Thermal assessment of heat mitigation strategies:
the case of Portland State University, Oregon, USA

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Abstract

Courtyard vegetation, high albedo surfaces, and courtyard ponds were investigated as potential heat mitigation strategies using field measurements and simulations in a university campus environment. The investigation was performed during a summer period in the temperate climate of Portland, Oregon, USA. In a comparison of seven locations on the campus, the maximum park cooling island effect recorded was 5.8°C between the heavily treed campus park and a nearby parking lot with asphalt pavement. Simulations of courtyards with vegetation and a water pond showed 1.6°C and 1.1°C air temperature reduction, respectively. Changing the albedo of the pavement in a bare courtyard from 0.37 (black) to 0.91 (white) led to 2.9°C increase of mean radiant temperature and 1.3°C decrease of air temperature.

Keywords

Heat mitigation, thermal comfort, courtyard, field measurement, ENVI-met simulation.

1. Introduction

The urban heat island (UHI) phenomenon results in higher air temperature in dense urban areas compared with their suburbs and rural surroundings. It varies among different cities based on morphology, location and climatic zone [1-3]. This phenomenon affects human health through thermal discomfort and air pollution [4-14] and the heating and cooling energy

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demands of buildings in cities [15-17]. Moreover, Hart and Sailor [18] explain that the intensity of UHI in a city depends on a) the geometry of the built environment (mainly buildings) [19, 20], b) the characteristics and the materials of the surfaces [21-23], and c) the anthropogenic activities [24].

The geometry effect relates to building densities, sky view factor (SVF) in urban spaces, height to width ratio of buildings (their shading effect), and canyon orientations with sun and prevailing winds. The surface characteristics factor is related to the relative availability of surface moisture and the thermal mass and reflectivity of various construction materials. Finally, waste emissions from energy use in cities can introduce a significant source of both heat and moisture.

Urban university campuses often have extensive areas of vegetation and green, and thus offer a unique opportunity to investigate possible mitigation strategies to cope with the negative impacts of the UHI [25, 26]. This paper considers the campus of Portland State University in Portland, Oregon, USA. To date, UHI has not been studied continuously during day and night in Portland. Portland has a temperate climate with warm dry summers and cool wet winters (Köppen-Geiger classification Csb). To fill this knowledge gap, this paper reports on field measurements and simulations of the campus in the downtown of Portland metropolitan.

2. Literature review on heat mitigation strategies

Vegetation has been studied in urban climates [27], mostly in regard to the urban heat island effect (first studied by Luke Howard in the early 19th century [28]). In contrast to the urban heat island (UHI), the park cool island (PCI) can reduce the air temperature up to 3-4°C in summer [2, 3, 27, 29, 30]. Vegetation cools the environment through two mechanisms [31]:

1. With a higher albedo (typically 0.18-0.22) compared to common pavements such as asphalt (typically 0.05-0.15), vegetation reflects more solar radiation [32]; moreover, with a lower specific heat capacity, green areas accumulate less heat [29, 33].
2. By evapotranspiration, which is the sum of evaporation (from the earth's surface) and transpiration (from vegetation), the ambient air is cooled [1, 24, 34].

Several studies in various climates have addressed different heat mitigation strategies in urban spaces. Some of these investigations representing different climates are discussed

here. A recent study using measurement and simulation was conducted by Srivanit and Hokao [26] in an institutional campus in the subtropical-humid climate of Saga, Japan. These researchers reported that the average daily maximum temperature would decrease by 2.7°C when the quantity of the trees was increased by 20% in the campus area. A key limitation of this study was the sole focus on air temperature, T_a; however, several other studies have shown the importance of mean radiant temperature, T_{mrt}, on outdoor thermal comfort [35-37]. As an example of a field measurement, in the subtropical-Mediterranean climate of Lisbon Oliveira, Andrade and Vaz [38] studied the thermal performance (T_a and T_{mrt}) of a small green space (0.24 ha). They found that the green area of interest was cooler than the surrounding areas, either in the sun or in the shade. Their measurement showed the highest difference was 6.9°C for T_a and 39.2°C for T_{mrt}.

Moreover, SVF and its effect on the amount of radiation is another important factor affecting thermal comfort in urban areas [39, 40]. In the tropical climate of Taiwan, Lin, Matzarakis and Hwang [41] considered the outdoor thermal comfort index PET (Physiological Equivalent Temperature) for a field measurement at the National Formosa University campus. They indicated that a high SVF (barely shaded) causes discomfort in summer and in contrast, a low SVF (highly shaded) causes discomfort in winter.

Studies related to PCI and UHI are not limited to tropical and Mediterranean climates. Considering a colder climate, the influence of three urban parks on air temperature in a high latitude city (Göteborg, Sweden) was studied by Upmanis, Eliasson and Lindqvist [42] over one and half year period. The maximum temperature reduction occurred during the summer and was equal to 5.9°C. Moreover, the extension of the cooling effect of the parks into the city (built up areas) was 1100 m.

Furthermore, in the semi-arid climate of Ouagadougou (Burkina Faso), Lindén [43] reported that while the evening UHI effect reached only 1.9°C (warmer), the cool island effect in a dense and irrigated park was 5.0°C (cooler) compared to the dry rural reference. Regarding hot and arid areas, Spronken-Smith and Oke [44] showed that the type of vegetation also greatly influences the cooling effects, as irrigated parks in daytime stay significantly cooler than their surroundings, while areas with dry dead grass or bare soil can be hotter than their environments. They also showed that the PCI effect is different in various climates. They reported that parks in Vancouver, BC, Canada, are typically 1-2°C cooler than their surroundings, while in Sacramento, CA, USA, irrigated green spaces can be 5-7°C cooler.

Considering the temperate climate of Portland (Oregon, USA) as the case study of this research, George and Becker [45] in a spatial variability investigation of the Portland UHI found temperature differences across the Portland metropolitan area of up to 10°C. Their temperature measurements were taken just prior to sunrise on a November morning. Later on Hart and Sailor [18] in a study on the influence of land use and surface characteristics on day time UHI of Portland, used vehicle temperature traverses to determine spatial differences in summertime air temperature (2 m height) in morning and evening. They showed that the downtown core was not the warmest part of the Portland metropolitan area. The most important urban characteristic separating warmer from cooler regions of the Portland metropolitan area was canopy cover and local shading effects in the urban canyons.

3. Methodology

In this research, different heat mitigation strategies at three spatial scales (covering three phases of the study) are considered. Phase 1 (scale 1) focused on 7 locations on the campus of Portland State University. On these locations, air temperature and relative humidity were measured (over the period of two months with 30 minutes of time step). Computer simulation was also used to analyse the thermal behaviour of the campus in presence of the existing vegetation, and in the case of two hypothetical variations—removal of vegetation, and addition of water ponds in the campus. Phase 2 (scale 2) focused on three courtyards on the campus which were either bare, green or with a water pond. This phase of the study explored the impacts of heat mitigation strategies in the courtyards as small microclimates. Phase 3 (scale 3) focused on the thermal behaviour of one of the courtyards studied in Phase 2, an educational building from the campus called Shattuck Hall. Shattuck Hall was selected because it has a terrace courtyard. In addition, restricted access to the courtyard made it easier for the researchers to make modifications to the albedo of the ground surface (Figure 1). All three of these phases of research were conducted in July and August 2013.

Figure 1: The research phases: Phase 1 - seven spots on the campus; Phase 2 - three courtyards with different characteristics (from left to right: bare, green and with water); Phase 3 - Shattuck Hall building.

