1 BIOLOGICAL SCIENCES

2	Cost, risk and avoidance of inbreeding in a cooperatively breeding bird
3	Amy E. Leedale ^{1,2} *, Michelle Simeoni ¹ , Stuart P. Sharp ³ , Jonathan P. Green ⁴ , Jon Slate ¹ , Robert
4	F. Lachlan ⁵ , Elva J. H. Robinson ⁶ & Ben J. Hatchwell ¹
5	¹ Department of Animal and Plant Sciences, University of Sheffield, Western Bank, Sheffield, S10
6	2TN, UK.
7	² Department of Zoology, University of Cambridge, Downing Street, Cambridge CB2 3EJ, UK
8	³ Lancaster Environment Centre, Lancaster University, Library Avenue, Lancaster LA1 4YQ, UK
9	⁴ Department of Zoology, University of Oxford, Mansfield Road, Oxford OX1 3SZ, UK
10	⁵ Department of Psychology, Royal Holloway University of London, Egham, TW20 0EY, UK
11	⁶ Department of Biology, University of York, Wentworth Way, York YO10 5DD, UK
12	*Correspondence to: Amy E. Leedale, +44 (0) 1223 336600, ael57@cam.ac.uk.

13 Abstract

Inbreeding is often avoided in natural populations by passive processes such as sex-biased 14 dispersal. But, in many social animals, opposite-sexed adult relatives are spatially clustered, 15 generating a risk of incest and hence selection for active inbreeding avoidance. Here we show that 16 in long-tailed tits (Aegithalos caudatus), a cooperative breeder that risks inbreeding by living 17 alongside opposite-sex relatives, inbreeding carries fitness costs and is avoided by active kin 18 discrimination during mate choice. First, we identified a positive association between 19 20 heterozygosity and fitness, indicating that inbreeding is costly. We then compared relatedness 21 within breeding pairs to that expected under multiple mate choice models, finding that pair relatedness is consistent with avoidance of first-order kin as partners. Finally, we show that the 22 23 similarity of vocal cues offers a plausible mechanism for discrimination against first-order kin 24 during mate choice. Long-tailed tits are known to discriminate between the calls of close kin and 25 non-kin, and they favor first-order kin in cooperative contexts, so we conclude that long-tailed tits 26 use the same kin discrimination rule to avoid inbreeding as they do to direct help towards kin.

27 **Keywords:** Inbreeding, kin discrimination, cooperative breeder, mate choice.

28 Significance statement

Inbreeding reduces fitness leading to selection for incest avoidance in many organisms. Passive processes, such as sex-biased dispersal, may reduce inbreeding risk, but when dispersal is limited, inbreeding may still be minimized by animals actively recognizing and discriminating kin from non-kin when choosing mates. We investigated inbreeding costs, risk and avoidance in a cooperative bird species in which opposite-sex adults disperse locally to breed and frequently associate. We identified a reduction in fitness in inbred individuals, and show that despite a substantial inbreeding risk, breeders alleviate this by discriminating against close kin as partners.
We show that the increased vocal similarity among relatives offers a probable recognition
mechanism for this observed level of kin discrimination during mate choice.

38 Introduction

39 Inbreeding is generally maladaptive because it increases homozygosity and hence the unmasking 40 of deleterious recessive alleles, which, when expressed, result in a reduction in fitness among inbred individuals termed inbreeding depression^{1,2}. Inbreeding may be tolerated^{3,4}, however, if 41 42 avoidance is costly, or if the costs of inbreeding are outweighed by the inclusive fitness benefits accrued from breeding with or interacting socially with relatives^{5,6}. Thus, the selection pressures 43 44 on alternative inbreeding strategies depend on the fitness consequences of inbreeding, typically inferred by the strength of inbreeding depression, and the costs of inbreeding avoidance. 45 Inbreeding depression is often difficult to quantify in natural populations⁷, but it has been shown 46 to select for various avoidance mechanisms^{8,9,10}. Passive processes that disrupt opposite-sex kin 47 associations, such as sex-biased dispersal, are widespread^{11,12}, but when dispersal is constrained¹³ 48 or when there is countervailing selection for kin association¹⁴, individuals may frequently 49 encounter kin as potential mates. This is the case in most cooperative breeders, where delayed 50 natal dispersal creates structured populations within which opposite-sex kin associate beyond 51 reproductive maturity¹⁵. In such situations, inbreeding may be minimized by extra-group 52 matings¹⁶⁻²⁰ or by abstention from breeding²¹⁻²³. The latter often results in strong reproductive 53 skew, with reproduction monopolized by a minority of dominant individuals within groups, aided 54 by subordinate helpers²⁴⁻²⁶. 55

56 Most cooperative species live in discrete groups that occupy exclusive territories, but in some others, helping (providing care to others' offspring) follows local natal dispersal that results in 57 continued association among relatives across extended social networks known as 'kin 58 neighbourhoods²⁷. Kin neighbourhoods are characterized by a diffuse kin structure where mean 59 relatedness among socially interacting individuals is low. This degree of social organisation also 60 61 exists in colonial breeders, such as sociable weavers (*Philetairus socius*), in which males and females may recruit as breeders within their natal colony²⁸. Such social structures select for strong 62 kin discrimination in helping behaviour because of the risk of directing care towards non-kin²⁹, 63 and if adult associations include opposite-sex relatives, then strong inbreeding depression would 64 also be expected to select for a mechanism for active incest avoidance. 65

66 However, the extent to which variation in relatedness across social systems influences inbreeding risk and the strength of kin discrimination exercised during mate choice remain relatively 67 understudied. Fitness costs of inbreeding³⁰ or of being inbred have been identified in several 68 cooperative breeders^{19,20,31}, and active incest avoidance has been demonstrated in western 69 bluebirds (Sialia mexicana)³² and inferred in red-winged fairy-wrens (Malurus elegans)³³ and 70 grey-crowned babblers (*Pomatostomus temporalis*)³⁴. But, the discrimination rules used to avoid 71 72 inbreeding and the recognition mechanisms that effectively minimize its costs have not been 73 determined.

