1	The effectiveness of an exercise programme on dynamic balance in patients with medial knee				
2	osteoarthritis: a pilot study				
3	Lara Al-Khlaifat ^{1,2} , Lee C Herrington ¹ , Sarah F Tyson ³ , Alison Hammond ¹ , Richard K Jones ¹				
4	¹ School of Health Sciences, University of Salford, Salford, M66PU, UK				
5	² Faculty of Rehabilitation Sciences, The University of Jordan, 11942, Amman, Jordan				
6	³ Stroke and Vascular Research Centre, University of Manchester, Manchester, M139PL, UK				
7					
8	Corresponding Author: Lara Al-Khlaifat				
9	Qualifications: PhD				
10	Institute: University of Salford				
11	Correspondence address: Room 304, Faculty of Rehabilitation Science, Physiotherapy				
12	department, University of Jordan, 11942, Amman – Jordan				
13	Work E-mail address: L.Khlaifat@ju.edu.jo				
14	L.Al-Khlaifat@edu.salford.ac.uk				
15	Work telephone: 00 962 796161493				
16	Abstract word count: 247				
17	Main text word count: 4495				
18	Number of Tables: 6, number of Figures: 3				
19	Disclosure of funding: this study was funded by The University of Jordan and The University of				
20	Salford.				
21					
22					
23					

24

25 ABSTRACT

Background: Dynamic and quiet standing balance are decreased in knee osteoarthritis (OA),
with dynamic balance being more affected. This study aims to investigate the effectiveness of a
group exercise programme of lower extremity muscles integrated with education on dynamic
balance using the Star Excursion Balance test (SEBT) in knee OA.

Methods: Experimental before-and-after pilot study design. Nineteen participants with knee OA attended the exercise sessions once a week for six weeks, in addition to home exercises. Before and after the exercise programme, dynamic balance was assessed using the SEBT in the anterior and medial directions in addition to hip and knee muscle strength, pain, and function.

Results: Fourteen participants completed the study. Raw balance data and those normalised to leg length on the affected side demonstrated significant improvements in dynamic balance in the anterior and medial directions (p=0.02 and p=0.01, respectively). The contralateral side demonstrated significant improvements in dynamic balance in the anterior direction (p<0.001). However, balance in the medial direction did not change significantly (p=0.07). Hip and knee muscle strength, pain, and function significantly improved (p<0.05) after the exercise programme.

41 **Conclusion:** This is the first study to explore the effect of an exercise programme on dynamic 42 balance using the SEBT in knee OA. The exercise programme was effective in improving 43 dynamic balance which is required in different activities of daily living where the patients might 44 experience the risk of falling. This might be attributed to the improvement in muscle strength and 45 pain after the exercise programme.

46 **Keywords:** knee osteoarthritis, dynamic balance; exercise; star excursion balance test

47

48 **1. INTRODUCTION**

Knee osteoarthritis (OA) is a common musculoskeletal condition. Balance deficits were found in 49 knee OA with dynamic balance being more affected than quite standing balance [1, 2]. Dynamic 50 51 balance is the ability to maintain a stable base of support whilst performing a movement or a prescribed reaching or leaning task [3] whereas quiet standing balance is the ability to maintain 52 the centre of gravity within the limits of the base of support with minimal movement [4]. 53 Although a correlation was not found between radiographic severity and dynamic balance in 54 knee OA [5], decreased balance increases the risk of falling in the elderly [6]. Specifically, the 55 risk of falls increased in people with arthritis compared to healthy as they had significantly more 56 falls [relative risk (RR) 1.22, 95% CI 1.03-1.46] and injurious falls (RR 1.27, 95% CI 1.01-57 1.60) in the previous 12 months [7]. Therefore, one would expect that knee OA rehabilitation 58 59 programmes should address this issue to reduce the risk of falling.

60 A systematic review by Silva et al. [8] explored the effect of different therapeutic interventions on both quite standing and dynamic balance in knee OA. The results of nine randomised 61 controlled trials (RCTs) were reported of which eight had high methodological quality according 62 63 to the Physiotherapy Evidence Database (PEDro) scale [9]. The treatments included: strengthening and aerobic exercises, balance exercises, hydrotherapy, Tai Chi exercises, and 64 whole body vibration exercises. A wide range of outcome measures were used to assess balance 65 including the step test, force platforms, and timed functional tests e.g. time to climb stairs and get 66 up and go tests. This systematic review concluded that these treatments significantly improved 67 quite standing and dynamic balance in knee OA. However, four of the included studies assessed 68 physical function using timed functional tests rather than balance [10-13]. Although a correlation 69

exists between the two [14], these are different outcome measures. Therefore, the results of this
review should be considered carefully because it investigated the effectiveness of exercises on
balance and physical function.

Dynamic balance is usually assessed in knee OA research using the step test [2, 15, 16]. In this 73 74 test, the participant stands on the tested leg while stepping with the other for 15 seconds on a 15cm-height step. The number of steps taken during this time is recorded [17]. Dynamic balance 75 was decreased in knee OA using this test compared to healthy participants [2]. Few studies have 76 investigated the effect of exercise on dynamic balance using the step test in knee OA [15, 16]. 77 Quadriceps strengthening exercises did not significantly change dynamic balance (using the step 78 test) in individuals with knee OA and neutral or varus lower limb alignment [15]. In an RCT 79 investigating a 6-week aquatic strength and balance exercise programme in patients with hip and 80 knee OA, dynamic balance (using step test) did not change significantly immediately after the 81 82 exercise programme. Six weeks later, following continued independent exercising, balance significantly improved [16]. This might be as a result of improved endurance rather than 83 stability. Moreover, the step test assesses dynamic balance in one direction only which does not 84 reflect on the balance needs of the activities of daily living (ADL). 85

Another test for the assessment of dynamic balance is the Star Excursion Balance Test (SEBT) [18]. In this test, the participants balance on one leg while reaching with the other leg in eight different directions as far as they can, then return to double support without losing balance [18]. Dynamic balance is assessed in this test as the participants are required to perform a reaching task while maintaining a single stable base of support. These directions include: the anterior, anterior-lateral, anterior-medial, medial lateral, posterior, posterior-lateral, and posterior-medial. This test had excellent inter-rater reliability in all directions on healthy individuals between 18-

50 years of age [19]. Moreover, Bouillon and Baker [20] reported healthy middle aged-adults 93 (40-54 years) had a significantly lower reach distances in the anterior-medial, medial, and 94 posterior-medial directions compared to healthy young adults (23-39 years). The SEBT test has 95 96 most commonly been used to assess dynamic balance in knee joint injuries such as anterior cruciate ligament deficiency [21]. While the SEBT might be a more difficult test for individuals 97 with knee OA to complete, mainly due to the population being older with balance problems, it is 98 likely to challenge the neuromuscular system more than the step test and would be considered a 99 true dynamic balance test as you are testing them in different directions. However, no such 100 studies have been performed in individuals with knee OA, nor whether an exercise intervention 101 alters dynamic balance using this method. 102

103 Therefore, the purpose of this study was to examine the effect of an exercise programme 104 involving open and closed kinetic chain exercises of lower extremity muscles, combined with 105 self-management education, on dynamic balance using the SEBT, pain and muscle strength.