3.1. Field measurements

Field measurements used HOBO U12-006 data loggers with three external sensors for air temperature, globe temperature and wind speed (Figures 1 and 2). A FLIR-i5 infrared

camera was used for thermal photography. Finally, a spectrophotometer (Perkin Elmer Lambda 950- UV/Vis/NIR) was used to determine spectral reflectivity and albedo of surface materials used in this study.
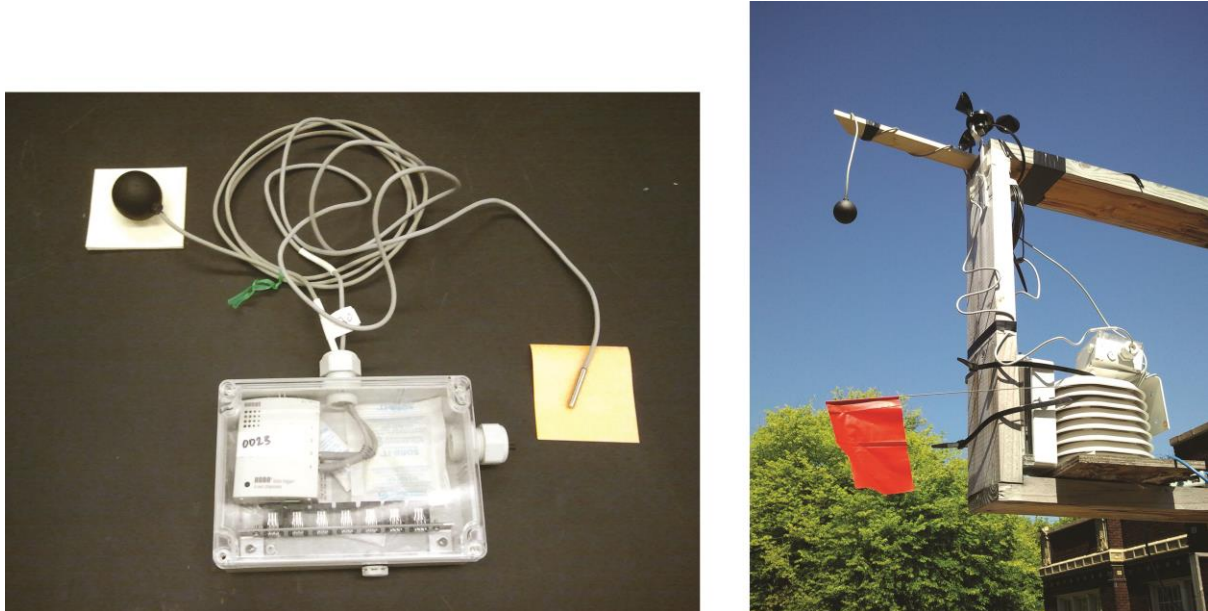

Figure 2: HOBO connected to air and globe temperature sensors (left) and in its final appearance in the field, connected to wind sensor (right).

3.2. Simulations

All simulations were conducted using the urban computational fluid dynamics software ENVI-met 3.1 [46]. This program is a three-dimensional microclimate model designed to simulate the surface, plant and air interactions in an urban environment. ENVI-met is generally used with a typical spatial resolution of 0.5 to 10 meters in space and 10 second in time. It calculates the air temperature (°C), water vapour pressure (hPa), relative humidity (%), wind velocity (m/s) and mean radiant temperature (°C) [47]. The spatial resolution used in the simulations is 2m horizontally and vertically. This program is a prognostic model based on the fundamental laws of fluid dynamics and thermodynamics that can simulate exchange processes of heat and vapour at the ground surface and at walls, flows around and between buildings. This program has been extensively validated and widely used for studying the effect of climate change [48, 49] and the impact of natural elements on a microclimate [47, 50, 51].

3.3. Climate of Portland

Portland (45°N, 122°W) experiences a temperate oceanic climate typified by warm, dry summers and mild, damp winters [52]. Its climate is classified as a dry-summer subtropical or Mediterranean climate zone (Csb) based on the climatic classification of Köppen-Geiger [53]. The prevailing wind is North-West. The mean annual dry bulb temperature is 12.4°C (Figure 3).
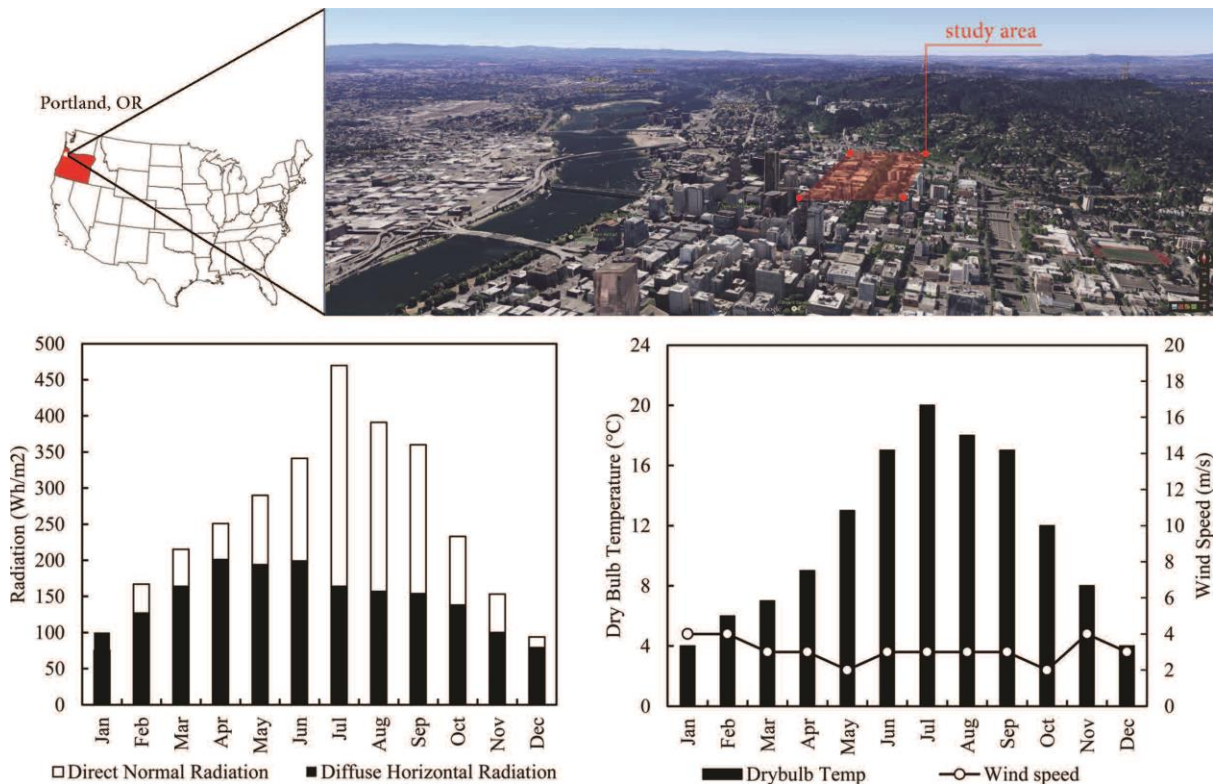

Figure 3: The position and climatic conditions of Portland, OR.

