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Science and conservation of Amazonian crocodilians: a historical review

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1 Abstract

2 1. Crocodilians represent one of the oldest extant vertebrate lineages. They have co-existed
3 with humans throughout the Amazon basin for thousands of years, often having a strong
4 cultural and economic influence on people’s lives. Shifts in the socio-economic and political
5 reality of the Amazon basin during the last century have led crocodilian populations to face

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6 large variations in their numbers according to different levels of exploitation and strategies
7 for their conservation.

8 2. This article reviews the scientific knowledge on the biology and conservation/management
9 produced between 1945 and 2019 for the four Amazonian crocodylian (caiman) species
10 (*Caiman crocodilus*, *Melanosuchus niger*, *Paleosuchus palpebrosus* and *Paleosuchus*
11 *trigonatus*). We provide a general overview on past and current population status and
12 research efforts involving caimans in the Amazon basin and discuss perspectives for the
13 future.

14 3. The most significant studies relating to the ecology, genetics and management strategies
15 are examined in more detail and this information is contextualized to provide an overview of
16 the most relevant findings that might explain caiman population trends over the last 75 years.

17 4. Sustainable-management systems in the Amazon basin have been discussed for the past 20
18 years, but remain rarely applicable. It is necessary to develop new ways to maintain healthy
19 caiman populations through innovative management programs. Sustainable harvesting of
20 wildlife has been shown to leverage conservation targets, especially those initiatives based on
21 community co-management. Herein, we propose some general guidelines for future
22 management schemes, with great expectation that, differently from past experiences, the
23 information provided by the scientific community is fully considered and political agendas do
24 not determine the priorities.

25 **Keywords:** Wetland, Floodplain, Protected Area, Sustainability, Monitoring, Reptiles,
26 Fishing

27 **1. Introduction**

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28 Caimans (Crocodylia, Alligatoridae) have co-existed with humans throughout the Amazon
29 basin for more than 6,000 years, often having a strong influence on people's lives. Although
30 riverine people respect these large vertebrates, opinion of the general public is generally
31 negative regarding caimans, especially those inhabiting areas in close proximity to human
32 settlements. Despite low human population densities (3-4 inhabitants/km²) in the Amazon
33 region (FAO, 2016) and the relatively low incidence of attacks on people (Haddad Jr &
34 Fonseca, 2011; Pooley, 2018), conflicts have augmented as caiman populations have steadily
35 increased in size during the last four decades, especially in Central Amazonia.

36 The Amazon basin covers approximately 700 million hectares (Venticinque et al., 2016),
37 mostly belonging to Brazil (68%), but also distributed in Peru (13%), Bolivia (10%),
38 Colombia (4%), Ecuador (2%), Venezuela (1%), Guyana (1%) and Suriname (1%). The
39 Amazon is mainly composed of non-flooded forests and several types of wetlands associated
40 with highly dynamic hydrological systems influenced by annual flooding (Junk, Piedade,
41 Wittmann, Schöngart, & Parolin, 2010). This heterogeneous spatial distribution of aquatic
42 ecosystems sustains four sympatric crocodylian species (Figure 1): Spectacled caiman
43 (*Caiman crocodilus crocodilus*), Black caiman (*Melanosuchus niger*), Cuvier's Dwarf caiman
44 (*Paleosuchus palpebrosus*) and Schneider's Dwarf caiman (*Paleosuchus trigonatus*), as well
45 as hybrids between *C. c. crocodilus* and *Caiman crocodilus yacare* (Hrbek, Vasconcelos,
46 Rebelo, & Farias, 2008). *Caiman c. yacare* is often considered a separate species (Busack &
47 Sima, 2001; Roberto et al., 2020), but almost all the literature to date has considered all
48 individuals of *Caiman* in the Amazon basin to belong to the species *C. crocodilus*, and in the
49 absence of consistent characteristics to distinguish the two postulated species in the wild or in
50 the literature we treat all Amazonian crocodylians in the genus *Caiman* as *C. crocodilus* in
51 this review. *Melanosuchus niger* and *C. crocodilus* are the largest species and are usually

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52 more abundant in floodplain areas where the majority of human settlements are located (Da
53 Silveira, 2002; Da Silveira, Magnusson, & Thorbjarnarson, 2008).

