

ORIGINAL ARTICLE

Somatic *CALR* Mutations in Myeloproliferative Neoplasms with Nonmutated *JAK2*

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ABSTRACT

BACKGROUND

Somatic mutations in the Janus kinase 2 gene (*JAK2*) occur in many myeloproliferative neoplasms, but the molecular pathogenesis of myeloproliferative neoplasms with nonmutated *JAK2* is obscure, and the diagnosis of these neoplasms remains a challenge.

METHODS

We performed exome sequencing of samples obtained from 151 patients with myeloproliferative neoplasms. The mutation status of the gene encoding calreticulin (*CALR*) was assessed in an additional 1345 hematologic cancers, 1517 other cancers, and 550 controls. We established phylogenetic trees using hematopoietic colonies. We assessed calreticulin subcellular localization using immunofluorescence and flow cytometry.

RESULTS

Exome sequencing identified 1498 mutations in 151 patients, with medians of 6.5, 6.5, and 13.0 mutations per patient in samples of polycythemia vera, essential thrombocythemia, and myelofibrosis, respectively. Somatic *CALR* mutations were found in 70 to 84% of samples of myeloproliferative neoplasms with nonmutated *JAK2*, in 8% of myelodysplasia samples, in occasional samples of other myeloid cancers, and in none of the other cancers. A total of 148 *CALR* mutations were identified with 19 distinct variants. Mutations were located in exon 9 and generated a +1 base-pair frameshift, which would result in a mutant protein with a novel C-terminal. Mutant calreticulin was observed in the endoplasmic reticulum without increased cell-surface or Golgi accumulation. Patients with myeloproliferative neoplasms carrying *CALR* mutations presented with higher platelet counts and lower hemoglobin levels than patients with mutated *JAK2*. Mutation of *CALR* was detected in hematopoietic stem and progenitor cells. Clonal analyses showed *CALR* mutations in the earliest phylogenetic node, a finding consistent with its role as an initiating mutation in some patients.

CONCLUSIONS

Somatic mutations in the endoplasmic reticulum chaperone *CALR* were found in a majority of patients with myeloproliferative neoplasms with nonmutated *JAK2*. (Funded by the Kay Kendall Leukaemia Fund and others.)

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THE MYELOPROLIFERATIVE NEOPLASMS are chronic myeloid cancers that are characterized by the overproduction of mature blood cells, and that may evolve into acute myeloid leukemia.^{1,2} In addition to chronic myeloid leukemia with the *BCR-ABL* fusion gene, the three most common myeloproliferative neoplasms are essential thrombocythemia, polycythemia vera, and myelofibrosis.

Many patients with a *BCR-ABL*-negative myeloproliferative neoplasm carry a Janus kinase 2 (*JAK2*) V617F mutation.³⁻⁶ The *JAK2* V617F mutation or *JAK2* exon 12 mutations are found in most patients with polycythemia vera,^{7,8} whereas the *JAK2* V617F mutation is found in only 50 to 60% of patients with essential thrombocythemia or myelofibrosis.^{9,10} Tests for *JAK2* mutations have greatly simplified the diagnosis of myeloproliferative neoplasms and are now firmly embedded as front-line tests in national and international guidelines.¹¹⁻¹⁴ However, distinguishing essential thrombocythemia with nonmutated *JAK2* from the much more common reactive thrombocytosis remains a major diagnostic challenge.

Additional mutations have been identified in patients who have myeloproliferative neoplasms with or without *JAK2* mutations. These mutations fall into two main classes: signaling mutations that activate the thrombopoietin receptor (*MPL*) or inactivate negative regulators such as *LNK*^{15,16} and mutations in epigenetic regulators of DNA methylation (*TET2*, *DNMT3A*, and *IDH1/2*)¹⁷⁻²⁰ or chromatin structure (*EZH2* and *ASXL1*).^{21,22} However, these additional mutations affect only a small minority of patients, genomewide data are lacking, and the pathogenesis of myeloproliferative neoplasms without *JAK2* or *MPL* mutations remains obscure.

We have used massively parallel sequencing to characterize the mutational landscape of 151 patients with myeloproliferative neoplasms and have identified the gene encoding calreticulin (*CALR*) as a new cancer gene that is mutated in the majority of patients with myeloproliferative neoplasms and nonmutated *JAK2*.

METHODS

STUDY SAMPLES

We obtained samples from patients with myeloproliferative neoplasms and other cancers and from healthy controls after obtaining written in-

formed consent. The study was approved by the ethics committee at each participating study center. For exome sequencing, we obtained tumor samples from blood granulocytes and constitutional samples from cultured T cells (in 34 patients), isolated T cells (in 42), or buccal swabs (in 75). (Additional details are provided in the Supplementary Appendix, available with the full text of this article at NEJM.org.) We classified all myeloproliferative neoplasms in accordance with the criteria of the British Committee for Standards in Haematology.^{11,12} The diagnoses of other hematologic neoplasms were made in accordance with 2008 World Health Organization categories.¹³

DNA SEQUENCING, CLONAL ANALYSIS, AND PROTEIN EXPRESSION

We performed exome sequencing of matched tumor and constitutional samples of DNA using standard protocols and conducted bioinformatic analyses to identify somatically acquired mutations, as described previously.^{23,24} We confirmed the presence of somatic sequence variants using custom target-capture methods and parallel sequencing combined with manual data curation. We used Sanger sequencing of *CALR* exon 9 combined with analyses of exome or genome sequencing data to determine the mutation status of *CALR* in an additional 1345 hematologic cancers, in 1517 other cancer samples, and in 550 control samples.

We cultured hematopoietic colonies, as described previously,²⁵ and genotyped them using Sanger sequencing for all mutations identified on exome sequencing for that patient. Expression constructs, cell lines, and other methods are described in the Supplementary Appendix.

STATISTICAL ANALYSIS

We calculated the significance of recurrently mutated genes, taking into account mutation frequency, gene size, and mutation and sequence context. P values were adjusted for a false discovery rate and are expressed as a q value, with a value of less than 0.05 indicating statistical significance. Clonal heterogeneity was inferred from exome-sequencing data on the basis of a Bayesian Dirichlet process.²⁶ We evaluated the statistical significance of differences between subtypes of myeloproliferative neoplasms using standard methods, which are detailed in the Supplementary Appendix.