106

107 2. MATERIAL AND METHODS

A pilot experimental before-and-after study design was used to investigate the immediate effects of a six-week exercise programme. Prior to the study starting, ethical approval was obtained from the North West Research Ethics Committee and University Research and Governance Ethics Committee and informed written consent was obtained from each participant.

112 **2.1. Participants**

Participants were approached from the physiotherapy waiting lists at a local Hospital by a member of the Physiotherapy team. Inclusion criteria included a diagnosis of predominant medial knee OA either clinically by meeting the American College of Rheumatology (ACR)

116 criteria for knee OA [22] and/or radiologically as reported by a musculoskeletal radiologist. The clinical classification criteria of the ACR is a common method used in clinical practice to 117 identify symptomatic knee OA, in which knee pain on most of the days of the previous month is 118 119 the key feature. In addition to knee pain, the patient has to meet at least three out of six of the following criteria to be diagnosed with knee OA: age more than 50 years, morning stiffness for 120 less than 30 minutes, crepitus with movement, bone tenderness, bone enlargement, and no 121 palpable warmth [22]. Medial knee OA was determined clinically by tenderness and pain in the 122 medial compartment only and not the lateral or patellofemoral compartments during weight 123 bearing activities. Radiographic classification of knee OA severity was determined using the 124 Kellgren and Lawrence scale (K/L) [23]. This scale consists of five grades (0-4): 0 = normal; 1 = 1125 possible osteophytes; 2 = definite osteophytes, possible joint space narrowing; 3 = moderate or 126 multiple osteophytes, definite narrowing, some sclerosis, possible attrition; 4 = large127 osteophytes, marked narrowing, severe sclerosis, definite attrition. Knee OA is usually classified 128 when K/L grade ≥ 2 [24, 25]. Patients were excluded from the study by the lead author if they 129 130 had previous realignment surgery, gross ligament instability, a diagnosis of patellofemoral or lateral knee OA more than medial clinically and radiographically, wore or used an assistive 131 device to help mobility, had severe cognitive, cardio-respiratory, musculoskeletal, or 132 neurological problems other than knee OA, is taking medications or received corticosteroids in 133 the knee in the last three months that may limit participation in the exercise programme and/or 134 assessments. Participants were also excluded if they participated in other treatment programmes 135 that might affect the results of this study, such as other exercise programmes. 136

137 2.2. Assessment procedure

Before the exercise programme, demographic data of all participants were recorded. In order to progress the participants' exercise regimen, an initial weight assessment was done in the first assessment session only, where each participant was asked to hold a weight (dumbbell) with both hands and do one bilateral squat. They were asked about the task difficulty and the weight was increased accordingly until the maximum weight they could hold while squatting was reached, which is referred to as their 1RM (Repetition Maximum). Then, 75% of this 1RM was used to determine each participant's 10RM [26], which was used in the first exercise session.

Dynamic balance, pain, and muscle strength were assessed at the start of the six-week exercise programme and within one week after the end of it. Both the affected and contralateral sides were assessed. The affected side was identified as the most symptomatic side in unilateral or bilateral knee OA and the contralateral side as the least affected.

The participant wore loose clothing and performed the test barefoot so as to remove any factors 149 impeding their balance. Dynamic balance was assessed using a modified SEBT, Sport 150 151 Performance Measurement Ltd, UK (www.star-excursion.com). It used the same principle as the test described by Robinson and Gribble [27], i.e. the participants have to balance on one foot and 152 reach with the other as far as they can in different directions then return to double support 153 without losing balance. The difference between the modified SEBT and the one used by 154 Robinson and Gribble [27] is the way the directions are represented. In Robinson and Gribble 155 [27], they were represented by lines taped on the ground in a star shape and participants had to 156 stand in the centre on one leg and reach with the other as far as they can in each direction barely 157 touching the line and return to double stance. However, to perform the test quickly and in a 158 variety of locations, instead of taping lines to the ground we used a newly developed more 159

160 convenient and portable platform to which a ruler that is marked at regular intervals (millimetres)161 is attached with a small block on it (Figure 1).

162 Insert Figure 1 about here

To simplify the test clinically and determine the effect of interventions on dynamic balance in 163 patients with knee OA, the most relevant directions were tested. The anterior (A), and medial 164 (M) directions, relative to the supporting limb, were chosen as hip abductors and quadriceps 165 weakness alongside altered activation patterns were found in elderly populations with knee OA 166 [28-30]. The anterior direction mainly activates the vastus medialis obliqus [31] hence it could 167 show improvements in quadriceps activation and strength. Improvements in the medial direction 168 might give an indication of improvements of muscle strength and activation of the hip abductors 169 170 muscles. Also, the exercise protocol was designed to target these muscles.