4. Results and discussion

4.1. Scale 1: the campus microclimate

In this phase of the study, seven locations on the campus with different microclimate characteristics were measured in July 2013. These microclimates range from very bare (Shattuck Hall courtyard) to very green (the campus park). The main aim was to understand how vegetation can affect the local thermal environment. These measurements with HOBO devices are described in Table 1 with maximum and minimum temperatures present on the seven locations. It was observed that the park had the coolest temperature; therefore, the maximum temperature differences between the park and the six other spots are calculated and demonstrated in Table 1.
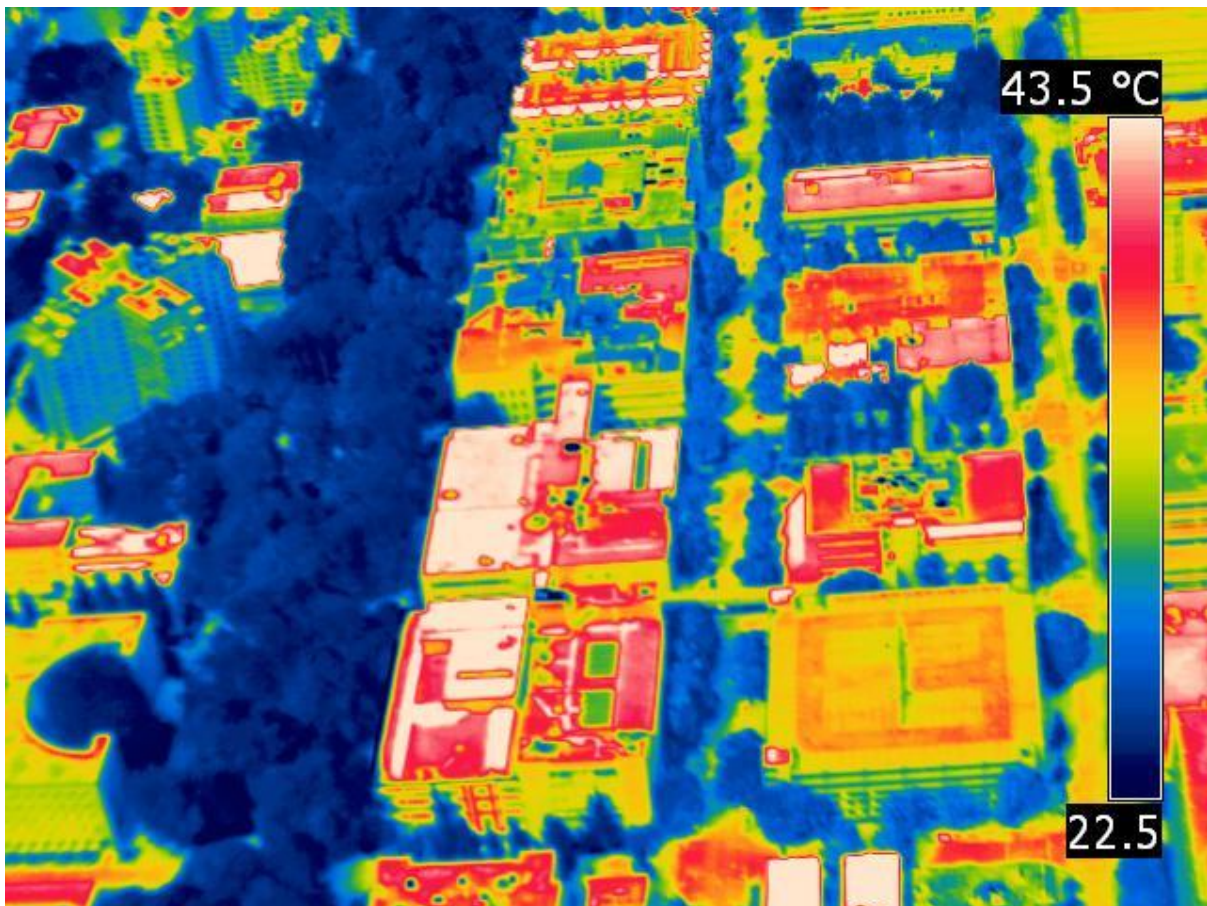
Figure 4: Thermography of the campus park and the surroundings from a prior study (August 23rd, 2011).

The maximum temperature in the Shattuck Hall courtyard reached to 32.1°C at 15:30 PM. This location receives sun from the early morning, and has asphalt pavement. The minimum temperature here recorded was 12.2ºC at 5:30 in the morning, which was 3.1°C cooler than the green courtyard, and 6.8°C cooler than the parking of the fire station at the same time. This courtyard is bare and there is no vegetation to obstruct night re-radiation (heat re-flux to the sky), resulting in more substantial nocturnal cooling that at any other location measured (Figure 5).

As an obstruction the vegetation made the microclimate of the park more moderate (with less temperature fluctuations) among the measured locations. The closest microclimate to the park is the green courtyard at the north-west of the campus. The two parking lots at the campus have similar thermal behaviour since they are both open to the sky (no vegetation) and their pavements are made of asphalt. The maximum temperature differences occurred with 5.8°C between the park and the parking of the fire station at 10:30 AM (July 27th).

Comparing a parking lot and a park, thermal mass of the open space parking plays an important role. The parking lot is covered with asphalt with a high heat capacity. This heat releases with a delay during the night and it causes a similar temperature difference with park (5.7°C at 2:30 AM). In contrast, the vegetation in the park has absorbed less sun.

To understand the behaviour of the heat fluctuations in the campus, the continuously five days recorded data of the park, Shattuck hall courtyard, the green courtyard and the parking of fire station are illustrated in Figure 5.

Table 1: maximum, minimum and the differences of temperature of the measured spots.

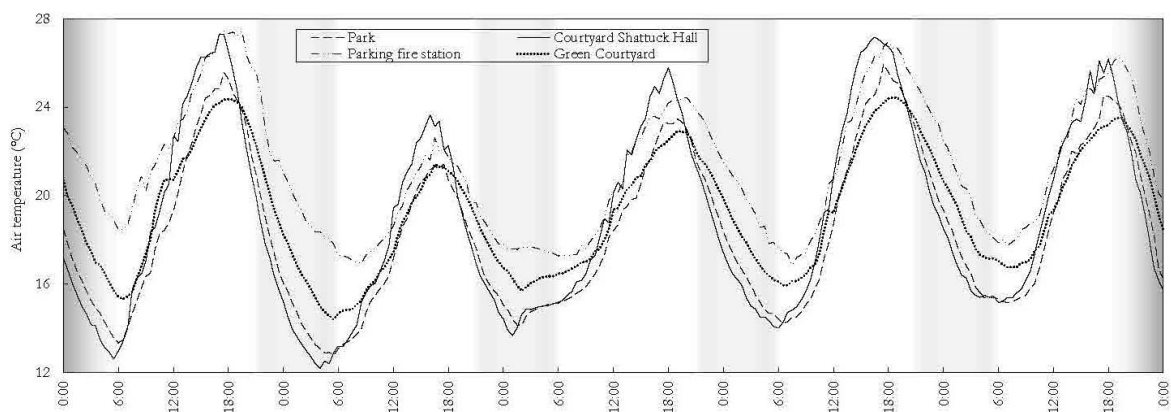|	Max [°C]	Min [°C]	Max ΔT [°C] with park, Day	Max ΔT [°C] with park, Night
1. Green courtyard	28.7	14.4	2.3	2.4
2. Park	23.0	15.5	-	-
3. Shattuck Hall courtyard	32.1	12.2	2.8	0.2
4. Shattuck Hall east plaza	33.8	12.6	5.2	0.5
5. Parking tennis court	32.4	16.1	4.2	3.8
6. Parking fire station	32.1	16.8	5.8	5.7
7. Courtyard with water pool	27.9	15.9	4.3	3.2

Figure 5: Temperature comparison between different locations on the campus.

The data presented here were related to the cooling effect of the campus park. The Portland Airport (PDX) weather station was selected as a reference for measuring UHI. This station is

located approximately 17.5 km north-east from the downtown (and the campus), near a large body of water (the Columbia River) and in a suburban area. To evaluate the UHI, the hottest and coolest points on the campus (the campus park and the parking of the fire station, respectively) are compared with the airport in Table 2. The UHI was evaluated during the day (sunrise to sunset) and night. The parking lot during the night had the maximum temperature difference with the airport (7.3°C warmer). In contrast, the temperature difference between the park and the airport was larger during the day. The following explanation may apply. The airport located in the suburbs has larger temperature fluctuations during the day and night since it is open to the sky. The park on the other hand is covered with trees and has a more sheltered environment leading to smaller temperature fluctuations.