54 Until the 1970's, most studies on South American crocodilians were still focused on
55 morphology, nomenclature (Medem, 1958) and zoogeography (Sill, 1968), and the first
56 attempts to speculate on ecological relationships between caimans and their environment
57 were drafted by Fittkau (1970). As occurred with many crocodilian species worldwide,
58 Amazonian caimans were hunted for their valuable skins with no constraints. As a result,
59 many wild populations experienced severe reductions, some becoming scarce and others were
60 considered nearly extinct (Grigg & Kirshner, 2015). The ban on hunting and
61 commercialization of caiman products resulted in a progressive recovery of caiman
62 populations (Thorbjarnarson, 1999). This was also a consequence of the creation of multiple
63 protected areas in the region (Tavares de Freitas et al., 2019). Currently, threats to
64 crocodilians in the Amazon basin are mainly associated with habitat loss, increased pollution,
65 dam construction, expansion of agriculture and uncontrolled urban growth (Campos, 2015;
66 Campos, 2019). Today, Amazonian caimans are being killed to serve as bait to capture the
67 scavenger fish *Calophysus macropterus*. Although local people do not consume this catfish,
68 its commercialization provides a source of income and sustenance to fisher families (Botero-
69 Arias, Franco, & Marmontel, 2014; Brum, da Silva, Rossoni, & Castello, 2015; Da Silveira &
70 Viana, 2003).

71 Worldwide studies on natural history, ecology and conservation status of crocodilians have
72 resulted in a significant recent increase in available literature, with 25% of studies being
73 carried out after 2007 (Grigg & Kirshner, 2015). This information has contributed to the
74 elaboration of conservation action plans and is the basis for designing management
75 initiatives. The general aim of this review is to summarize scientific knowledge relating to
76 conservation and management of the four Amazonian crocodilian species within the Amazon

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77 basin. Based on this general overview of current research and perspectives for the future, we
78 address five questions: (1) How has scientific knowledge on crocodylians evolved in the
79 Amazon basin? (2) How were caiman populations impacted by past commercial exploitation?
80 (3) What ecological attributes may explain caiman resilience to hunting? (4) Is there evidence
81 on the viability of management of wild populations in the Amazon? and (5) What are the
82 main gaps that should be addressed for future research and conservation efforts?

83 **2. Methods**

84 We have carried out a literature review using the online search engine Web of Science
85 (www.webofscience.com) up to December 2019 in order to evaluate the scientific literature
86 on the four caiman species occurring in the Amazon basin. The decision to use this database
87 was based on its clarity when returning results to the query, its detailed citation-analysis tools
88 (Falagas, Pitsouni, Malietzis, & Pappas, 2008) and the opportunity to exclude grey literature
89 (summary reports, congress presentations and unpublished dissertations) when summarizing
90 information. Undoubtedly, the latter type of publications contains important information, but
91 not being peer-reviewed makes it difficult to evaluate their scientific strengths and flaws.
92 Furthermore, this information is not always readily available. We limited our search to the
93 75-year period between 1945 and 2019 using the scientific names of the four target caiman
94 species. This query returned general information on the overall scientific production related
95 to these four alligatorids. We considered the total raw number of results obtained by the
96 search algorithm and further discuss the information from studies produced on caiman
97 ecology and conservation in the Amazon basin.

98 **3. Results and Discussion**

99 **Scientific productivity on Amazonian caimans**

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100 The search query returned a total of 439 publications on the four Amazon species, excluding
101 studies on *Caiman crocodilus* subspecies (*C. crocodilus fuscus* and *C. c. chiapascus*), which
102 do not occur in the Amazon basin (Roberto et al., 2020). Of these, 65% corresponded to *C.*
103 *crocodilus*, 20% to *M. niger* (84), 8% to *P. palpebrosus* (36) and 7% to *P. trigonatus* (33).
104 Over the entire 75-year period, search results indicated 51 years with at least one publication
105 on one of the Amazon caiman species, with annual numbers varying from 1 to 34
106 publications (mean = 9.2 ± 8.4). The most frequently studied species was *C. crocodilus* with
107 a maximum of 20 studies per year (a mean of 5.6 studies/year amongst 50 years with at least
108 one publication on the species). For the other three species the mean publication rate was 3.2
109 studies per year for *M. niger* (26 years), mean of two studies per year for *P. palpebrosus* (18
110 years) and a mean of 1.5 studies per year for *P. trigonatus* (21 years). More than 70% of
111 publications on *M. niger* and *P. palpebrosus* were produced after 2010. Only 15% of
112 scientific studies with *Paleosuchus* species were published before 2000. These results suggest
113 that general scientific knowledge gathered on Amazonian crocodylians is growing
114 consistently. Brazil limits encompass the largest portion of Amazon basin; in consequence
115 the great majority of studies discussed here were carried out in this country, also origin of
116 most of scientists implied or funding agencies responsible for such studies.

