



Closed-form analytical approach for calculating noise contours of directive aircraft noise sources

Journal:	AIAA Journal
Manuscript ID	2022-05-J062033.R2
Manuscript Type:	Regular Article
Date Submitted by the Author:	21-Nov-2022
Complete List of Authors:	Amargianitakis, Daniel; University of Southampton, Institute of Sound and Vibration Research Self, Rodney; University of Southampton, Institute of Sound and Vibration Research Synodinos, Athanasios; University of Southampton, Institute of Sound and Vibration Research Proença, Anderson; Cranfield University Cranfield School of Aerospace Transport and Manufacturing, Applied Aerodynamics Group Torija, Antonio J; University of Salford, Acoustics Research Centre; University of Southampton, ISVR
Subject Index Category:	02200 Noise < 00000 AIRCRAFT TECHNOLOGY, CONVENTIONAL, STOL/VTOL, 20100 Aeroacoustics < 20000 FLUID DYNAMICS
Select ONE Subject Index for the Table of Contents. This is where your paper will show up in the Table of Contents:	00000 AIRCRAFT TECHNOLOGY, CONVENTIONAL, STOL/VTOL

SCHOLARONE[™] Manuscripts

Closed-form analytical approach for calculating noise contours of directive aircraft noise sources*

Daniel. C. Amargianitakis[†], Rodney. H. Self[‡] and Athanasios. P. Synodinos[§]

Institute of Sound and Vibration Research, University of Southampton, Southampton, Hampshire, SO17 1BJ, United Kingdom

Anderson. R. Proença[¶]

Applied Aerodynamics Group, School of Aerospace, Cranfield, Bedford, MK43 0AL, United Kingdom

Antonio. J. Torija

Acoustics Research Centre, University of Salford, Manchester M5 4WT, United Kingdom

This paper extends the simplified airport noise model RANE (Rapid Aviation Noise Evaluator) [Torija et al. 2017], adding capability of including fully non-isotropic noise sources. This extended tool, RANE v2, is developed as a part of multidisciplinary acoustic assessment of novel aircraft, in order to produce ground contours around airports and helipads. Version 2 extends the capability of RANE to accommodate predictions of future air vehicles implementing propulsion systems solution with inherent directional properties. The model uses three-dimensional noise emission surfaces around a series of discretised segments that represent the aircraft flightpath. The main inputs are the sources' Sound Power Level (PWL), the distance from the flightpath at which a level is observed, and the source three-dimensional directivity. The directivity function may take analytical or numerical form, allowing for experimental data inputs. This paper demonstrates the use of Spherical Harmonics as a form of directivity function with a closed-form analytical solution for calculating the noise exposure contours. Results and comparison against the Federal Aviation Administration's Aviation Environmental Design Tool (AEDT) module for Helicopter Community Noise indicate that exposure contour coordinates can be estimated for high and low noise exposure levels. The incorporation of source directivity allows for the assessment of lateral attenuation, engine installation effects, and transition operations (for vertical to horizontal flight and vice versa) via the assumption of individual source directivities and, therefore, complex noise surfaces. As a consequence of the analytical nature of the model, low computational requirements allow for fast exploration of the design space and parametric

*This paper was presented as AIAA 2021-2175. AIAA AVIATION 2021 FORUM. August 2021: https://doi.org/10.2514/6.2021-2175 [†]PhD Student, ISVR, University of Southampton

[‡]Professor, ISVR, University of Southampton, Southampton, Hampshire, SO17 1BJ, United Kingdom [§]Visiting Academic, ISVR, University of Southampton

[¶]Lecturer in Aerodynamics, Applied Aerodynamics Group, School of Aerospace, Cranfield University

Lecturer in Acoustical Engineering, Acoustics Research Centre, University of Salford

3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
20	
27	
20	
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	
46	
4/	
48	
49 50	
50 51	
51 52	
52 52	
55	
54	
55	
57	
58	
50	
60	
~ ~	

1 2

Α

= Contour area

studies, with minimal input requirements. The capabilities of RANE v2 are demonstrated by predicting noise footprints for three helicopters, each of different size, performance, and directivity characteristics.

Nomenclature

A_T	=	Total noise contour area due to the total of N segments
d	=	Shortest distance from an observation point to a flight path segment
d_p	=	Perpendicular distance from an observation point to the flight path (slant distance)
d_{λ}	=	Scaled distance
h	=	Altitude
ini,end	=	Limits of integration
l	=	Perpendicular distance from an observation point to the ground track
len	=	Length of the noise contour
L	=	Event sound-level (scale undefined)
L_E	=	Single event sound exposure level determined from NPD database
L_E	=	Single event sound exposure level (SEL)
$L_{eq,T}$	=	Energy-equivalent sound-level integrated over the period T
L_{max}	=	Maximum sound-level during an event
Р	=	Power-setting parameter in NPD variable $L(P, d)$
Q	=	Distance from start of the segment to closest point of approach
R	=	Noise Radius
S	=	Segment length
S	=	Orthogonal matrix
T_0	=	Reference time for integrated sound level
U	=	$(u \ v \ w)^T$, position vector
v	=	Airspeed
v _{ref}	=	Reference airspeed for which NPD data are defined
X	=	$(x \ y \ z)^T$, position vector
α	=	Parameter used for calculation of the finite segment correction ΔF
β	=	Elevation angle of aircraft relative to ground plane
γ	=	Inclination angle
		2
		Submitted to AIAA Journal. Confidential - Do not distribute.

AIAA

θ	=	Polar directivity angle	
arphi	=	Azimuthal directivity angle	
ϕ	=	Depression angle	
ψ	=	Angle of rotation in the horizontal plane	
$\Lambda(\beta,l)$	=	Lateral attenuation adjustment	
$\Lambda(\beta)$	=	Long range air-to-ground lateral attenuation Λ_y (Orthogonal) rotation matrix	
Δt	=	Time increment	
ΔI	=	Engine-installation effects adjustment	
Δv	=	Duration adjustment	
$\Delta x, \Delta y$	=	Displacement in the x and y directions, respectively	
Δx	=	Displacement in the x dimension as a consequence of the different inclination angles (γ)	
Subscript	S		
0	=	Baseline Aircraft	
a	=	Aircraft	
i	=	Individual noise source	
j	=	Flight track; individual parameter influencing noise source <i>i</i>	
k	=	Individual flight track segment	
n	=	Common flight track segments for the whole aircraft fleet	
т	=	Number of aircraft movements	
<i>x</i> , <i>y</i> , <i>z</i>	=	Orthogonal coordinate system for each kth flightpath segment	
X, Y, Z	=	Common orthogonal coordinate system for all k flightpath segments, Airport system	
u, v, w	=	Orthogonal coordinate system with the u axis is aligned with the <i>kth</i> flightpath segment.	
θ, φ, r	=	Spherical coordinate system used for NPD flyover procedures and lateral attenuation definition.	
d_p, φ, u	=	Cylindrical coordinate system for each kth flightpath segment	

I. Introduction

The aviation industry is reaching a turning point. Environmental impact concerns and the push for zero emissions are driving government agencies and strategists to form detailed plans of how the civil aviation industry will adapt and transform [1]. In particular, the UK government and industry through funding of a series of projects [2, 3] are developing a wide range of novel vehicle technologies covering drones, advanced air mobility vehicles and zero-carbon regional aircraft with the aim of addressing UK Civil Aviation Authority and public concerns regarding sustainability, noise, intrusion and safety.

Leveraging the advancement of electrical and hydrogen (H2) propulsion systems and battery technologies will slowly allow manufacturers to introduce new hybrid or fully electric designs to the market in the form of regional zero emission aircraft. Various recent studies have explored the possibilities and challenges of such architectures, along with industry requirements in order to accomplish this transition [4–7]. Research topics encompass everything from large long-haul aircraft to short-haul, regional, and even Urban Air Mobility (UAM) vehicles. The introduction of these new categories of aircraft, along with the existing increase in air-traffic, imposes a very serious problem to the noise impact around airports and urban areas where these novel aircraft will most likely operate [8].

Urban Air Mobility or Personal Air vehicles is a rising section of the aviation market [9] seeking to provide on-demand aviation services and solutions. Their operation is based in densely populated urban areas where operational noise will highly affect the well-being of the community and the acceptance of said vehicles. In addition, the development of hybrid/electric propulsion systems allows for novel configurations of vehicles. Vertical Take-Off and Landing (VTOL) plus cruise configurations become possible due to the size and lower complexity of electric motors. The combination of possible new noise sources and complex low speed - low altitude operation generates a problem in assessing community noise impact. Tools that allow noise prediction capabilities as well as community noise impact assessment of vehicles in the early design stages are crucial, as they will allow the exploration of larger design spaces as manufacturers aim towards optimal designs.

