

On the need for specifiers

University College London, 29 November 2000

Paul Rowlett (European Studies Research Institute, University of Salford)

P.A.Rowlett@salford.ac.uk

www.salford.ac.uk/esri/l&l.htm

1 Introduction

- (1) What is the nature of the relationship between a head and its specifier?
- (2) Rowlett (1998b: 111): . . . spec–head agreement is in fact nothing more than spec–head *anti-disagreement*, guaranteeing feature compatibility rather than identity.
- (3) Specifiers:
 - a. They occupy a clearly defined position with respect to a relevant head; and/or,
 - b. they enter into a clearly defined relationship with that head.
- (4) [_{IP} [_{Spec} Jean] fume . . .] (French)
J. smokes
'Jean smokes.'
- (5) Jean ne_i fume . . . [_{NegP} [_{Spec} pas] t_i . . .] (French)
J. NEG smokes NEG
'Jean doesn't smoke.'
- (6) (In certain functional projections,) in the absence of an overt specifier:
 - a. the specifier position is nevertheless projected;
 - b. this position is occupied by a non-overt phrase; and,
 - c. this non-overt phrase enters into the same kind of relationship with the relevant head (for example, spec–head agreement) as do overt specifiers.
- (7) [_{IP} [_{Spec} *pro*] fuma . . .] (Spanish)
smokes
'He/She smokes.'
- (8) Juan no_i fuma [_{NegP} [_{Spec} OP] t_i . . .] (Spanish)
J. NEG smokes
'Juan doesn't smoke.'
- (9) Conclusions:
 - a. *There is no need* to claim that, as a matter of principle, the specifier position is active in functional projections, and occupied by a possibly non-overt phrase.
 - b. *Under considerations of economy*, we should therefore deem that the specifier is *not* projected, unless there are good reasons to believe otherwise (Rowlett 1998a; see below).
 - c. Consequently, some functional projections, previously thought to project a position occupied by a non-overt specifier, are in fact specifier-free.

2 What are specifiers?

- (10) Semantic specifiers:
 - a. *too* strong
 - b. *safely* arrive

- (21) Properties co-distributing with null subjects:
 a. the absence of overt expletive pronouns;
 b. the possibility of post-verbal subjects; and,
 c. the absence of *that*-trace filter violations.

b. SpecNegP

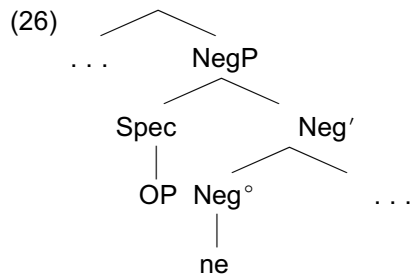
(22) Haegeman (1995: 107):
 “Negative sentences are sentences which minimally have a NEG-feature associated with a functional head of the extended projection of V, i.e., of the clausal domain.”

| | | | | |
|------|--------------|------|----------|---|
| (23) | | Neg° | SpecNegP | |
| a. | French | ne | pas | (Pollock 1989; Rowlett 1993) |
| b. | Fon | ǎ | má | (da Cruz 1992, reported in DeGraff 1993: 87) |
| c. | Navajo | da | doo | (Speas 1991: 394–395) |
| d. | West Flemish | en | nie | (Haegeman 1995) |
| e. | Breton | ne | ket | (Stephens 1993: 397–398; Borsley et al. 1996: 67) |

(24) Juan no_i fuma [_{NegP} [_{Spec} OP] t_i . . .] (Spanish)
 J. NEG smokes
 ‘Juan doesn’t smoke.’

(25) a. Perché hai detto che Gianni è partito ? (Italian, from Rizzi 1990)
 why have:2SG said that Gianni is left
 ‘Why did you say that Gianni left?’

b. Perché *non* hai detto che Gianni è partito ?
 why NEG have:2SG said that Gianni is left
 ‘Why didn’t you say that Gianni left?’



| | | | | | |
|------|-------|-----------------------|---------------------------------------|---------------------------------------|------|
| (27) | | [_{CP} . . . | [_{IP} [_{IP} . . . | [_{IP} [_{IP} . . . |]]]] |
| a. | (25a) | Perché | t | t | |
| b. | (25b) | Perché | t | OP non | *t |

(28) Acquaviva (1996: 295): “This approach to negative islands therefore involves the additional assumption that the SpecNegP position is filled even when it contains no lexical material.”