117 **Commercial exploitation of Amazonian crocodylians: from steady declines to thriving** 118 **populations**

119 During the first decades of the past century, the Amazon basin experienced a very prosperous
120 but short period of rubber extraction and when this period ended abruptly riverine people
121 turned to selling hides of local fauna to supply North American and European markets
122 (Antunes et al., 2016). Unfortunately, there is limited information on the status of caiman
123 populations before the commercial exploitation of skins in the twentieth century. One of the
124 first relevant studies noted that caiman eggs sold at very low prices might have had a negative

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125 effect on population numbers, though caimans were still very abundant in the region (Reese,
126 1923). Between 1940 and 1950, different caiman products were legally commercialized by
127 the cosmetic and leather industries (Pereira, 1944) and this period corresponded to the peak
128 of skin commerce in the Amazon (Antunes et al., 2016). The widespread commercial
129 exploitation of caimans that extended until the mid-1970s affected caiman populations
130 throughout the entire basin.

131 Unregulated commerce took place for decades and no attempts were made to control
132 harvesting areas, target species, body size of harvested individuals or hunting seasons. None
133 of the supplier countries developed their own manufacturing industries or promoted internal
134 markets. During most of the 20th century, both *M. niger* and *C. crocodilus* were heavily
135 hunted for their skins, which resulted in sharp declines of wild populations throughout their
136 distribution. There is no evidence that the two species of *Paleosuchus* were ever hunted
137 sufficiently to drastically affect their densities (Da Silveira, 2003). Thus, subsequent
138 discussion of caiman hunting will only address *M. niger* and *C. crocodilus*.

139 In 1975, in response to increasing concerns that the unregulated trade could result in
140 biological extinction, international agencies and individual countries regulated global
141 commerce as a means to protect wild crocodylians by ratifying the Convention on
142 International Trade in Endangered Species (CITES). Amazonian countries (Brazil, Ecuador
143 and Peru in 1975; Venezuela in 1977; Bolivia in 1979 and Colombia in 1981) ratified the
144 CITES convention and put into place a new defensive (for fauna) and restrictive (for humans)
145 legislation. Complementary to the creation of several protected areas, killing or trade of wild
146 species was now considered a crime in most countries and transgressors risked facing high
147 fines or even jail sentences. However, although international commerce was officially
148 restricted, demand for skins was still high (Inskipp & Wells, 1979), and legal loopholes
149 allowed for trade of stockpiled skins acquired prior to the new legislation. This facilitated on-

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150 going illegal hunting for at least ten years after the international ban (Antunes et al., 2016;
151 Rebêlo & Magnusson, 1983), until the market became economically unviable and eventually
152 faded (Da Silveira, 2002).

153 The first scientific studies documenting aspects of crocodylian past commercial exploitation
154 were undertaken only after the ban on international commerce of wild fauna. Based on
155 number of skins traded, the wild stocks exploited commercially must have been massive at
156 the beginning of the activity. Medem (1981) estimated that at least 11 million skins were
157 exported from Colombia and reported species depletion in several parts of South America
158 (Medem, 1983). More than seven million caiman skins were legally exported from Amazonas
159 State (Brazil) within a 15-year period and the number of skins exported from Peru drastically
160 decreased between 1960 and 1970 (Smith, 1981).

161 In the early 1980s, *M. niger* was thought to be on the brink of extinction throughout its
162 distribution, mainly due to overhunting to supply the leather industry (Best, 1984; Plotkin,
163 Medem, Mittermeier, & Constable, 1983; Vanzolini & Gomes, 1979). It was suggested that
164 this large-bodied species is less resistant to hunting than the sympatric *C. crocodilus*, mainly
165 because females need a longer period of time to attain sexual maturity (Magnusson & Rebêlo,
166 1983). Almost 30 years later, another study based on numbers of exported skins confirmed
167 that *M. niger* is one of the least-resilient species to commercial exploitation amongst the
168 many Amazonian vertebrates that were historically commercialized (Antunes et al., 2016).
169 Apparently, differences in habitat use among caiman species influence their resilience to
170 hunting pressure (Magnusson, 1986). Caimans occurring in lakes and rivers, such as some
171 populations of *M. niger*, were more easily available and heavily affected by hunting than
172 species living in swamps or savannahs where accessibility to the entire population was more
173 challenging. Some initiatives to recover *M. niger* populations started during the 1990s. In
174 Bolivia, the first re-introduction of *M. niger* was carried out in 1990, when 25 captive sub-

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175 adults (6 males and 19 females) were released (Pacheco, Aparicio, & Thorbjarnarson, 1991).
176 Subsequently, it was reported that 8 to 10 individuals of this group remained residents at the
177 release location and later reproduced (Pacheco, 1995).