RESULTS

MUTATIONAL LANDSCAPE IN THE PATIENTS

We analyzed the results of exome sequencing of DNA from granulocytes and constitutional DNA obtained from purified T cells or buccal cells in 168 patients with myeloproliferative neoplasms. The identification of appropriate constitutional DNA samples is a challenge among patients with myeloproliferative neoplasms, since circulating T cells and buccal cells may be contaminated by neoplastic cells (Fig. S1 in the Supplementary Appendix). For patients with the *JAK2* V617F mutation, we measured the *JAK2* V617F allele burden, using a quantitative polymerase-chain-reaction (PCR) assay to identify contaminated constitutional DNA samples, with in vitro T-cell cultures being necessary in some instances. In cases in which constitutional DNA was limited, the use of a combination of unamplified and whole-genome amplified DNA improved exome coverage (Fig. S2 in the Supplementary Appendix). We developed a bioinformatics workflow to enable the identification of high-confidence somatic variants (Fig. S3 in the Supplementary Appendix), and complemented this with orthogonal verification of mutations by targeted resequencing and manual curation.

Of the 168 samples of myeloproliferative neoplasms that were sequenced, 151 samples (48 samples of polycythemia vera, 62 of essential thrombocythemia, 39 of myelofibrosis, and 2 of unclassifiable myeloproliferative neoplasms) fulfilled the bioinformatic criteria and were taken forward for analysis (Fig. S3 and Table S1 in the Supplementary Appendix). The average exome sequencing coverage was 141×.

We identified and validated 1498 somatic mutations in 150 samples, with a range of 1 to 32 mutations per sample (Fig. 1A, and Table S2 in the Supplementary Appendix); no mutations were identified in the sample obtained from Patient PD8641, who had essential thrombocythemia. A total of 99 of the mutations we identified were insertions or deletions (indels) and 1399 were substitutions; 1405 mutations were found in coding sequences (1130 nonsynonymous changes), 22 in essential splice sites, 46 in introns within 10 base-pairs of splice junctions, and 25 in untranslated regions. The median number of mutations per patient was 6.5 in patients with polycythemia vera, 6.5 in those with essential

thrombocythemia, and 13.0 in those with myelofibrosis ($P=0.008$ by one-way analysis of variance). The significantly higher number of mutations in patients with myelofibrosis was consistent with the concept that this disorder is a more advanced stage of disease than either essential thrombocythemia or polycythemia vera ($P<0.001$ for the comparison of myelofibrosis with essential thrombocythemia and $P=0.008$ for the comparison of myelofibrosis with polycythemia vera; pairwise t-test with Bonferroni correction for both comparisons). The mutational spectrum showed a predominance of C→T transitions (Fig. S4 in the Supplementary Appendix) and was similar to that observed in myelodysplasia and several epithelial cancers.^{23,27}

We identified mutations in several genes that have previously been implicated in myeloproliferative neoplasms or other myeloid cancers (Fig. 1B and 1C). *JAK2* V617F was the most prevalent mutation and was found in all 48 patients with polycythemia vera, 35 of 62 patients with essential thrombocythemia (56%), and 27 of 39 patients with myelofibrosis (69%). Mutations in epigenetic regulators were as follows: *TET2*, 25 somatic variants in 22 patients; *DNMT3A*, 13 somatic variants in 12 patients; *ASXL1*, 13 somatic variants in 12 patients; *EZH2* somatic variants in 4 patients; and *IDH1/2* somatic variants in 3 patients (Fig. 1B). Mutations in genes encoding components of the splicing machinery were found in 9 patients (*U2AF1* in 4 patients, *SF3B1* in 3 patients, and *SRSF2* in 2 patients), and mutations in the gene encoding the thrombopoietin receptor (*MPL*) were identified in 7 patients, all of whom had essential thrombocythemia or myelofibrosis with unmutated *JAK2*. Mutations in genes that are reported to be mutated in myeloproliferative neoplasms at low frequencies were found in 4 patients (*CBL*, in 1 patient; *NFE2*, in 2 patients; and *SH2B3/LNK*, in 1 patient) (Fig. 1B). Missense somatic mutations in *CHEK2*, which have not been reported previously in myeloproliferative neoplasms, were found in 1 patient each with polycythemia vera, essential thrombocythemia, and myelofibrosis ($q=0.008$) (Table S3 in the Supplementary Appendix). We also assessed pairwise associations between genes mutated in myeloproliferative neoplasms (Fig. S5 in the Supplementary Appendix). Mutations in *ASXL1* were found to be comutated with genes involved in RNA splicing (*U2AF1* and *SRSF2*), and mutations