Table 2: Timing and magnitude of largest UHI (relative to the airport station) as measured at the park and fire station parking lot both at night and during the day.

	UHI [°C], day	UHI [°C], night
Park	4.7 (15:30 PM)	2.4 (0:00 AM)
Parking fire station	6.2 (15:30 PM)	7.3 (2:00 AM)

To better understand the effect of the park, the campus area was simulated in ENVI-met using three scenarios: a) the actual situation in the campus, b) a bare campus with no vegetation, and c) a campus in which the park is replaced with water ponds. The results presented in Figure 6 illustrate the three scenarios at the hottest hour of the day (18:00 PM on July 20th). As it is seen in the first (actual) scenario, the park provides the coolest place on the campus. Moreover, since the prevailing wind is north-west, the park cooling effect seems to extend towards south-east. Consequently, the air temperature in the whole campus ranges between 24.1°C and 26.4°C.

In the second scenario, the park is removed and it is visible that the air temperature in the whole area has increased. The air temperature here ranges from 25.8°C to 27.8°C. Considering the tennis court and its parking which are covered with asphalt (located at the south middle), the differences between the scenarios are more visible. In the third scenario, the park is replaced by water ponds. The results show that the air temperature of different spots on the campus is between that of scenario 1 and 2 (25.0°C- 27.3°C).

To have a daily comparison among the scenarios, Figure 7 shows the air temperature from a receptor at the Shattuck Hall courtyard. This figure shows that the differences of the air temperatures mostly occurred in the afternoon. At this moment of the day, the second scenario has absorbed much solar energy because it is not obstructed by vegetation and is made of low-albedo pavement. Moreover, in the first scenario and the third scenarios, the evapotranspiration and transpiration processes keep the campus cooler than in the second scenario. Finally, the maximum temperature difference in the courtyard of Shattuck Hall between the actual situation (first scenario) and the second and the third scenarios is 1.6°C and 1.1°C, respectively.
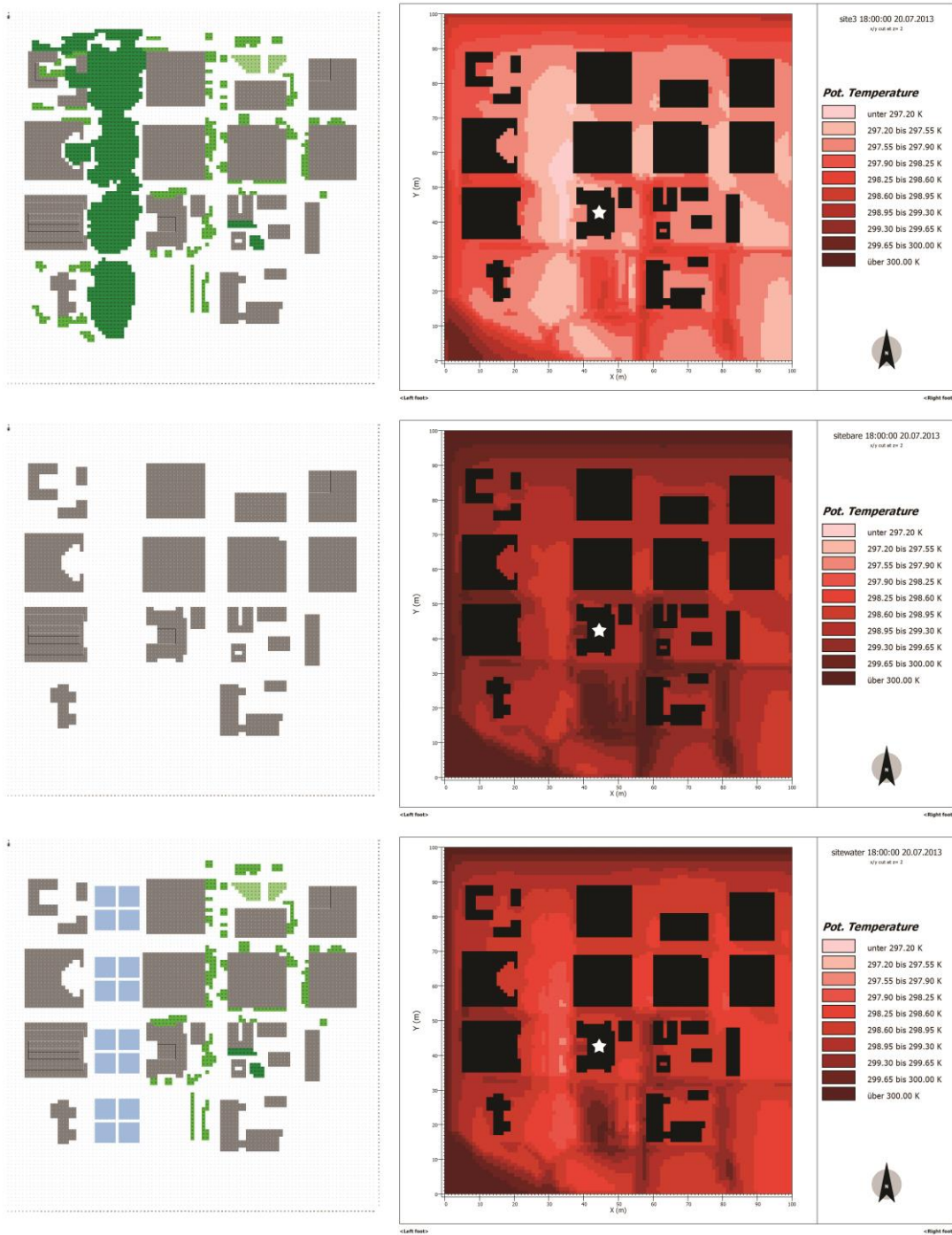
Figure 6: Left, first scenario, the actual situation. Middle, the second scenario, the campus with no vegetation. Right, the third scenario, the park is replaced by water pools. Shattuck Hall Building is highlighted with a white star at the centre.
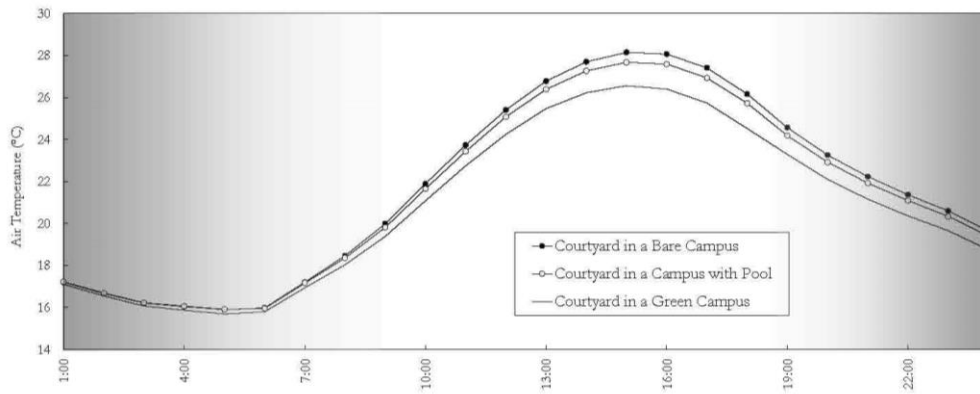
Figure 7: The air temperature of Shattuck Hall courtyard in the three campus scenarios.