(29) Haegeman (1995: 200): “. . . we assume that there is a non-overt contentive operator in the relevant spec–head relation with *non*. We propose that the non-overt operator occupies SpecNegP.”

(30) Gde_i ty skazal, čto Ivan ukral den’gi t_i? (Colloquial Russian, Brown 1999: 25, (18))
 where you said that Ivan stole money
 ‘Where did you say Ivan stole the money?’

- (31) *?Gde_i ty [_{NegP} [_{Spec} OP] ne skazal, čto Ivan ukral den'gi t_i] ? (Brown 1999: 25, (17))
 where you NEG said that Ivan stole money
 'Where didn't you say Ivan stole the money?'

5 Are non-overt specifiers really needed?

- (32) The negative cycle in the history of French (Rowlett 1998b: 90, (4)):

- a. jeo ne di.
- b. je ne dis (pas).
- c. je ne dis pas.
- d. je (ne) dis pas.
- e. je dis pas.
 'I don't say.'

- (33) Julie ne veut voir personne.
 Julie *ne* wants to:see *personne*
 'Julie doesn't want to see anyone.'

- (34) Assumptions being questioned:

- a. Certain specifier positions are always projected and syntactically active; where they are not filled by an overt phrase, they are occupied by null constituents; and,
- b. SpecIP is projected in canonical null-subject languages; SpecNegP is projected in languages whose negative marker is a head.

a. SpecIP

- (35) a. O Janis xtes meta apo poles prospathies sinandise ti Maria.
 the-John- NOM yesterday after from many efforts met the-Mary-ACC
 'John finally met Mary yesterday.' (Alexiadou and Anagnostopoulou's (13))

- b. *John *after many efforts* has met Mary.

- (36) a. ... epidi o Janis an erthi i Maria tha figi.
 because the-John-NOM if comes the-Mary-NOM FUT leave
 '... because if Mary comes, John will leave.' (Alexiadou and Anagnostopoulou's (14))

- b. *... because John *if Mary comes* will leave.

- (37) Enas heretise ti Maria. (Alexiadou and Anagnostopoulou's (15a))
 one greeted the-Mary-ACC
 'A certain person/one of the people greeted Mary.'
 ≠ 'Someone greeted Mary.'

- (38) a. A student filed every article.
 $\exists x$ (x student) $\forall y$ (y article) (x filed y)
 $\forall y$ (y article) $\exists x$ (x student) (x filed y)

- b. kapjos fititis arhiothetise tahe arthro. (Alexiadou and Anagnostopoulou's (16a))
 some student-NOM filed every article
 $\exists x$ (x student) $\forall y$ (y article) (x filed y)

- (39) a. *Tots els estudiants_i es pensen que ells_i aprovaran. (Alexiadou and Anagnostopoulou's (20))
 all the students think that they will-pass
 'All the students_i think that they_i will pass.'

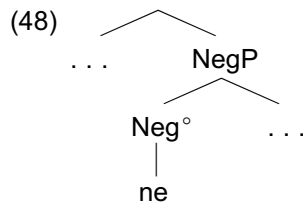
- b. Tots els jugadors_i estan convencus que guanyaran ells_i.
 all the players are convinced that will-win they
 'All the players_i are convinced that they_i are the ones who will win.'
- (40) a. There arrived a man/*the man/*every man. (English)
 b. Il est arrivé un homme/*l' homme. (French)
 EXPL is arrived a man/ the man
 c. Er heeft iemand/ *Jan een huis gebouwd. (Dutch)
 EXPL has someone/Jan a house built
- (41) Efase ena pedi/ o Jorgos/ kathe filis mu (Greek)
 arrived a child-NOM/the-George-NOM/every friend mine
 'A child/George/every friend of mine arrived.' (Alexiadou and Anagnostopoulou's (24))
- (42) a. EXPL-V-S (e.g., There arrived a man.)
 b. *pro*-V-S (e.g., *pro* fuma un hombre.)
- (43) The null-subject parameter:
 a. Null subjects
 b. Absence of expletives
 c. Free inversion
 d. Absence of *that*-trace effects
- (44) a. *Who_i did you say that t_i was coming?
 b. Quien has dicho que viene?
 who have:2SG said that comes
 'Who did you was coming?'