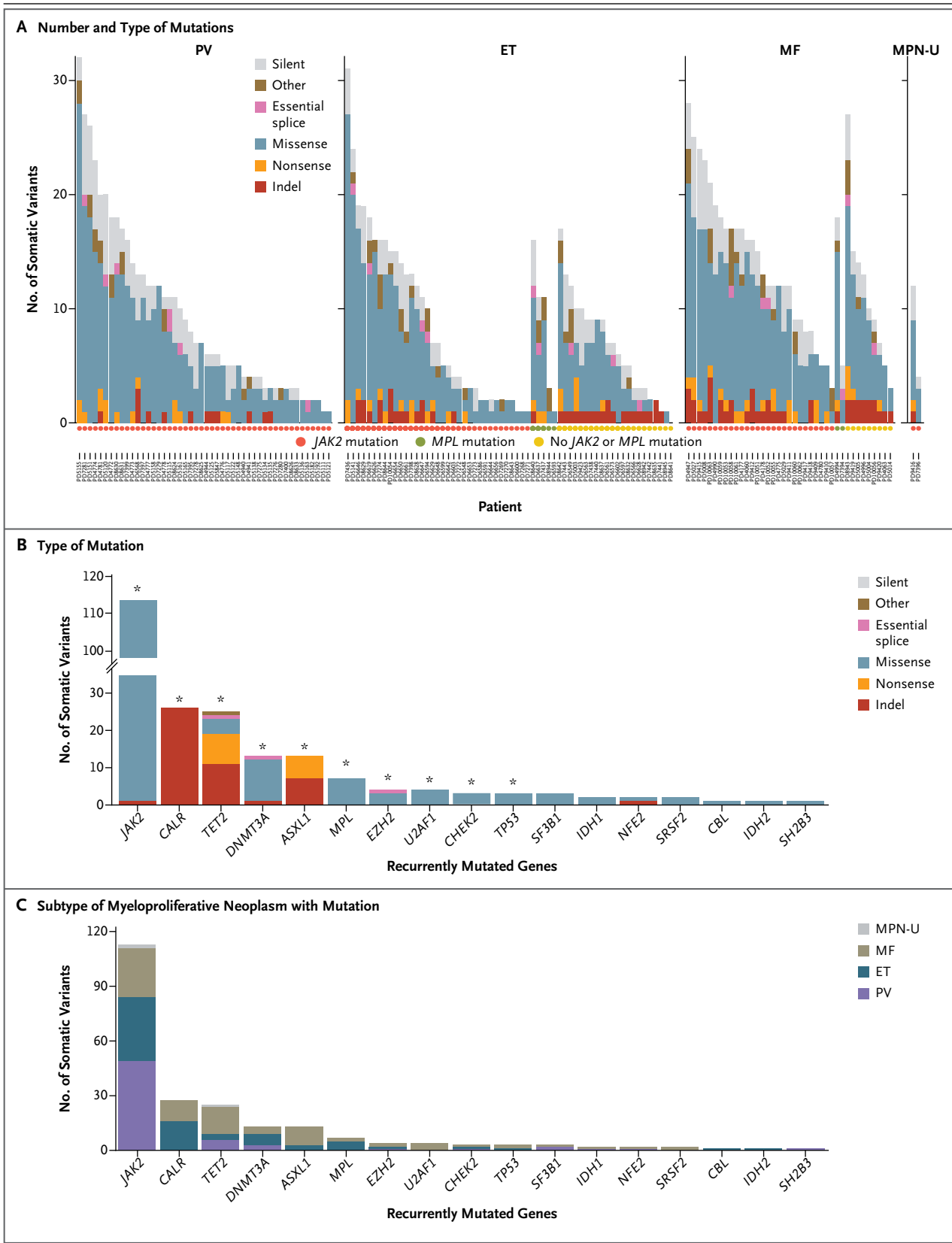


Figure 1 (facing page). Mutational Profile of 151 Myeloproliferative Neoplasms.

Panel A shows the number and type of mutations identified on exome sequencing in each sample obtained from 151 patients with myeloproliferative neoplasms. These patients included 48 with polycythemia vera (PV), 62 with essential thrombocythemia (ET), 39 with myelofibrosis (MF), and 2 with unclassifiable myeloproliferative neoplasms (MPN-U). The type of mutation is indicated in the key in each panel; the circles below the graphs indicate the patients' mutational status: *JAK2*, *MPL*, or no *JAK2* or *MPL* mutation. Also shown are the numbers of somatic mutations in recurrently mutated genes in this study as well as in genes previously reported to be mutated in myeloproliferative neoplasms, according to the type of mutation (Panel B) and subtype of myeloproliferative neoplasm (Panel C). In Panel B, the asterisks denote the significance of recurrently mutated genes ($q < 0.05$). Indel denotes insertion or deletion mutation.

in *SRSF2* were comutated with genes that encode epigenetic modifiers (*TET2*, *IDH1*, and *ASXL1*) — a profile strikingly similar to the associations seen in myelodysplasia.²⁸

RECURRENT SOMATIC CALR MUTATIONS

A striking pattern of somatic mutations was observed in *CALR*, a gene that had not previously been recognized as an oncogene mutated in any form of cancer. *CALR* mutations were identified in 26 patients (Fig. 1B and 1C). All the mutations were indels in exon 9 and had a remarkable association with disease, since they were found in 26 of 31 patients with essential thrombocythemia or myelofibrosis and nonmutated *JAK2* or *MPL* (84%; 95% confidence interval [CI], 66 to 94) but in none of the 120 patients with *JAK2* or *MPL* mutations (Fig. 2A, and Fig. S5 in the Supplementary Appendix). There were two common variants: L367fs*46, which resulted from a 52-bp deletion flanked by 7 base pairs of identical sequence; and K385fs*47, which resulted from a 5-bp insertion and represented an inverse duplication of the five nucleotides preceding the insertion (Fig. 2B).

Exome sequencing revealed low coverage at *CALR* (median depth, 10 reads) (Fig. S6 in the Supplementary Appendix). We therefore also performed Sanger sequencing of exon 9, which detected *CALR* mutations in 10 to 15% of cells (Fig. S6 in the Supplementary Appendix). This confirmed the absence of *CALR* exon 9 mutations in all 120 patients who had *JAK2* or *MPL* mutations. Of 6 patients initially lacking a muta-

tion in *CALR*, *JAK2*, and *MPL* on exome sequencing, 1 patient was found to have low-level mutated *CALR* on Sanger sequencing.

Among patients with essential thrombocythemia, those with *CALR* mutations, as compared with those with *JAK2* mutations, presented with significantly higher platelet counts ($P < 0.001$ by the Wilcoxon rank-sum test) and lower hemoglobin levels ($P = 0.02$ by Student's *t*-test) (Table S4 in the Supplementary Appendix). Patients with *CALR* mutations had a significantly higher incidence of transformation from essential thrombocythemia to myelofibrosis than did those with *JAK2* mutations ($P = 0.03$ by Fisher's exact test) (Table S4 in the Supplementary Appendix). There were no significant between-group differences in rates of survival, although the number of deaths was small.

Exome sequencing showed that 146 of 151 patients with myeloproliferative neoplasms (97%) had mutations in *JAK2*, *MPL*, or *CALR* in a mutually exclusive manner ($q < 0.01$) (Fig. S5 in the Supplementary Appendix). The results of bone marrow histologic analyses were reviewed in 4 of the 5 patients lacking mutations in all three genes and were consistent with a myeloproliferative neoplasm. In addition, 4 of these patients (PD9420, PD8945, PD8635, and PD7441) had clonal somatic mutations, an indication that these patients had a clonal myeloid disorder (Table S2 in the Supplementary Appendix).