Here, we present a comprehensive study of inbreeding depression, inbreeding risk and inbreeding avoidance in long-tailed tits (*Aegithalos caudatus*). Long-tailed tits breed in kin neighbourhoods and exhibit redirected helping, whereby failed breeders acquire indirect fitness by helping to provision non-descendant kin³⁵. Although dispersal is female-biased, natal dispersal distances of both sexes are short³⁶, creating fine-scale genetic structure within breeding populations³⁷. This kinstructure facilitates kin-selected helping, but also results in both kin and non-kin being available
as partners when monogamous pairs form each spring³⁸. Using a long-term genetic and life-history
dataset³⁹, we assess the evidence for inbreeding depression and a risk of incest, and test putative
rules for inbreeding avoidance to determine the likely kin recognition mechanism^{40,41}.

83 **Results**

84 Reduced heterozygosity in inbred individuals is a major source of inbreeding depression, and 85 associations between heterozygosity at microsatellite markers and variation in fitness are widely 86 used as an indirect measure of inbreeding depression when pedigree-derived inbreeding coefficients are unreliable⁴². We tested for an association between standardized heterozygosity at 87 17 microsatellite markers (H) and fitness using four fitness-associated life-history traits: whether 88 89 an individual recruited to the breeding population; the proportion of eggs that hatched in a female's first clutch; the probability that a breeder produced recruits; and the direct fitness of breeders that 90 produced recruits. Here, direct fitness is a measure of individuals' lifetime reproductive success 91 that corrects for the contribution of helpers (see Methods). This is important because the presence 92 of helpers has a very substantial effect on fledgling recruitment³⁵, and this social effect must be 93 removed to reveal the fitness that most closely reflects an individual's intrinsic 'quality'. 94 Heterozygosity was positively associated with the hatching success of females' clutches (Fig. 1b) 95 and the direct fitness of breeders that produced recruits (Fig. 1d), but there was no association 96 97 between H and an individual's probability of recruitment (Fig. 1a), nor on the probability that a breeder produced recruits (Fig. 1c). In our analyses, both hatching success and direct fitness are 98 99 adult traits, and this reduction in fitness of inbred adults indicates that inbreeding has long-term, negative fitness consequences. 100

101 Long-tailed tits exhibit a significantly enhanced level of relatedness between adult males and females within 600m³⁷, a range within which pairing typically occurs (Fig. 2). However, based on 102 the pedigree, only one out of 609 pairs (0.2%) were first-order relatives, and a further two pairings 103 (0.3%) were between second-order kin (Table S1). Genetic relatedness estimates $(r_{QG})^{43}$ revealed 104 a similar frequency of close inbreeding (2/609, 0.3%), but substantially more cases of moderate 105 106 inbreeding (94/609, 15.4%; Table S2). These results suggest active avoidance of close kin when pairing, rather than retrospective extra-pair mating to avoid inbreeding with a related partner. 107 Indeed, the relatively low levels of promiscuity in long-tailed tits^{44,45}, make extra-pair mating an 108 109 unlikely mechanism of inbreeding avoidance. Instead, we examined whether inbreeding was 110 actively avoided when choosing a social mate.

111 The relatedness of observed pairs was compared with that expected under a series of mate choice 112 models that assumed all first-year, widowed or divorced opposite-sex breeders present in the same 113 year were available as potential partners, within ranges of 300m, 600m, and further 300m 114 increments up to 2100m. Mean r_{OG} to a chosen partner was significantly lower than that expected 115 for females selecting partners at random from within 300m (generalized linear mixed-effects 116 model (GLMM), n = 2420, t = 7.23, P < 0.001), 600m (GLMM, n = 2433, t = 3.93, P < 0.001), 117 900m (GLMM, n = 2433, t = 3.03, P < 0.01), but not 1200m (n = 2433, t = 1.9, P = 0.06; Fig. 3a). 118 Mean r_{OG} to a chosen partner was lower than predicted for males selecting mates from within 300m (n = 2416, t = 7.84, P < 0.001), 600m (n = 2432, t = 5.14, P < 0.001), 900m (n = 2432, t = 5.14)119 120 3.79, P < 0.001) and 1200m (n = 2432, t = -0.84, P = 0.01; Fig. 3b). These results demonstrate strong discrimination against kin as partners within the range that mates are normally chosen, 121 suggesting that inbreeding depression may be sufficiently strong to cause selection for inbreeding 122 123 avoidance.

124	To identify a plausible discrimination rule for incest avoidance, we compared observed and
125	expected pair r_{QG} assuming either avoidance of first-order kin ($r_{QG} \ge 0.375$), or avoidance of first-
126	and second-order kin ($r_{QG} \ge 0.125$), by removal of these kin from the pool of potential partners at
127	pairing ranges within 1200m. When first-order kin were removed, observed and expected pair r_{QG}
128	did not differ significantly if females selected mates within 300m (GLMM; $n = 2420$, $t = 0.36$, P
129	= 0.72), 600m (n = 2433, t = -1.32, P = 0.18), 900m (n = 2433, t = -1.15, P = 0.25) and 1200m
130	(n = 2433, t = -1.46, P = 0.14; Fig. 3a). The same was true for males when they were assumed to
131	select mates from within these ranges (GLMM; 300m: $n = 2416$, $t = 1.47$, $P = 0.14$; 600m: $n = 1.47$
132	2432, $t = -0.05$, $P = 0.96$; 900m: $n = 2432$, $t = -0.29$, $P = 0.77$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$, $P = 0.75$; 1200m: $n = 2432$, $t = -0.84$; $t = -0.84$
133	0.39; Fig. 3b). In contrast, when both first- and second-order kin were removed, observed pair r_{QG}
134	was higher than expected at all ranges for both females (GLMM; 300m: $n = 2420$, $t = -9.9$, $P < 0.00$
135	0.001; 600m: $n = 2420$, $t = -11.46$, $P < 0.001$; 900m: $n = 2420$, $t = -11.52$, $P < 0.001$ and 1200m:
136	<i>n</i> = 2433, <i>t</i> = -11.8, <i>P</i> < 0.001; Fig. 3a) and males (GLMM; 300m: <i>n</i> = 2416, <i>t</i> = -9.16, <i>P</i> < 0.001;
137	600m: $n = 2416$, $t = -11.04$, $P < 0.001$; 900m: $n = 2416$, $t = -11.19$, $P < 0.001$ and 1200m: $n = -11.04$
138	2432, $t = 11.54$, $P < 0.001$; Fig. 3b). Thus, the observed relatedness of breeding pairs closely
139	matches the pattern expected by avoidance of first-order kin as mates. This degree of
140	discrimination can effectively reduce inbreeding because first-order relatives are the category of
141	kin most likely to be encountered nearby in long-tailed tit populations ³⁶ , although the substantially
142	lower risk of pairing with second-order and more distant kin remains.