Moreover, before the start of this study, the test re-test reliability of the raw and normalized 171 balance data (to leg length) of both lower limbs were assessed on ten healthy volunteers; six 172 women and four men (mean age 46 (SD 5.23) years; mean height 165 (SD 6.32) cm; mean 173 weight 71.8 (SD 20.83) Kg). They attended the two testing sessions separated by 14 (SD 5) days. 174 All participants signed a consent form before starting the study. Two-way-mixed average 175 measures (ICC3,3) was used to assess balance assessment reliability. The standard error of 176 measurement (SEM) was calculated as "pooled standard deviation x $\sqrt{1-\text{ICC}}$ " [32]. The 95% 177 CI of SEM was calculated as "95% CI = \pm 1.96 x SEM" to determine the range in which the 178 179 participant's true score lies [33]. Also, 95% minimal detectable change (MDC) was calculated as 180 "SEM x 1.96 (the z value of 95% CI)" [34]. The result was then multiplied by 1.41 (the square root of 2) to make up for measurement error incurred in two testing occasions [35]. The lead 181

182 author established high reliability in assessing dynamic balance using the modified SEBT. Both raw and normalised distance excursions demonstrated high reliability (ICC> 0.75) with SEM and 183 95% CI ranging from 1.94 ± 3.81 cm to 3.00 ± 5.86 cm for raw data and from $2.34\pm4.60\%$ to 184 $3.49 \pm 6.85\%$ for normalised data. Also, the 95% MDC of raw and normalised data ranged from 185 5.39 cm to 8.29 cm and from 6.5% to 9.69%, respectively (Table 1). These findings are the first 186 concerning the reliability of the modified SEBT in 40-60 year olds. Although balance data in the 187 lateral direction were highly reliable, the healthy participants performed the test with difficulty. 188 Therefore, it was not assessed in the patients diagnosed with knee OA in this study. 189

190 Insert Table 1 about here

The participants stood on the platform and, depending on the direction to be tested, they would 191 stand either facing the ruler (A) or with their side to the ruler (M) (Figure 2). Their stance leg had 192 to be placed on the crosshair on the platform. To increase reliability of stance foot placement on 193 successive tests, the midpoint of each foot was marked. The midpoint was determined as the 194 195 cross point of the foot length and width [36]. At the start of each test this mark on the stance foot was positioned as accurately as possible over the crosshair at the centre of the balance platform. 196 Next, the participants were asked to first push the block using the most distal part of their other 197 foot as far as possible, then touch the ruler and return their foot to the platform without losing 198 balance. The farthest distance they could reach was marked by the location of the pushed block 199 on the ruler, which is marked at regular intervals (centimetres and millimetres). 200

201 Insert Figure 2 about here

The participants were instructed to: keep the heel of their stance leg on the platform at all times; to push the block and not slide it by stepping on it; to control their movement and not push the

block suddenly; and not to put too much weight on the ruler before returning to the platform. Ifany of these criteria was not met, the trial was repeated.

To account for leg length variation between participants, balance data was normalised to lower limb length which was measured in supine from the anterior superior iliac spine to the medial malleolus [37]. To decrease the possibility of learning effects; the leg to start with and the direction to start with were randomised [38]. The dominant leg was not determined in this study as dominance did not affect dynamic balance results on the original SEBT in all directions [39]. However, the focus in this study was on the most and least affected sides.

Each participant started with four practice trials in the two directions (A and M) [27, 39], then three test trials were performed in each direction for one leg, with one minutes rest between directions followed by the other leg, after having five minutes rest in between.

The average peak torque of the knee flexors and extensors and the hip abductors was assessed using the Biodex system 3 isokinetic dynamometer (Biodex Medical Systems, Shirley, N.Y., USA). Based on the results of a previous reliability study, knee flexors and extensors were assessed concentrically at 60° /s and isometrically at 45° , whereas the hip abductors were assessed isometrically at 0° . Data were normalised to body mass.

The pain and function in daily living activities subscales of the Knee injury and Osteoarthritis
Outcome Score (KOOS) questionnaire [40] were assessed at baseline and after six weeks.
Adherence was monitored by recording the participants' attendance to the treatment sessions.

223 **2.3. Exercise programme**

Participants attended a six-week group exercise programme once a week. Each session included
a 20 minute self-management education session followed by 60 minutes of exercises.

10

The self-management education sessions provided the patients with information on the management of knee OA; including how to improve knee pain, muscle weakness, morning stiffness, and teaching them to pace their activities. These sessions also developed skills such as problem solving, decision making, resources utilisation, forming a partnership between the participant and their health care professional and taking action [41].

A circuit training exercise programme that focused on bilateral strengthening of lower extremity 231 muscles was delivered. It consisted of ten exercises including: bilateral, split, and unilateral 232 squats, step-ups, side lowers, side lying hip abduction, clam, bridging, knee extension exercises, 233 and cycling on a stationary bike. Each of the squats, step-ups, and side lower exercises consisted 234 of five levels to increase difficulty starting by performing the exercise supported (holding a 235 surface for stability e.g. table), then unsupported, then the exercise was performed against 236 resistance, then it was performed on a wobble board to challenge balance without resistance, and 237 238 finally challenged balance with resistance. Dumbbells, ankle cuffs, and TherabandTM were used. The 10 RM determined the initial weight used by the patients. As the patients improved, the 239 resistance was increased based on a modified Daily Adjustable Progressive Resistive Exercise 240 241 (DAPRE) technique [42] and the participant's condition (appendix A). The modified DAPRE differed from the original in the frequency of exercise progression (weekly rather than daily) and 242 the number of sets of each exercise (three instead of four sets) to decrease stresses on the knee 243 joint. For a programme of three sets of 10 repetitions, the participants will do 10 repetitions of an 244 exercise with their resistance for the first two sets, and then for the last set they will be asked to 245 do as much repetitions as they can manage. From the number of repetitions in the last set, it will 246 be determined if any changes to their resistance should be done in the next session (Table 2). 247

248 Insert Table 2 about here

Between the weekly exercise sessions home exercises were performed daily for 10-15 minutes. The patients were provided with weights, TherabandsTM, and an information booklet about OA and how to do the same exercises performed in class correctly to facilitate exercising at home. In addition, participants were asked to complete diaries to record the time and frequency of how much they exercised at home.

254 **2.4. Statistical analyses**

Data were checked for normality using the Kolmogorov-Smirnov test. Normally distributed data 255 were assessed using paired t-tests to evaluate any changes in outcome measures pre-to post-256 exercise. Mean differences, which were calculated by subtracting the pre-exercise from the post-257 exercise data were utilised to enable comparison with future studies. Wilcoxon-sign rank test was 258 259 used to assess the KOOS Pain and Function sub-scales data, and the median (range) to describe them as data is ordinal. It was also used to assess the demographic differences between the 260 participants who completed the study and those who dropped out. All statistical tests were 261 262 performed using SPSS (SPSS 16, IBM, New York, USA, version 16) and level of significance 263 was set at p < 0.05.