b. SpecNegP

- (45) Expletive negation in French (Rowlett 1998b: 27–28, (57), (58a))
 a. Je doute qu' il *ne* soit là.
 I doubt that he *ne* be:SUBJ there
 'I doubt he's there.'
 b. Marie est plus grande que *n'* est son frère.
 Marie is more tall than *ne* is her brother
 'Marie is taller than her brother is.'
 c. Qui *ne* souhaite partir en vacances?
 who *ne* wishes leave on holidays
 'Who (on earth) doesn't want to go on holiday?'
 d. Elle a peur que tu *ne* sois là.
 she has fear that you *ne* be:SUBJ there
 'She's worried you might be there.'
- (46) a. Pourquoi crains-tu qu' elle *ne* dise qu' elle t' aime? (Rowlett 1998b: 32, (71))
 why fear you that she *ne* say:SUBJ that she you loves
 'Why are you afraid she might say she loves you?'
 b. Comment crains-tu qu' il *ne* se comporte? (Haegeman 1995: 161, (5b))
 how fear you that he *ne* REFL behaves
 'How do you fear he will behave?'

(47) [_{CP} ... [_{IP} Pourquoi t ... [_{IP} t ne [_{IP} t ...]]]]]]

(= (46a))



(49) Juan no_i ha visto a nadie_i.
Juan NEG has seen to NO-ONE
'Juan hasn't seen anyone.'

(50) Perché_i non hai detto che t_i Gianni è partito?
why NEG have:2SG said that Gianni is left
'Why didn't you say that Gianni left?'

- (51) The Neg Criterion:
a. Each Neg X[°] must be in a spec–head relationship with a Neg operator.
b. Each Neg operator must be in a spec–head relationship with a Neg X[°].

(52) Never would I do that.

- (53) a. *... da Valère [ketent [me niets]] en- was.
that Valère satisfied with nothing NEG was
b. ... da Valère [me niets]_i [ketent t_i] en- was.
that Valère with nothing satisfied NEG was
'... that Valère wasn't satisfied with anything.'

6 Conclusion and summary

References

- ACQUAVIVA, PAOLO (1996) 'Negation in Irish and the representation of monotone decreasing quantifiers', in BORSLEY AND ROBERTS (eds.), pp. 284–313.
- ADGER DAVID, SUSAN PINTZUK, BERNADETTE PLUNKETT AND GEORGE TSOULAS (1999a) 'Specifiers in generative grammar', in ADGER ET AL. (eds.) (1999b), pp. 1–18.
- ADGER DAVID, SUSAN PINTZUK, BERNADETTE PLUNKETT AND GEORGE TSOULAS (eds) (1999b) *Specifiers: minimalist approaches*. Oxford: Oxford University Press.
- ALEXIADOU, ARTEMIS AND ELENA ANAGNOSTOPOULOU (1999) 'EPP without Spec,IP', in ADGER ET AL. (eds), pp. 93–109.
- ANDERSON, JOHN M (1997) *A notional theory of syntactic categories*. Cambridge: Cambridge University Press.
- BALL, MARTIN J (ed.) (1993) *The Celtic languages*. London: Routledge.
- BARBOSA, PILAR (1994) 'A new look at the null subject parameter', paper presented at CONSOLE III, Venice.
- BATTY, ADRIAN, MARIE-ANNE HINTZE AND PAUL ROWLETT (2000) *The French language today: a linguistic introduction*, second edition. London: Routledge.
- BERWICK, ROBERT C (1998) 'Language evolution and the minimalist program: the origins of syntax', in HURFORD ET AL. (eds.), pp. 320–340.
- BORSLEY, ROBERT D AND IAN ROBERTS (eds.) (1996) *The syntax of the Celtic languages*. Cambridge: Cambridge University Press.
- BORSLEY, ROBERT D, MARÍA-LUISA RIVERO AND JANIG STEPHENS (1996) 'Long head movement in Breton', in BORSLEY AND ROBERTS (eds.), pp. 53–74.
- BROWN, SUE (1999) *The syntax of negation in Russian: a minimalist approach*. Stanford, Calif.: Center for the Study of Language and Information.