Long-tailed tits can discriminate kin from non-kin using learned vocal cues⁴¹, a mechanism that is consistent with helpers preferentially aiding close kin^{37,40}. We investigated whether the same mechanism may enable inbreeding avoidance. Our analyses focused on the churr call, a shortrange contact call that is highly repeatable within individuals through time⁴⁶. The similarity of the

147	churr calls of opposite-sex breeders varied with relatedness: first-order kin ($n = 20$ dyads) had
148	more similar calls than second-order (GLMM; $n = 249$ dyads, $t = -3.02$, $P = 0.002$) or non-kin
149	(GLMM; $n = 1078$ dyads, $t = -3.62$, $P < 0.001$). Crucially, the calls of males and females within
150	breeding pairs were significantly less similar than those of opposite-sex first-order kin within
151	pairing range (Fig. 4). In contrast, there was no significant difference in vocal similarity between
152	observed pairs and second-order kin or non-kin (Fig. 4). These results suggest that vocal similarity
153	provides a plausible mechanism for avoidance of first-order kin as partners, although we cannot
154	exclude the possibility that other phenotypic cues are also involved.

155 **Discussion**

We have shown that inbreeding carries long-term fitness costs in long-tailed tits, but detected no 156 157 short-term cost on recruitment. Inbreeding depression may be masked in the short-term because external factors such as nest predation have large impacts on offspring fitness in early life. 158 Alternatively, inbreeding depression may affect embryo development or chick survival during the 159 first few days after hatching⁴⁷. We genotyped chicks at 11 days old, so inbred individuals would 160 be a missing fraction in our data if inbreeding depression occurs prior to this age. Furthermore, the 161 probability of both individual recruitment and recruit production are likely to be largely governed 162 by stochastic events, such as predation, whereas hatching success and direct fitness may have a 163 stronger genetic component. The presence of helpers may also mitigate some of the fitness 164 165 consequences of inbreeding depression. Maternal care buffers inbreeding depression in the burying beetle (*Nicrophorus vespilloides*)⁴⁸, and in long-tailed tits the probability that an individual recruits 166 and its own production of recruits are both correlated with helper number⁴⁹. Investigation into the 167 heritability of life-history traits such as hatching success would further elucidate the mechanism 168 by which inbreeding reduces fitness. 169

Long-tailed tits actively avoid close inbreeding, despite the substantial risk of incest, by avoidance 170 of first-order kin as mates. By contrast, the observed frequency of pairings between second-order 171 kin was relatively high (15.4% of pairs) when using genetic relatedness estimates, although not 172 when using the pedigree (0.3% of pairs). The kin structure of long-tailed tit populations means that 173 174 after excluding first-order kin, the proportion of birds (of either sex) that are second-order kin within 600m is 14.7% using genetic relatedness estimates and 2.7% of birds using pedigrees³⁷. 175 Thus, our observed frequencies of second-order kin pairings are close to what would be expected 176 from random pairing among birds that are not first-order kin, further supporting our proposed rule 177 178 for kin discrimination during mate choice (Fig. 3). Together, the significant inbreeding depression and pattern of inbreeding avoidance observed support the hypothesis that there is selection for 179 inbreeding avoidance. 180

These findings are consistent with previous studies demonstrating recognition of first-order kin in 181 a cooperative context^{37,40}. They are also consistent with the idea that kin recognition in long-tailed 182 tits requires a period of association during development, when vocalisations are learned⁴¹. It is 183 very likely that first-order kin (siblings, parents and offspring) associate during rearing, whereas 184 185 second-order kin are likely to be reared apart. Consequently, vocalisations are more similar among first-order relatives than among second-order or non-kin⁵⁰. There are two instances in which this 186 187 is not the case: extra-pair paternity and when pair-bonds last more than one year so that full siblings are produced in different nests. However, long-tailed tits are not very promiscuous⁴⁴, and their low 188 mate fidelity across seasons³⁸, high annual mortality and low chance of successful reproduction⁵¹ 189 mean that the probability of either instance is low. Avoidance of first-order, but not second-order 190 kin as mates, therefore supports familiarity as the mechanism of kin recognition. However, because 191

long-tailed tits do not live in stable kin groups throughout their life, recognition of familiarindividuals still relies on phenotypic rather than spatial cues.

194 Our results suggest that a single kin discrimination rule may explain inbreeding avoidance and kin preference in helping in long-tailed tits, with observational evidence showing that vocal cues offer 195 a plausible mechanism for kin recognition. However, there is an intriguing contrast between the 196 observations that while distant and non-kin are frequently helped³⁵, close inbreeding is extremely 197 rare. A single recognition mechanism can produce variable outcomes depending on the position of 198 the acceptance threshold, which may shift according to the relative fitness costs and benefits 199 associated with acceptance and rejection errors^{52,53}. These in turn will be determined by the 200 probability of encountering a relative and the fitness consequences of the associated behaviour. 201 202 Assuming that there is some overlap in the similarity of cues produced by close kin and by distant or non-kin⁵⁰ (Fig. 4), an acceptance threshold that includes most close kin, but also some distant 203 or non-kin would explain the observed pattern of helping³⁵. The same recognition threshold could 204 205 also operate during mate choice but with the reverse effect that almost all close kin, and 206 presumably some distant or non-kin, are rejected as partners, resulting in the infrequent close 207 inbreeding that we observed. A recognition threshold that is generous in the context of helping and 208 stringent in the context of mate choice makes intuitive sense in long-tailed tits. Redirected helping 209 by failed breeders is likely to incur little cost but potentially substantial benefit when kindirected³⁵. In contrast, inbreeding depression (Fig. 2) suggests selection for strict avoidance of 210 211 close kin as partners. Therefore, we conclude that a single kin discrimination mechanism has evolved to serve two functions, driving kin association in one context and kin avoidance in the 212 213 other.