For airport noise and aircraft noise source analysis to be integrated and contribute to the preliminary design of these aircraft, the restrictive nature of today's tools must be overcome. The ECAC modeling methodology presented in Doc29 [10] and the Aircraft Noise and Performance (ANP) database [11] are based around the operation of conventional fixed-wing aircraft. BADA [12] (Base of Aircraft DAta) developed by EUROCONTROL, is an advanced aircraft performance model that includes helicopters and rotorcraft and is often coupled with airport noise tools, and specifically the ECAC methodology for the estimation of individual vehicle and fleet noise contours. Although this methodology allows for the generation of exposure contours around airports and helipads, it is very limited by the inputs and experimental data available. No modularity exists in the definition of noise sources and especially their complex radiation patterns.

Grid-point tools, such as AEDT or ANCON (Aircraft Noise Contour Model [13]), depend on the existence of a substantial quantity of data that describes, principally, the airport and the air traffic using it - in terms of the aircraft types, numbers, routeing and operating procedures. In order for these databases to be extended and ECAC.CEAC Doc 29 modeling procedures to be applied for novel configurations of vehicles, expensive and time-consuming measurement campaigns are required. In addition to noise data, detailed operation and performance data are required as inputs for noise exposure contour generation. This postpones airport and community noise analysis until finalised variants of the designs are manufactured and flight tested.

RANE v2 forms the airport noise tool component of a larger high-level framework for the analysis and exploration of

AIAA

air vehicle design space in terms of noise output and community noise exposure. The framework and in particular RANE v2, addresses the current gap in noise prediction during the conceptual and preliminary design phases of air-vehicles, whereby assessment of community noise is bypassed. The detailed inputs defining the many aeroacoustic sources and how they vary with operation/performance, as well as detailed flight trajectory data, are unattainable at the conceptual phase of design and add to the complexity of assessing noise impact. Therefore, what differentiates RANE from other airport noise tools is the intended application and features, such as: i. high-level fast calculations able to keep up with the vast number of concepts and design iterations, and ii. assess community exposure and fleet scale operations around airports as a function of design iteration. RANE v2 is mainly used as an academic research tool to provide acoustic consultations for projects that aim to design the future zero emission aviation market; and not aimed at replacing or competing with the current complex grid-point methods used to model detailed airport scenarios as a part of operation noise monitoring and regulation compliance.

For single runway scenarios, changes in airport noise contour areas can be estimated with minimal uncertainty using RANE []. However, the assumption of omnidirectional sources limits the original tool to conventional turbofan airliner designs, as the dominant turbofan aircraft noise sources contribute to an approximately omnidirectional nature of emission [14].

Furthermore, conventional approach, landing, take-off and climb operations are implemented, not allowing for the more complex operations such as vertical take-off and landing (VTOL), hovering and mid-air direction reversal. RANE v2 is based on the work of Stewart and Carson (1979) [15]. In RANE v2, the aircraft is described by a lumped noise source made up of contributions of individual component level sources. The lumped source is characterised by a Sound Power Level (PWL) and a three-dimensional directivity function. A spherical harmonic expansion is used to define the directive properties of the aircraft as a whole. The concept of noise exposure surfaces is introduced and explicitly defined, and their calculation is then performed analytically. The pre-integrated noise surfaces can then be applied to discretised aircraft flightpaths during take-off and landing operations for conventional fixed-wing aircraft or VTOL operations, characteristic of helicopters and UAM air vehicles.

The structure of the present article is as follows. Initially, a theoretical background is provided relative to the flyover procedure as implemented by pre-integrated models (see Doc29 for differences in modeling approaches) such as conventional grid method (ECAC Doc29 [10]) and RANE, identifying the key differences between the two. Section III then describes the key contributions of version 2 of RANE, that being the implementation of three-dimensional source, that allows independent directional characteristics in the azimuth and polar directions. Referencing the flyover procedure, the generation of quadric [16] noise surfaces in three-dimensional space is described. These represent iso-surfaces of constant sound exposure levels and describe cumulative noise levels resulting from single event aircraft movements in three-dimensional space. Section III.B describes how the whole airport noise model is set-up in a common ground coordinate system. Sections IV and V introduce the use of spherical harmonics that allows the

definition of a three-dimensional source and the use of quadratic and cubic splines to connect contour segments when discontinuity arises, respectively. Bench-marking and demonstration of the capability of RANE v2 is presented in Section VI through the comparison to two different prediction models. Finally, the two main errors due to assumptions made are discussed, along with the currently implemented corrections and how the accuracy of these could be improved for future calculations.

II. Flyover Procedure

A. Conventional grid-point models

Current airport noise tools base their calculations on experimentally obtained noise data and, after a series of interpolations, extrapolations, and corrections, provide predictions of noise as a result of aircraft single or multiple (aircraft fleets) events. The noise data typically consists of Noise-Power-Distance (NPD) [10, 17, 18] curves generated by manufacturers according to regulation in support of noise contour calculation. NPD curves along with flightpath (trajectory) data are the main input parameters for airport noise exposure tools. The flightpath data holds all the geometry/distance information with respect to stationary observer locations on the ground, whereas NPD curves carry the noise source characteristics information.

NPD curves are generated through a standardised way of characterising the noise emitted by the test aircraft over a single "flyover" event. The test aircraft flies at fixed altitude and engine power setting while maintaining the same flight configuration (e.g., landing gear, flaps). The resulting noise is measured at a location directly under the flightpath. Curves for a series of engine power settings and flight configurations define the NPD relationships for that particular aircraft.

As a result, important information about the characteristics of the source is lost. The most evident example is that of the source directivity. As the flyover occurs, the observer (experimental microphone, sitting at a location directly under the flightpath) experiences noise emitted at a constant azimuthal angle, $\varphi = 0$, as seen in Figure 1. Therefore, all noise emitted by the source at other azimuthal angles is neglected in the generation of NPD curves. Figure 2 illustrates a typical experimental flyover test setup.



Fig. 1 Polar and azimuthal angles defining observer location. Adapted from [19]

Submitted to AIAA Journal. Confidential - Do not distribute.

Page 7 of 33



Fig. 2 Diagram of typical flyover procedure for obtaining NPD data.

To manage this issue, airport noise models introduce a series of source and propagation related correction factors, as a function of observer lateral position. Lateral attenuation, $\Lambda(\beta, l)$ and lateral directivity, $\Delta I(\varphi)$ are the two main effects accounted for in ECAC Doc 29[10], the standardised procedures for airport noise calculation.

One characteristic example of airport noise tools following the grid-point method is the FAA's AEDT [20, 21], which succeeds the FAA's original INM (Integrated Noise Model). NASA is currently working on expanding the capability of AEDT to UAM and AMM vehicles [22, 23] and in the process developing a suite of tools capable of characterising individual sources using high-fidelity CFD or analytical codes (e.g., PSU-WOPWOP [24, 25]) all the way to generating noise exposure contours. European tools that implement grid-point methodology are Eurocontrol's IMPACT [26] and STAPES (SysTem for AirPort noise Exposure Studies), and the UK CAA's ANCON2 [13] based on the original ANCON model. A review of most current and previous airport noise models was performed by Eurocontrol as a part of Sixth framework programme Priority 1.4 Aeronautics and Space [27].

B. Existing capability of RANE: Noise Surface Method

RANE [28] is built on the concept of pre-integrated noise exposure iso-surfaces surrounding the aircraft trajectory. The intersection of these iso-surfaces with the ground plane defines the location of the noise exposure contours around an airport. The procedure of how this is accomplished in the previous version of RANE is briefly discussed. For a detailed understanding of the noise surface methodology, the reader is encouraged to refer to references [15] and [28, 29].

It is important to clarify that the dependent variable of interest is the perpendicular distance d_p (see Figure 2), while the noise sound exposure level L_E serves as an independent variable. The slant distance from the flightpath at which a certain SEL is observed is also a direct function of the polar and azimuthal directive properties of the noise source performing the flyover.

Taking an omnidirectional source as an example, all observer locations O at perpendicular distance d_p and azimuthal angle $-\pi < \varphi < \pi$ experience the same SEL as the source properties, and the geometry of the flyover are identical. As

we have assumed an infinite flyover, the problem is two-dimensional, meaning that irrelevant of the axial (u) position of the observer, if it has a perpendicular distance to the flightpath equal to d_p it will also experience the same SEL. Therefore, a cylindrical surface of constant noise exposure level is formed around the flightpath.