264

3. RESULTS

Of the 79 patients diagnosed with knee OA on the physiotherapy waiting list, a convenience sample of 19 participants enrolled in the study; 43 individuals did not respond to the invitation, 7 declined to participate, and 17 did not meet the inclusion criteria. Two-group exercise programmes were completed, with ten participants in the first and nine in the second group. Fourteen participants completed the study; twelve women and two men. Five participants dropped out; reasons included a car accident (n=1), family death (n=1), family commitment (n=2), previous medical condition (n=1). Baseline demographic data are presented in Table 3.
The characteristics of the five who dropped out did not significantly differ to those completing
the programme (p>0.05).

275 Insert Table 3 about here

On average, participants attended 5.36 (SD 0.84) of the six sessions with eight participants
attending all six sessions (44%). Diaries showed good adherence to home exercises.

After the exercise programme, the affected side demonstrated significant improvements in dynamic balance in the A and M directions (p=0.02 and p=0.01, respectively) with a mean difference of -4.50 (6.38)cm and -5.81 (6.91)cm for raw balance data, and -5.06 (7.27)% and -6.59 (7.77)% for normalized data in the A and M directions, respectively (Table 4).

As for the contralateral side (least affected), balance data demonstrated significant improvements in the A direction (p<0.001) with a mean difference of -5.30 (4.52)cm, and -5.58 (5.35)% for raw and normalised data, respectively. However, balance in the M direction did not change significantly with a p-value and mean difference of 0.07, -3.85 (7.32), and 0.2, -2.99 (8.22) for raw and normalised data, respectively (Table 4).

287 Insert Table 4 about here

Bilateral concentric muscle strength of the knee flexors and extensors at 60° /s, isometric strength at 45° , and the isometric strength of the hip abductors at 0° significantly improved after the exercise programme (p ≤ 0.001) (Table 5 and 6).

291 *Insert Tables 5 and 6 about here*

Figure 3 represents the changes in balance and muscle strength on the affected and contralateral sides

294 Insert Figure 3 about here

After the exercise programme, there was a significant reduction in pain (p<0.001) with a median and range of 51.50 (47.00 - 62.50) at six weeks compared to 34.50 (29.25 - 41.25) at baseline. Also, function in daily living activities significantly improved (p<0.001) with a median and range of 55.50 (46.75 - 74.25) at six weeks compared to 39.00 (28.25 - 45.25) at baseline.

299

300 **4. DISCUSSION**

301 Strengthening lower extremity muscles using an exercise programme, in addition to an education 302 programme to promote self-management, improved dynamic balance in the A and M directions 303 (using the modified SEBT) on the affected side in knee OA.

The majority of literature on knee OA has assessed quiet standing balance only, i.e., postural sway [1, 43, 44] although dynamic balance was found to be impaired in fallers compared to non faller which might increase the risk of falling [45]. In this limited research, dynamic balance was assessed using the step test [17] which lacks the multi-directional challenge.

This is the first study to the authors' knowledge to investigate the effectiveness of exercises on dynamic balance using a modified SEBT in people with medial knee OA. Dynamic balance was assessed in the anterior and medial directions as they relate to functional activities such as walking straight ahead or sideways and turning to reach for something which might be associated with increased risk of falling in the elderly. The improvements in dynamic balance could be related to the decrease in pain and the increase in hip and knee muscle strength as strong muscles

are needed to maintain the centre of gravity within the base of support [1]. Also, an observational study reported concentric and eccentric strength of the knee muscles accounted for 18.4% of the variability in dynamic balance, which was measured by leaning forward and backward as far as possible on force platforms in elderly population with chronic knee pain [5, 46]. They found weaker knee muscles at baseline resulted in greater decrease in balance after 30 months and stronger knee and ankle muscles predicted better balance. Furthermore, pain resulted in poorer balance in the presence of weak knees.

Alternatively, Thorpe and Ebersole [47] reported that strength does not significantly affect excursion distance using the SEBT, whereas muscle activation patterns and the participant's training condition potentially do. They assessed athletic and non-athletic healthy female participants only who did five test trials of the SEBT after two familiarisation sessions of six practice trials (one 48-72 hours before the test and one immediately before the test). This might have limited their results as familiarisation with the test could reduce the possibility of detecting muscle strength contribution to the excursion distance.

In the current pilot study, muscle strength and pain significantly improved with a significant increase in excursion distances. Therefore, these preliminary results suggest muscle strength and pain affect dynamic balance. In addition, function in daily living activities significantly improved after the exercise programme. A positive correlation was found between concentric knee muscle strength at 60°/s and function in knee OA [48]. Therefore, the enhanced function is likely to be a result of the increase in knee muscle strength after the pilot exercise programme.

As the SEBT might require neuromuscular control and co-contraction of the muscles of the stance leg to increase excursion distance and knee antagonist muscles co-contraction is increased

15

in knee OA [49], the reported decrease in muscle co-contraction after the current exercise programme might have affected the excursion distances [50]. The relationship between muscle co-contraction and dynamic balance has not been investigated. It might be that the exercises enhanced the co-ordination between the different muscles of the lower leg, so they are activated only when they are needed and this improved balance. The mechanisms behind dynamic balance deficits need further investigation.

The SEBT has not been used previously in knee OA research, although it has been used with other knee pathologies, such as anterior cruciate ligament injury [21]. However, this study demonstrates that the use of the SEBT potentially offering a unique way of assessing multidirectional balance, although a larger study is needed to determine the effectiveness of exercises on dynamic balance measured with the SEBT in knee OA.

This pilot study is also the first to investigate the effect of exercises on dynamic balance of the 347 contralateral side in knee OA. After the current exercise programme, dynamic balance on the 348 349 contralateral side significantly improved in the A direction only. Balance in the M direction demonstrated an increase, but it was not significant. The M direction might need the 350 neuromuscular control and muscle strength of the hip abductors in addition to the knee muscles. 351 Hip abductors are weaker on the affected side in knee OA compared to healthy participants [28]. 352 but this was not assessed on the contralateral side. It might be that the hip abductors on the 353 contralateral side are not as involved as those on the affected side (i.e. they are stronger), which 354 resulted in an insignificant change in balance in the M direction. In addition, lack of significant 355 difference in the M directions might be due to the small sample size. 356

Although dynamic balance significantly improved, this improvement might not be clinically significant. MDC values reported in the reliability study, which was performed on healthy 40-60 year olds, were larger than the change in dynamic balance in the A and M directions after the exercise programme. These values are expected to be higher in people with knee OA therefore this should be further investigated.

