

Towards Automation of Dynamic-Gaze Video Analysis Taking Functional Upper-Limb Tasks as a Case Study

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ABSTRACT

Background and objective: Previous studies in motor control have yielded clear evidence that gaze behavior (where someone looks) quantifies the attention paid to perform actions. However, eliciting clinically meaningful results from the gaze data has been done manually, rendering it incredibly tedious, time-consuming, and highly subjective. This paper aims to study the feasibility of automating the coding process of the gaze data taking functional upper-limb tasks as a case study.

Methods: This is achieved by developing a new algorithm capable of coding the collected gaze data through three main stages; data preparation, data processing, and output generation. The input data in the form of a crosshair and a gaze video are converted into a 25Hz frame rate sequence. Keyframes and non-key frames are then obtained and processed using a combination of image processing techniques and a fuzzy logic controller. In each trial, the location and duration of gaze fixation at the areas of interest (AOIs) are obtained. Once the gaze data is coded, it can be presented in different forms and formats, including the stacked color bar.

Results: The obtained results showed that the developed coding algorithm highly agrees with the manual coding method but significantly faster and less prone to unsystematic errors. Statistical analysis showed that Cohen's Kappa ranges from 0.705 to 1.0. Moreover, based on the intra-class correlation coefficient (ICC), the agreement index between computerized and manual coding methods is found to be (i) 0.908 with 95% confidence intervals (0.867, 0.937) for the anatomical hand and (ii) 0.923 with 95% confidence intervals (0.888, 0.948) for the prosthetic hand. A Bland-Altman plot also showed that all data points are closely scattered around the mean. These findings confirm the validity and effectiveness of the developed coding algorithm.

Conclusion: The developed algorithm demonstrated that it is feasible to automate the coding of the gaze data, reduce the coding time, and improve the coding process's reliability.

Keywords

Video analysis, image processing, fuzzy logic, gaze tracking, fixation duration, upper limb tasks.

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43 1. Introduction

44 Studying gaze behavior is a growing area of research in motor control that has led to a better
45 understanding of how humans learn to efficiently use the surrounding environment's
46 information during task performance [1, 2]. For instance, during manual tasks that involve
47 completing some sub-actions, humans tend to plan the sub-actions [3]. This is supported by the
48 fact that we "look ahead" in time onto the object related to the forthcoming sub-action even,
49 sometimes, before we finish the prior sub-action [3, 4]. Moreover, during manual task
50 performance, the gaze is used in a way in which it is intimately linked to specific requirements
51 of the task [5-8]. The gaze is predominantly fixated on objects/locations relevant to the manual
52 task.

53 In contrast, irrelevant objects/locations are rarely fixated, reflecting the selective nature of
54 humans' visual perception during manual task performance [9]. The ability of using the gaze
55 only when and where it is needed during manual performance suggests that gaze behavior
56 provides a proxy for the amount and location of attention required to perform a motor task
57 (i.e., reach to grasp an object). The amount of attention itself seems to be a function of the
58 level of experience/practice. Therefore, gaze, presumably, can indicate the degree of motor
59 learning [10-14]. The total number of fixations, gaze duration, scan paths, and the number of
60 transitions between areas of interest (AOI) are classic examples of gaze-related parameters.
61 These parameters have been repeatedly used in previous studies [10-15] to indicate learning.

62 Upper limb prostheses are medical devices designed to restore the shape and function of the
63 missing limb segment(s) following upper limb amputation or for people born without an upper
64 limb. There has been a tremendous investment in research focusing on upper limb prosthetic
65 technology, which led to the introduction of sophisticated multi-articulated "smart" hands.
66 These hands offer the amputee the ability to actively grasp objects using various grasping
67 patterns selected based on control signals from the residuum muscles. This development may
68 improve the functionality (i.e., ability to perform tasks) and/or support more natural
69 approaches to grasping. However, there are limited reports on the extent to which these
70 assumptions are correct [16], and there are very few publications on real-world use (or non-
71 use) of such devices [17]. Perhaps, one of the factors that may relate to this observation is the
72 visual attention required to control prosthetic devices. Various approaches, including EEG
73 analysis and gaze analysis, have been used to characterize attentional demands during the
74 performance of tasks using myoelectric controlled prosthetic hands [18]. Findings from studies
75 such as these consistently show distinct differences between behaviors seen during upper limb
76 task performance with the anatomical hand compared to the prosthetic hand, reflecting
77 increased cognitive load [15]. This is likely due to the open-loop nature of commercial
78 prosthetic hand controllers and uncertainty in response to commands introduced at the socket-
79 limb interface [19].