For an anisotropic source as in RANE v2, the perpendicular distance at which the same SEL occurs is a function of the azimuthal angle φ , therefore $d_p(\varphi)$. The infinite flyover, however, still guarantees that d_p is independent of the polar angle θ . The resulting surface no longer has a circular cross-section, rather a cross-section that depends in the initial source directivity function $D(\theta, \varphi)$.

The constant SEL noise surface is a three-dimensional iso-surface contour of the SEL metric. This three-dimensional surface is a 3D iso-surface contour of the SEL metric. When talking in terms of noise surfaces surrounding infinite flightpaths the slant distance takes the name noise radius, *R*. For directional sources, the noise radius of the observer locations will not be constant for a constant SEL noise surface. The procedure for calculating the variation of the noise radius as a function of the azimuth angle is detailed in Section III.

By introducing a three-dimensional directivity function, in the form of a spherical harmonic expansion, these empirical corrections may be included in the definition of the sources. This bypasses the need for applying the individual corrections to each observer location independently, as the corrections are included in the initial calculation of each noise surface of interest, further cutting down calculation times. As RANE solves for observer locations that experience a certain value of noise level, the conventional grid method empirical corrections applied to the levels at each observer location are not applicable. Therefore, these corrections are inverted to the source coordinate frame and included in the implicit solution of the noise surface equation, through a correction to the noise radius. This results in the corrections being a function of the already calculated noise radius, the segment inclination angle, γ , which directly correlates to elevation angle β , (in which these corrections are typically defined [Doc29]), and are manifested in the aircraft frame through the polar and azimuthal angles (θ , φ). This assumes that all observer locations that result from one segment's noise surface experience the same corrections. For realistic cases the granularity of the flightpath discretisation is already high to account for performance and trajectory geometry accuracy. In the case of long segments, additional discretisation points are introduced for these corrections alone.

III. Analytical principles for directive source contour calculation

A. Noise Surfaces

Referring to Figure 2, let us assume that a test aircraft flies at a fixed altitude and engine power setting *j*, generating sound power W(j) or $L_W(j)$. The resulting noise is measured at a location directly under the flightpath, hence the slant distance, equals the altitude. We define a cylindrical coordinate system (u, φ, d_p) in which the reference axis *u* is aligned to the flightpath (see figures 1 and 2). This allows observer locations to be defined anywhere in three-dimensional space.

AIAA

As the aircraft flies along this constant altitude flightpath, its position with respect to the observer can be defined using the polar directivity angle θ and the slant distance alone. Therefore, we can define the instantaneous Sound Pressure Level observed at position O in terms of the acoustic sound power and the distance r between the observer and the aircraft at this point in time. It can be seen quite trivially that r is a function of θ and can be written as, $\sin \theta = d_p/r$. Therefore, we have:

$$L_p(\theta, \varphi, r) = 10 \log \left[\frac{WD(\theta, \varphi)}{r^2(\theta)} C \right]$$
(1)

An additional parameter $D(\theta, \varphi)$, has been introduced. The directivity factor as is called, is defined as the ratio of the sound intensity in the direction (θ, φ) and the mean intensity, $D(\theta, \varphi) = I(\theta, \varphi)/\overline{I}$. For the case of an omnidirectional source the directivity factor equals 1. *C* is a constant dependent on the reference pressure $p_{ref} = 20\mu$ Pa which represents the threshold of human hearing, $C = \rho c/(4\pi p_{ref}^2)$.

At this point it will be useful to use the definition of the SEL noise metric and to distinguish it from other instantaneous noise metrics, as it is key to the definition and derivation of the noise surfaces. The SEL or L_E is a continuous steady level, which over the period of 1 s contains equivalent total acoustic energy as the actual fluctuating noise. Mathematically it can be calculated by integrating the instantaneous time history $L_P(t)$ as the aircraft performs the flyover. That is,

$$L_E = 10 \log \int_{-\infty}^{+\infty} 10^{\frac{L_P(t)}{10} \, \mathrm{d}t}$$
(2)

The integral in Equation 2 represents the SEL level calculated as a result of an event that is infinitely long in time. However, it is apparent that only a small portion of such an event is responsible for contributing the significant part of the total noise exposure at an observer location. It is common practice to only include that portion in noise calculations and measurements. The significant part duration is normally specified by incorporating suitable threshold levels at which the event start/end limits are triggered. A typical threshold level is 10 dB below $L_{A,max}$ named the 10 dB down-time, t_{10} [10]. The finite segment error correction (Section VI.C.1) provides insight on how the noise exposure from finite sections are related to the infinitely long event and the integral in Equation 2.

Substituting Equation 1 into 2 and performing the following algebraic manipulations we have,

$$L_E = 10 \log \int_{-\infty}^{+\infty} \frac{W(j)D(\theta,\varphi)}{r^2} C \,\mathrm{d}t \tag{3}$$

From Figure 2, we introduce the substitution:

$$r^{2} = d_{p}^{2} + q^{2} = d_{p}^{2} + (-Vt)^{2}$$
(4)

Submitted to AIAA Journal. Confidential - Do not distribute.

AIAA

We can now perform the change in integration variable from t to θ using,

$$t = -\frac{d_p}{V\tan\theta} \tag{5}$$

$$\frac{dt}{d\theta} = \frac{d_p}{V\sin^2\theta} \tag{6}$$

Therefore, the sound exposure level at the observer from the flyover in the time interval between $[-\infty, +\infty]$ can be expressed as:

$$L_E = 10 \log \frac{W(j)C}{Vd_p} \int_0^{\pi} D(\theta, \varphi) \,\mathrm{d}\theta \tag{7}$$

The SEL at any observer O in space can be obtained by the expression in Equation 7. Independently of the dependence of *D* on the polar angle θ , the azimuthal angle φ may be treated as a constant. Therefore, we essentially get an anti-derivative function $D_F(\theta, \phi)$ for which,

$$\frac{\partial D_F(\theta,\varphi)}{\partial \theta} = D(\theta,\varphi) \tag{8}$$

and the integral can then be evaluated as,

$$\int_{0}^{\pi} D(\theta, \varphi) \, \mathrm{d}\theta = \left[D_{F}(\pi, \varphi) - D_{F}(0, \varphi) \right]_{0}^{\pi}$$

$$= f(\varphi)$$
(9)

Substituting this into 7, and using the noise radius R nomenclature instead of the slant distance,

$$L_E = 10 \log \frac{W(j)C}{VR} f(\varphi) \tag{10}$$

This indicates that for a directional source, the SEL at any observer location is a function of the azimuthal position of that observer with respect to the flightpath. The polar dependence has been eliminated through the integration. Equation 10 also serves as the definition of the noise surface itself. To prove this, we begin by using the definition of the Cartesian flightpath coordinate system (u, v, w). The *u*-axis (flight axis) of this coordinate system is aligned with the longitudinal axis of the cylindrical coordinate system (R, φ, u) previously used. The correspondence between the two systems is,

$$u = u, \quad v = R\cos\varphi, \quad w = R\sin\varphi$$
 (11)

Submitted to AIAA Journal. Confidential - Do not distribute. with

$$R^2 = v^2 + w^2 \tag{12}$$

Using Equation 10 and solving for *R*, we have,

$$R = \frac{W(j)C}{V10^{\frac{L_E}{10}}} f(\varphi)$$
(13)

Using the conversion from cylindrical coordinates to Cartesian,

$$v^{2} + w^{2} = \frac{W(j)C}{V10^{\frac{L_{E}}{10}}}f(\varphi)$$
(14)

The right-hand-side of Equation 14 is a function of azimuthal angle (observer lateral position), the equation is similar to that of a cylinder with central axis coincident with the flightpath with the major difference that the radius is not constant,

$$v^2 + w^2 = R_{\rm iso}^2 D_{\Lambda}(\varphi) \tag{15}$$

 R_{iso} represents the noise radius of an isotropic source of equal sound power, velocity and dB SEL contour requirement. As in RANE, the R_{iso} is calculated using NPD databases using the power setting P, aircraft speed V, and required SEL contour dB. As will be discussed later in this paper, $D_{\Lambda}(\varphi)$, which is the function responsible for the azimuthal radiation of the source can be inputted in various forms. Figure 3 shows a typical example of the resulting three-dimensional noise surface surrounding the flightpath. The various observer locations on the surface are indicated, highlighting the difference in slant distance with respect to the flight for a constant level SEL. Note that the surface cross-sections are no longer circles, so constant exposure levels do not always occur at the same minimum distance from the flightpath.