An experimental before-and-after pilot study design with a small sample size (n=14), where 362 clinical and not radiographic assessment of knee OA was performed in three participants, is a 363 limitation to this study. In addition, a systematic review has reported a small to medium 364 correlation between core muscle strength and balance in healthy populations [51]. However, this 365 was not assessed in this study which might have affected the results. Moreover, an assessment of 366 fall risk in individuals with knee OA was not performed. Therefore, the effectiveness of the 367 exercise programme should be explored in an RCT with proper radiographic assessment of knee 368 369 OA severity, assessment of core muscle strength and risk of falls, blinding and allocation concealment. The participants showed good adherence to the programme. Five participants 370 dropped out of the study, however this would not question the validity of the exercise 371 372 programme as their reasons for dropping out were not related to the exercises. The exercise programme was feasible, it was delivered based on usual practice, experience, and the resources 373 available. 374

375

376 **5. CONCLUSION**

A six-week exercise programme targeting the lower extremity muscles, integrated with education session, significantly improved dynamic balance in patients diagnosed with knee OA. As knee OA population are at high risk of falling as a result of aging and the changes associated with

17

380	their condition, this programme may have the potential for decreasing the rate of falling by
381	improving their dynamic balance. This should be further investigated in larger studies.
382	
383	ACKNOWLEDGMENTS
384	Many thanks to The University of Jordan and The University of Salford for funding this study,
385	and for the physiotherapists at Trafford General Hospital for their help in the recruitment process
386	and exercise delivery. Also, I would like to thank the participants who took part in the study.
387	
388	
389	
390	
391	
392	
393	
394	
395	
396	
397	
398	

399 **REFERENCES**

- 400 [1] Wegener L, Kisner C, Nichols D. Static and dynamic balance responses in persons with
 401 bilateral knee osteoarthritis. J Orthop Sports Phys Ther 1997;25:13-18.
- 402 [2] Hinman RS, Bennell KL, Metcalf BR, Crossley KM. Balance impairments in individuals
- with symptomatic knee osteoarthritis: a comparison with matched controls using clinical
 tests. Rheumatology (Oxford) 2002;4:1388-1394.
- 405 [3] Guskiewicz KM, Perrin DH. Research and clinical applications of assessing balance. J Sport
 406 Rehabil 1996;5:45-63.
- 407 [4] Winter DA, Patla AE, Frank JS. Assessment of balance control in humans. Med Prog
 408 Technol 1990;16(1-2):31-51.
- 409 [5] Jadelis K, Miller ME, Ettinger WH, Messier SP. Strength, balance, and the modifying effects
 410 of obesity and knee pain: results from the Observational Arthritis Study in Seniors (OASIS).
- 411 J Am Geriatr Soc 2001;49:884-891.
- 412 [6] Shumway-Cook A, Baldwin M, Polissar NL, Gruber W. Predicting the probability for falls
 413 in community-dwelling older adults. Phy Ther 1997;77:812-819.
- 414 [7] Sturnieks DL, Tiedemann A, Chapman K, Munro B, Murray SM, Lord SR. Physiological risk
 415 factors for falls in older people with lower limb arthritis. The Journal of Rheumatology
 416 2004;31(11):2272-2279.
- 417 [8] Silva A, Serrao P, Driusso P, Mattiello S. The effects of therapeutic exercise on the balance
 418 of women with knee osteoarthritis: a systematic review. Rev Bras Fisioter 2012;16:1-9.
- 419 [9] Maher C, Sherrington C, Herbert R, Moseley A, Elkins M. Reliability of the PEDro scale
- 420 for rating quality of randomized controlled trials. Phys Ther 2003;83:713-721.

- [10] Diracoglu D, Aydin R, Baskent A, Celik A. Effects of kinesthesia and balance exercises in
 knee osteoarthritis. JCR: J Clin Rheumatol 2005;11:303-310.
- 423 [11] Jan MH, Lin CH, Lin YF, Lin JJ, Lin DH. Effects of Weight-Bearing Versus Nonweight-
- 424 Bearing Exercise on Function, Walking Speed, and Position Sense in Participants With
- 425 Knee Osteoarthritis: A Randomized Controlled Trial. Arch Phys Med Rehabil 2009;90:897426 904.
- [12] Chaipinyo K, Karoonsupcharoen O. No Difference between Home-based Strength Training
 and Home-based Balance Training on Pain in Patients with Knee Osteoarthritis: A
 Randomised Trial. Aust J Physiother 2009;55:25-30.
- [13] McKnight P, Kasle S, Going S, Villanueva I, Cornett M, Farr J, et al. A comparison of
 strength training, selfâ€□management, and the combination for early osteoarthritis of the
 knee. Arthritis Care Res (Hoboken) 2010;62:45-53.
- [14] Marsh A, Rejeski W, Lang W, Miller M, Messier S. Baseline balance and functional decline
 in older adults with knee pain: the Observational Arthritis Study in Seniors. J Am Geriatr
 Soc 2003;51:331-339.
- [15] Lim BW, Hinman RS, Wrigley TV, Sharma L, Bennell KL. Does knee malalignment
 mediate the effects of quadriceps strengthening on knee adduction moment, pain, and
 function in medial knee osteoarthritis? A randomized controlled trial. Arthritis Rheum
 2008;59:943-951.
- [16] Hinman RS, Heywood SE, Day AR. Aquatic physical therapy for hip and knee
 osteoarthritis: results of a single-blind randomized controlled trial. Phys Ther 2007;87:32442 43.

