization from rising atmospheric CO<sub>2</sub>
levels might explain these trends, although other causes are not implausible.

(3) In general, ecological changes in forest fragments are strongly influenced by edge
and sample effects, the dynamics of the surrounding matrix of modified vegetation, and rare
disturbances such as droughts and windstorms. Because of their high sensitivity to local
vicissitudes, forest fragments in different landscapes are predicted to diverge over time in
dynamics and community composition, whereas those in the same landscape may converge.

(4) Different species vary markedly in their vulnerability to forest fragmentation.
Animal species that decline in abundance or disappear in forest fragments frequently have
large area requirements and avoid the surrounding matrix, whereas susceptible plant species
fare poorly in disturbed or edge-altered forests and often require vulnerable animal species for
seed dispersal or pollination.

(5) Much of the Amazon overlays nutrient-starved soils where most plant and animal
species are both rare and patchily distributed. This, combined with the increased vulnerability
of fragmented forests to various human disturbances, suggests that Amazonian nature reserves

1123	should be large (ideally $>10^4$ km <sup>2</sup> ) and numerous to ensure their long-term viability. Larger
1124	reserves will also be more resilient to future climatic change and extreme weather events.
1125	
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1134	
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1911	FIGURE CAPTIONS
1912	
1913	Fig. 1. Map of the Biological Dynamics of Forest Fragments Project in central Amazonia.
1914	
1915	Fig. 2. Habitat fragmentation in eastern Amazonia caused by a forest-colonization project
1916	(Tailândia) and cattle ranching (Paragominas). Forests are black and cleared areas are grey.
1917	Each scene shows an area of about 600 km <sup>2</sup> (adapted from Cochrane & Laurance, 2002).
1918	
1919	Fig. 3. The vegetation-breeze phenomenon, which can promote forest desiccation in the
1920	general vicinity of pastures and clearings (from Cochrane & Laurance, 2008).
1921	
1922	Fig. 4. Rates of tree growth in intact forests of the BDFFP accelerated over time for the large
1923	majority (84%) of tree genera (from Laurance et al., 2004a). Data shown are mean rates of
1924	trunk-diameter growth for genera that increased or decreased significantly in abundance over
1925	time in the plots, as well as those that showed no significant trend. Interval 1 was 1984-1991,
1926	and interval 2 was 1992-1999.
1927	
1928	Fig. 5. Increase in the abundance of lianas in intact-forest plots of the BDFFP (from Laurance
1929	<i>et al.</i> , 2014a). The solid line shows y=x whereas the dotted line is a linear regression fitted to
1930	the data.
1931	
1932	Fig. 6. Negative association between liana abundance and the aboveground biomass of live
1933	trees in BDFFP forest-dynamics plots (from Laurance et al., 2001b).
1934	
1935	Fig. 7. The diversity of edge-effect phenomena studied at the BDFFP and the distance to

1936	which each was found to penetrate into fragment interiors (adapted from Laurance et al.,
1937	2002).

1939	Fig. 8. The effects of single versus multiple nearby forest edges on (a) stand-level tree
1940	mortality and the (b) density and (c) species richness of disturbance-loving pioneer tree
1941	species. Values shown are the mean $\pm$ SD (from Laurance <i>et al.</i> , 2006a).
1942	
1943	Fig. 9. Elevated temporal variation in butterfly species richness in fragmented forests. Shown
1944	is an index of variability in species richness for fragmented and intact sites sampled in
1945	consecutive years (adapted from Leidner et al., 2010).
1946	
1947	Fig. 10. Elevated temporal variation (coefficient of variation) in the number of tree stems per
1948	plot, shown as a function of distance from the nearest forest edge.
1949	
1950	Fig. 11. Increasing divergence over time of tree-community composition in three fragmented
1951	landscapes at the BDFFP. Tree communities in forest-edge plots (<100 m from the nearest
1952	edge) are shown before forest fragmentation and 13-18 years after fragmentation, based on an
1953	ordination analysis. The ordination used importance values for all 267 tree genera found n the
1954	study plots (from Laurance et al., 2007).
1955	
1956	Fig. 12. Plots near forest edges (<100 m from edge) generally have higher tree mortality,
1957	more small trees, and more woody debris, relative to plots in forest interiors. Data shown are
1958	from an ordination analysis of 14 forest-biomass and necromass variables from 50 BDFFP
1959	plots (from Nascimento and Laurance, 2004).
1960	

1961	Fig. 13. Striking increases over time in the density of 52 species of early successional trees in
1962	forest fragments in the BDFFP study area. Note that vertical axes are log <sub>10</sub> -transformed (after
1963	Laurance et al., 2006a).

Fig. 14. Changes over time in the phylogenetic diversity of tree communities in 1-ha plots in
the BDFFP study area (adapted from Santos *et al.*, 2014). Points with positive values (above
the horizontal line) exhibited declining phylogenetic diversity over time, whereas those with
negative values had opposite trends.

1969





1976

Tailândia



Paragominas



