To further characterize *CALR* mutations in myeloid and other cancers, we performed Sanger sequencing of *CALR* exon 9 in 1397 samples (including 52 control samples) and evaluated whole-exome or whole-genome sequencing data for 502 solid tumors, 498 control samples, and 1015 cancer cell lines (Table 1). *CALR* mutations were present in 110 of 158 patients with myeloproliferative neoplasms lacking *JAK2* or *MPL* mutations (70%; 95% CI, 62 to 77), including 80 of 112 patients with essential thrombocythemia (71%), 18 of 32 patients with primary myelofibrosis (56%), and 12 of 14 patients with progression of essential thrombocythemia to myelofibrosis (86%). No *CALR* mutations were found in 511 myeloproliferative neoplasms with *JAK2* or *MPL* mutations. Secondary acute myeloid leukemia developed in 2 patients with *CALR* mutations (1 each with essential thrombocythemia and myelofibrosis, both with the K385fs*47 variant), with persistence of the *CALR* mutations after

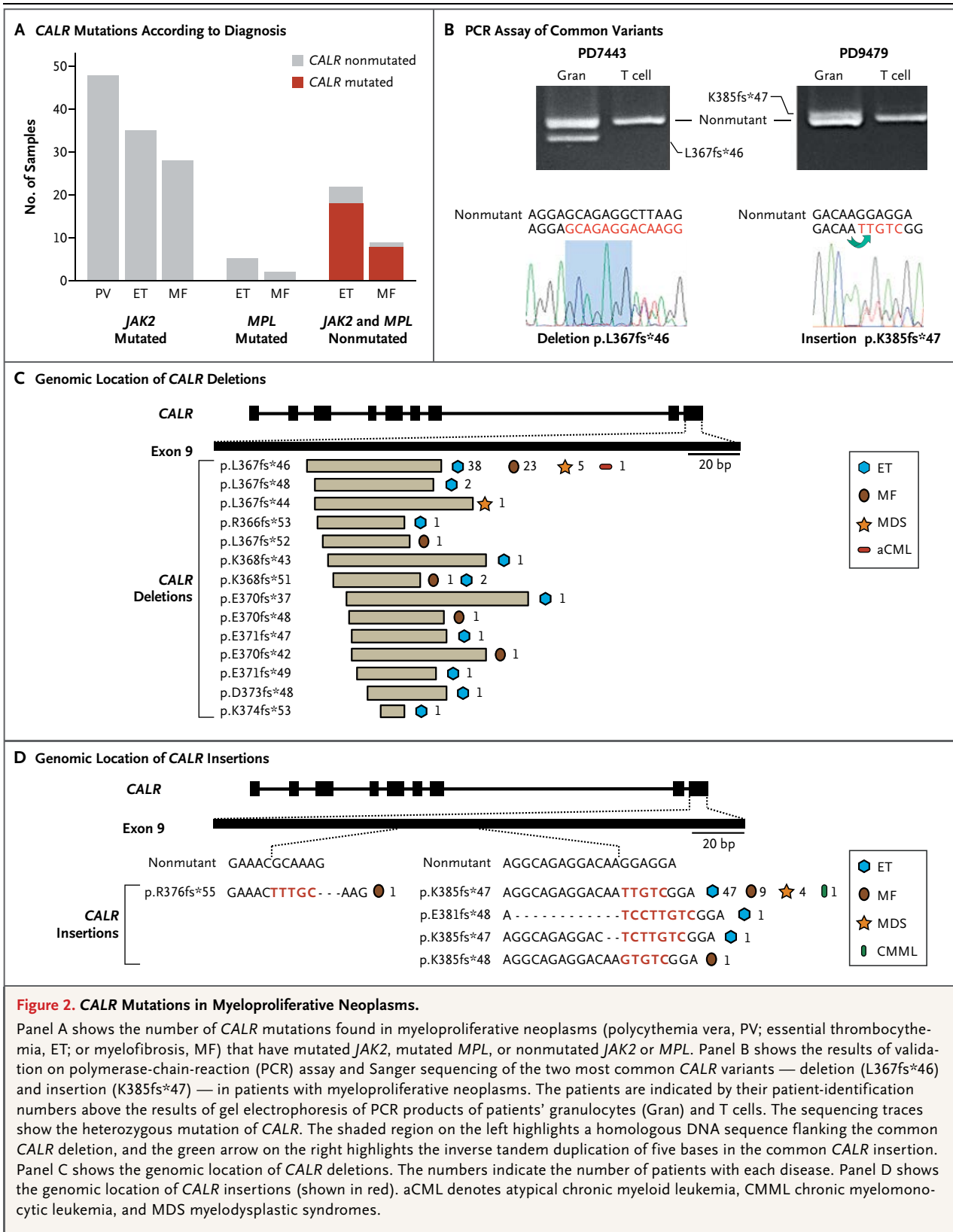


Figure 2. CALR Mutations in Myeloproliferative Neoplasms.

Panel A shows the number of CALR mutations found in myeloproliferative neoplasms (polycythemia vera, PV; essential thrombocythemia, ET; or myelofibrosis, MF) that have mutated JAK2, mutated MPL, or nonmutated JAK2 or MPL. Panel B shows the results of validation on polymerase-chain-reaction (PCR) assay and Sanger sequencing of the two most common CALR variants — deletion (L367fs*46) and insertion (K385fs*47) — in patients with myeloproliferative neoplasms. The patients are indicated by their patient-identification numbers above the results of gel electrophoresis of PCR products of patients' granulocytes (Gran) and T cells. The sequencing traces show the heterozygous mutation of CALR. The shaded region on the left highlights a homologous DNA sequence flanking the common CALR deletion, and the green arrow on the right highlights the inverse tandem duplication of five bases in the common CALR insertion. Panel C shows the genomic location of CALR deletions. The numbers indicate the number of patients with each disease. Panel D shows the genomic location of CALR insertions (shown in red). aCML denotes atypical chronic myeloid leukemia, CMML chronic myelomonocytic leukemia, and MDS myelodysplastic syndromes.

transformation. *CALR* mutations were identified in 10 of 120 patients with myelodysplastic syndromes (8%; 95% CI, 4 to 15) (Table 1). One patient each with chronic myelomonocytic leukemia and atypical chronic myeloid leukemia had *CALR* mutations. No mutations were found in control samples, lymphoid cancers, solid tumors, or cell lines (Table 1, and Table S5 in the Supplementary Appendix).