- [17] Hill KD. A new test of dynamic standing balance for stroke patients: reliability, validity and
 comparison with healthy elderly. Physiother Can 1996;48:257-262.
- [18] Hertel J, Miller SJ, Denegar CA. Intratester and intertester reliability during the Star
 Excursion Balance Tests. J Sport Rehabil 2000;9:104-116.
- [19] Gribble PA, Kelly SE, Refshauge KM, Hiller CE. Interrater reliability of the star excursion
 balance test. J Athl Train 2013;48:621-6.
- [20] Bouillon LE, Baker JL. Dynamic balance differences as measured by the star excursion
 balance test between adult-aged and middle-aged women. Sports Health 2011;3:466-9.
- 451 [21] Herrington L, Hatcher J, Hatcher A, McNicholas M. A comparison of Star Excursion
- 452 Balance Test reach distances between ACL deficient patients and asymptomatic controls.453 Knee 2009;16:149-152.
- 454 [22] Altman RD. Criteria for the classification of osteoarthritis of the knee and hip. Scand J455 Rheumatol 1987;16:31-39.
- [23] Kellgren JH, Lawrence JS. Radiological assessment of osteo-arthrosis. Ann Rheum Dis
 1957;16:494.
- [24] Felson DT, Zhang Y, Hannan MT, Naimark A, Weissman B, Aliabadi P, et al. Risk factors
 for incident radiographic knee osteoarthritis in the elderly. The Framingham Study. Arthritis
 Rheum 1997;40:728-33.
- [25] Leyland KM, Hart DJ, Javaid MK, Judge A, Kiran A, Soni A, et al. The natural history of
 radiographic knee osteoarthritis: A fourteen-year population-based cohort study. Arthritis
 Rheum 2012;64:2243-51.
- 464 [26] Fleck S, Kraemer WJ. Resistance training and exercise prescription. Designing resistance
 465 training programs. Champaign: Human Kinetics 2004:81-179.

- [27] Robinson RH, Gribble PA. Support for a reduction in the number of trials needed for the
 star excursion balance test. Arch Phys Med Rehabil 2008;89:364-370.
- 468 [28] Sled EA, Khoja L, Deluzio KJ, Olney SJ, Culham EG. Effect of a Home Program of Hip
- 469 Abductor Exercises on Knee Joint Loading, Strength, Function, and Pain in People With
- 470 Knee Osteoarthritis: A Clinical Trial. Phys Ther 2010;90:1-10.
- 471 [29] Slemenda C, Brandt KD, Heilman DK, Mazzuca S, Braunstein EM, Katz BP, et al.
 472 Quadriceps weakness and osteoarthritis of the knee. Ann Intern Med 1997;127:97-104.
- [30] Hortobágyi T, Garry J, Holbert D, Devita P. Aberrations in the control of quadriceps muscle
- force in patients with knee osteoarthritis. Arthritis Care Res 2004;51:562-569.
- [31] Earl JE, Hertel J. Lower-extremity muscle activation during the Star Excursion Balance
 Tests. J Sport Rehabil 2001;10:93-104.
- 477 [32] Harvill LM. Standard error of measurement. Educ Meas 1991;10:33-41.
- [33] Atkinson G, Nevill AM. Statistical methods for assessing measurement error (reliability) in
 variables relevant to sports medicine. Sports Med 1998;26:217-238.
- 480 [34] Kean CO, Birmingham TB, Garland SJ, Bryant DM, Giffin JR. Minimal Detectable Change
- 481 in Quadriceps Strength and Voluntary Muscle Activation in Patients With Knee
 482 Osteoarthritis. Arch Phys Med Rehabil 2010;91:1447-1451.
- 483 [35] Nunnally JC, Bernstein IH. Psychometric theory. McGraw, New York 1994.
- [36] Hertel J, Braham RA, Hale SA, Olmsted-Kramer LC. Simplifying the star excursion balance
 test: analyses of subjects with and without chronic ankle instability. J Orthop Sports Phys
- 486 Ther 2006;36:131-137
- 487 [37] Gribble PA, Hertel J. Considerations for normalizing measures of the Star Excursion
 488 Balance Test. Meas Phys Educ Exerc Sci 2003;7:89-100.

- [38] Olmsted LC, Carcia CR, Hertel J, Shultz SJ. Efficacy of the Star Excursion Balance Tests in
 detecting reach deficits in subjects with chronic ankle instability. J Athl Train 2002;37:501506
- [39] Munro AG, Herrington LC. Between-session reliability of the star excursion balance test.
 Phys Ther Sport 2010;11:128-132.
- [40] Bellamy N, Buchanan WW, Goldsmith CH, Campbell J, Stitt LW. Validation study of
 WOMAC: a health status instrument for measuring clinically important patient relevant
 outcomes to antirheumatic drug therapy in patients with osteoarthritis of the hip or knee.
 The Journal of rheumatology 1988;15(12):1833.
- 498 [41] Lorig K, Holman H. Self-management education: history, definition, outcomes, and499 mechanisms. Ann Behav Med 2003;26:1-7.
- 500 [42] Knight K. Knee rehabilitation by the daily adjustable progressive resistive exercise
 501 technique. Am J Sports Med 1979;7:336-337.
- [43] Hassan BS, Mockett S, Doherty M. Static postural sway, proprioception, and maximal
 voluntary quadriceps contraction in patients with knee osteoarthritis and normal control
 subjects. Ann Rheum Dis 2001;60:612-618.
- [44] Hurley MV, Scott DL, Rees J, Newham DJ. Sensorimotor changes and functional
 performance in patients with knee osteoarthritis. BMJ 1997;56:641-648.
- 507 [45] Mujdeci B, Aksoy S, Atas A. Evaluation of balance in fallers and non-fallers elderly.
 508 Brazilian journal of otorhinolaryngology;78(5):104-109
- 509 [46] Messier S, Glasser J, Ettinger Jr W, Craven T, Miller M. Declines in strength and balance in
- 510 older adults with chronic knee pain: A 30-month longitudinal, observational study. Arthritis
- 511 Care Res 2002;47:141-148.

- 512 [47] Thorpe JL, Ebersole KT. Unilateral balance performance in female collegiate soccer
 513 athletes. J Strength Cond Res 2008;22:1429-1433
- 514 [48] Van der Esch M, Steultjens M, Harlaar J, Knol D, Lems W, Dekker J. Joint proprioception,
- muscle strength, and functional ability in patients with osteoarthritis of the knee. Arthritis
 care & research 2007;57(5):787-793.
- [49] Hubley-Kozey CL, Hill NA, Rutherford DJ, Dunbar MJ, Stanish WD. Co-activation
 differences in lower limb muscles between asymptomatic controls and those with varying
 degrees of knee osteoarthritis during walking. Clin Biomech (Bristol, Avon) 2009;24:407414.
- [50] Al-Khlaifat L, Herrington L, Hammond A, Tyson S, Jones R. The effectiveness of an
 exercise programme on knee loading, muscle co-contraction, and pain in patients with
 medial knee osteoarthritis: a pilot study. Knee 2016;23:63-69.
- 524 [51] Granacher U, Gollhofer A, HortobÃ;gyi T, Kressig RW, Muehlbauer T. The importance of
- 525 trunk muscle strength for balance, functional performance, and fall prevention in seniors: a
- 526 systematic review. Sports Med 2013;43:627-641.