It is more elegant and will prove useful to represent the noise surface in matrix form. Equation 15 may be written in matrix form as,

$$\mathbf{U}^T \mathbf{S} \mathbf{U} = R^2 D_\Lambda(\varphi) \tag{16}$$



Fig. 3 Typical noise surface of an azimuthaly directional noise source.

where $\mathbf{U} = (u \ v \ w)^T$ is the position vector and the orthogonal matrix,

$$\mathbf{S} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
(17)

The term $D_{\Lambda}(\varphi)$ can also be converted to the orthogonal (u, v, w) system by using Equations 11 to 8, giving $\mathbf{U}^T \mathbf{S} \mathbf{U} = R^2 D_{\Lambda}(u, v, w)$.

This result can be interpreted in two ways:

- All observer positions whose position is defined by the same azimuthal angle will experience the same exposure level.
- The distance from the flightpath at which the same constant exposure level exists is now <u>not</u> equal to the slant distance R_{SL} for all observers, but it depends on the azimuthal position and therefore the result of the integration, $f(\varphi)$ in Equation 10.

B. Airport model: three-dimensional trajectory

A typical three-dimensional aircraft trajectory is modelled as a finite number of straight-line segments. Way-points are positioned at the extremities of each of these line segments. Way-point 1 is the start of the take-off roll located at the origin of our (X, Y, Z) airport coordinate system; way-point 2 is lift-off and the general k^{th} segment is between the k^{th} and (k + 1) way-points.

Each segment is characterised by the following parameters:

1) an inclination angle γ measured positive above the horizontal X - Z plane.

- 2) a segment length *s*.
- 3) a distance *R* from the aircraft corresponding to the desired Sound Exposure Level (SEL) noise contour and specified power setting.
- 4) an angle ψ describing the rotation of each segment in the airport plane. Measured positive counterclockwise from the airport *X* axis to the segment.
- 5) a directivity function $D(\theta, \varphi)$ describing the sources directional radiation properties along that segment.

Definitions of segment specific parameters can be seen in Figure 4. Each k^{th} flightpath segment is aligned with the *u*-axis of the previously defined orthogonal segment coordinate system (u, v, w). Let us also define an orthogonal coordinate system (x, y, z), in which for each *k* flightpath segment *x* is the projection of the *u* axis on the ground horizontal plane, and the y-axis is coincident with the v axis (rotation around the y-axis). Finally, all segments that constitute a single flightpath, are transformed to the common airport frame (X, Y, Z), similarly to [15, 28].



Fig. 4 Definition of the flightpath Cartesian and cylindrical coordinate systems for each segment k, with respect to the orthogonal projection system (x, y, z). Revised from [28].

As the noise surface is defined in the (u, v, w) coordinate system, it must also be projected to the system defined by position vector $\mathbf{X} = (x \ y \ z)^T$. The transformation between the two vectors \mathbf{U} and \mathbf{X} is,

$$\mathbf{X} = \Lambda_{\mathbf{y}} \mathbf{U} \tag{18}$$

where Λ_y is an orthogonal matrix given by,

$$\Lambda_{y} = \begin{bmatrix} \cos \gamma & 0 & -\sin \gamma \\ 0 & 1 & 0 \\ \sin \gamma & 0 & \cos \gamma \end{bmatrix}$$
(19)

Submitted to AIAA Journal. Confidential - Do not distribute.

Thus the transformation of Equation 16, which defines our noise surface, using Equation 18, gives,

$$\mathbf{X}^{T} \Lambda_{y} \mathbf{S} \Lambda_{y}^{T} \mathbf{X} = R_{iso}^{2} D_{\Lambda}(x, y, z)$$
⁽²⁰⁾

where $D_{\Lambda}(x, y, z)$ is the transformed version of the azimuthal directivity function.

The 2D noise contour is then determined by the intersection of the three-dimensional noise surface in terms of the coordinate system $(x \ y \ x)$ with the ground horizontal plane, $X = (x \ y \ 0)$. The final contour is stitched together on to the airport coordinate system ground plane (X, Y) taking into account the contributions of each of the n^{th} segments using a series of translations and rotations for each of them^{*}. This process is almost identical to RANE [28], therefore it will not be reproduced here. An illustration of the processes may be seen in Figure 5. The three individual noise surfaces (green, purple and yellow) may be seen in Figure 5a, in the airport frame. Figure 5b shows the resulting contours of said noise surfaces after the intersection with the airport ground plane (X - Y) has been taken.

IV. Modeling Source directivity function using Spherical Harmonics

In order to generate the procedure for calculating the noise radius *R* of a directive source, it will prove effective to assume that the directivity function is expanded in terms of Spherical Harmonics. We define the complex sound emission in the direction defined by the two angles θ and ϕ using a decomposition into contributions of spherical harmonics:

$$D(\theta,\varphi) = \frac{1}{N} \left| \sum_{\ell=0}^{n} \sum_{m=0}^{\ell} A_{\ell}^{m} Y_{\ell}^{m}(\theta,\varphi) \right|^{2}$$
(21)

The directivity function, $D(\theta, \varphi)$ is given in terms of different contributions of Spherical Harmonics Y_{ℓ}^{m} and coefficients A_{ℓ}^{m} describing the magnitude of contribution of each harmonic. Using the conventional notation, spherical harmonics are defined on a spherical coordinate system, with angles θ , φ representing the colatitude and longitude, respectively. The colatitude θ , is defined from 0 on the flightpath in front of the aircraft to π on the flightpath behind the aircraft ($0 \le \theta \le \pi$), whereas the longitude φ or azimuth may assume all values ($0 \le \varphi \le 2\pi$) around the flightpath (same convention as used to define observer locations in Figure 1).

As we have introduced an orthogonal flightpath coordinate system (u, v, w), the spherical harmonics coordinate system (θ, φ) is related to it by,

$$\varphi = \arctan\left(\frac{v}{u}\right), \quad \theta = \arccos\left(\frac{w}{\sqrt{u^2 + v^2 + w^2}}\right)$$
(22)

^{*}After projecting each noise surface into the **X** frame, a rotation about the y-axis is performed over angle γ_k , and one about the z-axis over angle ψ_k to align the x-axis with the corresponding segment of the discretized flightpath.



(b) Noise footprint on the ground plane as a result of the intersection of the noise surfaces with it.

y - axis

-5

y - axis

-2

Fig. 5 Demonstration of the generation of the two-dimensional ground contour as a result of the intersection between the three-dimensional noise surface and the ground plane.

or reversely,

$$u = \sin\theta\cos\varphi, \quad v = \sin\theta\sin\varphi, \quad w = \cos\theta \tag{23}$$

The directivity function is a ratio of the sound intensity in the direction (θ, φ) and the mean intensity. Therefore, to retain this property we must ensure the $D(\theta, \varphi)$ is normalised in a way, so the overall acoustic power emitted is unaffected. This condition is satisfied if the integration over the entire spherical surface surrounding the source returns the power of the source,

$$\int_{S} \frac{WD_s}{4\pi} \,\mathrm{d}S = W(j) \tag{24}$$

or in terms of the directivity function, $D(\theta, \varphi)$,

$$\int_{S} D(\theta, \varphi) \,\mathrm{d}S = 1 \tag{25}$$

We take the square of the magnitude as we are dealing with intensity ratios rather than pressure ratios. The normalisation factor N in Equation 21, ensures that this condition is satisfied.

In order to calculate the noise-surface (and therefore noise contours on the ground) of such a source, we need to follow the flyover procedure described previously and calculate the exposure levels at different observer locations.

Combining the definition of the sound exposure level, L_E of Equation 7 and the Spherical Harmonic definition of the directivity function in Equation 21 we have,

$$L_E = 10 \log \frac{W(j)C}{NVR} \int_0^{\pi} \left| \sum_{\ell=0}^n \sum_{m=0}^\ell A_\ell^m Y_\ell^m(\theta, \varphi) \right|^2 d\theta$$
(26)

But, as spherical harmonics are complex functions we have,

$$\left|\sum_{\ell=0}^{n}\sum_{m=0}^{\ell}A_{\ell}^{m}Y_{\ell}^{m}(\theta,\varphi)\right|^{2} = \left(\sum_{\ell=0}^{n}\sum_{m=0}^{\ell}A_{\ell}^{m}Y_{\ell}^{m}(\theta,\varphi)\right)\left(\sum_{\ell=0}^{n}\sum_{m=0}^{\ell}A_{\ell}^{m}Y_{\ell}^{m}(\theta,\varphi)\right)^{*}$$
(27)

where the * denotes the complex conjugate.