178 More than two decades after the ban on commercial hunting for skins, caiman populations
179 were thriving in most of the Amazon basin. However, a new threat was reported in the 1990s
180 in Brazil. Caimans were killed for their meat while their undervalued skins were discarded in
181 the river. This was a result of a huge regional market of dry-salted meat with more than 8000
182 individuals killed annually in just one area, which represented approximately 100 tons/year
183 sold illegally to markets in Colombia and the Brazilian State of Para (Da Silveira &
184 Thorbjarnarson, 1999). There were no detailed studies regarding caiman population status as
185 a function of meat commerce in other countries, although illegal traffic in caiman meat is still
186 frequently found in some Peruvian and Colombian markets (Kirkland et al., 2018; van Vliet
187 et al., 2014) despite wildlife trade being illegal in these countries since 1973 (Smith, 1981).

188 In spite of the ongoing trade in meat, it has been suggested that where habitat loss was not a
189 significant factor, the reduction of commercial hunting for skins allowed many crocodylian
190 populations to recover (Thorbjarnarson, 1999). Populations hunted for meat had very high
191 densities in some regions (especially Brazil) and it was suggested that this new form of use
192 based on a source-sink system could be sustainable because it targeted mainly sub-adult
193 males away from reproduction areas (Da Silveira & Thorbjarnarson, 1999). Furthermore,
194 since accessibility to some isolated water bodies is greatly reduced during the dry season in
195 the Amazon, harvesting success is strongly affected by water level and hunting effort
196 (Mendonça, Marioni, Thorbjarnarson, Magnusson, & Da Silveira, 2016). Lack of access to
197 refrigeration combined to high volume and mass of harvested meat hampers poachers from
198 exploiting isolated areas, which are normally preferred nesting sites for *M. niger*
199 (Thorbjarnarson & Da Silveira, 2000; Villamarín et al., 2011). Consequently, if well planned,

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200 meat harvesting of wild Amazonian crocodilian populations has been suggested to be both
201 biologically and economically sustainable as it can target individuals of specific size/sex and
202 has the potential to become a source of income for riverine people (Da Silveira &
203 Thorbjarnarson, 1999; Thorbjarnarson, 1999).

204 **Genetics and ecology of caiman populations**

205 Scientific studies over the last 40 years have increased our knowledge on caiman biology,
206 and herein we present a short summary of scientific studies that contribute to understand
207 resilience of caiman species to overhunting. After decades of overexploitation, studies on the
208 genetic structure of populations showed that heavy commerce of skins during the past century
209 had no detectable effect on the genetic diversity of the two most hunted species in the
210 Amazon (Farias et al., 2004; Glenn et al., 2002; Vasconcelos et al., 2006).

211 These species suffered a similar decline in population densities as the American alligator
212 (*Alligator mississippiensis*), considered today the most rebounded crocodilian species in the
213 world. It has been hypothesized that higher genetic diversity may confer resilience to species
214 (Green et al., 2014; Souza-Filho et al., 2018; Willi & Hoffmann, 2009) and studies based on
215 cytochrome b data have shown that *M. niger* and *C. crocodilus* are genetically more diverse
216 than *A. mississippiensis* (Farias et al., 2004; Glenn et al., 2002; Muniz et al., 2018;
217 Vasconcelos et al., 2006). This observation suggests some resilience of both Amazonian
218 species to population declines.

219 One of the mechanisms that facilitate the resilience of crocodilian populations to overhunting
220 might be found in their mating system. Multiple paternity increases effective population size
221 by maintaining genetic variation, and therefore acting as an important mechanism to preserve
222 genetic diversity in isolated local populations (Chesser & Baker, 1996; Muniz et al., 2011;
223 Rafajlović et al., 2013). Polyandry might be beneficial to females as it increases the genetic

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224 variability of their offspring (Yasui, 1998). Multiple paternity has been detected in both
225 species subjected to heavy hunting in the Amazon basin (Muniz et al., 2011; Oliveira, Farias,
226 Marioni, Campos, & Hrbek, 2010; Oliveira, Marioni, Farias, & Hrbek, 2014).