80 In the case of gaze behavior analysis methods, recent studies have focused on the
81 determination of AOI. Muthumanickam *et al.* [20] introduced a method to automatically
82 identify spatial AOIs changing over time through a combination of clustering and cluster
83 merging in the temporal domain. Their work determines the AOI over long durations, though it
84 can be applied to other domains such as monitoring complex systems. Chukoskie *et al.* [21]
85 reported a neural network method to determine the AOI. Mohseni *et al.* [22] reported a
86 classification method for five complex functional upper-limb movements using pre-movement

87 planning and preparation recording of EEG data. However, the feature extraction model
88 learning and classification were carried out offline in this study.

89 Other studies attempted to understand the differences between the anatomical and prosthetic
90 gaze behaviors during manual task performance [15, 23]. In these studies, the gaze analysis
91 involved defining at which objects the gaze fixation took place in the visual scene ahead of the
92 user while performing the task and the gaze fixations' duration at each object. For this purpose,
93 the objects (and sometimes multiple areas within objects) are defined virtually in the scene,
94 and then a rater went through the recorded videos, frame by frame, to code the gaze. This
95 process is too time consuming and highly subjective and is inevitably prone to unsystematic
96 error [24]. Therefore, there is a need for technology-assisted methods to overcome these
97 limitations. To identify more promising methods for evaluating prostheses that could be used
98 in early-stage studies of novel designs, it is first necessary to better understand what factors
99 are closely associated with the ease of control of a prosthesis [25, 26]. Secondly, there is a need
100 to identify the extent to which studying prosthesis control with anatomically intact subjects is
101 a valid approach.

102 An interesting attempt to automatically define gaze data is reported by Lavoie et al. [27] and
103 reused by Williams et al. [28, 29] and Hebert et al. [30]. The reported approach relies on
104 measuring the distance between the objects (i.e., AOIs) defined by marker data and a gaze
105 vector defined by the eye tracker. In this method, a gaze fixation is considered when the
106 distance between the gaze vector and AOI is under a particular minimum distance value. The
107 velocity from gaze vector to AOI is also adequately low (0.5 m/s). The minimum distance values
108 seem to be specific to the experimental setup used in these studies. This neat approach also
109 requires using an infrared-based motion capture system to define the AOIs. Such costly systems
110 may not be available for use in many research centers and defiantly unavailable in most clinical
111 sites, limiting this approach's usability. A motion capture system also limits the ability to
112 explore gaze behaviors in a more realistic environment (i.e., therapeutic apartments) as it
113 requires specialized room settings.

114 The work presented in this paper utilizes an existing gaze dataset reported in Sobuh *et al.* [15].
115 It aims to study the feasibility of automating gaze video analysis [taking functional upper limb
116 tasks as a case study](#). This is achieved by developing a new algorithm based on image processing
117 techniques and a cascaded fuzzy-logic controller. The proposed algorithm can automate the
118 coding process to elicit clinically meaningful results from the gaze data, thus saving time and
119 minimizing potential human errors.

120 **2. Methods**

121 *2.1 Study subjects*

122 Four unilateral trans-radial amputees, three males and one female with a mean age of 49.25
123 years (range: 35 – 56 years), were given written consent and participated in the study. All the
124 participants use a myoelectric upper-limb prosthesis. The study was approved by the University
125 of Salford Ethics Committee (Ref# REP11/028) and Northwest 10 NHS Research Ethics
126 Committee (Ref: 11/NW/0060).