In order to demonstrate the analytical calculation of the integral in Equation 26, the spherical harmonic expansion has to be truncated, to limit the amount of terms in the product of the complex sum times its conjugate in Equation 27. Therefore, we limit the degree ℓ of the spherical harmonic expansion to just 1. This means that, for this specific demonstration, the directivity function will be built of combinations of a monopole Y_0^0 and the three different dipoles Y_1^0 , Y_1^{-1} and Y_1^1 . So, Page 17 of 33

1

AIAA

$$\left(\sum_{\ell=0}^{1}\sum_{m=-1}^{\ell}A_{\ell}^{m}Y_{\ell}^{m}(\theta,\varphi)\right)\left(\sum_{\ell=0}^{1}\sum_{m=-1}^{\ell}A_{\ell}^{m}Y_{\ell}^{m}(\theta,\varphi)\right)^{*} = (A_{0}^{0}Y_{0}^{0} + A_{1}^{-1}Y_{1}^{-1} + A_{1}^{0}Y_{1}^{0} + A_{1}^{1}Y_{1}^{1})(A_{0}^{0}Y_{0}^{0} + A_{1}^{-1}Y_{1}^{-1} + A_{1}^{0}Y_{1}^{0} + A_{1}^{1}Y_{1}^{1})^{*}$$

$$(28)$$

Equation 28 results in 16 diagonal and off-diagonal terms containing products of spherical harmonics. Substituting Equation 28 in the integral of Equation 26, the calculation can be broken down into the sum of much simpler integrals, the first integral for example being:

$$\int_0^{\pi} A_0^0 Y_0^0 (A_0^0 Y_0^0)^* \,\mathrm{d}\theta \tag{29}$$

All 16 of these integrals are similar, and their calculation follows the same procedure. Definitions and the theory of spherical harmonics and associated properties may be found in classical works, such as [30, 31]. The integrals may then be simplified into integrals involving combinations of trigonometric functions that can easily be evaluated using techniques such integration by parts.

V. Connections and Contour Area

Once the contour coordinates have been found, the area within the contour is calculated using numerical integration. The regions of the contour where the contributions from two different segments meet are of special interest. In many cases (e.g., turns, power setting changes) discontinuity of the contour arises and the transition from one segment to another is not smooth. The segment interaction error discussed in Section VI is the main cause of the discontinuities. The noise at the observer locations in these regions is heavily influenced by both the preceding flightpath segment and the following one.

In order to model these connecting regions a simple spline was used, defined by points on the contours of both the segments adjacent to the region. These points, also called control points of the spline, are chosen as points on their respective segments where negligible contribution to noise exposure has occurred from other segments (or sound exposure at these points is predominantly due to the aircraft flying along the segment they belong to). The simple splines used are called Bezier curves. They are parametric curves defined by a set of control points. The first, P_0 and last P_n control points are always the end points of the curve; however, the intermediate control points (if any) generally do not lie on the curve. The number of control points also defines the order of the curve (n = 1 for linear, 2 for quadratic, etc.). Figure 6 shows two example calculations where Bezier curves were used to model the segment connection regions.

For the contour application, the first and last control points are chosen on the contours of the two connecting segments respectively. The order of the curve and therefore the total number of control points is determined by the type



(a) Vertical take-off with turn, 75 dB SEL contour.

(b) Conventional take-off with two turns, 75 dB SEL contour.

Fig. 6 Modeling of connecting regions through the use of Bezier curves. Green lines indicate the Bezier curves; the asterisks indicate the control points, and the blue, red and yellow lines indicate the 2-dimensional contour lines generated as a result of RANE v2 noise surfaces.

of transition between the two segments. It can be seen that in Figure 6 on the left, two types of Bezier curves have been used. The green lines using 4 control points (cubic curve) are modeling the transition from one noise radius to another. While for a turn transition of the same radius, where the contours mostly match up, but a slight misalignment occurs, the transition can be modelled using a quadratic (or just three control points) curve, as in the right-hand-side of Figure 6. When a noise radius change occurs, a huge discontinuity in the contour is present.

The exact definition of the locations of the Bezier curve control points is still under investigation. The current implementation uses three main constraints in the positioning of P_0 and P_n :

- P_0 and P_n must be located on the contour lines generated by the two segments of interest. One on each.
- For P_0 and P_n defining a single Bezier curve, the azimuthal locations relative to the corresponding flightpath segments should lie in the same interval, $(-90^\circ < \varphi < 0)$ for the port side or $(0 < \varphi < 90^\circ)$ for the starboard side.
- the resulting curve is tangent to the predicted contour at the points P_0 and P_1 , while not intersecting any of predicted contours.
- finally, the location of P_0 and P_1 along the length of each segment is determined based on the location of the corresponding contour's centroid, adjusted by the ratio of two contour areas.

Currently, the only weighting factors used in the definitions of the Bezier curves to manipulate the curvature and slope are to ensure the tangent condition at P_0 and P_1 .

It is understood that the implementation of the Bezier curves generally increases the area of the predicted contour, as would be expected when correcting for the segment interaction error. In the vast majority of cases, especially the cases used for the benchmarking of RANE v2, the Bezier curve corrections deal with the large discontinuity corrections





(b) Conventional take-off with two turns, 75 dB SEL contour.

Fig. 7 Two cases of using Bezier curves to approximate segment connections compared to the grid-method (see following Section).

at the expense of introducing errors, as a result of the control point choice. Smaller errors may occur in the case of complicated directivity (especially static start-of-roll directivity) where it possibly introduces smoothing effects. For a real flightpath, however, predictions using relatively high number of discretisation points (and therefore segments) the discontinuities addressed by the Bezier curves are smaller and less pronounced.

To demonstrate the effectiveness of Bezier curves for the modeling of the connecting regions between segments, the examples from Figure 6 are presented in Figure 7 with only the final processed result of the Bezier curve application. The contours are compared against an in-house grid-point method, introduced in the validation Section VI.

VI. Verification

To assess the capability of the modifications made to RANE for estimating noise exposure contours and the area within them a multi-step process is required. The initial benchmarking test compares the capability of the RANE v2 model to calculate contours and areas of omnidirectional sources. This was done using an in-house grid-point method. This test was to assess that the original capabilities of RANE were not altered with the addition of non-isotropic sources. To validate the implementation of three-dimensional directivity functions and the connecting region corrections, three case studies of current helicopters will be performed using comparisons against the FAA's AEDT model.

The decision on using helicopters (or more specifically rotorcraft) as a part of the RANE v2 validation and bench-marking procedure was due to the inherent directional characteristics of such propulsion systems. INM and AEDT differentiate the methodology relative to conventional aircraft for the specific reason of capturing the effects of noise source directivity. As the indented use for RANE v2 is novel aircraft in the regional civil aerospace and UAM (AAM) markets, being able to predict the community noise of the highly in use rotor and propeller propulsion systems,

> Submitted to AIAA Journal. Confidential - Do not distribute.

complex source directivity is the main capability being demonstrated.

A. Benchmarking against in-house grid-point method

In this section the grid-point model is described and implemented in order to compare sound exposure level contours with the noise surface method developed. The ground surface or airport coordinate system is defined by a Cartesian coordinate system (X, Y, Z) with the runway laying in the X - Y plane. The origin of the coordinate system is positioned at the start of the ground roll with the runway extending out in the positive X direction. In the case of a vertical take-off the origin is again placed at the point where vertical flight is initiated.

Each individual aircraft movement is described by its three-dimensional flightpath, engine power setting and velocity. The flightpath is discretised into simple linear segments defined by a waypoint at the start of each segment. The waypoints carry information that describes the operation and movement of the aircraft along the following segment. The flight parameters are assumed to remain constant along the length of the segment and are the following: (1) an inclination angle, (2) an angle of rotation, (3) the length of the segment, (4) the engine power setting (or in this case, we define the source as an acoustic monopole emitting noise of some SPL value at the reference distance of 1m) and (5) the speed of the aircraft along the segment[†]. These parameters are almost identical to the ones describing the flightpath of the noise surface method, as the flightpath is the same. Each segment is further discretised into a number of points N_s modeling the movement of the aircraft along this segment.

At each time step, the aircraft moves to the next point along each segment (an example of the simulated flightpath used may be seen in the supplementary material, SM Figure 1) and the noise due to the lumped noise source is calculated at each of the grid points on the airport ground plane. Using the coordinates of the final point on the last segment of the flightpath an appropriately sized rectangular grid is defined on the ground, X - Y plane. Each point on the grid represents an observer location at which the sound exposure levels of the aircraft movement will be calculated. As the aircraft continues to fly even after the end of the final segment, an extension to the final segment is added. The segment is extended until the acoustic contribution (SPL) to all points on the grid drops close to zero.