214 Materials and Methods

Study population: A population of 17-72 (mean *c*.50) pairs of long-tailed tits was studied during 215 the breeding season (February-June) between 1994 and 2017 in the Rivelin Valley, Sheffield, UK 216 (53°38'N 1°56W). The site is approximately 2.5km² and comprises predominantly deciduous 217 woodland and scrub. The population is open: approximately 40% of breeders hatched in the study 218 219 site and are referred to as native, while the remaining immigrant adults are assumed to have 220 dispersed into the study site during their first year, based on the observation that individuals have high site fidelity following their first breeding year⁴⁹. Each year, almost all individuals (> 95%) 221 were marked with a British Trust for Ornithology (BTO) ring and a unique combination of two 222 223 color rings. Native birds were ringed as 11-day old nestlings and immigrant adults were captured in mist nests under BTO license before or during their first breeding season. When ringed, a sample 224 of 5-30µl of blood was taken by brachial venipuncture under Home Office license. All breeding 225 226 attempts were closely monitored and GPS coordinates were taken for each nest (n = 1461); a Cartesian coordinate system (UTM) was used to describe geographic distance between nests. 227

228 Social pedigree: We used the social pedigree to predict the correlation between heterozygosity 229 and individual inbreeding coefficients, and to identify matings among known kin in our population. 230 The pedigree was created using 23 years of field observations (1994-2017, n = 3068 birds). For further details on pedigree construction, see³⁷. To calculate social relatedness (r) among dyads, an 231 232 additive relationship matrix was generated from the pedigree in R (version 3.5.0, 2018) using the nadiv package⁵⁴. Six breeding birds in our study population (0.2%) were from cross-fostered 233 broods in 1996-1998, but given that birds raised together treat each other as kin⁴¹, we included 234 them in the social pedigree. For the same reason, while there is a low rate of extra-pair paternity 235 (11% chicks in 30% nests) in long-tailed tits⁴⁴, it has not been corrected for in the social pedigree. 236

Inbreeding coefficients: Inbreeding coefficients were calculated from the social pedigree. It was possible to infer reliable f values from the pedigree for 129 birds (native individuals with all grandparents known). f values from an additional nine birds that were offspring of presumed immigrant siblings, based on genetic sibship reconstruction, were also included. As more distant shared ancestors than grandparents, if known, would cause individual inbreeding coefficients to increase, f values are likely to be under-estimated based on incomplete pedigree information.

Molecular genetics: Individuals were genotyped at 17 microsatellite loci⁵⁵. Population allele frequencies were generated in CERVUS (version 3.0.7, 2007). All available genotypes were used (1994-2017, n = 3304 birds) to maximize accuracy and ensure non-zero estimates for all alleles. The genetic relatedness of dyads was estimated using coefficient of relatedness estimates⁴³, r_{QG} , in SPAGeDi (version 1.1.5, 2002). This estimate is reliable when tested against our social pedigree⁵⁶.

249 Inbreeding: Inbreeding cases were identified using the social pedigree and genetic relatedness estimates. Genetic (r_{OG}) and social (r) relatedness of all breeding pairs from 1994-2016 in which 250 both adults were ringed and genotyped was calculated. Measurements were taken from distinct 251 pairs. Occasionally, long-tailed tits swap partners within a breeding season, in which case, the first 252 pairing of that year was used. Individuals often breed in multiple years, either with the same partner 253 254 or a new partner. The dataset used in this study contained 609 pairs made up of 445 females and 255 412 males in 1994-2016. Pairs were considered closely or moderately inbred if they comprised 256 known first-order (r = 0.5) or second-order (r = 0.25) kin, respectively. As incomplete social 257 pedigrees may underestimate incest rates in open populations, inbreeding was also quantified using 258 genetic relatedness estimates (r_{OG}). The r_{OG} estimate of known first-order kin (r = 0.5) was 0.454 \pm 0.149 (mean \pm SD, n = 1211 dyads). For known second-order kin (r = 0.25), r_{OG} was 0.198 \pm 259

260 0.154 (mean \pm SD, n = 515 dyads). The r_{QG} estimate of all other dyads of known parentage (r <261 0.25) was 0.002 \pm 0.131 (mean \pm SD, n = 54521 dyads). The distribution of r_{QG} estimates among 262 known first-order, second-order and non-kin are shown in Fig. S1. Based on these distributions, a 263 lower r_{QG} threshold of 0.375 was set to approximate first-order kin (mean $r_{QG} \pm$ SD = 0.503 \pm 264 0.094, n = 1438) and 0.125 to approximate second-order kin (mean $r_{QG} \pm$ SD = 0.197 \pm 0.059, n265 = 11979). The mean r_{QG} of observed pairs was 0.002 \pm 0.123 (mean \pm SD, n = 609).

Mate choice models: For each focal breeder, their r_{QG} to their chosen partner was compared with their mean r_{QG} to all potential partners, each breeding year (1994-2016), under the pairing constraints of a series of mate choice models assuming all first-year, widowed or divorced opposite-sex breeders present in the same year were available as potential partners, within concentric ranges of radius 300m, 600m, 900m, and further 300m increments up to 2100m.

Heterozygosity-fitness correlations: Pedigree-derived inbreeding coefficients can be estimated 271 272 only when parentage can be traced back at least two generations, but both sets of grandparents were known for only 5.3% of native birds (n = 138). Therefore, standardized multi-locus 273 heterozygosity (H) was estimated for all genotypes (1994-2016, n = 3182). Heterozygosity is 274 standardized by dividing the proportion of typed loci for which an individual was heterozygous by 275 the mean heterozygosity of those loci at which the individual was typed⁵⁷. Heterozygosity-fitness 276 277 correlations can only be regarded as providing evidence for inbreeding depression if 278 heterozygosity is a predictor of individual inbreeding coefficients. We used the analytical derivations outlined in³⁹ to predict the correlation between heterozygosity and f in our population 279 as r(H, f) = -0.43 (n = 138, mean f = 0.03, variance in f = 0.004, number of loci = 17, mean 280 281 heterozygosity of loci = 0.759). This value is relatively large compared to other studies predicting the relationship between inbreeding coefficient and heterozygosity, including populations where 282

inbreeding depression has been demonstrated. For example, the correlation coefficient r(H, f) in red deer (*Cervus elaphus*)⁵⁸ and song sparrows (*Melospiza melodia*)⁵⁹ are -0.25 and -0.22, respectively³⁹. Thus, genetic diversity at marker loci reflects genetic diversity throughout the genome, including at unknown loci that affect trait variation; i.e. marker and fitness loci are in identity disequilibrium⁴². This validates the use of heterozygosity as a proxy for inbreeding coefficient in our study. Measurements were taken from distinct samples.