227 The population resilience of Amazonian caimans can further be associated to ecological
228 strategies contributing to avoid competitive exclusion and have allowed the co-existence of
229 all four species. Differences in diet among caiman species have been assessed (Da Silveira &
230 Magnusson, 1999; Magnusson, da Silva, & Lima, 1987) and there is evidence that suggest
231 that some species consume more terrestrial prey than others while occupying the same water
232 body (Villamarín, Jardine, Bunn, Marioni, & Magnusson, 2017). Furthermore, larger species
233 generally do not influence the feeding behaviour of smaller ones (Marioni, Da Silveira,
234 Magnusson, & Thorbjarnarson, 2008).

235 Nesting ecology might also be an important factor influencing the persistence of caiman
236 populations. Although reproduction in crocodylians is usually characterized by very high
237 mortality rates at early stages (Somaweera, Brien, & Shine, 2013), parental care and nest-site
238 selection might increase survival rates and facilitate population growth. Mortality of
239 crocodylian eggs and hatchlings is generally caused by nest predation or flooding, and this
240 applies to Amazonian species (Thorbjarnarson, 1996; Villamarín & Suárez, 2007). A
241 relatively wide range of caiman nest predators has been identified in the Amazon basin
242 (Barão-Nóbrega et al., 2014; Campos & Mourão, 2014; Campos, Muniz, Desbiez, &
243 Magnusson, 2016; Villamarín & Suárez, 2007), However, there is evidence that in some
244 species the presence of attending females may reduce egg predation (Barão-Nóbrega et al.,
245 2014; Campos & Sanaiotti, 2006; Torralvo, Botero-Arias, & Magnusson, 2017) and increase
246 hatchling survival (Campos, Sanaiotti, Muniz, Farias, & Magnusson, 2012). Some studies
247 indicate that nest-site selection may contribute to decreased egg mortality. *M. niger* females
248 seem to prefer water bodies isolated from the early annual rising of water level and thus, the

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249 probability of nest flooding is greatly reduced (Thorbjarnarson & Da Silveira, 2000;
250 Villamarín et al., 2011). A different nesting strategy is shown by females of the sympatric *C.*
251 *crocodilus*, which often build their nests far from permanent water bodies where floods take
252 longer to arrive (Thorbjarnarson & Da Silveira, 2000; Villamarín et al., 2011).

253 Crocodylian females have low mortality rates and relatively long life spans (Somaweera et al.,
254 2013) and larger females lay more eggs (Campos, Magnusson, Sanaiotti, & Coutinho, 2008;
255 Campos, Mourão, Coutinho, & Magnusson, 2014; Campos, Sanaiotti, Marques, &
256 Magnusson, 2015) despite important metabolic costs (Barão-Nóbrega et al., 2017). Under
257 ideal natural conditions, individual females may have many successful reproductive events
258 throughout their lifespan (Gienger et al., 2017).

259 All these biological and ecological features provide insights to explain the capacity of
260 crocodylians to maintain numerous populations and recover from over exploitation once
261 commercial hunting ended.

262 **Drifting from total protection to sustainable-management initiatives of wild caiman** 263 **populations**

264 The information on genetics and ecology of Amazon caimans gathered during the last three
265 decades suggests the feasibility of science-based sustainable-management programs.
266 Worldwide, crocodylian management programs have been carried out in more than 40
267 countries since the late 1980s (Thorbjarnarson, 1992). Management programs based entirely
268 on the sale of wildlife skins have shown their limitations related to the instability of luxury
269 markets (Thorbjarnarson, 1999). Thus, possibilities to diversify into meat production should
270 be examined, adding value particularly in local markets where harvesting is taking place, as is
271 the case in Venezuela (Thorbjarnarson & Velasco, 1999). In Louisiana for example, the sale
272 of alligator meat and skins reached 25 million USD per year in the early 1990s (Joanen,

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273 McNease, Elsey, & Staton, 1997).

274 Many successful management programs are based on “ranching” systems where wild-caught
275 eggs or hatchlings are raised in captivity and a fraction of them are released back to the wild
276 (Campos, Mourão, Coutinho, Magnusson, & Soriano, 2015; Thorbjarnarson, 1999). These
277 programs have provided evidence of the importance of stakeholder involvement in South
278 America, especially in Argentina (Gelabert, Rositano, & González, 2017). Ranching systems
279 are of potential conservation importance, and often involve releasing schemes (Hutton &
280 Webb, 2003; Jenkins, Jelden, Webb, & Manolis, 2004), though the effectiveness of these has
281 not been rigorously evaluated. Nevertheless, high costs associated with infrastructure, animal
282 feeding and correct slaughter conditions turn ranching economically unfeasible in most
283 Amazonian localities. Only two ranching initiatives are known to have taken place in the
284 Amazon basin, one near Manaus in Brazil and the other in Ecuador. Both were financially
285 unsuccessful and collapsed (Thorbjarnarson, 1994; Velasco, 2008; Verdade, 2004).