Overall, *CALR* exon 9 mutations were identified in 148 patients (Table S6 in the Supplementary Appendix). All mutations were indels with 19 distinct variants: 14 deletions, 2 insertions, and 3 complex indels (Fig. 2C and 2D). In these 148 patients, variants L367fs*46 and K385fs*47 were the most common *CALR* mutations (in 67 patients [45%] and 61 patients [41%], respectively). The remaining 20 patients were found to have 17 unique variants. L367fs*46 was found more frequently in myelofibrosis than was K385fs*47 ($P=0.009$ by chi-square test).

MUTANT PROTEIN WITH A NOVEL C-TERMINAL

Calreticulin is a highly conserved protein with multiple reported functions. Within the endoplasmic reticulum, the protein ensures appropriate folding of newly synthesized glycoproteins and modulates calcium homeostasis.^{29,30} Outside the endoplasmic reticulum, calreticulin is also found in intracellular, cell-surface, and extracellular compartments, where it has been implicated in diverse biologic processes, including proliferation, apoptosis, and immunogenic cell death.³¹⁻³⁴ Calreticulin has three main structural and functional domains: an N-terminal lectin-binding domain that is interrupted by a proline-rich P domain and an unstructured C-terminal acidic domain that contains multiple calcium-binding sites.^{30,35}

All the *CALR* indel mutations that were detected are predicted to generate mutant proteins with a novel C-terminal (Fig. 3A). The extent of the C-terminal alterations vary, but all 19 distinct variants share a loss of a sequence of 27 amino acids with a concomitant gain of a novel peptide consisting of 36 amino acids. These alterations result in the loss of most of the C-terminal acidic domain and the KDEL signal. (The KDEL amino acid sequence [Lys-Asp-Glu-Leu] is present on some resident endoplasmic reticulum proteins and enables retrieval of these proteins from the Golgi apparatus back to the endoplasmic reticulum.) This loss of function raises the

possibility of compromised retention or retrieval in the endoplasmic reticulum. All *CALR* mutations shift the reading frame by one base pair, a pattern of mutation that is very different from that observed in known tumor-suppressor genes such as *TET2* and *ASXL1* (Fig. 3B).^{36,37} Such genes are affected by nonsense mutations and indels, with the latter generating +1 and +2 base-pair frame-shifts that frequently cause premature protein termination. The remarkably stereotypical pattern of *CALR* mutations implies a strong selective pressure to generate the mutant C-terminal.

To confirm that *CALR* mutations result in a mutant protein product, *CALR* variant constructs (L367fs*46, deletion, and K385fs*47, insertion) were transiently expressed in human embryonic kidney (HEK) 293T cells. Immunoblotting confirmed the expression of mutant *CALR* proteins (Fig. 4A). Immunofluorescence studies of COS-7 cells showed that mutant *CALR* did not accumulate in the Golgi apparatus (Fig. 4B) and colocalized with exogenously expressed nonmutant *CALR* (Fig. 4C). Similar studies comparing mutated and nonmutated *CALR* myeloid cells from two patients with *CALR*-mutated essential thrombocythemia also showed no differences in the pattern of endogenous *CALR* (Fig. 4D).

The up-regulation of cell-surface *CALR* is reported to mediate the phagocytosis of blasts³⁸ in acute myeloid leukemia and might be a consequence of altered cellular localization of *CALR* due to loss of KDEL. We measured cell-surface *CALR* in hematopoietic 32D cells expressing mutant *CALR* variants (L367fs*46 and K385fs*47) and found no differences from nonmutant *CALR* expression (Fig. S7 in the Supplementary Appendix). Consistent with these results, there was no significant increase in cell-surface *CALR* in peripheral-blood leukocytes from five patients with mutated *CALR* (with estimated tumor burdens of 40 to 100% in granulocytes from exome sequencing), as compared with normal controls (Fig. 4E). Our data do not exclude the possibility of partial loss of mutant *CALR* from the endoplasmic reticulum through the secretory pathway.

CALR MUTATIONS IN THE HEMATOPOIETIC-STEM-CELL COMPARTMENT

CALR mutations were identified in patient-derived granulocyte-macrophage colonies and in erythroid colonies (Fig. S8 in the Supplementary Appendix), indicating that the mutations occur in a

Table 1. Frequency of *CALR* Mutations in Samples Obtained from Patients with Myeloproliferative Neoplasms or Other Disorders and from Controls.*

Tumor Type	No. of Samples	CALR Mutations	
		Occurrence <i>no./total no.</i>	Frequency % (95% CI)
Exome screening			
Myeloproliferative neoplasms	151		
Polycythemia vera		0/48	0
Essential thrombocythemia			
With <i>JAK2</i> or <i>MPL</i> mutation		0/40	0
Without <i>JAK2</i> or <i>MPL</i> mutation		18/22	82 (59–94)
Myelofibrosis			
Primary myelofibrosis			
With <i>JAK2</i> or <i>MPL</i> mutation		0/22	0
Without <i>JAK2</i> or <i>MPL</i> mutation		4/5	80 (30–99)
After essential thrombocythemia			
With <i>JAK</i> or <i>MPL</i> mutation		0/2	0
Without <i>JAK</i> or <i>MPL</i> mutation		4/4	100 (40–100)
After polycythemia vera		0/6	0
Unclassifiable myeloproliferative neoplasm		0/2	0
Follow-up screening			
Myeloproliferative neoplasm	669		
Polycythemia vera		0/217	0
Essential thrombocythemia			
With <i>JAK2</i> or <i>MPL</i> mutation		0/138	0
Without <i>JAK2</i> or <i>MPL</i> mutation		80/112	71 (62–79)
Myelofibrosis			
Primary myelofibrosis			
With <i>JAK2</i> or <i>MPL</i> mutation		0/97	0
Without <i>JAK2</i> or <i>MPL</i> mutation		18/32	56 (38–73)
After essential thrombocythemia			
With <i>JAK2</i> or <i>MPL</i> mutation		0/18	0
Without <i>JAK2</i> or <i>MPL</i> mutation		12/14	86 (56–98)
After polycythemia vera		0/41	0
Other myeloid disorder	389		
Myelodysplastic syndromes		10/120	8 (4–15)
Refractory anemia		5/53	9 (4–21)
RARS		3/27	11 (3–30)
Refractory anemia with excess blasts		2/17	12 (2–38)
Refractory anemia (5q-)		0/4	0
RARS and thrombocytosis		0/6	0
RCMD		0/10	0
RCMD and ringed sideroblasts		0/3	0