4.2. Scale 2: the three courtyards

In this phase of the study, three courtyards in the campus were studied. These courtyards are numbered in Figure 1-a), as the first, third and seventh location. Although the materials and the configurations of the spots (buildings) are not identical, the main aim of this phase of the study was to see how the air temperature differs in these microclimates at the same time. As it is shown in Figure 8, the left hand courtyard (Shattuck Hall) is bare, the middle one has vegetation and the right one has a water pool at its centre.
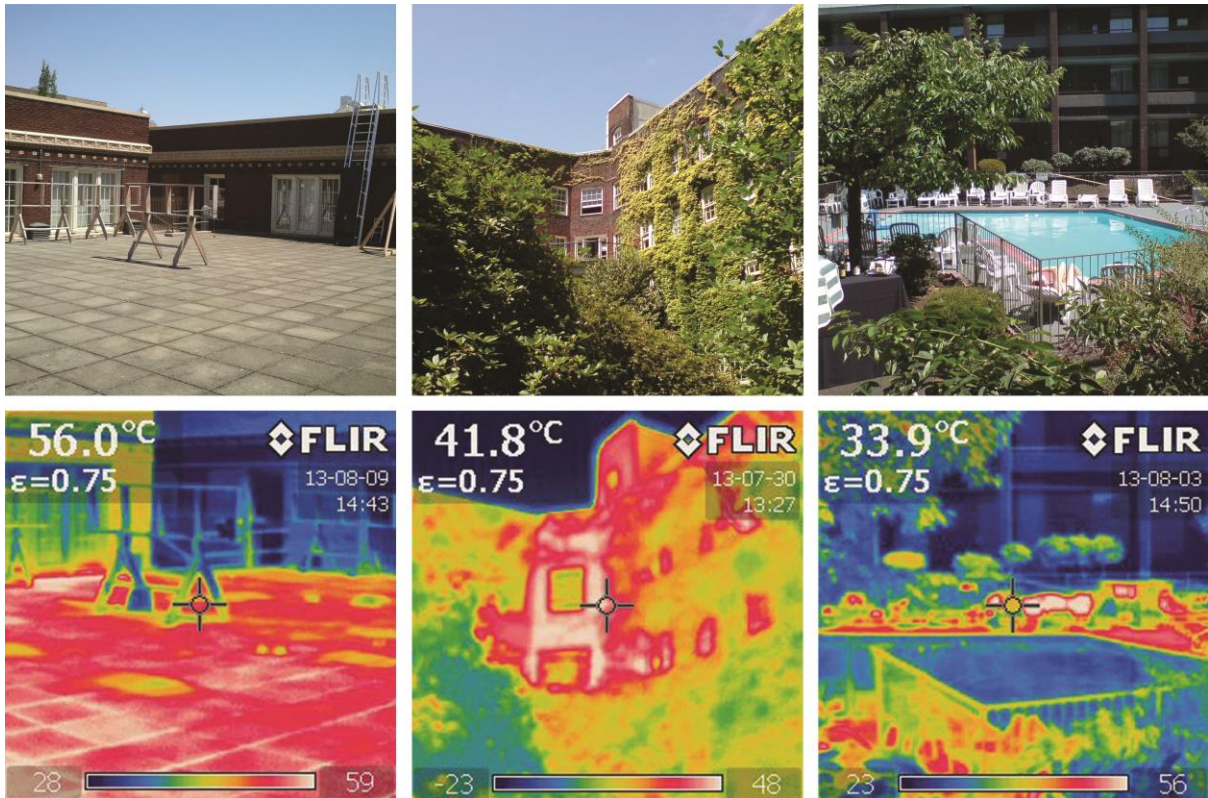
Figure 8: The three measured courtyards: bare, green and with water pool (points 3, 1 and 7, respectively in Figure 1-Phase 1).

The air temperature and relative humidity in these courtyards are plotted in Figure 9. As it is seen, the first courtyard in Shattuck Hall that is bare has the highest peak air temperature (maximum 33.3°C at 16:30 PM). This courtyard has the lowest temperature and relative humidity during night among the other buildings, as well. The maximum diurnal temperature and relative humidity variation (ΔT and ΔRH) were 18.1°C and 65.3%, respectively. In contrast, the courtyard with vegetation has the smallest diurnal fluctuation (ΔT= 11.5°C and ΔRH= 37.1%) with a maximum temperature recorded of 28.7°C (at 18:00 PM). The third courtyard with water pool had a thermal behaviour in between the previous two. Its peak temperature was very close to that in the bare courtyard (maximum 31.7°C). In this case, the maximum diurnal temperature and relative humidity variation (ΔT and ΔRH) were 15.0°C and 50.0%, respectively. To sum up, the maximum temperature differences between the green courtyard and the bare one was 4.7°C during the day. Moreover, vegetation made the second courtyard moderated (least fluctuated) in case of temperature and relative humidity variations.
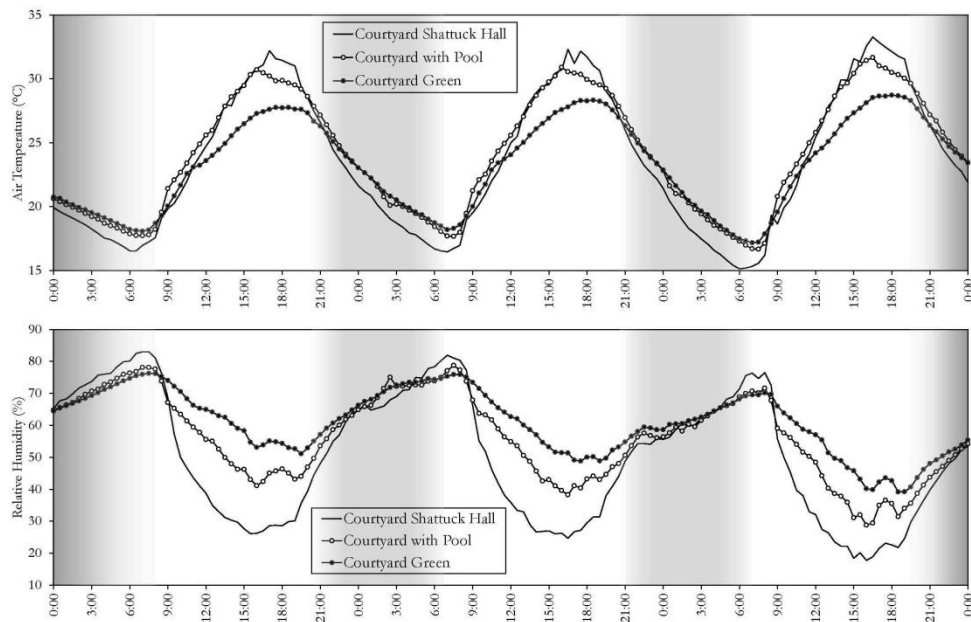
Figure 9: Air temperature and relative humidity in the measured courtyards.

The courtyards compared have different characteristics (such as their wall materials, pavements and dimensions). To investigate the effect of vegetation and water on the microclimate of a courtyard, the Shattuck Hall courtyard is simulated according to three scenarios (Figure 10). In the first one, the actual situation is simulated. In the second scenario, the ground of the courtyard is covered with grass. In the last scenario, a water pond is included in the bare courtyard. T_a and T_{mrt} at the centre of the courtyard on a summer day (July 20th) are compared in Figure 11.