289 **Direct fitness:** Direct fitness was calculated as lifetime reproductive success quantified in terms of genetic offspring equivalents and corrected for extra-pair paternity and the offspring gained by 290 having helpers. The fraction of recruits in a brood that was attributable to helpers was estimated 291 using a mixed effects model of the effect of helper number on recruitment⁴⁹. This fraction was 292 293 subtracted from the total number of recruits produced over an individual's lifetime. The remaining fraction was halved to reflect the relatedness between a single parent and its offspring. The 294 295 assumption that parents and their offspring have a relatedness coefficient of 0.5 does not account for higher relatedness of inbred offspring to their parents⁶⁰. However, the almost complete absence 296 297 of close inbreeding and the low incidence of inbreeding among more distant relatives indicate that 298 errors in our estimation of direct fitness introduced by this simplifying assumption will be small.

Acoustic recordings: A short-distance contact call, the churr, was recorded from adults using a Sennheiser ME67/K6 shotgun microphone fitted with a Rycote windjammer. Recordings were made onto a Roland R-05 version 1.03 WAV/MP3 recorder with a 6GB SanDisk memory card, set to a sample rate of 48kHz with WAV-16bit accuracy. The microphone input level was set to 60db with a low-cut frequency of 400Hz. All recordings were made between 06:00 and 18:00 BST. Birds were recorded at a distance of approximately 3-15m, to minimize sound degradation and reverberation. Birds were recorded at the nest and identified by their unique color ring combinations. If more than one bird was present, vocalizations were assigned to individuals by observing movements of the bill and throat feathers. At the start of each recording, date, time, nest number and recording number were dictated into the microphone. When caller ID could be identified with certainty, this was dictated into the microphone after each call. In total, 213 recordings were made in 2015-2017, containing 1116 churr calls from 98 birds (mean \pm SD = 11.39 ± 10.24 per bird; range 1 – 42).

312 Acoustic analysis: The sampling frequency was converted to 22.05 KHz and recordings were visualized spectrographically to assess call quality, with a frequency resolution of 188Hz and a 313 314 time resolution of 2.7ms in Avisoft SAS-Lab Proversion 4.52 (Avisoft Bioacoustics). Recordings with extreme background noise were excluded. All useable calls were isolated, stored and 315 316 measured in Luscinia (version 2.16.10.29.01, https://rflachlan.github.io/Luscinia/). Vocal 317 similarity was assessed by dynamic time-warping analysis (DTW) implemented in Luscinia. DTW 318 analysis generates a score representing the amount of warping required to match one signal to 319 another. The acoustic features used in the DTW analysis were weighted as: time = 1, fundamental 320 frequency = 2, change in fundamental frequency = 2, compression factor = 0.1, minimum element 321 length = 10, time SD weighting = 1, ArcTan transform weight for frequency slope = 0.02, 322 maximum warp = 100%. These settings generated a DTW algorithm that correctly matched 323 visually similar vocalizations, assessed using a dendrogram and multidimensional scaling plot. The low compression factor optimizes the capture of acoustic complexity. This increased 324 325 weighting of frequency parameters to time is also in line with previous studies suggesting that 326 frequency parameters show greater individuality than temporal parameters and are particularly important for kin recognition in this species⁴⁶. 327

Call similarity and pairing: Among the breeding pairs for which we had recordings of both 328 breeders (n = 51), there were no cases of pairing among known first-order or second-order kin, 329 based on the social pedigree. Based on genetic relatedness estimates, there were no cases of pairing 330 among first-order kin ($r_{OG} \ge 0.375$) and 13 (25.5%) cases of pairing among second-order kin (r_{OG} 331 332 ≥ 0.125). Dyadic vocal similarity (DTW score) was compared among: breeding pairs; potential pairs of first-order kin ($r_{QG} \ge 0.375$); potential pairs of second-order kin ($0.375 > r_{QG} \ge 0.125$) and 333 potential pairs of non-kin ($r_{OG} < 0.125$) within 1350m, the range within which 95% pairs are 334 formed. Genetic estimates of pedigree relationships were used for consistency with our analysis of 335 336 putative discrimination rules. Potential pairings were dyads of opposite-sex first-year, widowed or divorced breeders present in the breeding population in the same year. The distance between adults 337 was based on the location of an individual's first breeding attempt in a given year. 338

Statistical analysis: All statistical analyses were carried out in R (version 3.5.0, 2018). 339 340 Associations between heterozygosity and fitness traits (HFCs) were tested using generalized linear 341 mixed-effects models in the lme4 package. Recruitment was modelled as a binary response 342 variable with a binomial error distribution and logit link. The fixed effects were: H, sex, to control 343 for male-biased philopatry, fledge date (days since March 1st), because offspring fledging earlier in the year have a greater probability of recruitment⁶¹, and number of helpers at natal nest, as helper 344 number has been shown to increase recruitment probability⁵¹. Hatching success was modelled as 345 a proportional response variable with a binomial error distribution and logit link. The fixed effects 346 347 were: H, lay date and female mass as a nestling. The probability of producing recruits was modelled as a binary response variable with a binomial error distribution and logit link. The fixed 348 349 effects were: H and fledgling sex ratio (proportion of male fledglings produced, to control for male philopatry). Direct fitness was modelled as a continuous response variable with a Gamma error 350

distribution and inverse link, with H, sex and fledgling sex ratio fitted as fixed effects. In all HFC models, genetic brood was fitted as a random effect to avoid pseudoreplication of H estimates and control for seasonal differences. In hatching success models, breeding year was also fitted as a random effect.