286 Captive breeding programs (farming) have proved to be biologically viable and economically
287 effective in many countries worldwide (Adan, 2000; Tosun, 2013). However, as is the case of
288 ranching programs, the amount of investment needed would be a limiting factor in the
289 Amazon context, added to the fact that local inhabitants would be excluded from such
290 initiatives (Thorbjarnarson, 1999). It is important to emphasize though that legal management
291 programs may not be exempt of drawbacks and some have even been used as a way to
292 launder illegal commerce (Miranda Montero, Khan, & Wright, 2019; van Uhm & Nijman,
293 2020). Between 2005 and 2010, illegal trade in *C. crocodilus* skins may have doubled the
294 amount legally declared by countries, indicating a high frequency of poaching despite
295 increasingly restrictive laws (Balaguera-Reina & Densmore III, 2014; Da Silveira, Gordo,
296 Marcon, & Silva, 1998; Webb & Jenkins, 2016).

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297 Given the large extent of the Amazon basin and the high densities of caiman populations in
298 some localities, extensive harvesting of wild individuals seems more appropriate, especially
299 because of its lower operational costs and high conservation value for the environment (Da
300 Silveira, 2011; Thorbjarnarson, 1999; Verdade, 2004). It has been proposed that protecting
301 nesting sites (Da Silveira & Thorbjarnarson, 1999; Thorbjarnarson & Da Silveira, 2000;
302 Villamarín et al., 2011) and establishing an upper size limit (Campos et al., 2008) would
303 contribute to maintain viable populations on a long-term management basis, avoiding
304 females, which have a smaller size. To this end, geographic information systems and remote
305 sensing coupled with field nest-surveys are useful tools to identify nesting sites at large-
306 scales and may guide management activities (Banon, Arraut, et al., 2019; Banon, Banon, et
307 al., 2019; Da Silveira & Thorbjarnarson, 1999; Villamarín et al., 2011).

308 In general, Brazilian legislation prohibits harvesting and commercialization of wildlife.
309 However, it allows trade of a restricted number of species under strictly developed
310 management plans within sustainable-development protected areas (Brazilian Government,
311 2011). This has permitted the commercialization of fish species that are protected in other
312 areas, such as *Arapaima* spp., which has leveraged their population recovery and improved
313 the income of local people (Campos-Silva & Peres, 2016). Such successful experiences
314 (Castello, Stewart, & Arantes, 2011; Castello, Viana, Watkins, Pinedo-Vasquez, & Luzadis,
315 2009) could become working models to achieve sustainability in wild-harvest caiman
316 management programs.

317 An experimental wild harvest and subsequent commercial initiative were carried out in the
318 Brazilian mid-Solimões River (Brazilian upper Amazon) between 2004 and 2008. This was
319 made possible by the downlisting of *M. niger* populations to CITES Appendix II, with the
320 endorsement of the IUCN/SSC Crocodile Specialist Group in June 2007 (CITES, 2007). The
321 main scope of this initiative was to generate technical procedures for the production of meat

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322 and skins in central Amazonian floodplains (Botero-Arias, Marmontel, & de Queiroz, 2009).
323 After more than 550 adult *M. niger* were killed in three different experimental harvesting
324 events, the main lesson learned was that the management of crocodylians in the Brazilian
325 Amazon was, at that time, still economically unsustainable. Activities were planned under a
326 government agenda, using wrong extrapolations of population parameters and monitoring
327 that violate basic principles of wildlife management (Da Silveira, 2011).

328 However, one of the main reasons why wild harvesting of Amazonian caimans has not
329 succeeded is that, unlike other countries with legal meat harvesting programs of crocodylian
330 species, the federal Ministry of Agriculture in Brazil required caimans to be slaughtered
331 under the same conditions as cattle, rather than Arapaima in Brazil or fish in general. This is
332 especially problematic given that most of the human population in the Amazon basin does not
333 have access to electricity or even clear water. Strong limitations on logistical conditions
334 increase time from capture to slaughter and most likely raise costs. These are all issues to be
335 overcome in order to achieve sustainability in any management initiative with Amazonian
336 caimans.