The sound level at each grid point due to the aircraft at any point in time is given by:

$$L_{t,k,i} = L_{p,\text{source}} - 20\log\left(r\right) \tag{30}$$

where r is the distance between the specific grid point (observer) and the aircraft at that point in time, and k,i are counters indicating the position of the aircraft along the discretised flightpath. It is important to note that effects such as atmospheric attenuation, lateral attenuation and ground effects are not accounted for in Equation 30. However, this does not skew the comparison to RANE v2 as the equivalent noise radius for each of the segments is calculated through a

[†]Accelerated flight is also possible using the noise surface method, and would also result in a quadric noise surface.

AIAA

simulated numerical flyover where the effects of such phenomena are also not accounted for. The comparison between the grid-point method and RANE v2 serves two specific objectives: to prove that total sound power has been conserved after the introduction of the spherical harmonic representation of the directivity factor and that spherical spreading is still accounted for in the calculation of the noise radius. Therefore, as the aircraft flies along the discretised flightpath (assuming t = 0 occurs when the aircraft is positioned at the origin for at a take-off procedure) the SEL at each individual grid point may be calculated as:

$$SEL = 10 \log \left(\sum_{k=1}^{K} \sum_{i=1}^{N_s} 10^{\frac{Lt_{k,i}}{10}} \Delta t \right)$$
(31)

where *K* denotes the number of segments including the extension to the last segment. Δt is calculated using the aircraft speed along the segments, along with the segment length and number of discretisation points of the segment, N_s . Once the SEL of the single aircraft movement has been calculated at each of the grid points a contour map can be created. An example airport contour map can be seen in Figure 8. The contour map is composed of multiple contour lines, ranging (in this example) from 60 to 80 dB SEL[‡].



Fig. 8 Example contour map calculation using the grid-method numerical model.

Figures 9 and 10 showcase example calculations using RANE v2 and a comparison to the grid-point method. A vertical take-off case is also presented in the supplementary material (SM Figure 3), along with input parameter data for both the conventional and vertical cases, SM Tables 1 and 2. All examples assume an omnidirectional source by setting all Spherical Harmonics coefficients to zero other than the monopole term one.

The difference between the two predictions in Figures 9 and 10 occurs for two particular reasons. The first, most obvious, is the lack of a static (or start-of-roll) directivity. This results in an abrupt cut-off of the contour at x = 0, with seemingly no noise in the negative side of the airport X-axis. The second is the overestimation by RANE v2 observed in

[‡]the calculation took a total time of 238.258 s, while the equivalent noise surface example was calculated in 36.194 s in RANE v2. (NOTE: these calculations were performed on a personal laptop computer, Intel core i7-8850H 8th gen @ 2.60GHz, 16GB RAM)



Fig. 9 The 70 dB SEL contour of an isotropic source. Contour area comparison between RANE v2 (blue) and the grid-point method (red).

the region between x = 0 km and approximately x = 10 km. This is primarily due to the finite segment error discussed in more detail in VI.C.1. Segments 1 and 2 are relatively short, therefore the noise radius over an infinite flyover is over-predicted, especially for high SEL noise level contours. For RANE v2 the noise radius remains constant over the length of the segments 1 and 2, whereas in the grid-method observer locations near the start-of-roll experience reduced levels due to the aircraft only moving away from those locations. As we move towards segment 3, x > 7 km, which is significantly longer, the match between the two solutions becomes better as the observer locations in this region experience an aircraft flyover which closer resembles that of an infinite flyover.

In cutback scenarios (please see SM for example contour) an additional error may be observed. The transition region in RANE v2 is calculated in this case using the extremities treatment of the unweighted Bezier curves. The position of the control points is a function of the length of the two individual segments where the original discontinuity would arise due to the difference in noise radius, and the noise radius itself. Although the Bezier curves allow for a smooth transition from one segment to another, the gradient of the Bezier curves is not defined, other than the nature of the unweighted cubic Bezier curve and the defined control points. In the case of the grid-method, the contribution to the overall noise by the initial two segments is greater than that of the final (cut-back) segment resulting in the grid-method contour (red) surrounding the RANE v2 contour (blue) in this region. The error is also partially due to both errors discussed in Section VI.C as the control points of the Bezier curves are by definition located on the initial RANE v2 contours, before extremity corrections are applied. An example of a take-off operation with cutback is presented in the supplementary material (SM Figure 2).



(a) RANE v2 set-up and contour lines with flightpath indicated in black. (b) Comparison between RANE v2 and the grid-point method of the 75 dB SEL contour.

Fig. 10 Isotropic source during vertical take-off.

B. Benchmarking against FAA's AEDT

In order to compare results to AEDT predictions two types of directivities must be specified. First, the start-of-roll directivity function. For helicopters, this directivity correction in AEDT accounts for static radiation patterns that occur while the vehicle is on or slowly ascending vertically from the helipad. To account for this, static directivity data was fitted by a sinusoidal curve fitting model and then decomposed into spherical harmonics. The spherical harmonics expansion was then used as an input for the directivity function on the initial segment of the flightpath. This whole procedure is outline in Figure 11.

Secondly, lateral directivity corrections in AEDT for helicopters are accounted for using 3 NPD curves for every operation, a centre one (directly under the flightpath), a left one ($\varphi = -45^{\circ}$ with respect to the flightpath) and a right one ($\varphi = 45^{\circ}$). Examples of the constant speed departure NPD's of the Boeing Vertol may be seen in Figure 12 (left). All levels at azimuthal angles between the left and centre curves are interpolated logarithmically (the same applies for all values of φ between the right and centre). For values of $\varphi > 45^{\circ}$ and $\varphi < -45^{\circ}$ the level at the right ($\varphi = 45^{\circ}$) is taken and for the left hand side NPD respectively.

In order to generate a directivity function suitable for RANE v2, the three distances at the sound exposure (in the case of the example Figure 12, 55 dB SEL is chosen) of interest were used to generate a polynomial curve fitting for all values of φ between -90° and 90° , Figure 12. The black crosses on the right-hand-side of Figure 12 represent the distance obtained by the green crosses on the NPD's (left), referenced to the distance of the center NPD. The correction $\Delta R(\varphi)$, is then used along with the nominal center NPD to generate the required noise surface and contour.

It is worth noting that the polynomial fit in Figure 12 is an aid that allows the fitting of a spherical harmonic expansion to a function that otherwise has a discontinuous first derivative. It is not an exercise in attempting to replicate or extending the lateral corrections used by AEDT. The discontinuous nature of the correction, as it is implemented



(a) Static directivity index, DI [dB] pattern of the Boeing CH-47 Chinook. (Left) Cartesian plot showing the raw AEDT data [11, 20, 21] and a polynomial fit. (Right) Polar plot of the same data and fit in the form of a directivity factor, D [-].



(b) (Left) magnitude of the spherical harmonic expansion coefficients for the static directivity data fit of Figure 11a. (Right) Spherical harmonic representation of the static directivity on a spherical colour-map plot. Output of Equation 21.

Fig. 11 Procedure of treating numerical data as inputs to RANE v2. The output spherical harmonic expansion of Figure 11b is then used to generate the required noise surfaces.

by AEDT, would result in multiple high order modes being required in the spherical harmonic expansion to capture those discontinuities. The AEDT methodology for lateral correction is in itself a simplifying assumption as well as a compromise, that balances the need of applying a lateral correction, and the cost and time expense of generating lateral NPD curves for all applicable helicopter and air vehicles. The largest errors introduced are at the extremities and beyond of the domain of interest ($\varphi \approx \pm \pi/2$), at which point the noise is not radiated to the ground.

Three helicopter models were used in this comparison, to cover three different weight (size) groups. The Schweiser 300C, a light utility helicopter, the Eurocopter AS365 Dauphin, a medium-sized also utility helicopter; and the Boeing CH-47 Chinook, a large transport helicopter. The default helicopter flight profile from AEDT 3c was used along with a default linear flight track. The total flightpath is broken down into four major segments: a. A vertical take-off, b. a



Fig. 12 (Left) SEL Noise-Power-Distance curves for the Boeing Vertol 234 for a constant speed departure. The three curves indicate three lateral locations of observers. The green crosses indicate the intersection of the 55 dB SEL line with the curves. These points are used to generate a polynomial function that describes the variation in the azimuthal direction (Right).

horizontal acceleration, c. a climb to altitude and d. a horizontal steady level flight. These are also the segments used to model the take-off operation in RANE v2. The resulting contour comparison for all three helicopters may be seen in Figure 13.

Finally, Figure 14 shows a contour map comparison for the Boeing CH-47 Chinook. The map includes sound level of 75 dB, 65 dB and 55dB. RANE v2 again matches the AEDT prediction very well, however it is evident that the error increases as we look at lower SEL levels.