Analyses of the mating options available to males and females were conducted in separate mate 355 choice models. As the same allele frequencies are used to calculate r_{QG} across years, the r_{QG} of 356 357 unique dyads across years is consistent. However, due to demographic factors such as divorce, migration, birth, death, and dispersal, the mean r_{QG} of focal breeders to their potential partners 358 359 under each mate choice model will vary across years. To quantify inbreeding avoidance and identify a putative decision rule with regard to kinship, we fitted linear mixed-effects models with 360 361 restricted maximum likelihood. For focal males and females, we compared r_{QG} to chosen partner 362 with (i) mean r_{QG} to potential partners under random mate choice (with respect to kinship), (ii) 363 mean r_{QG} to potential partners after the removal of close kin, and (iii) mean r_{QG} to potential partners 364 after the removal of close and distant kin, within pairing ranges of 300m, 600m, 900m and 1200m. When pairs persisted across years, the first year a pair was observed was used in the analysis. Year 365 366 nested within focal bird ID was fitted as a random effect, to generate comparisons within 367 individuals in a given year.

Churr call dissimilarity was compared among four groups of individuals (breeding pairs, potential breeding pairs of first-order kin, potential breeding pairs of second-order kin and potential breeding pairs of non-kin) using generalized linear mixed-effects models. DTW score was modelled as a continuous response variable with a Gamma distribution and inverse link function. The fixed effect was group, with male ID and female ID both fitted as random effects. The relationship between churr call dissimilarity and kinship was tested using a separate model that

374	included all genotyped breeders, irrespective of pairing status. In this model, the independent
375	variable was kinship. DTW score was modelled as a continuous response variable with a Gamma
376	distribution and inverse link function. The fixed effect was kinship, with male ID and female ID
377	both fitted as random effects.
378	Data availability: Source datasets and code for this manuscript will be made available in a Dryad
379	Digital Repository.
380	Acknowledgments
381	Molecular analyses were conducted at the Natural Environment Research Council Biomolecular
382	Analysis Facility at the University of Sheffield, with support from Terry Burke, Deborah Dawson,
383	Natalie dos Remedios and Maria-Elena Mannarelli. We are grateful to all those who have
384	contributed to the long-tailed tit project, and thank Tim Clutton-Brock and René van Dijk for
385	discussion. Sheffield City Council, Yorkshire Water, Hallamshire Golf Club and private
386	landowners of the Rivelin Valley allowed access to their land, and the Sorby Breck Ringing Group
387	provided logistical support. This work was funded by the National Environment Research Council,
388	UK (awards: 1517208 and NE/I027118/1).
389	Author contributions
390	BJH conceived and managed the long-tailed tit study and supervised the project with EJHR. AEL,
391	BJH, SPS and MS designed the study and collected data. AEL performed all analyses and wrote

the manuscript. JS supported analysis of inbreeding costs. RFL supported bioacoustic analysis. JPG calculated direct fitness. All authors contributed to revisions. The authors declare no 393

competing interests. 394

395	Refer	ences
396	1.	B. Charlesworth, D. Charlesworth, The genetic basis of inbreeding depression. Genet. Res.
397		74, 329-340 (1999).
398	2.	L. F. Keller, D. M. Waller, Inbreeding effects in wild populations. Trends Ecol. Evol. 17,
399		230-241 (2002).
400	3.	I. G. Jamieson, S. S. Taylor, L. N. Tracy, H. Kokko, D. P. Armstrong, Why some species
401		of birds do not avoid inbreeding: insights from New Zealand robins and saddlebacks.
402		Behav. Ecol. 20, 575–584 (2009).
403	4.	C. Wang, X. I. N. Lu, Female ground tits prefer relatives as extra-pair partners: driven by
404		kin-selection? Mol. Ecol. 20, 2851-2863 (2011).
405	5.	H. Kokko, I. Ots, When not to avoid inbreeding. Evolution 60, 467-475 (2006).
406	6.	G. A. Parker, Sexual conflict over mating and fertilization: an overview. <i>Philos. Trans. R.</i>
407		<i>Soc. B</i> 361 , 235-259 (2006).
408	7.	A. B. Duthie, J. M. Reid, Evolution of inbreeding avoidance and inbreeding preferences
409		through mate choice among interacting relatives. Am. Nat. 188, 651-667 (2016).
410	8.	L. Lehmann, N. Perrin, Inbreeding Avoidance through Kin Recognition: Choosy Females
411		Boost Male Dispersal. Am. Nat. 162, 638–652 (2003).
412	9.	A. E. Pusey, M. Wolf, Inbreeding avoidance in animals. Trends Ecol. Evol. 11, 201–206
413		(1996).
414	10	C. Riehl, C. A. Stern, How cooperatively breeding birds identify relatives and avoid incest:
415		New insights into dispersal and kin recognition. <i>BioEssays</i> 37, 1303–1308 (2015).
416	11	. P. J. Greenwood, Mating systems, philopatry and dispersal in birds and mammals. Anim.
417		<i>Behav.</i> 28, 1140–62 (1980).

418	12. M. A. Du Plessis, Obligate cavity-roosting as a constraint on dispersal of green (red-billed)
419	woodhoopoes: consequences for philopatry and the likelihood of inbreeding. Oecologia
420	90 , 205–11 (1992).
421	13. A. Cockburn, H. L. Osmond, R. A. Mulder, D. J. Green, M. C. Double, Divorce, dispersal
422	and incest avoidance in the cooperatively breeding superb fairy-wren Malurus cyaneus. J.
423	Anim. Ecol. 72 , 189–202 (2003).
424	14. P. Stacey, J. Ligon, Territory Quality and Dispersal Options in the Acorn Woodpecker, and
425	a Challenge to the Habitat-Saturation Model of Cooperative Breeding. Am. Nat. 130, 654-
426	676 (1987).
427	15. B. J. Hatchwell, The evolution of cooperative breeding in birds: kinship, dispersal and life
428	history. Philos. Trans. R. Soc. B 364, 3217-3227 (2009).
429	16. M. G. Brooker, I. Rowley, M. Adams, P. R. Baverstock, Promiscuity: An inbreeding
430	avoidance mechanism in a socially monogamous species? Behav. Ecol. Sociobiol. 26, 191-
431	199 (1990).
432	17. K. Tarvin, M. Webster, E. Tuttle, S. Pruett-Jones, Genetic similarity predicts the level of
433	extra-pair paternity in splendid fairy-wrens. Anim. Behav. 70, 945-955 (2005).
434	18. C. W. Varian-Ramos, M. S. Webster, Extrapair copulations reduce inbreeding for female
435	red-backed fairy-wrens, Malurus melanocephalus. Anim. Behav. 83, 857-864 (2012).
436	19. G. K. Hajduk et al., Inbreeding, inbreeding depression, and infidelity in a cooperatively
437	breeding bird. Evolution 72, 1500–1514 (2018).
438	20. W. Lichtenauer, M. De Pol, A. Cockburn, L. Brouwer, Indirect fitness benefits through
439	extra-pair mating are large for an inbred minority, but cannot explain widespread infidelity
440	among red-winged fairy-wrens. Evolution 73, 467–480 (2019).