337 At the regional level, local legislation for wildlife management is different from country to
338 country. Except for a community-based management program in Bolivia (Aparicio & Rios,
339 2006), management activities of wild populations in the Amazon basin are still in their
340 experimental phase and are being carried out only in Brazil (Table 1). Other management
341 initiatives, such as captive-breeding farms in Colombia (Velasco, 2008; Webb, Brien,
342 Manolis, & Medrano-Bitar, 2012) are focused on *C. c. fuscus*, a sub-species not occurring in
343 the Amazon basin. In Venezuela, all successful harvesting experiences carried out between
344 1990 and 2015 were conducted on *C. crocodilus* populations from the Orinoco river basin
345 (Thorbjarnarson & Velasco, 1999; Velasco, 2008). Despite the wide extent of the Amazon

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346 basin and the occurrence of high-density caiman populations, we are not aware of any further
347 management initiatives currently being undertaken.

348 **Perspectives for caiman conservation in the Amazon basin**

349 In the present days, Amazonian caiman species exhibit stable populations throughout much
350 of their distribution range (but see Ortiz, Dueñas, Villamarín, & Ron, 2020) and all four
351 species are listed as Least-Concern in IUCN Red Lists (Balaguera-Reina & Velasco, 2019;
352 Campos, Magnusson, & Muniz, 2019; Magnusson, Campos, & Muniz, 2019; Perran Ross,
353 2000). Populations of *P. trigonatus* and *P. palpebrosus* are generally not affected by
354 commercial hunting, though they may be affected by dam construction, roads (Campos,
355 Magnusson, & Muniz, 2012; Campos, Mourão, & Magnusson, 2017) and other local effects
356 of human activities such as subsistence hunting, which is particularly heavy on these species
357 (Campos & Muniz, 2019; Da Silveira, 2003; Lugo, Lasso, Castro, & Morales-Betancourt,
358 2013). However, it is possible that some of the recently described evolutionary units (Muniz
359 et al., 2018) have low effective population sizes, and in consequence lower resilience to long-
360 term conservation threats. This indicates the importance of monitoring population genetic
361 parameters of newly discovered lineages.

362 It is difficult to generalize about the Amazon basin, which covers an area similar to those of
363 Australia or continental USA, but scientific research has revealed consistent trends. The first
364 ecological information was generated for *M. niger* populations only at the end of the past
365 century. In Brazil and Bolivia, some studies aimed at understanding how environmental
366 variables (air/water temperature, water depth, percentage illumination by the moon and cloud
367 cover) influence the number of caimans detected during night surveys (Da Silveira,
368 Magnusson, & Campos, 1997; Pacheco, 1996). This information was useful for the
369 standardization of long-term monitoring programs and indicated that management decisions

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370 based on night surveys should be taken cautiously because the results obtained from one
371 locality can not be extrapolated to the entire Amazon basin (Da Silveira et al., 2008).

372 Sustainable harvesting of wildlife in the Amazon has been shown to leverage conservation
373 targets, especially those initiatives based on community co-management (Bodmer & Puertas,
374 2000; Campos-Silva & Peres, 2016; Castello et al., 2009; Mattos Vieira, von Muhlen, &
375 Shepard, 2015) where all stakeholders are considered as part of the solution (Marioni,
376 Botero-Arias, & Fonseca-Junior, 2013). After the ban on international commerce of
377 crocodylian skins, total protection was the only reasonable choice of local governments to
378 protect South American crocodylians. It was based on one basic rule: no hunting or commerce
379 of any wildlife product anytime or anywhere. However, it is necessary to develop new ways
380 to maintain healthy caiman populations while simultaneously alleviating poverty levels in
381 local communities through innovative management programs.

382 Sustainable-management systems have been discussed for the past 20 years but remain only a
383 theoretical idea rarely applicable to the Amazonian reality. Fixed protocols and “cookbook
384 recipes” do not take into account the complexity of local environments and are often hard to
385 implement in different regions or countries. We have developed some general guidelines,
386 principally based on our experiences. First, monitoring of caiman populations must be
387 regularly carried out on a long-term basis by trained local people and under the guidance of
388 independent scientists. The main aim will be to supervise population trends and eventually
389 estimate annual quotas. Second, strictly protected zones based on nest-site preferences within
390 protected areas must be delineated with the involvement of local inhabitants, researchers and
391 regional authorities. Reproduction areas have to be considered to build solid bases for a
392 source-sink system (Brawn & Robinson, 1996; Da Silveira, 2011; Da Silveira &
393 Thorbjarnarson, 1999) and a clear zonation allows to avoid harvesting adult females. Third,
394 economic business plans must be elaborated to estimate the potential market of targeted

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395 products (meat, skins, etc.), as without a strong economic feasibility, the management
396 program will not be viable. Consequently, community-based management projects must be
397 designed to become economically independent over the mid-term period. Financial
398 dependence on regional politicians or private donors will most likely hinder management
399 programs in the long term. However, government funding as initial economic support is very
400 important to assess population status, to carry out early-stage population studies, to establish
401 the first harvest quotas by surveying population trends, to train local people in the different
402 phases of the project and to build the logistic infrastructure necessary to initiate the activity.