- BROWN, SUE AND S FRANKS (1995) 'Asymmetries in the scope of Russian negation', *Journal of Slavic Linguistics*, 3: 239–287.
- CANN, RONNIE (1999) 'Specifiers as secondary heads', in ADGER ET AL. (eds), pp. 21–45.
- CHOMSKY, NOAM (1970) 'Remarks on nominalizations', in JACOBS AND ROSENBAUM (eds.).
- CHOMSKY, NOAM (1981) *Lectures on government and binding*. Dordrecht: Foris.
- CHOMSKY, NOAM (1982) *Some concepts and consequences of the theory of government and binding*. Cambridge, Mass.: MIT Press.
- CHOMSKY, NOAM (1995) *The minimalist program*. Cambridge, Mass.: MIT Press.
- CORMACK, ANNABEL (1999) 'Without specifiers', in ADGER ET AL. (eds), pp. 46–68.
- DA CRUZ, MAXIME (1992) 'Contribution à l'étude de la négation en fongbè', MS, Université du Québec à Montréal.
- DEGRAFF, MICHEL (1993) 'A riddle on negation in Haitian', *Probus*, 5: 63–93.
- FORGET, DANIELLE, PAUL HIRSCHBÜHLER, FRANCE MARTINEAU AND MARÍA-LUISA RIVERO (eds.) (1997) *Negation and polarity: syntax and semantics*. Amsterdam: John Benjamins.
- FRIEDEMANN, MARC-ARIEL AND LUIGI RIZZI (eds.) (2000) *The acquisition of syntax*. Harlow: Longman.
- GUIJARRO-FUENTES, PEDRO (1998) *The acquisition of the pro-drop parameter by non-native speakers of Spanish*. Doctoral dissertation, University of Salford.
- HAEGEMAN, LILIANE (1995) *The syntax of negation*. Cambridge: Cambridge University Press.
- HAEGEMAN, LILIANE (ed.) (1997) *Elements of grammar: a handbook of generative syntax*. Dordrecht: Kluwer.
- HAEGEMAN, LILIANE (2000) 'Adult null subjects in non-null-subject languages', in FRIEDEMANN AND RIZZI (eds.), pp. 129–169.
- HAEGEMAN, LILIANE AND JACQUELINE GUÉRON (1999) *English grammar: a generative perspective*. Oxford: Blackwell.
- HOEKSTRA, ERIC (1991) *Licensing conditions on phrase structure*. Doctoral dissertation, University of Groningen. Distributed as *Groningen Dissertations in Linguistics*, 2.
- HUANG C-T JAMES (1989) 'Pro-drop in Chinese: a generalized control theory', in JAEGLI AND SAFIR (eds.), pp. 185–214.
- HURFORD, JAMES R, MICHAEL STUDDERT-KENNEDY AND CHRIS KNIGHT (eds.) (1998) *Approaches to the evolution of language*. Cambridge: Cambridge University Press.
- JACKENDOFF, RAY (1977) *X-bar syntax: a study of phrase structure*. Cambridge, Mass.: MIT Press.
- JACOBS, RODERICK AND PETER ROSENBAUM (eds.) (1970) *Readings in English transformational grammar*. Waltham, Mass.: Ginn.
- JAEGLI, OSWALDO AND KEN SAFIR (1989a) 'The null subject parameter and parametric theory', in JAEGLI AND SAFIR (eds.), pp. 1–44.
- JAEGLI, OSWALDO AND KEN SAFIR (eds.) (1989b) *The null subject parameter*. Dordrecht: Kluwer.
- JESPERSEN, OTTO (1917) *Negation in English and other languages*. Copenhagen: Host.
- JESPERSEN, OTTO (1924) *The philosophy of grammar*. London: Allen and Unwin.
- KOOPMAN, HILDA J (2000) *The syntax of specifiers and heads: the collected essays of Hilda J. Koopman*. London: Routledge.
- LYONS CHRISTOPHER G (1994) 'Movement in "NP" and the DP hypothesis', *Working Papers in Language and Linguistics*, 8. Salford: University of Salford European Studies Research Institute.
- MARTÍN-GONZÁLEZ, JAVIER (2000) '(Non-)occurrence of sentential *no* in Spanish negative sentences', in ROWLETT (ed.), pp. 161–183.
- McCLOSKEY, JAMES (1996) 'Subjects and subject positions in Irish', in BORSLEY AND ROBERTS (eds), pp. 241–283.
- MONTALBETTI, M (1984) *After binding: on the interpretation of pronouns*. Doctoral dissertation, MIT.
- MORITZ, LUC AND DANIEL VALOIS (1993) 'French sentential negation and LF pied-piping', *Proceedings of NELS*, 22: 319–333.
- MORITZ, LUC AND DANIEL VALOIS (1994) 'Pied-piping and specifier-head agreement', *Linguistic Inquiry*, 25: 667–707.
- NEWMAYER, FREDERICK J (1998) 'On the supposed 'counterfunctionality' of Universal Grammar: some evolutionary implications', in HURFORD ET AL. (eds.), pp. 305–319.
- NICHOLS, JOHANNA (1986) 'Head-marking and dependent-marking grammar', *Language*, 62: 56–119.
- PINKER, STEVEN AND PAUL BLOOM (1990) 'Natural language and natural selection', *Behavioral and Brain Sciences*, 13: 707–784.
- POLLOCK, JEAN-YVES (1989) 'Verb movement, universal grammar and the structure of IP', *Linguistic Inquiry*, 20: 365–424.
- POSNER, REBECCA R (1985) 'L'histoire de la négation et la typologie romane', in *Linguistique comparée et typologie des langues romanes (Actes du xvième congrès international de linguistique et philologie romanes)*. Vol. 2, pp. 265–271. Aix-en-Provence: Université de Provence.
- RADFORD, ANDREW (1997) *Syntactic theory and the structure of English: a minimalist approach*. Cambridge: Cambridge University Press.