127 *2.2 Experimental considerations*

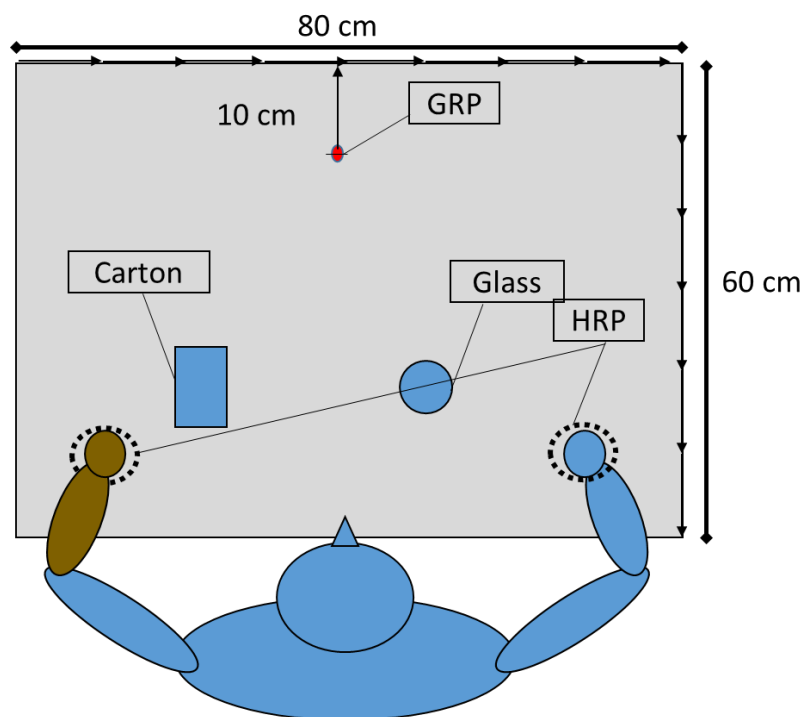
128 The experimental setup is fully detailed in the study by Sobuh *et al.* [15]. In essence, the
129 subject's gaze behaviors were recorded while performing ten repeats (trials) of a functional

130 task, namely water pouring, first with the anatomically intact hand, then with the contra-lateral
131 prosthetic arm. The task was performed from a sitting position, and both hands are rested on
132 a predefined position on a table, as seen in Figure 1. The subjects were instructed to reach for
133 a (9.5 x 7 x 23 cm) squeezable juice carton (filled with 200 ml of water) placed on a predefined
134 location on the table, pick it up, then pour all of the water from the carton to a glass. Finally,
135 the subject was required to place the carton back at its starting point, release the carton, and
136 return the hand to its starting point.

137 Before starting each attempt at the task, the subject was instructed to focus on a marked "gaze
138 reference point" (GRP) in the center of the table (approximately 10 cm from the distal edge of
139 the table) to prevent subjects from fixating the carton before task onset. During task
140 performance, subjects were allowed to move their eyes freely. Furthermore, head movements
141 during task performance were unconstrained. At the end of each trial, subjects were instructed
142 to return their gaze to the GRP.

143 The experimental work was carried out in the morning under the same testing settings in a gait
144 laboratory with the same lighting conditions. Gaze behaviors were recorded using iView X™
145 HED 2 (SenseMotoric Instruments GmbH, Tellow, Germany) eye-tracking system. The recorded
146 gaze data represented a video file that displayed a scene ahead of the subject with an
147 embedded crosshair in each trial. The anatomical and prosthetic hands were tested in each
148 session, and the marker and gaze data were collected. The marker data (not discussed in this
149 paper) was used to calculate the upper limb's kinematic characteristics (with and without a
150 prosthesis) while completing the functional task. Each session lasted for about an hour,
151 distributed as follows:

- 152 1. Obtain written consent, including reading the participant information sheet, explaining the
153 study protocol, demonstrating the task completion, and answering any relevant questions
154 (about 20 minutes).
- 155 2. Attach the markers to the body and calculate the center of rotation of the shoulder joint
156 (about 20 minutes).