Overall, RANE v2 matches the contours generated by AEDT. Slight errors in the static directivity prediction (occurring in the first segment, around the (0,0) origin of the airport plane) are due to curve fitting errors and the truncation of the spherical harmonic expansion to only $\ell = 12$. Errors associated with the noise surface method itself are described in the following Section.

C. Sources of Error

1. Finite Segment Error

The model of RANE and subsequent update RANE v2, assumes that sound exposure surfaces are formed around the flightpath as the aircraft flies from $-\infty$ to $+\infty$. These surfaces are then positioned around the segments of a discretised version of the actual flightpath the aircraft takes during take-off and landing operations. As long as these segments are of significant length, where the contribution of the remaining parts of the infinite segment are negligible compared to the actual segment itself, the error in the actual position of the contour, and therefore the noise radius itself should also be negligible. The shorter the discretised segment of the flightpath is, the less of a contribution it has compared to the infinite flyover the noise surface was calculated with. As the segment length $s \rightarrow \infty$, the noise emission corresponding





Fig. 13 Comparison between the RANE v2 and AEDT 3c SEL [dBA] prediction for three different helicopters.





Fig. 14 SEL [dBA] noise exposure contour map for Boeing CH-47 Chinook. Comparison between AEDT and RANE predictions.

to the segment tends to the noise in the equivalent NPD data set. An error is introduced when the limit is not satisfied, meaning a short segment is being considered.

This error can be dealt with by altering the limits of integration when calculating the directivity function. Instead of assuming the aircraft flies along an infinitely long flightpath, meaning the integration limits in terms of the variable θ are 0 to π ; for each segment the aircraft flies along a flightpath equal to the segment length. This requires that the new limits of integration for the noise surfaces are within the old ones (i.e. 0 < lower limit and upper limit < π), and the interval is, therefore, of smaller length. The procedure of calculating the correction factor is outlined in Appendix E of Doc29 Volume 2 [10].

The implementation of the finite segment correction within RANE v2, follows the methodology suggested by Doc29 with one significant difference. The source directivity used for the calculation of the correction is no longer the assumed 90° dipole source that varies proportionally to $\sin^2 \theta$. Instead, the correction is calculated using the spherical harmonic expansion of the three-dimensional source, leading the sound exposure at the observer from the flyover being proportional to the integral,

$$\int_{t_1}^{t_2} \frac{WD(\theta,\varphi)}{r^2} C \,\mathrm{d}t \tag{32}$$

where t_1 and t_2 define the time interval during which the aircraft is flying within the finite segment of length *s*; $D(\theta, \varphi)$ is given by Equation 21. The integral can be calculated analytically in a similar way to the procedure in Section IV or through numerical integration.

2. Segment interaction error

The noise radius for each individual segment is calculated using NPD curves for a specific power setting, speed and sound exposure level. NPD curves assume a steady level fly-over a single observer from effectively $-\infty$ to $+\infty$ for the calculation of the exposure levels. Therefore, for any given point on the contour, the noise is due to one segment alone. The contribution of all other segments to that specific contour is neglected; this causes the noise segment method to underestimate the noise radius, as contributions from other segments would increase noise exposure at these observer locations causing the contour to move further away from the flightpath.

To demonstrate this, two tests were performed. One was using the grid method alone, where a vertical take-off operation was modelled in two different ways. (a) All segments of the flightpath contributed to the calculation of the exposure contour maps and (b) the vertical segment of the flightpath was calculated separately than the two horizontal ones. The results can be seen in SM Figure 4. The segment interaction error is similar to the finite segment error in the way that, as segments tend to an infinite length, the error reduces, and therefore the correction required is also reduced. When the noise at a particular observer is predominantly induced by the flight along a single (long) segment, the contribution of other segments is negligible. The interaction error becomes significant at observers in the vicinity of the way-points, where the noise from the proceeding and following segments are important. The Bezier curve implementation tries to correct this behaviour. Future work on expanding the capabilities of RANE beyond single runway airports and will introduce a correction factor ΔR to the calculation of the noise radii of all segments to account for the presence of other contributing segments. However, this is out of the scope of this work.

VII. Conclusion

This paper presents RANE v2, the second version of the airport noise model. This update allows for the definition of complex, realistic, three-dimensional directivity patterns in a numerically efficient way, useful for assessing the noise impact of novel aircraft such as VTOL rotorcraft and UAM air vehicles as well convectional fixed wing aircraft. The useful analytical properties of spherical harmonics allow for a closed-form solution for the generation of noise exposure surfaces and contours to be reached. Combined with the pre-integrated nature of the segmentation methodology adopted by RANE v2, rapid assessment of single runway scenarios for single or multiple noise events is possible. The inclusion

AIAA

of a fully three-dimensional directivity captures the variation in the shape of the noise contour relative to that of an isotropic noise source. These change in location and area of the contours can help identify possible hazardous locations around airport/vertiports that may require further noise abatement procedures to be considered. RANE v2 is intended as a high-level airport noise tool that can help bridge the gap between aircraft conceptual design and aviation community noise. It allows the possibility of being integrated in the design workflow due to the reduced requirement for inputs data and fast computation turnaround times. Future technologies, scenarios, and aircraft design spaces in the form of parametric studies can be explored. The tool provides the connection between designers, airport strategic planners and their impact on communities through guiding the decision-making process.

The introduction of a directivity function to describe the three-dimensional emission patterns of real aircraft was validated in two specific ways. The first comparisons against the in-house grid point method, confirmed that acoustic energy is preserved over the flyover procedure. Contour predictions for simple turns, cut-back procedures and vertical take-off provided proof that the Bezier curve treatment to extremities and connecting regions provides a simple, yet highly effective method for interpolating between contour segments and eliminating discontinuities. Combined with the added capability of including directivity effects, increase in accuracy were observed in contour location. Secondly, RANE v2 was benchmarked using three realistic case studies. The air vehicles of choice were helicopters, due to the inherent high directivity. Comparisons with the AEDT helicopter module for three different sized helicopters, the Schweiser 300C, the Eurocopter AS365 Dauphin and the Boeing CH-47 Chinook were performed and discussed. Two different examples of input data were used in order to generate the required directivity function as input to RANE v2. The presented case studies provide reassuring evidence that the framework can provide predictions and may be integrated within design systems of UAM and AAM (Advanced Air Mobility) air vehicles to help minimise the impact of such vehicles on community noise.

The two main phenomena affected by the introduction of a fully anisotropic source are lateral attenuation and lateral directivity. The definition of the aircraft as a lumped three-dimensional source, along with the full procedure of performing the flyover integration, allows for treatment of these effects to be corrected on a source basis and be included on the full description of the three-dimensional source in terms of the spherical harmonic expansion. This overcomes the need to apply further corrections at each individual observer location as they are automatically captured in the generation of the specific noise surfaces. Empirical corrections such as the ones provided by the ECAC Doc 29 methodology may be used in analytical form but may be substituted when case specific data is available.

The importance of capturing the specific locations of the noise exposure contour lines, though the use of directivity functions, is highlighted when combining them with the geographic distributions of resident populations around airports. RANE v2 can be applied to airport/vertiport specific cases or simplified UAM networks, to compute noise contours, and provide insight on the direct effect the radiation patterns have on the community on the ground. ATC movements and flightpath are strongly influenced by the noise reaching the residing population around the operating air

vehicles. Preliminary route planning according to noise emissions can be performed using RANE v2, whilst guidance on components influencing the contour patterns can be fed-back to the manufacturers as a part of conceptual design.

On an aircraft level, assessment of individual noise source directivity effects on noise contours, allows the exploration of engine installation, reflection and blockage effects to maximise the potential benefits on noise exposure. The incorporation of directivity effects may then be coupled with models for generating NPD curves for novel aircraft [32, 33] that would provide the necessary PWL inputs for RANE v2, to provide global assessment of the noise impact of new technology and/or implementation of noise abatement operational procedures.

A more general problem that the current version of RANE does not address is the dependence of directivity on frequency. The use however, of the spherical harmonic representation of a source allows the methodology to be easily extendable to account for this frequency dependence. Assuming for example a $1/3^{rd}$ octave source spectrum, each individual band could be allocated a three-dimensional directivity function in the form of a spherical harmonic expansion. The lumped source directivity would then be given, by not only the sum over the individual source directivity but also the sum over the directivity of each frequency band. This implementation forms part of the future work. The use of a single lumped source directivity for all spectral bands conforms with the methodology within ECAC Do29, the ANP database and computational methodology within SAE-AIR 1845 [17] for the generation of NPD curves, and extends it to lateral location with the inclusion of azimuthal directivity.