441	21. H. Kokko, J. Ekman, Delayed Dispersal as a Route to Breeding: Territorial Inheritance,
442	Safe Havens, and Ecological Constraints. Am. Nat. 160, 468–484 (2002).
443	22. W. D. Koenig, J. Haydock, Incest and incest avoidance in Ecology and Evolution of
444	Cooperative Breeding in Birds, W. D. Koenig, J. L. Dickinson Eds. (Cambridge University
445	Press, 2004).
446	23. R. D. Magrath, R. G. Heinsohn, R. A. Johnstone, Reproductive skew in Ecology and
447	Evolution of Cooperative Breeding in Birds, W. D. Koenig, J. L. Dickinson Eds.
448	(Cambridge University Press, 2004).
449	24. W. D. Koenig, M. T. Stanback, J. Haydock, Demographic consequences of incest
450	avoidance in the cooperatively breeding acorn woodpecker. Anim. Behav. 57, 1287-1293
451	(1999).
452	25. W. D. Koenig, J. Haydock, M. T. Stanback, Reproductive roles in the cooperatively
453	breeding acorn woodpecker: incest avoidance versus reproductive competition. Am. Nat.
454	151, 243-255 (1998).
455	26. C. Riehl, Kinship and Incest Avoidance Drive Patterns of Reproductive Skew in
456	Cooperatively Breeding Birds. Am. Nat. 190, 774–785 (2017).
457	27. J. L. Dickinson, B. J. Hatchwell, Fitness consequences of helping in <i>Ecology and Evolution</i>
458	of Cooperative Breeding in Birds, W. D. Koenig, J. L. Dickinson Eds. (Cambridge
459	University Press, 2004).
460	28. R. E. van Dijk, R. Covas, C. Doutrelant, C. N. Spottiswoode, B. J. Hatchwell, Fine-scale
461	genetic structure reflects sex-specific dispersal strategies in a population of sociable
462	weavers (Philetairus socius). Mol. Ecol. 24, 4296–4311 (2015).

463	29. C. K. Cornwallis, S. A. West, A. S. Griffin, Routes to indirect fitness in cooperatively
464	breeding vertebrates: kin discrimination and limited dispersal. J. Evol Biol. 22, 2445-2457
465	(2009).
466	30. S. B. McRae, Family values: costs and benefits of communal nesting in the moorhen. Anim.
467	<i>Behav.</i> 52, 225–245 (1996).
468	31. A. K. Townsend et al., Disease-mediated inbreeding depression in a large, open population
469	of cooperative crows. Proc. R. Soc. B 276, 2057–2064 (2009).
470	32. J. L. Dickinson, C. Akçay, E. D. Ferree, C. A. Stern, A hierarchical analysis of incest
471	avoidance in a cooperative breeder. Behav. Ecol. 27, 1132-1140 (2016).
472	33. L. Brouwer, M. Van De Pol, E. Atema, A. Cockburn, Strategic promiscuity helps avoid
473	inbreeding at multiple levels in a cooperative breeder where both sexes are philopatric.
474	<i>Mol. Ecol.</i> 20 , 4796–4807 (2011).
475	34. C. J. Blackmore, R. Heinsohn, Variable mating strategies and incest avoidance in
476	cooperatively breeding grey-crowned babblers. Anim. Behav. 75, 63-70 (2008).
477	35. B. J. Hatchwell, P. R. Gullett, M. J. Adams, Helping in cooperatively breeding long-tailed
478	tits: a test of Hamilton's rule. Philos. Trans. R. Soc. B 369, 20130565 (2014).
479	36. S. P. Sharp, M. B. Baker, J. D. Hadfield, M. Simeoni, B. J. Hatchwell, Natal dispersal and
480	recruitment in a cooperatively breeding bird. Oikos 117, 1371-1379 (2008).
481	37. A. E. Leedale, S. P. Sharp, M. Simeoni, E. J. H. Robinson, B. J. Hatchwell, Fine-scale
482	genetic structure and helping decisions in a cooperatively breeding bird. Mol. Ecol. 27,
483	1714–1726 (2018).

484	38. B. J. Hatchwell, A. F. Russell, D. J. Ross, M. K. Fowlie, Divorce in cooperatively breeding
485	long-tailed tits: a consequence of inbreeding avoidance? Proc. R. Soc. B 267, 813-819
486	(2000).
487	39. J. Slate et al. Understanding the relationship between the inbreeding coefficient and
488	multilocus heterozygosity: theoretical expectations and empirical data. Heredity 93, 255-
489	265 (2004).
490	40. A. F. Russell, B. J. Hatchwell, Experimental evidence for kin-biased helping in a
491	cooperatively breeding vertebrate. Proc. R. Soc. B 268, 2169-2174 (2001).
492	41. S. P. Sharp, A. McGowan, M. J. Wood, B. J. Hatchwell, Learned kin recognition cues in a
493	social bird. Nature 434 , 1127-1130 (2005).
494	42. M. Szulkin, N. Bierne, P. David, Heterozygosity-fitness correlations: a time for reappraisal.
495	Evolution 64 , 1202-1217 (2010).
496	43. D. C. Queller, K. F. Goodnight, Estimating relatedness using genetic markers. Evolution
497	43, 258-275 (1989).
498	44. J. P. Green, B. J. Hatchwell, Inclusive fitness consequences of dispersal decisions in a
499	cooperatively breeding bird, the long-tailed tit (Aegithalos caudatus). Proc. Natl. Acad.
500	<i>Sci. U.S.A.</i> 115, 12011–12016 (2018).
501	45. B. J. Hatchwell, D. J. Ross, N. Chaline, M. K. Fowlie, T. Burke, Parentage in the
502	cooperative breeding system of long-tailed tits, Aegithalos caudatus. Anim. Behav. 64, 55-
503	63 (2002).
504	46. S. P. Sharp, B. J. Hatchwell, Individuality in the contact calls of cooperatively breeding
505	long-tailed tits (Aegithalos caudatus). Behaviour 142, 1559-1575 (2005).