As it is seen, among the models the bare courtyard has the warmest air temperature and the water pond courtyard the coolest air temperature, mainly in the afternoon. The higher heat capacity of water could be a reason for this. The difference in mean radiant temperature is clearly visible during the daytime. T_{mrt} rises drastically in all the three models around 6:00 AM due to irradiation by the sun. From 7:00 AM until 15:00 PM, the bare courtyard has the highest mean radiant temperature, and again the courtyard with water pond has the lowest. The maximum difference is 16°C at 13:00 PM. This result is in accordance with several studies which have shown that T_{mrt} could be even 30°C different in two areas with only a difference of 0.5°C in air temperature [54, 55]. In the evening, the bare courtyard that has a highly absorbing pavement (asphalt) is warmer than the other courtyards.
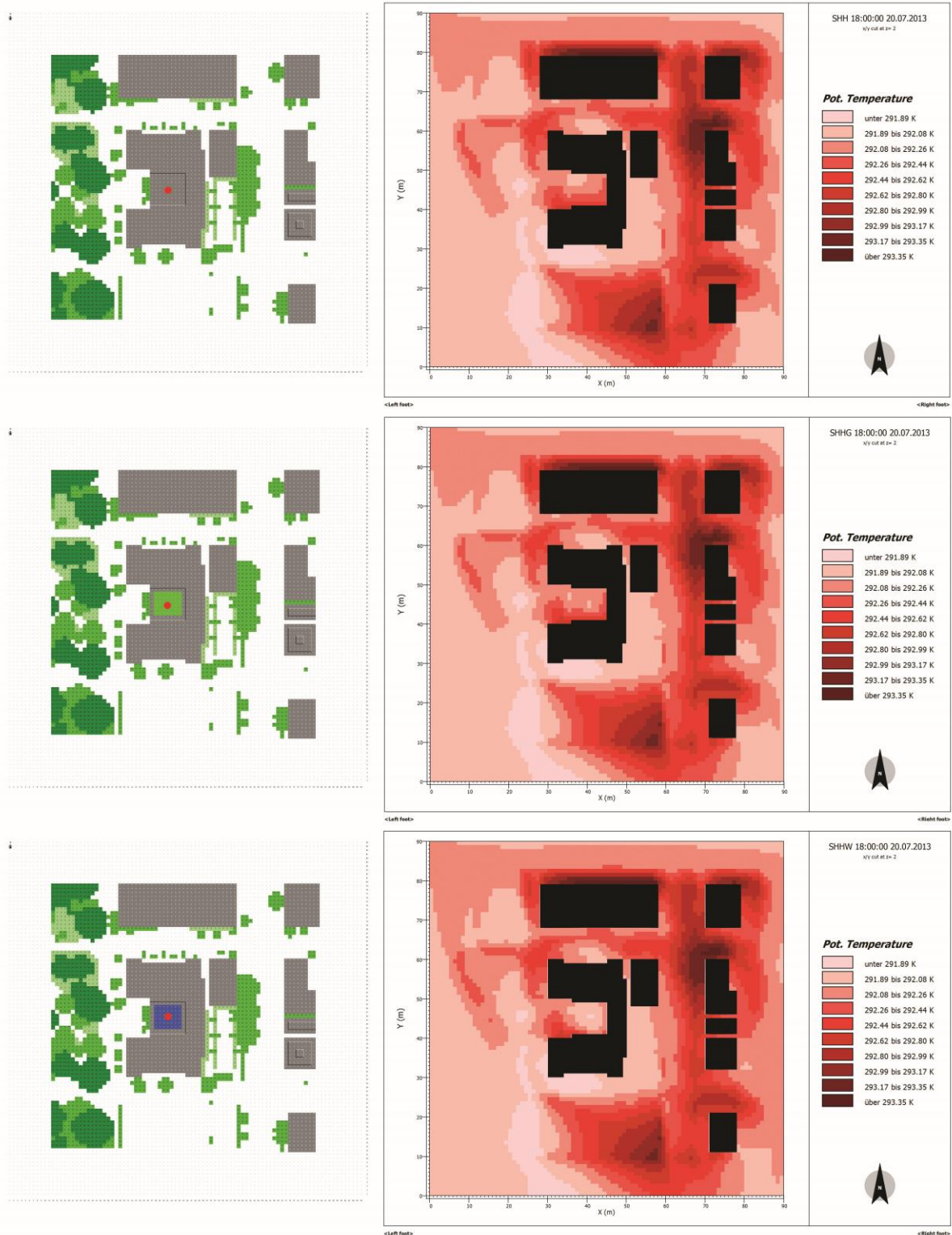
Figure 10: Air temperature in the three scenarios. Top: the bare courtyard, middle: the courtyard with grass, and bottom: the courtyard with water pond.
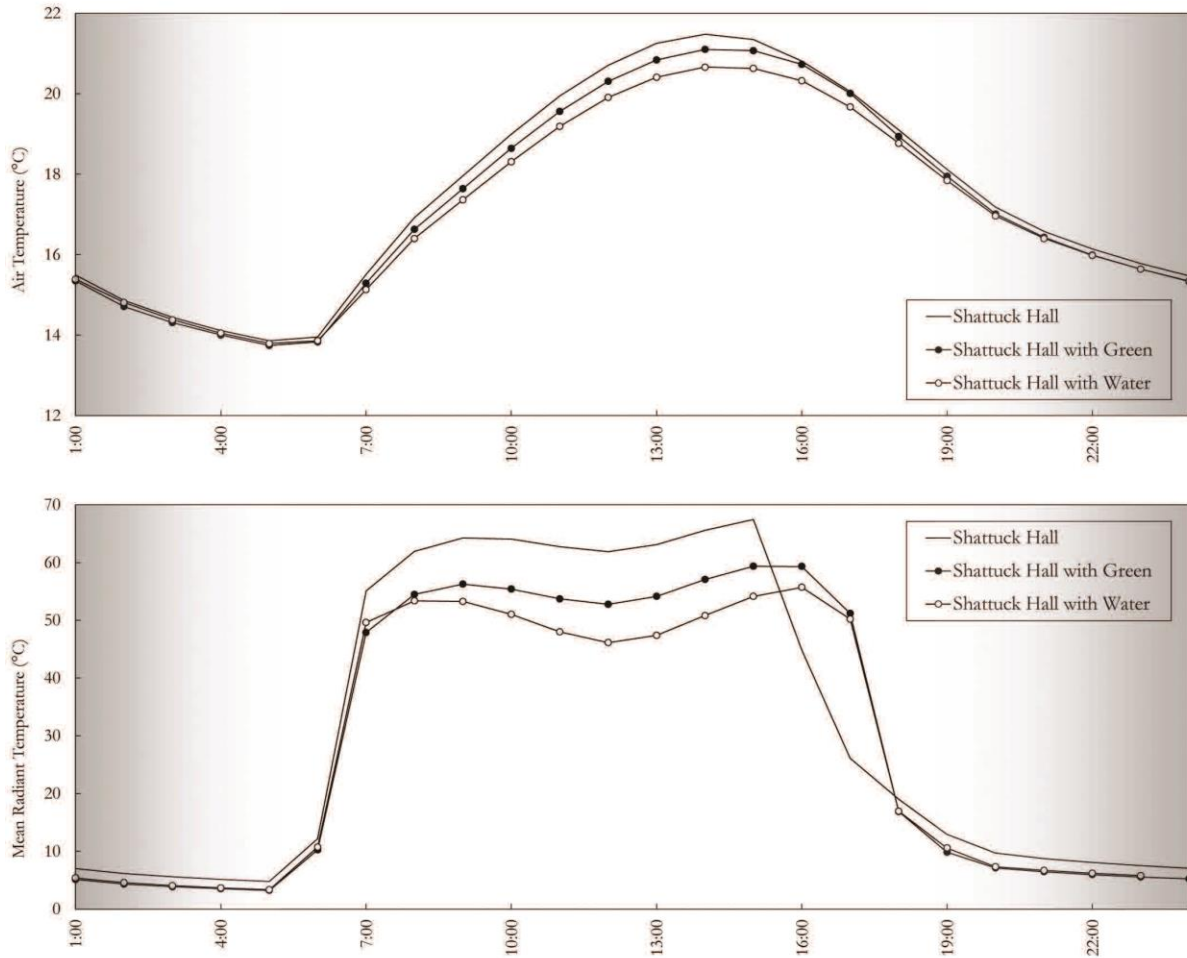
Figure 11: Air temperature (top) and mean radiant temperature (bottom) at the centre of the Shattuck hall courtyard according to the three scenarios: bare, green and with water pond.