157

158 **Figure 1.** Experimental setup for the performed tasks, Sobuh et al. [15]

159 3. Data collection according to the following order:

160 (a) Collecting gaze and marker data for the intact limb (Condition 1).

161 (b) Quick inspection for the collected data (about 10 minutes).

162 (c) Collecting gaze and marker data for the prosthetic limb (Condition 2).

163 Each participant completed the task 10 times, and each trial (attempt to complete the task)
164 took at most 15 seconds for the anatomical hand and 25 seconds for the prosthetic hand. The
165 total data collection duration for both conditions was less than 8 minutes (about 3 minutes for
166 Condition 1 and 5 minutes for condition 2). As the actual testing (i.e., data collection) period
167 for both conditions was less than 10 mins, the effect of the subject's fatigue on the results is
168 considered negligible [31, 32].

169 In this study, two test conditions, using anatomical and prosthetic hands, are considered for
170 coding each of the subjects involved. Each test is based on five trials data, resulting in 40 coded
171 trials of gaze data. The Gaze data is coded twice: once manually, where a rater goes through
172 gaze data frame by frame to identify which AOIs are fixated throughout the gaze trail. The data
173 is then exported to the algorithm designed for this study to determine which AOIs are fixated
174 throughout the gaze trial, then label the gaze data.

175 The proposed coding algorithm consists of three main stages: data preparation, data
176 processing, and output generation. Each stage performs specific tasks towards obtaining a
177 stacked color bar representing the location and duration of gaze fixation at AOIs in each trial.

178 *2.3 Data preparation*

179 The data preparation stage consists of two stages: preprocessing and keyframes detection. The
180 main tasks performed in each of these stages are outlined as follows.

181 *2.3.1 Preprocessing*

182 At this stage, the eye tracker collects data as a crosshair image and a gaze video clip. The
183 crosshair image has a fixed feature (i.e., shape and color); thus, it can be detected directly using
184 its preloaded image. The gaze video can be optionally cropped to remove unnecessary parts of
185 the video (e.g., irrelevant initial recording, if any). This reduces the processing time by focusing
186 on a particular area of interest. The gaze video is then converted into frames sequence and
187 used to detect the AOIs of the non-key frames.

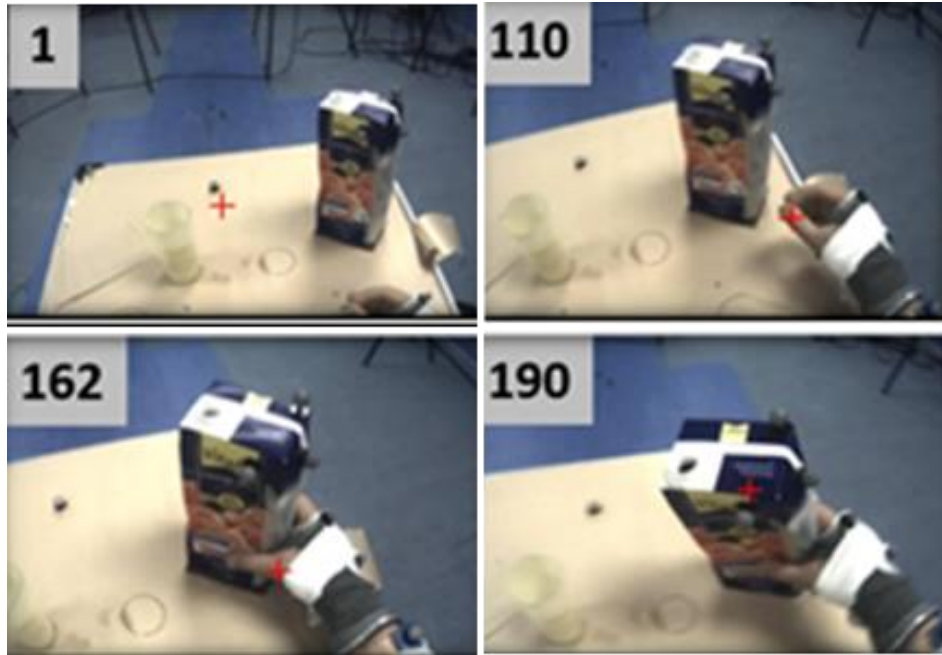
188 Unlike the crosshair image, the AOIs feature of the video frames/images, captured from the
189 head-mounted scene camera, changes from one frame to another, as shown in Figure 2. The
190 AOIs, therefore, cannot be detected from a single image/frame, as in the case of the crosshair.
191 Also, some AOIs may appear after the video clip's onset; for instance, the hand only appears
192 sometime after the first frame in Figure 2. These challenges are addressed by detecting
193 keyframes, which help manual identification of the AOIs by a specialist.