506	47. N. L. Hemmings, J. Slate, T. R. Birkhead, Inbreeding causes early death in a passerine bird.
507	<i>Nat. Commun.</i> 3 , 863 (2012).
508	48. N. Pilakouta, S. Jamieson, J. A. Moorad, P. T. Smiseth, Parental care buffers against
509	inbreeding depression in burying beetles. Proc. Natl. Acad. Sci. U.S.A. 112, 8031-8035
510	(2015).
511	49. A. McGowan, B. J. Hatchwell, R. J. W. Woodburn, The effect of helping behaviour on the
512	survival of juvenile and adult long-tailed tits Aegithalos caudatus. J. Anim. Ecol. 72, 491-
513	499 (2003).
514	50. A. E. Leedale, R. F. Lachlan, E. J. H. Robinson, B. J. Hatchwell, Helping decisions and
515	kin recognition in long-tailed tits: is call similarity used to direct help towards kin? Philos.
516	Trans. R. Soc. B 20190565. doi:10.1098/rstb.2019.0565 (2020).
517	51. B. J. Hatchwell, S. P. Sharp, A. P. Beckerman, J. Meade, Ecological and demographic
518	correlates of helping behaviour in a cooperatively breeding bird. J. Anim. Ecol. 82, 486-
519	494 (2013).
520	52. H. K. Reeve, The evolution of conspecific acceptance thresholds. Am. Nat. 133, 407-435
521	(1989).
522	53. S. G. Downs, F. L. W. Ratnieks, Adaptive shifts in honey bee (Apis mellifera L.) guarding
523	behavior support predictions of the acceptance threshold model. Behav. Ecol. 11, 326-333
524	(2000).
525	54. M. E. Wolak, nadiv: an R package to create relatedness matrices for estimating non-
526	additive genetic variances in animal models. Methods Ecol. Evol. 3, 792-796 (2012).
527	55. M. Simeoni et al., Characterization of 20 microsatellite loci in the long-tailed tit Aegithalos
528	caudatus (Aegithalidae, AVES). Mol. Ecol. Notes 7, 1319-1322 (2007).

529	56. KB. Nam, M. Simeoni, S. P. Sharp, B. J. Hatchwell, Kinship affects investment by
530	helpers in a cooperatively breeding bird. Proc. R. Soc. B 277, 3299-3306 (2010).
531	57. D. W. Coltman, J. G. Pilkington, J. A. Smith, J. M. Pemberton, Parasite-mediated selection
532	against inbred Soay sheep in a free-living island population. Evolution 53, 1259-1267
533	(1999).
534	58. T. C. Marshall et al., Estimating the prevalence of inbreeding from incomplete pedigrees.
535	<i>Proc R Soc B</i> 269 , 1533–1539 (2002).
536	59. L. F. Keller, Inbreeding and its fitness effects in an insular population of sparrows
537	(Melospiza melodia). Evolution 52 , 240–250 (1998).
538	60. J. M. Reid et al., Variation in parent-offspring kinship in socially monogamous systems
539	with extra-pair reproduction and inbreeding. Evolution, 70, 1512–1529 (2016).
540	61. B. J. Hatchwell et al., Helpers increase long-term but not short-term productivity in
541	cooperatively breeding long-tailed tits. Behav. Ecol. 15, 1–10 (2004).
542	



543 Fig. 1. The relationship between heterozygosity at microsatellite loci (H) and fitness components. (A) probability of recruitment was not associated with H (GLMM, N = 1924, z = 0.40, P = 0.69); 544 (B) females' hatching success was positively associated with H (GLMM, N = 142, z = 2.32, P =545 0.02); (c) probability of producing recruits was not associated with H (GLMM, N = 744, z = -1.77, 546 P = 0.07); (d) the direct fitness of breeders that produced recruits was positively associated with 547 H (GLMM, N = 151, t = -4.65, P < 0.001). Full model outputs are reported in Tables S3-6. Lines 548 represent model predictions \pm 95% CI constructed using fixed effects, boxplots represent median 549 ± 1.5 x IQR. 550



Fig. 2. Frequency distribution and median (+IQR) pairing ranges of (A) male (median = 393m, *N* = 230) and (B) female (median = 523m, *N* = 109) breeders, calculated as the distance between an individual's natal nest and their first breeding attempt. Boxplots represent median $\pm 1.5x$ IQR.



Fig. 3. Mean genetic relatedness of breeding pairs formed within increasing ranges (open circles), and the expected relatedness if (A) females (N = 445) or (B) males (N = 412) selected mates at random with respect to kinship (closed circles), avoided kin with $r_{QG} > 0.375$ (closed triangles), and avoided kin with $r_{QG} > 0.125$ (closed squares). Expected relatedness was the mean relatedness of focal birds to all opposite-sex available breeders within each range under each mate choice model. Error bars represent the standard error around the mean.



Fig. 4. Dissimilarity of churr calls among groups of opposite-sex dyads: breeding pairs (N = 51); first-order kin (N = 11); second-order kin (N = 155); non-kin (N = 735). Dyads that were not breeding pairs comprised available breeders within pairing range (≤ 1350 m, 95% pairs) present in the same breeding year. Call dissimilarity was measured using dynamic time warping analysis (DTW). Call dissimilarity within breeding pairs was higher than that within potential pairs of firstorder kin (GLMM; N = 952, t = 2.87, P = 0.004) but not second-order (t = 0.06, P = 0.94), or nonkin (t = -1.63, P = 0.10). Boxplots represent median ± 1.5 x IQR.