Dynamic balance in knee osteoarthritis Appendix A

BILATERAL SQUAT



- 1. Supported
- Hold on to a stable surface.
- <u>Slowly bend your</u> <u>knees as if you are</u> <u>going to sit down and</u> <u>then straighten them</u> <u>up.</u>
 Repeat this exercise and the straighten the straigh
- <u>Repeat this exercise as</u> <u>3 groups of 10</u> <u>repetitions.</u>

- 2. Unsupported 3
- <u>Do not hold on to</u> <u>anything</u>
 <u>Slowly</u> bend your <u>knees as if you are</u> <u>going to sit down and</u> <u>then straighten them</u>
- up. Repeat this exercise as 3 groups of 10 repetitions.

- 3. Unsupported with weight
- Hold the weight your physiotherapist chose for you.
 Slowly bend your
- knees as if you are going to sit down and then straighten them
- <u>up.</u> - <u>Repeat this exercise as</u> <u>3 groups of 10</u> repetitions

- 4. On a cushion
- Stand on a cushion.

Without any support
 slowly bend your knees
 as if you are going to sit
 down and then
 straighten them up.

 <u>Repeat this exercise as</u> <u>3 groups of 10</u> <u>repetitions</u>

5. On a cushion with weight

- <u>Hold the weight your</u> physiotherapist chose for you.
- <u>Stand on a cushion.</u>
- Without any support
 slowly bend your knees
 as if you are going to sit
 down and then
- straighten them up.
- Repeat this exercise as 3 groups of 10

repetitions.

- Do not lower yourself so far that you cannot straighten back up by yourself or that you feel pain in your knees.
- Your exercise should *always* be pain free (minor discomfort).
- If you could not do 3 groups of 10 repetitions, start with 3 groups of 5 repetitions and increase the repetitions as you get fitter.
- Do not change the weight your physiotherapist picked for you as it is chosen based on your condition.

25

SPLIT SQUAT



1. Supported

- <u>Hold on to a stable</u> surface.
- <u>Move one of your legs</u> <u>backward with the toes</u> touching the ground.
- <u>Slowly bend your</u> <u>knees and then</u> straighten them up.
- <u>Repeat this exercise as</u> <u>3 groups of 10</u> <u>repetitions.</u>
- <u>Repeat with the other</u> leg moved backward.

- 2. Unsupported
- <u>Do not hold on to</u> anything
- <u>Move one of your legs</u> <u>backward with the toes</u> <u>touching the ground.</u>
- Slowly bend your knees and then straighten them up.
- Repeat this exercise as 3 groups of 10 repetitions.
- <u>Repeat with the other</u> leg moved backward

- 3. Unsupported with weight
- <u>Hold the weight your</u> physiotherapist chose for you.
- <u>Move one of your legs</u> <u>backward with the toes</u> touching the ground.
- <u>Slowly bend your</u> <u>knees and then</u> straighten them up.
- Repeat this exercise as <u>3 groups of 10</u> repetitions.
- Repeat with the other leg moved backward

4. On a cushion

- <u>Stand with one leg on</u> <u>a cushion and the</u> <u>other moved backward</u> <u>with the toes touching</u> <u>the ground.</u>

- <u>Without any support</u> <u>slowly bend your</u> <u>knees and then</u> straighten them up.
- <u>Repeat this exercise as</u> <u>3 groups of 10</u>
- repetitions. - Repeat with the other
- leg moved backward

- 5. On a cushion with weight
- <u>Hold the weight your</u> physiotherapist chose for you.
- <u>Stand with one leg on</u> <u>a cushion and the</u> <u>other moved backward</u> <u>with the toes touching</u> <u>the ground.</u>
- Without any support
 slowly bend your
 knees and then
 - straighten them up.
- <u>Repeat this exercise</u> as 3 groups of 10 repetitions.
- <u>Repeat with the other</u> leg moved backward

UNILATERAL SQUAT



STEP UP



1. Supported

- <u>Stand in front of a step.</u>
 <u>Hold on to a stable</u> <u>surface (for example</u>
- the wall). - Place one foot on the
- <u>step (This foot will not</u> <u>move throughout the</u> <u>exercise).</u>
- <u>With your other leg</u> <u>slowly step up till your</u> <u>foot is on the step too.</u>
- <u>Slowly step down with</u> the same leg.
- <u>Repeat this exercise as</u> <u>3 groups of 10</u> <u>repetitions.</u>
- <u>Repeat the exercise on</u> the other leg.

- 2. Unsupported
- <u>Stand in front of a step</u>
- <u>Do not hold on to</u> anything.
- <u>Place one foot on the</u> <u>step (This foot will not</u> <u>move throughout the</u> <u>exercise).</u>
- <u>With your other leg</u> **slowly** step up till your foot is on the step too.
- <u>Slowly step down with</u> the same leg.
- <u>Repeat this exercise as</u> <u>3 groups of 10</u> repetitions.
- Repeat the exercise on the other leg

- 3. Unsupported with weight
- Stand in front of a step.
- Hold the weight your physiotherapist chose for you.
- <u>Place one foot on the</u> <u>step (This foot will not</u> <u>move throughout the</u> <u>exercise).</u>
- <u>With your other leg</u> <u>slowly step up till your</u> foot is on the step too.
- Slowly step down with the same leg.
- <u>Repeat this exercise as</u> <u>3 groups of 10</u> <u>repetitions.</u>
- <u>Repeat the exercise on</u> the other leg

- 4. On a cushion
- Stand in front of a step.
- <u>Place a cushion on the</u> step in front of you.
- Place one foot on the cushion (This foot will not move throughout the exercise).
- <u>Without any support</u> **slowly** step up with your other leg till your
- foot is on the step too.
 Slowly step down with the same leg.
- <u>Repeat this exercise as</u> <u>3 groups of 10</u> <u>repetitions.</u>
- <u>Repeat on the other</u> leg.

5. On a cushion with weight

- <u>Stand in front of a</u> step.
- <u>Place a cushion on the</u> step in front of you
- <u>Hold the weight your</u> physiotherapist chose for you.
- <u>Place one foot on the</u> <u>cushion (This foot will</u> <u>not move throughout</u> <u>the exercise).</u>
- <u>Slowly step up with</u> your other leg till your foot is on the step too.
- <u>Slowly step down</u>
 with the same leg
- <u>Repeat as 3 groups of</u> <u>10 repetitions.</u>
- <u>Repeat on the other</u> leg.