194 *2.3.2 Keyframes detection*

195 When a prosthetic user video is watched with a frame rate of 25 frames per second, the frame
196 changes can be noticed every few seconds. This means that the first three seconds might be
197 very similar, with a little difference between the 75 frames. Then frame number 76 may have
198 a big difference, such as the appearance of new AOI (hand), so to reduce the required
199 processing time, we introduce a new idea called a Keyframe.

200 Keyframes (KFs) are the gaze-data frames that exhibit significant feature differences from one
 201 to another. The first frame of the gaze video is, therefore, always considered a keyframe. Other
 202 KFs are detected by a fuzzy-logic controller based on three inputs, shown in Figure 3. Each input
 203 represents a measure for the level of change between two consecutive frames (e.g., Frame 1
 204 and Frame 2, then Frame 2 and Frame 3, and so on). The first input that represents the absolute
 205 difference between the current frame/image and the previous one of the red, green, and blue
 206 color components is calculated as follows:

$$\Delta I_{(rgb)} = I_{n(rgb)} - I_{n-1(rgb)} \quad (1)$$

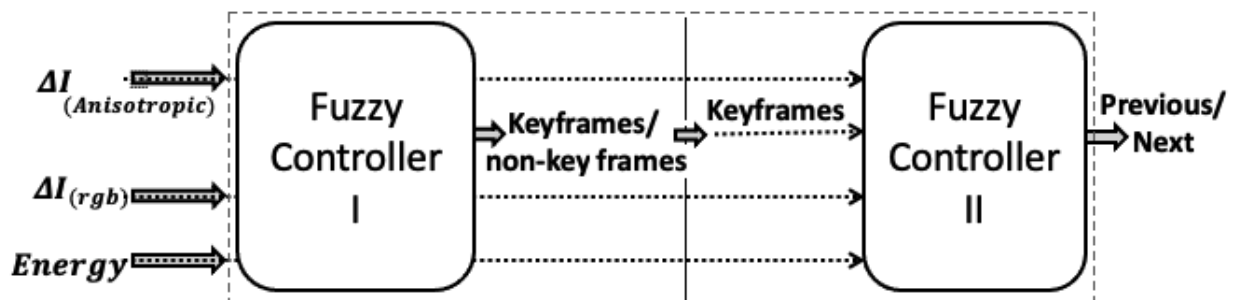


207
 208 **Figure 2.** Example showing how the carton object changes in orientation and shape across different frames
 209 (labeled 1, 110, 162, and 190) while the shape of the crosshair (red cross) remains fixed

210 The main challenge in using a color difference is its sensitivity to the lighting conditions. To
 211 eliminate this effect, an anisotropic diffusion filter [33] is used to smooth the image and
 212 preserve the leading edges. The absolute difference between the images is calculated in (2) and
 213 used as a second input to the controller.

$$\Delta I_{(Anisotropic)} = I_{n(Anisotropic)} - I_{n-1(Anisotropic)} \quad (2)$$

214 The last input is the energy-absolute difference between the current image and the previous
 215 one. To calculate the energy of an image, a gray level co-occurrence matrix [34] is calculated.