Table 1. (Continued.)			
Tumor Type	No. of Samples	CALR Mutations	
		Occurrence no./total no.	Frequency % (95% CI)
Chronic myelomonocytic leukemia		1/33	3 (0–18)
Atypical chronic myeloid leukemia		1/29	3 (0–20)
Chronic myeloid leukemia		0/28	0
Acute myeloid leukemia		0/48	0
Eosinophilic disorder		0/2	0
Systemic mastocytosis		0/114	0
Idiopathic erythrocytosis		0/5	0
Transient abnormal myelopoiesis		0/10	0
Lymphoid cancer	287		
Acute lymphoblastic leukemia		0/62	0
Multiple myeloma		0/73	0
Chronic lymphocytic leukemia		0/77	0
Diffuse large B-cell lymphoma		0/25	0
MALT lymphoma		0/26	0
Splenic marginal-zone lymphoma		0/24	0
Solid tumors	502		
Breast		0/190	0
Lung		0/28	0
Prostate		0/30	0
Colorectal		0/110	0
Skin		0/25	0
Bone		0/80	0
Renal		0/26	0
Meningioma		0/13	0
Cell lines	1015	0/1015	0
Control samples	550	0/550	0

* Mutations in the gene encoding calreticulin (*CALR*) were identified from whole-exome sequencing and validated by targeted resequencing in the exome screening cohort. For the follow-up screening, *CALR* mutations were identified on Sanger sequencing of *CALR* exon 9 for myeloproliferative neoplasms, other myeloid disorders, lymphoid cancers, and a subset of 52 control samples. Solid tumors, cell lines, and the remaining 498 control samples were screened for *CALR* mutations from existing whole-exome or whole-genome sequencing data. MALT denotes mucosa-associated lymphoid tissue, RARS refractory anemia with ringed sideroblasts, and RCMD refractory cytopenias with multilineage dysplasia.

multipotent progenitor capable of generating both myeloid and erythroid progeny. Consistent with these results, genotyping studies of three patients showed that *CALR* mutations were present in flow-sorted, highly enriched hematopoietic stem cells (HSC; lin⁻CD34⁺CD38⁻CD45RA⁻CD90⁺), common myeloid progenitors (lin⁻CD34⁺CD38⁺CD90⁻CD10⁻FLK2⁺CD45RA⁻), granulocyte–macrophage progenitors (lin⁻CD34⁺CD38⁺CD90⁻CD10⁻FLK2⁺CD45RA⁺), and megakaryocyte–erythroid

progenitors (lin⁻CD34⁺CD38⁺CD90⁻CD10⁻FLK2⁻CD45RA⁻) (Fig. S8 in the Supplementary Appendix). These data are consistent with *CALR* mutations arising in the HSC compartment.

To ascertain whether mutation in *CALR* is an early event, we used exome-sequencing data to infer the fraction of cells bearing mutations and predict clonal relationships using a Bayesian Dirichlet process (Fig. S9 in the Supplementary Appendix). The results suggest that *CALR* muta-