403 More than 10 years after the last attempt, a new harvesting program for wild *M. niger* and *C.*
404 *crocodilus* is being proposed for 2020 within a protected area in the central Brazilian
405 Amazon. In this region, local communities have a broad experience in managing aquatic
406 natural resources and it is also where one of the highest *M. niger* and *C. crocodilus* densities
407 have been reported (Castello, Viana, & Pinedo-Vasquez, 2011). At least two distinct market
408 plans will be proposed: meat, targeted towards regional and national consumers, and skins,
409 which should be sold internationally. This new initiative was planned and strongly supported
410 economically by local governments from the outset, which makes it difficult to replicate such
411 experience in other localities without similar economic support, development time and
412 expertise from everyone involved. There is great expectation that, contrary to previous
413 endeavours, the information provided by the scientific community is considered in full and
414 that political agendas do not determine the priorities. The past experience should be
415 fundamental to implement harvesting protocols already developed on a long-term and large-
416 scale perspective in order to improve standard procedures for capture, slaughter and
417 commercialization of caiman products.

418 For modern commercial exploitation of Amazonian crocodilians, an important challenge will
419 be to develop markets for raw or manufactured products. Regional markets have not shown

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420 great demand for caiman-meat, except in a few high-priced restaurants and supermarkets.
421 Furthermore, although caiman skins have their place in the market, alligator and crocodile
422 skins are considered “classic” leathers due to the scarcity of osteoderms (Fuchs & Schepp,
423 2006); as such South American caiman skins are sold for lower prices (IUCN-SSC Crocodile
424 Specialist Group, 2019; Louisiana Alligator Advisory Council, 2019). Thus, the greatest
425 challenge will be to maintain a sustainable production chain from local hunters to urban
426 consumers.

427 Because management strategies need to be designed according to the characteristics of each
428 region (Rodriguez-Cordero, Balaguera-Reina, & Densmore III, 2019) and taking into
429 consideration environmental conditions and land ownership, there is still high demand for
430 further scientific studies on caiman population trends across most of their distribution
431 throughout the Amazon. Overall knowledge on the four Amazonian caiman species has
432 significantly increased in Brazil during the last decade, but it is still incipient in many other
433 countries, where few scientific studies are targeting these species. Although more research is
434 necessary to understand the impacts of habitat loss and urban area expansion, past experience
435 has shown that science alone is not enough; local communities and government agencies
436 have to be involved in order to develop systems that are socially, economically and
437 ecologically viable. Traditionally, when not enough biological and social information is
438 available, politicians tend to rule out all decisions. At the present time however, the scientific
439 community has produced a vast amount of knowledge that will provide valuable input on the
440 all stages of the process. Effective actions toward sustainable management of wild caiman
441 populations must then consider the best options to integrate economic return to local
442 inhabitants, habitat preservation and species conservation.

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812 **Tables**

813 **Table 1:** Current conservation status of the four caiman species occurring in the Amazon
814 basin according to IUCN Red List (<https://www.iucnredlist.org>) and CITES Appendix
815 (<https://www.cites.org>), management actions, country, and main product issued from
816 management action. LC = Least Concern, LR = Low Risk.

817

Species Name	Common Name	IUCN	CITES	Management type	Countries	Main product
<i>Caiman crocodilus</i>	Spectacled Caiman	LC	II	Harvesting, ranching and captive breeding	Venezuela, Colombia ¹	Skin and meat
<i>Melanosuchus niger</i>	Black Caiman	LR	I ²	Harvesting	Brazil	Skin and meat
<i>Paleosuchus palpebrosus</i>	Cuvier's Smooth-fronted Caiman	LC	II	-		
<i>Paleosuchus trigonatus</i>	Schneider's Smooth-fronted Caiman	LC	II	-		

818 ¹ None of management actions in these countries are carried out in Amazon basin

819 ² Except for the populations of Brazil and Ecuador, included in Appendix II, subject to a zero annual export
820 quota until an annual export quota is approved

821

822 **Figure legends:**

823 **Figure 1.** The four crocodylians species encountered in Amazon basin: A) Black caiman
824 (*Melanosuchus niger*), B) Spectacled caiman (*Caiman crocodilus*), C) Schneider's Dwarf
825 caiman (*Paleosuchus trigonatus*) and D) Cuvier's Dwarf caiman (*Paleosuchus palpebrosus*).

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