- RIZZI, LUIGI (1982a) 'Negation, *wh*-movement and the null subject parameter', in RIZZI (1982b), pp. 117–184.
- RIZZI, LUIGI (1982b) *Issues in Italian syntax*. Dordrecht: Foris.
- RIZZI, LUIGI (1990) *Relativized minimality*. Cambridge, Mass.: MIT Press.
- RIZZI, LUIGI (1997) 'The fine structure of the left periphery', in Haegeman (ed), pp.281–337.
- ROSS, JR (1984) 'Inner islands', MS, MIT.
- ROWLETT, PAUL (1993) 'On the syntactic derivation of negative sentence adverbials', *Journal of French Language Studies*, 3: 39–69.
- ROWLETT, PAUL (1996) *Negative configurations in French*. Doctoral dissertation, University of York. Distributed as *Working Papers in Language and Linguistics*, 11. Salford: University of Salford European Studies Research Institute.
- ROWLETT, PAUL (1997) 'Jespersen, negative concord and A'-binding', in FORGET ET AL. (eds), pp. 323–340.
- ROWLETT, PAUL (1998a) 'A non-overt negative operator in French', *Probus*, 10: 185–206.
- ROWLETT, PAUL (1998b) *Sentential negation in French*. Oxford: Oxford University Press.
- ROWLETT, PAUL (ed.) (2000) *Transactions of the Philological Society*, 98.1. Papers from the Salford negation conference.
- SANKOFF, GILLIAN AND DIANE VINCENT (1977) 'L'emploi productif du *ne* dans le français parlé à Montréal', *Le Français Moderne*, 45: 243–256. English version published as 'The productive use of *ne* in spoken Montreal French', in GILLIAN SANKOFF (1980) *The social life of language*. Philadelphia: University of Pennsylvania Press.
- SOLA, JAUME (1992) *Agreement and subjects*. Doctoral dissertation, Universitat Autònoma de Barcelona.
- SPEAS, MARGARET (1991) 'Functional heads and inflectional morphemes', *Linguistic Review*, 8: 389–417.
- STEPHENS, JANIG (1993) 'Breton', in BALL (ed.), pp. 349–409.
- STOWELL, TIM (1981) *Origins of phrase structure*. Doctoral dissertation, MIT.
- STUURMAN, FRITS (1985) *X-bar and X-plain: a study of X-bar theories of the phrase structure component*. Doctoral dissertation, University of Utrecht.