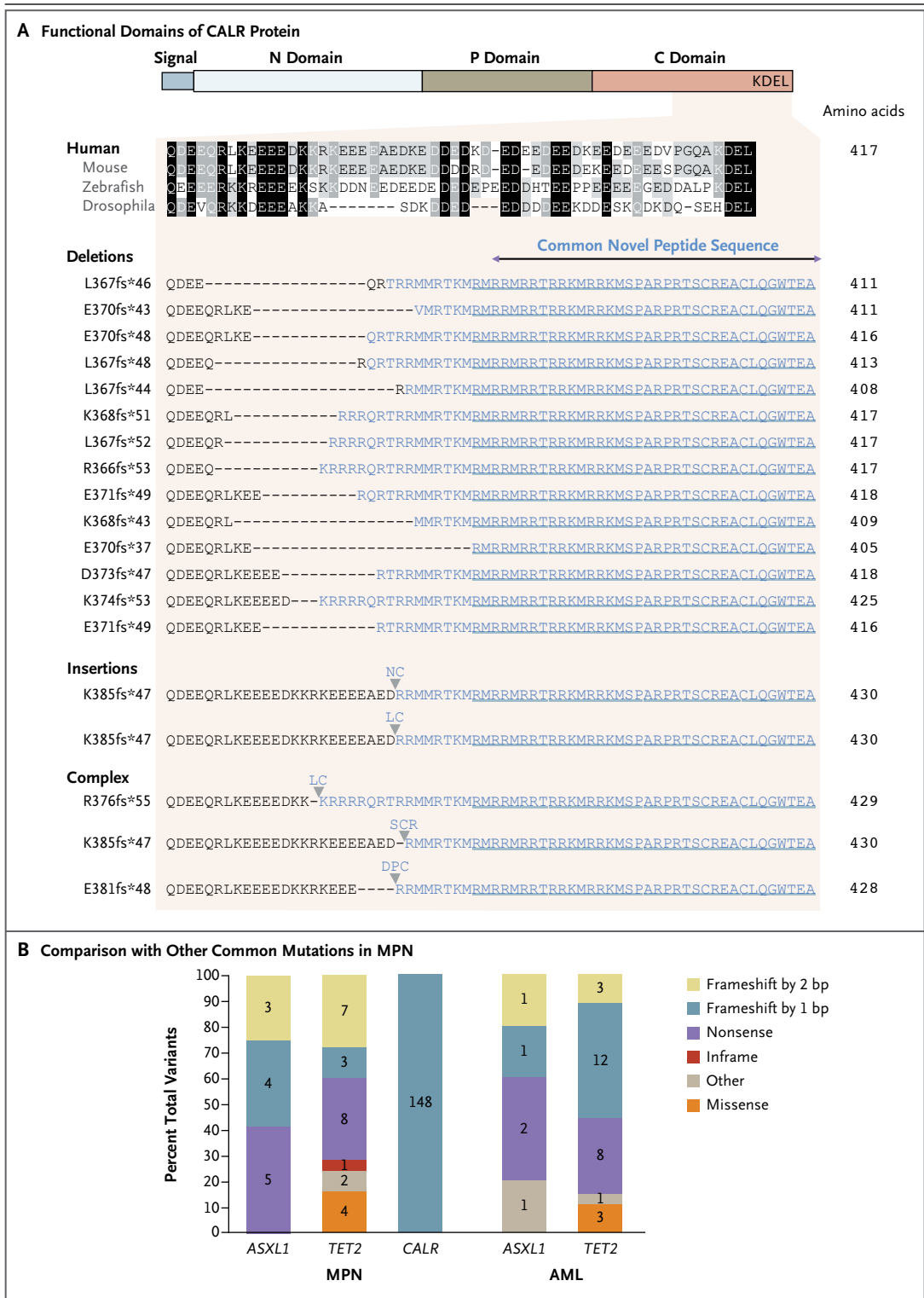


Figure 3 (facing page). Altered Protein Reading Frame with Novel C-Terminal Associated with CALR Mutations.

Panel A shows the functional domains of CALR protein, with (from left to right) signal sequence, N domain (N-terminal), P domain (proline-rich), C domain (C-terminal), and KDEL (endoplasmic reticulum retention signal). The conservation of the affected portion of the C domain across species is depicted by shading, with black indicating conserved regions and gray indicating partially conserved regions. The range of frameshift insertion and deletion mutations in CALR exon 9 are shown, all of which result in a common +1 base-pair–altered reading frame and predict a novel C-terminal peptide sequence lacking the KDEL motif. Panel B shows the mutational spectra of CALR in samples of myeloproliferative neoplasms (MPN) and of common loss-of-function genes such as *ASXL1* and *TET2* in myeloproliferative neoplasms and acute myeloid leukemia (AML). The numbers of each type of mutation are indicated for each gene. Data regarding myeloproliferative neoplasms are from the exome subgroup in this study, and AML data are from the Cancer Genome Atlas.³⁶

tion was an early event in most patients. However, such predictions should be interpreted cautiously because of the low sequencing coverage of CALR and because the approaches we used may not distinguish distinct tumor subclones with similar tumor burdens. Therefore, the order of mutation acquisition was determined in five patients with mutated CALR by genotyping 300 individual hematopoietic colonies for somatic mutations identified on exome sequencing. In all five patients, CALR mutations arose in the earliest phylogenetic node, consistent with mutation of CALR being an initiating event in these patients (Fig. 4F).

DISCUSSION

Understanding the molecular basis for essential thrombocythemia and myelofibrosis in patients without JAK2 mutations has been a major goal in the field of myeloproliferative neoplasms. In this study, we found that CALR, a previously unrecognized oncogene, is mutated in 70 to 84% of patients with essential thrombocythemia or myelofibrosis without JAK2 or MPL mutations. We also describe a comprehensive characterization of the mutational landscape of BCR-ABL–negative myeloproliferative neoplasms. We found that the median number of somatic mutations per patient was similar to that seen in other hematologic

cancers (e.g., acute myeloid leukemia, acute lymphoblastic leukemia, and chronic lymphocytic leukemia, in which the median number of somatic mutations ranges from 8 to 13) but was substantially lower than the median number seen in most common epithelial cancers (range, 30 to 70).^{36,37} There was a long tail of cancer genes that have been implicated previously in myeloid cancers, with each gene mutated in a small fraction of patients with myeloproliferative neoplasms, as well as somatic mutations in CHEK2, which have not been described previously in myeloproliferative neoplasms.

CALR is present in the endoplasmic reticulum, where it forms a key component of the quality-control machinery that ensures proper glycoprotein folding and also contributes to calcium homeostasis.^{29,30} In addition, CALR functions outside the endoplasmic reticulum, in the cytoplasm, at the cell surface, and in the extracellular matrix, to influence a variety of processes, including proliferation, apoptosis, phagocytosis, and immune responses.^{31–33,37,39} Although calreticulin has been implicated in promoting the survival of malignant cells and in their ability to generate immune responses,^{32–34} to our knowledge, somatic mutations affecting endoplasmic reticulum chaperones have not been reported in any cancer. We describe 19 different CALR mutations (all deletions, insertions, or both) that generate a +1 base-pair frameshift predicted to replace the canonical C-terminal by a novel protein sequence. The normal CALR C-terminal domain is highly acidic and contains multiple calcium-binding sites together with a retrieval sequence (KDEL) that is typical of endoplasmic reticulum resident proteins. In contrast, the new predicted C-terminal domain of mutant CALR is basic, lacks multiple calcium-binding sites, and has no KDEL sequence. Mutant CALR did not have an altered Golgi or cell-surface accumulation of CALR, which was consistent with previously reported KDEL-independent retention mechanisms in the endoplasmic reticulum.⁴⁰ However, our data indicate that mutant CALR probably has acquired novel functionality within or outside the endoplasmic reticulum.

Current diagnostic guidelines for patients with myeloproliferative neoplasms without JAK2 or MPL mutations rely on the ruling out of reactive