SIDE LOWER











1. Supported

- <u>Stand sideway near a</u> <u>step.</u>
- <u>Hold on to a stable</u> <u>surface (for example a</u> <u>wall).</u>
- <u>Place the foot closer to</u> <u>the step on it (This foot</u> <u>will not move</u> <u>throughout the</u> <u>exercise).</u>
- <u>Slowly move your</u> other leg upward till it is on the same level as the foot on the step.
- <u>Slowly move it down</u> without touching the ground and repeat.

- 2. Unsupported
- <u>Stand sideway near</u> a step
- <u>Place the foot closer</u> to the step on it (This foot will not move throughout the exercise).
- <u>Slowly move your</u> other leg upward without holding anything till it is on
- the same level as the foot on the step.
- Slowly move it down without touching the ground and repeat.

- 3. Unsupported with weight
- Stand sideway near a step and hold the weight your physiotherapist chose for you.
- <u>Place the foot closer to</u> the step on it (This foot will not move throughout the exercise).
- <u>Slowly move your other</u> leg upward till it is on the same level as the foot on the step.
- <u>Slowly move it down</u> without touching the ground and repeat.

- 4. On a cushion
- <u>Stand sideway near a</u> <u>step.</u>
- <u>Place a cushion on</u> <u>the step.</u>
- <u>Place the foot closer</u> to the step on the cushion (This foot will not move throughout the exercise).
- <u>Slowly move your</u> other leg upward without holding anything till it is on the same level as the foot on the step.
- <u>Slowly move it down</u> without touching the ground and repeat.

5. On a cushion with weight

- <u>Stand sideway near a</u> <u>step and hold the weight</u> <u>your physiotherapist</u> <u>chose for you.</u>
- <u>Place a cushion on the</u> <u>step and put the foot</u> <u>closer to the step on it</u> (<u>This foot will not move</u> <u>throughout the</u> <u>exercise</u>).
- Slowly move your other leg upward till it is on the same level as the foot on the step.
- <u>Slowly move it down</u> without touching the ground and repeat.

Do the exercises above on both legs as 3 groups of 10 repetitions. If you could not, start with 3 groups of 5 repetitions and set a goal to² feach 10 repetitions. Have short rests between groups and between legs.

CLAM EXERCISES

1. Without resistance	2. Small range (thera- band)	3. Big range (thera- band)	4. Small range (weight)	5. Big range (weight)
 <u>Lie on your side and</u> <u>bend your arm for</u> <u>support.</u> <u>Keep your feet</u> <u>together.</u> <u>Slowly move your</u> <u>upper knee away from</u> <u>your bottom leg with</u> <u>your feet still together.</u> <u>Slowly bring your knee</u> <u>down and repeat as 3</u> <u>groups of 10</u> <u>repetitions.</u> <u>Roll over and repeat on</u> <u>the other side.</u> 	 Lie on your side. Place the band your physiotherapist gave to you around your thighs just above your knees. Keep your feet together Slowly move your upper knee away from your bottom leg for a small distance with your feet still together. Hold 3-5 seconds Slowly bring your knee down and repeat as 3 groups of 10 repetitions. 	 Lie on your side. Place the band your physiotherapist gave to you around your thighs just above your knees. Keep your feet together Slowly move your upper knee away from your bottom leg as far as you can with your feet still together. Hold 3-5 seconds Slowly bring your knee down and repeat as 3 groups of 10 repetitions. 	 Place the weight your physiotherapist gave to you around your upper thigh just above your knees. Keep your feet together Slowly move your upper knee away from your bottom leg for a small distance with your feet still together. Hold 3-5 seconds Slowly bring your knee down and repeat as 3 groups of 10 repetitions. 	 Place the weight your physiotherapist gave to you around your upper thigh just above your knees. Keep your feet together Slowly move your upper knee away from your bottom leg as far as can with your feet still together. Hold 3-5 seconds Slowly bring your knee down and repeat as 3 groups of 10 repetitions.
	- <u>Roll over and repeat on</u> the other side.	- <u>Roll over and repeat on</u> the other side.	- <u>Roll over and repeat on</u> the other side.	- <u>Roll over and repeat on</u> the other side.

- When you lie down on your side, support yourself on your elbow and keep your back straight. You upper leg only should be moving and not your trunk. 30

- The band will give resistance to your movement which will strengthen your muscles.

Hip abduction







- 6. Big range, weight above ankle
- Lie on your side and bend your arm and bottom leg for support.
- <u>Place the weight your</u> physiotherapist gave you just above your ankle.
- <u>Keep the upper leg straight and in</u> <u>line with your body.</u>
- <u>Slowly</u> raise your upper leg up a little more than the previous step (see picture). Hold briefly and relax.
- <u>Repeat as 3 groups of 10</u> repetitions.
- <u>Roll over and repeat on the other</u> <u>side.</u>

Bridging exercises







1. On both legs without resistance

- <u>Lie on your back with knees</u> <u>bent and feet a small distance</u> <u>apart.</u>
- <u>Slowly move your back away</u> from the ground as far as you can.
- <u>Slowly</u> lower your back and repeat.
- <u>Repeat as 3 groups of 10</u> repetitions.

2. On both legs with band

- Lie on your back with knees bent and feet a small distance
- <u>apart.</u>
- <u>Place the band your</u> physiotherapist gave you around your thighs just above your knees.
- Slowly move your back away from the ground as far as you can and at the same time move your knees away from each other against the band.
- Slowly lower your back and repeat.
- <u>Repeat as 3 groups of 10</u> repetitions.

3. On one leg

- Lie on your back with knees bent and feet a small distance apart.
- <u>Straighten one leg and s**lowly**</u> move your back away from the ground as far as you can.
- <u>Slowly</u> lower your back and repeat.
- <u>Repeat as 3 groups of 10</u> repetitions
- Repeat on the other side.



- <u>Place the weight your</u> physiotherapist gave you on your leg just above the ankle.
 <u>Sit on a chair and slowly move your</u>
- leg away from you till your leg is straight.
- Slowly bend your knee and repeat
- Repeat as 3 groups of 10

repetitions.

- Repeat on the other side.