Combining the capabilities of RANE v2 with those of the original RANE model, improved assessment of single runway, single event or fleet movements is possible. The assumption of no change in the spatial distribution of flight tracks presents limitations to the modeling capability. This shortcoming is planned to be addressed in future developments, including power setting and aircraft speed variation, through the derivation of appropriate noise surfaces.

Funding Sources

Funded by the UK's innovation agency, Innovate UK. TSB Project NAPKIN grant no. 1466348. ATM would like to acknowledge the funding provided by Innovate UK for the InCEPTion project (ref. 73692).

Acknowledgments

This work was supported by UK Research and Innovation project NAPKIN (New Aviation, Propulsion, Knowledge and Innovation Network) consortium.

For the purpose of open access, the author(s) has applied a Creative Commons Attribution (CC BY) licence (where permitted by UKRI, 'Open Government Licence' or 'Creative Commons Attribution No-derivatives (CC BY-ND) licence' may be stated instead) to any Author Accepted Manuscript version arising.

AIAA

2 3	
4 5	[
6 7	ſ
8 9	ſ
10 11	L
12	[
13 14 15 16 17	[
18 19 20 21 22	[
23 24 25 26	[
27 28 29	[
30 31 32 33	[
34 35 36 37	[1
38 39	[1
40 41	[1
42 43	
44 45 46	[1
47 48 49	[1
50 51	[1
52 53	[1
54 55	[1
56 57 58 59 60	

References

- [1] "Jet Zero Consultation: A consultation on our strategy for net zero aviation," Tech. rep., Department for Transport, UK, 2022.
- [2] "Future flight,", Aug 2022. URL https://ktn-uk.org/transport/future-flight/.
- [3] Aerospace Technology Institute, "FlyZero reports archive,", 2022. URL https://www.ati.org.uk/flyzero-reports/.
- [4] Gohardani, A. S., "A synergistic glance at the prospects of distributed propulsion technology and the electric aircraft concept for future unmanned air vehicles and commercial/military aviation," *Progress in Aerospace Sciences*, Vol. 57, 2013, pp. 25–70.
- [5] Gohardani, A. S., Doulgeris, G., and Singh, R., "Challenges of future aircraft propulsion: A review of distributed propulsion technology and its potential application for the all electric commercial aircraft," *Progress in Aerospace Sciences*, Vol. 47, No. 5, 2011, pp. 369–391.
- [6] Rendón, M. A., Sánchez R, C. D., Gallo M, J., Anzai, A. H., et al., "Aircraft hybrid-electric propulsion: Development trends, challenges and opportunities," *Journal of Control, Automation and Electrical Systems*, Vol. 32, No. 5, 2021, pp. 1244–1268.
- [7] Brelje, B. J., and Martins, J. R., "Electric, hybrid, and turboelectric fixed-wing aircraft: A review of concepts, models, and design approaches," *Progress in Aerospace Sciences*, Vol. 104, 2019, pp. 1–19.
- [8] Rizzi, S. A., Huff, D. L., Boyd, D. D., Bent, P., Henderson, B. S., Pascioni, K. A., Sargent, D. C., Josephson, D. L., Marsan, M., He, H. B., et al., "Urban air mobility noise: Current practice, gaps, and recommendations," Tech. rep., 2020.
- [9] Goyal, R., "Urban Air Mobility (UAM) Market Study," Tech. rep., National Aeronautics and Space Administration (NASA), November 2018.
- [10] "Report on standard method of computing noise contours around civil airports, vol. 2: Technical guide," Tech. rep. ecac.ceac doc. 29, 4th ed, European Civil Aviation Conference (ECAC), December 2005.
- [11] Centre, E. E., "Aircraft noise and performance (ANP) database v2.1,", 2016. URL http://www.aircraftnoisemodel.org.
- [12] Nuic, A., Poles, D., and Mouillet, V., "BADA: An advanced aircraft performance model for present and future ATM systems," INTERNATIONAL JOURNAL OF ADAPTIVE CONTROL AND SIGNAL PROCESSING, 2010.
- [13] Ollerhead, J., "The CAA aircraft noise contour model: ANCON version 1," Tech. rep. dora 9120, Civil Aviation Authority (CAA), January 1992.
- [14] Smith, M. J. T., Aircraft Noise, Cambridge University Press, 1989.
- [15] Stewart, E. C., and Carson, T. M., "Simple method for prediction of aircraft noise contours," J. Aircraft, 1980, pp. 828-830.
- [16] Levy, S., "Geometry formulas and facts," http://www. geom. umn. edu/docs/reference/CRC-formulas, 1995.
- [17] "Society of Automotive Engineers: Procedure for the Calculation of Aircraft Noise in the Vicinity of Airports," Tech. Rep. 1845, SAE AIR, 1981.

31 Submitted to AIAA Journal. Confidential - Do not distribute.

- [18] "Method for Predicting Lateral Attenuation of Airplane Noise," Tech. Rep. AIR-5662, Society of Automotive Engineers, 2006.
- [19] Synodinos, A. P., "A new framework for estimating noise impact of novel aircraft," Ph.D. thesis, University of Southampton, 2017.
- [20] Koopmann, J., Hansen, A., Hwang, S., Ahearn, M., and Solman, G., "Aviation environmental design tool (AEDT) version 2c technical manual," Tech. Rep. Tech. Rep. DOT-VNTSC-FAA-16-17, Federal Aviation Administration (FAA), July 2016.
- [21] Koopmann, J., Hansen, A., Hwang, S., Ahearn, M., and Solman, G., "The CAA aircraft noise contour model: ANCON version 1," Tech. rep. dot-vntsc-faa-16-17, Federal Aviation Administration (FAA), July 2016.
- [22] Rizzi, S., and Rafaelof, M., "Community noise assessment of urban air mobility vehicle operations using the FAA Aviation Environmental Design Tool," *INTER-NOISE and NOISE-CON Congress and Conference Proceedings*, Vol. 263, Institute of Noise Control Engineering, 2021, pp. 450–461.
- [23] Li, J., Ng, H. K., Zheng, Y., and Gutierreznolasco, S., "Noise Exposure Maps for Urban Air Mobility," AIAA AVIATION 2021 FORUM, 2021, p. 3203.
- [24] Brentner, K. S., Bres, G. A., Perez, G., and Jones, H. E., "Maneuvering rotorcraft noise prediction: A new code for a new problem," *Ahs aerodynamics, acoustics and test evaluation specialist meeting*, 2002.
- [25] Goldman, B. A., "Modifications to PSU-WOPWOP For Enhanced Noise Prediction Capabilities," 2012.
- [26] EUROCONTROL, "Integrated aircraft noise and emissions modelling platform (IMPACT),", n.d. URL https:// www.eurocontrol.int/platform/integrated-aircraft-noise-and-emissions-modelling-platform, accessed: 2021-09-30.
- [27] INECO, P. L., "Environmental and Meteorological Screening & Scoping of the SESAR OIs: Episode 3: Single European Sky Implementation support through Validation, Screening & Scoping on Noise," *EUROCONTROL*, 2009.
- [28] Torija, A. J., Self, R. H., and Flindell, I. H., "A model for the rapid assessment of the impact of aviation noise near airports," *The Journal of the Acoustical Society of America*, No. 2, 2017, pp. 981–995.
- [29] Torija, A. J., Self, R. H., and Flindell, I. H., "Airport noise modelling for strategic environmental impact assessment of aviation," *Applied Acoustics*, Vol. 132, 2018, pp. 49–57.
- [30] Müller, C., Spherical Harmonics, Lecture notes in mathematics, Springer-Verlag, 1966. URL https://books.google.co. uk/books?id=A9UQAQAAIAAJ.
- [31] Freeden, W., and Schreiner, M., Spherical Harmonics, Splines, and Wavelets, Springer Berlin Heidelberg, Berlin, Heidelberg, 2019, pp. 1–47. https://doi.org/10.1007/978-3-662-46900-2_101-1, URL https://doi.org/10.1007/978-3-662-46900-2_101-1.
- [32] Synodinos, A. P., Self, R. H., and Torija, A. J., "A framework for predicting Noise-Power-Distance curves for novel aircraft designs," *Journal of Aircraft*, 2017.

Submitted to AIAA Journal. Confidential - Do not distribute.

[33] Amargianitakis, D., Self, R. H., Proença, A. R., Synodinos, A. R., and Torija, A. J., "Towards predicting noise-power-distance curves for propeller and rotor powered aircraft," *INTER-NOISE and NOISE-CON Congress and Conference Proceedings*, Vol. 263, No. 3, 2021, pp. 3909–3920. https://doi.org/doi:10.3397/IN-2021-2555, URL https://www.ingentaconnect.com/content/ ince/incecp/2021/00000263/00000003/art00105.

to per perior