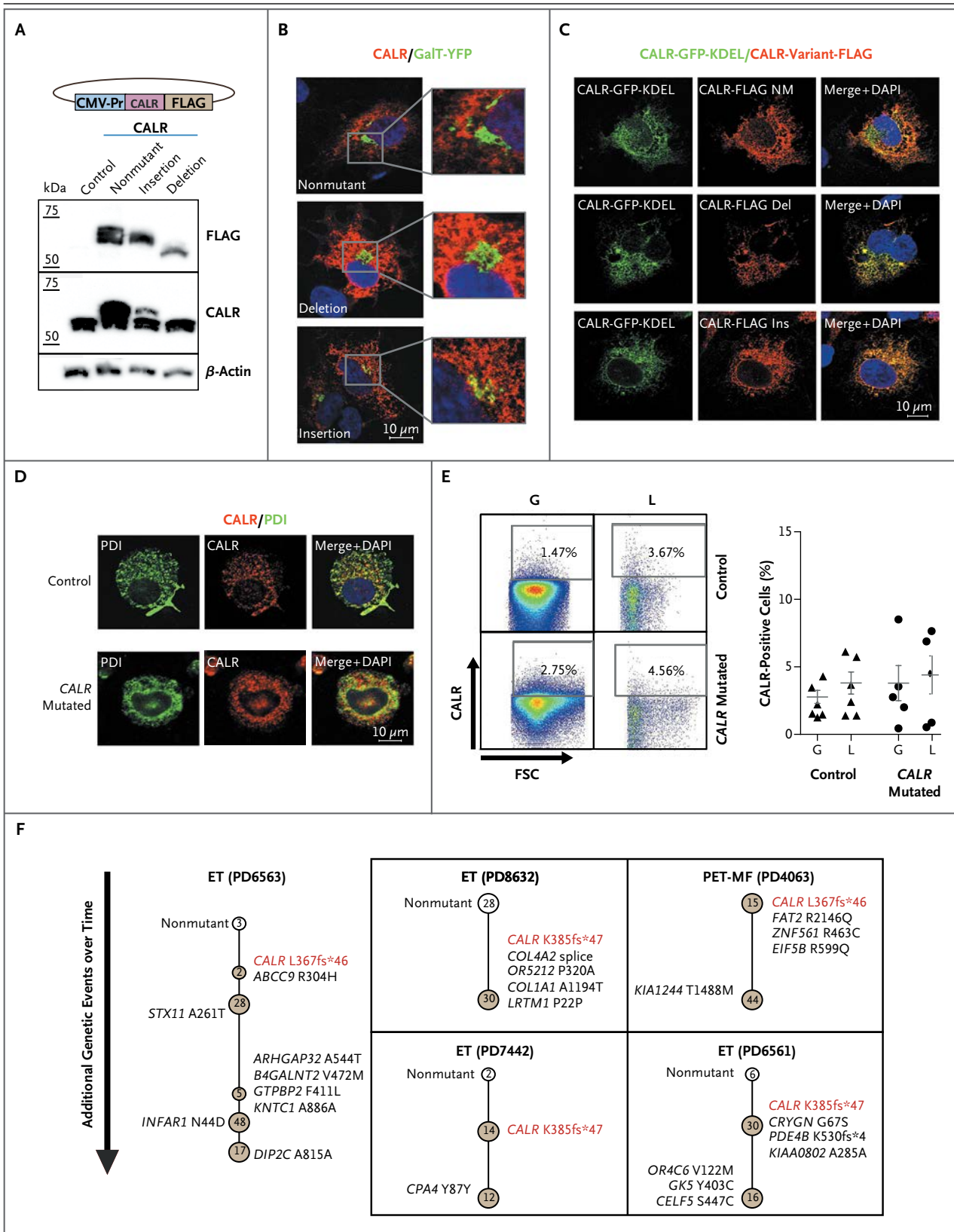


Figure 4 (facing page). Localization of Calreticulin and Clonal Heterogeneity in Patients with CALR Mutations.

Panel A shows immunoblotting of transiently transfected human embryonic kidney (HEK) 293T cells analyzed for FLAG (a polypeptide protein tag), CALR (detecting endogenous as well as transfected calreticulin), and beta-actin. The CALR deletion is the L367fs*46 variant, and the CALR insertion is the K385fs*47 variant. The CALR expression construct is shown above the immunoblots. CMV-Pr denotes CMV promoter. Panel B shows confocal photomicrographs of COS-7 cells transiently expressing FLAG-tagged CALR variants and a Golgi reporter (galactosyltransferase fused to yellow fluorescent protein [GalT-YFP]). Red indicates FLAG; green, Golgi; and blue, nucleus (4',6-diamidino-2-phenylidole dihydrochloride [DAPI]). The images show that nonmutant and mutant CALR have an endoplasmic reticulum localization pattern with no increased accumulation in the Golgi. Panel C shows confocal photomicrographs of COS-7 cells transiently coexpressing nonmutant (NM) CALR tagged with green fluorescent protein (GFP) and FLAG-tagged CALR variants. Merge images show that FLAG-tagged CALR variants colocalize with nonmutant CALR to the endoplasmic reticulum. Panel D shows confocal photomicrographs of myeloid cells from CALR mutated and nonmutated granulocyte-macrophage colony-forming unit colonies derived from a patient with essential thrombocythemia with the CALR K385fs*47 mutation; the cells have been stained for protein disulfide isomerase (PDI, a resident protein of the endoplasmic reticulum) and endogenous CALR. Panel E shows flow cytometric analysis indicating the degree of CALR cell-surface expression in granulocytes (G) and lymphocytes (L) from a healthy control and from a patient with mutated CALR. The graph shows the percentage of viable cells expressing cell-surface CALR in peripheral blood from healthy controls (triangles) and patients with mutated CALR (circles). FSC denotes forward scatter. Panel F shows clonal structures in five patients (indicated by their patient-identification numbers) with myeloproliferative neoplasms with mutated CALR, as determined on genotyping of hematopoietic (erythroid) colonies. Each circle represents a clone, with nonmutant clones shown in white and mutant clones in brown. The earliest detectable clone is represented at the top of each diagram, with subsequent subclones shown below. Somatic mutations that were acquired in each subclone are indicated beside the respective nodes and represent those that were acquired in addition to mutations present in earlier subclones. Numbers of colonies that were identified for each node are shown inside the circles. ET denotes essential thrombocythemia, and PET-MF post-ET myelofibrosis.

causes of thrombocytosis combined with examination of bone marrow–biopsy specimens.^{11,13,14} In our exome subgroup, mutations in *CALR*, *JAK2*, or *MPL* were present in 146 of 151 patients with myeloproliferative neoplasms (97%). *CALR* mutations were present in 26 of 31 patients without *JAK2* or *MPL* mutations in the exome subgroup (84%) and in 110 of 158 patients in the follow-up subgroup (70%), with the lower prevalence in the latter group possibly reflecting limitations of Sanger sequencing of whole-blood samples. Detection of *CALR* mutations in peripheral blood could potentially be used as a diagnostic tool in the same way that tests for *JAK2* mutations have simplified and improved the accuracy of diagnosis of patients with myeloproliferative neoplasms worldwide.¹¹⁻¹⁴ Further research is needed to explore these potential uses.

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