4.3. Scale 3: Shattuck Hall

During the third phase, the effect of albedo was studied by changing the pavement surface on the Shattuck Hall courtyard. 5 * 5 m^2 of the existing pavement was covered with white and black cardboard (Figure 12). Infrared photography allowed observing the surface temperature differences at various moments (14:00 PM, 18:00 PM and 22:00 PM). Based on the spectrometer test, the albedos of the white and black cardboard were 0.91 and 0.37, respectively. Comparing the two situations, the contrast between the white pavement and its surrounding is more visible than between the black pavement and its surrounding at 14:00 PM and 18:00 PM. The corner of the courtyard shown in the figure is the place where the Eastern (right) and Northern (left) facades meet each other. At 14:00 PM, the eastern façade

(which had not received sun yet) is as cool as the white pavement; while the black pavement has a similar thermal behaviour to the northern façade (which had received sun from the early morning).
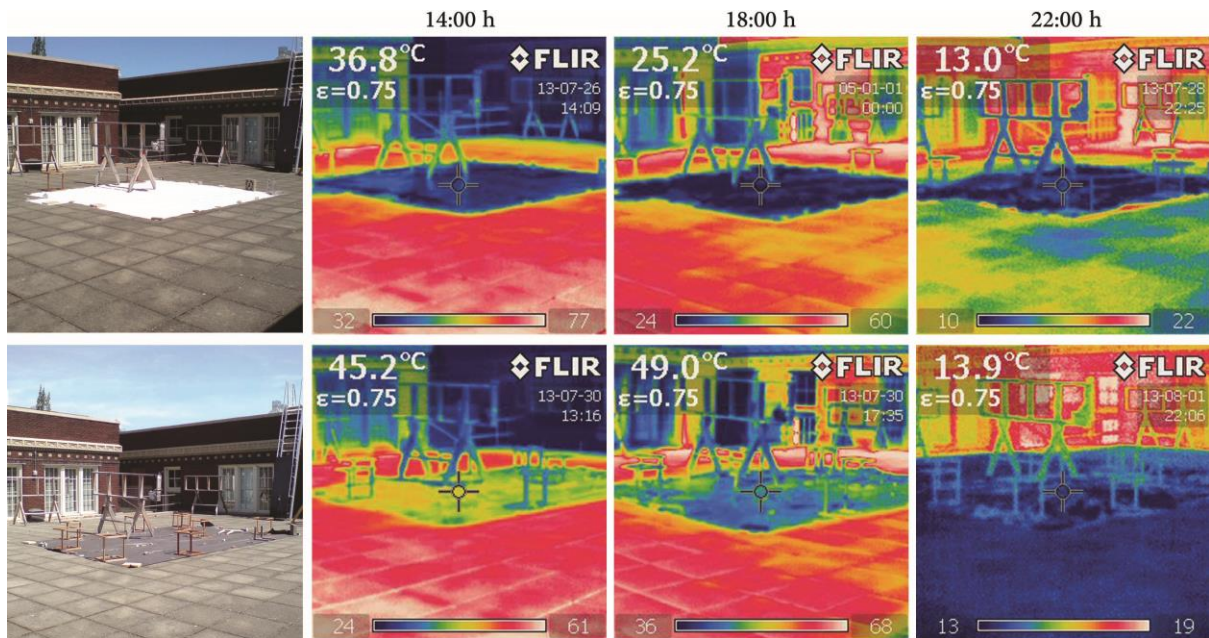

Figure 12: The effect of albedo change at different moments.

Figure 13 compares the new pavement (white and black) temperatures in accordance with the ambient air and the surrounding pavement temperatures. The white pavement temperatures are close to the ambient air temperatures. In contrast, the black pavement temperatures differ much from the ambient air temperatures. This is due to the higher albedo of the white pavement compared to the black one. The white pavement has absorbed less sun during the day, and its surface temperature is 38°C cooler than that of the surrounding surfaces at 14:00 PM, and 23.5°C on average during the day. This daily average difference between the black pavement and its surroundings was 9.8°C.
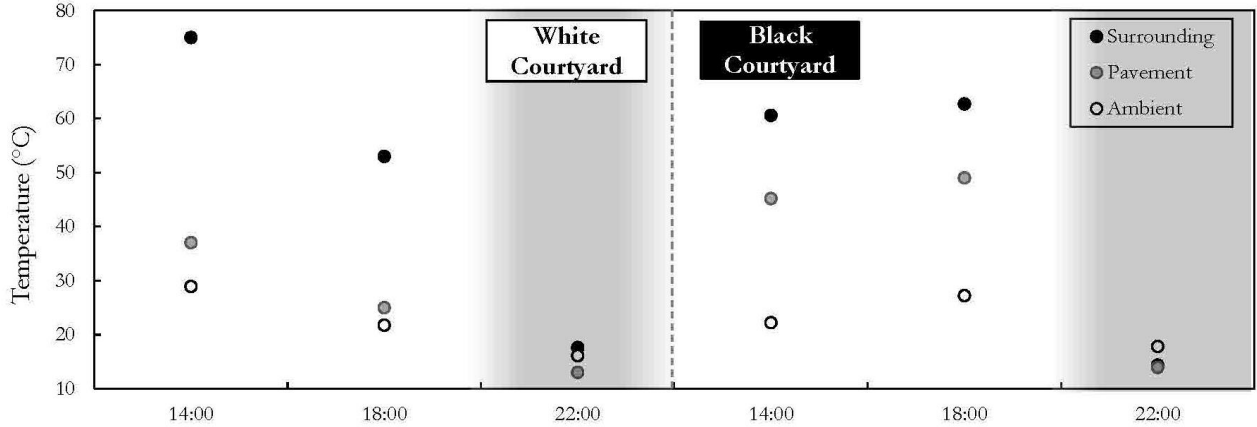
Figure 13: Temperature differences between surfaces of surrounding, white and black pavements and the ambient air.

Continuously measuring the black globe and air temperature at this building (1.5m height at the centre of the courtyard) made it possible to calculate the mean radiant temperature (T_{mrt}) to estimate the thermal comfort situation with white and black pavements. T_{mrt} sums up all short and long wave radiation fluxes (direct and reflected) on a specific point. This parameter is calculated with the following equation:

$$T_{mr} = [(GT + 273)^4 + \frac{1.1 \times 10^8 \times v_a^{0.6}}{\varepsilon \times D^{0.4}}(GT - T_a)]^{0.25} - 273 \tag{1}$$

Where

T_{mrt} is the mean radiant temperature (°C),

GT is the globe temperature (°C),

v_a is the air velocity near the globe (m/s),

ε is the emissivity of the globe which normally is assumed 0.95,

D is the diameter of the globe (m) which typically is 0.15m, and

T_a is the air temperature (°C).

As it is shown in Figure 14, when using the white pavement, the globe temperature at the courtyard is much higher than when using the black pavement. This is due to the higher albedo of the white pavement. In this situation, the globe temperature receives more radiation when using the white pavement. Comparing these two, the average globe temperature in the courtyard is 2.9°C higher than in the east plaza when using the white cardboard and 2.0°C higher when using the black cardboard. This shows that using a bright pavement increases the globe temperature by almost 1°C.

Considering the air temperature on the two spots, the east plaza is warmer than the courtyard with white pavement with a maximum temperature difference of 1.9°C. Contrary, the east plaza has only slightly higher air temperature than the courtyard with black pavement with a maximum difference of 0.6°C. This shows how pavement with low albedo can increase the ambient air temperature in a microclimate.

Discussing mean radiant temperature (T_{mrt}) which is the most important factor to determine thermal comfort, Figure 12 shows how it differs when using white and black pavements. In general, the courtyard has a continuously higher T_{mrt} than the east plaza. In case of a white pavement, the differences are much higher than in case of a black pavement. Clearly, the average T_{mrt} of the courtyard with white pavement is 12.4°C higher than the east plaza. This difference reduced to 2.9°C with the black pavement.

From thermal comfort point of view, having the lower mean radiant temperature with the black pavement leads to higher thermal comfort for a pedestrian because lower reflected sun is reflected from the ground. In contrast, the black pavement that reflects less sun and gets warmer than the white pavement. Therefore, this roof can conduct and radiate its heat to the indoor environment of the building, and consequently can increase the cooling demand of the building. This effect of outdoor heat mitigation on indoor energy demand could be useful for designers to consider the consequence of outdoor heat mitigation strategies.
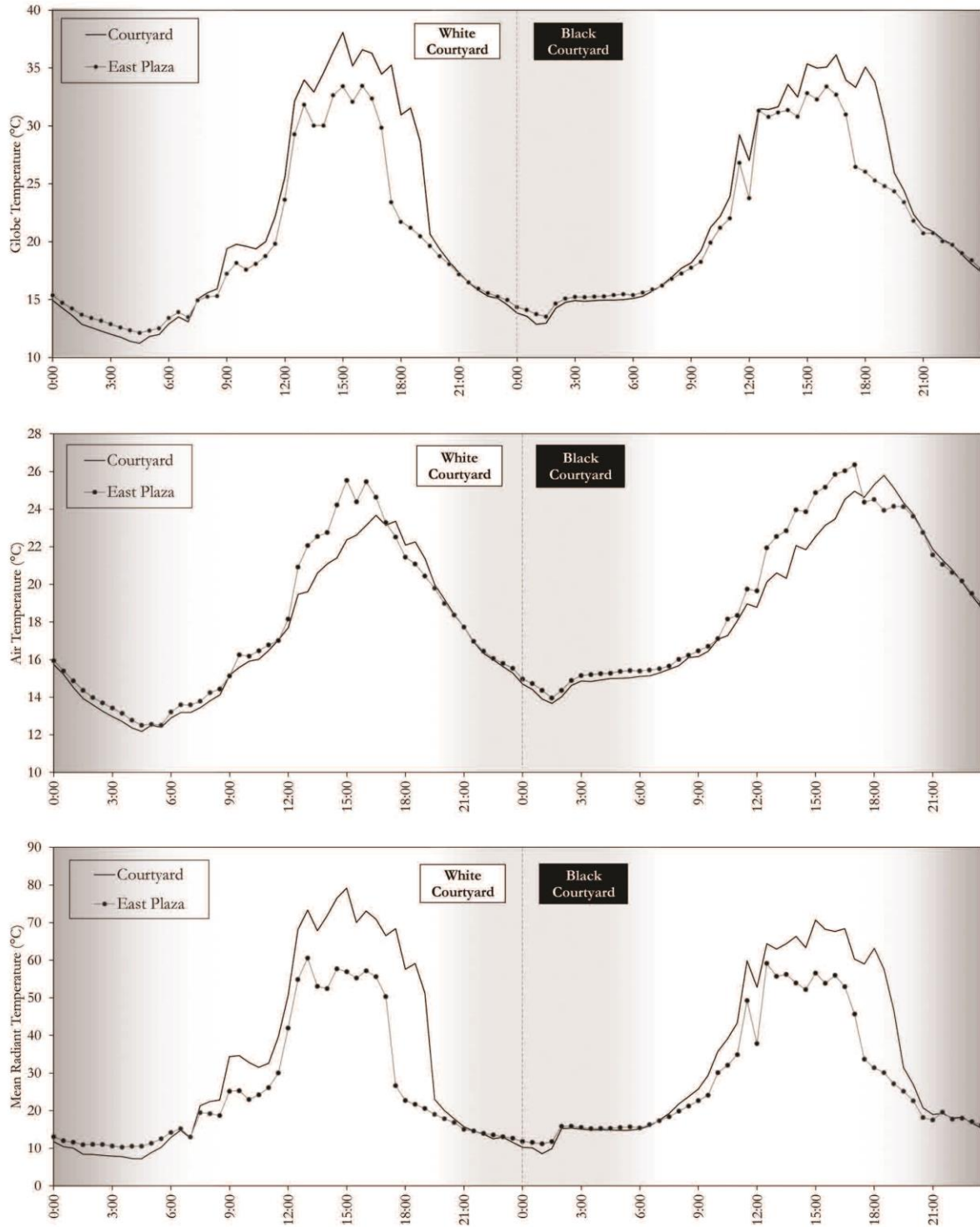
Figure 14: The globe, air and mean radiant temperature when using white and black
pavements.

5. Conclusion

This research investigated different heat mitigation strategies through measurements and simulations in a university campus area in Portland, Oregon, USA. The study analysed local urban climate conditions in July and August of 2013 at three scales: the university campus, three courtyard buildings with different characteristics, and finally, one of the university buildings.

In the first phase, seven locations on the campus were measured. The maximum park cooling effect reported (i.e. temperature difference between a cool park and another location) was 5.8°C between the campus park and a parking lot with asphalt pavement (located 250 m apart). Moreover, the vegetation of the park as an obstruction, made the microclimate of the park more moderate (with less temperature fluctuations) as compared to the other measured locations. Furthermore, the campus was simulated for three different scenarios: the actual campus, a campus with water pools instead of a campus park, and the campus without any vegetation. It was found that the peak air temperature in the Shattuck Hall courtyard was 0.5°C and 1.6°C cooler in case of the park replaced by water bodies and in case of the existing park, respectively, compared to the bare campus. Since public transportation and asphalt pavements are inevitable in educational campuses, these findings could be useful for planners and designers to consider the cooling effect of vegetation and water within the public areas of university campuses. Moreover, there is a body of literature that confirms the environmental and psychological effects of natural elements in educational spaces.

In the second phase, three courtyard buildings on the campus with different characteristics were compared (one with vegetation, one with water bodies and a bare one- Shattuck Building courtyard). The air temperature in the bare courtyard was recorded as the highest and in the green courtyard as the lowest. The maximum temperature difference recorded was 4.7°C (at 16:30 PM). To have a clear understanding of the role of vegetation and water, simulations were performed for the bare courtyard. The courtyard was modelled in its current configuration and using test cases where the courtyard was first greened with vegetation or filled with a water body. The case with a water pond reduced the mean radiant temperature by 15.8°C compared to the bare situation.

In the last phase, the courtyard of the Shattuck Building was used to study the effect of albedo change. The existing pavement was partially covered with black and white cardboard with albedo of 0.37 and 0.91, respectively. It was observed that the black treatment reduced the globe temperature and consequently mean radiant temperature, but increased the local air temperature. In contrast, the white treatment significantly increased the globe and mean

radiant temperature (0.9°C and 2.9°C respectively) while producing a cooler local air temperature (1.3°C). This phase showed how surface colours could affect indoor and outdoor thermal comfort in public and urban spaces.

This research suggests that in the temperate climate of Portland, vegetation and water bodies can reduce air temperature and significantly mean radiant temperature in canyons. This is in accordance with several studies that have shown the importance of using natural elements in urban areas. Finally, this paper mainly addressed air temperature and mean radiant temperature as key factors affecting outdoor thermal comfort; while, future studies can make this study more advanced with showing the role of moisture and other indices on outdoor thermal comfort in urban canyons. Considering the fact that most of metropolitan cities like Portland have university and educational campuses, planners and designers can use the benefit of greening these spaces as a strategy to mitigate urban heat island.

Acknowledgment

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