216
 217 **Figure 3.** Simplified block diagram of the cascaded fuzzy logic controllers

218 The co-occurrence matrix size of the grayscale image in gaze data videos is 256x256. This size
219 is scaled down to an 8x8 matrix to reduce the calculation time. The energy of the image is then
220 calculated as follows:

$$221 \quad \text{Energy} = \sum_{i=0}^7 \sum_{j=0}^7 G^2(i, j) \quad (3)$$

222 where G is the value of the gray level co-occurrence at index (i, j) ; i is a row number, and j is a
223 column number.

224 The three inputs of the fuzzy controller are then normalized between -1 and 1, as follows:

$$I_{Norm} = 2 \left(\frac{I - I_{Min}}{I_{Max} - I_{Min}} \right) - 1 \quad (4)$$

225 Given the normalized inputs of the controller, a given frame is considered as a keyframe if:

- 226 • The high weight change in (2) is detected since the difference becomes large when there
227 are actual changes in the image because the lighting conditions are eliminated after
228 applying an anisotropic diffusion filter.
- 229 • A high weight change in energy and color difference is detected since any small change in
230 lighting conditions significantly affects the result.

231 The fuzzy output value that is determined in the aggregation stage is converted to a crisp value
232 (0 or 1) by calculating the area's center. For example, if the output value is higher than a certain
233 threshold, the frame is considered a keyframe (membership 1; otherwise, it is considered a
234 non-key frame (membership 2). In this study, a threshold value of 0.7 is found appropriate to
235 obtain the best results based on a trial-and-error method.

236 The fuzzy controller iterates through all frames (except for the first one), generating a group of
237 keyframes. The keyframes can therefore be detected even in slow-moving objects. However,
238 the limitation here is that a keyframe with an order F will be considered closer to frame $F-1$
239 than to the previous keyframe (e.g., if frames 1 and 100 are considered keyframes, then frame
240 99 is considered closer to keyframe 100 than to keyframe 1). To address this limitation, a
241 second fuzzy controller is cascaded to the first one. The keyframes detected by the first
242 controller are now fed as a fourth input to the second one, as shown in Figure 3. The second
243 controller iterates through all keyframes and eventually generates an output with two
244 membership functions: previous and next. The previous output designates that the current
245 frame is similar to the previous keyframe, while the next output designates that the current
246 frame is similar to the next keyframe.

247 The keyframe identification efforts performed by the single and cascaded controllers are tested
248 using a sample video of 603 frames. The number of keyframes detected in this video is 11
249 (1.8%). These keyframes require manual intervention to select the AOIs, while all other frames
250 (98.2%) are processed automatically by the developed algorithm. Table 1 shows the numbers
251 of the identified keyframes and the corresponding frame ranges (start and end frame numbers)
252 using both the single and cascaded controllers. It is worth noting that the cascaded controller
253 also improves the AOIs detection in non-key frames as the template matching (explained later
254 in Section 2.4.2) will be low in the frame immediately before the next keyframe if the second
255 fuzzy controller is excluded.

256

257 **Table 1.** Comparison between Keyframes detection using single and cascaded fuzzy controller

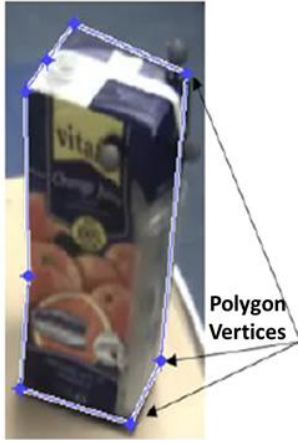
Keyframe #	First fuzzy controller		Cascaded fuzzy controllers	
	Start frame #	End frame #	Start frame #	End frame #
1	1	99	1	97
100	100	111	98	105
112	112	198	106	189
199	199	267	190	216
268	268	451	217	447
452	452	462	448	457
463	463	526	458	522
527	527	543	523	540
544	544	550	541	549
551	551	562	550	556
563	563	603	557	603

258 Figure 4 shows an example for keyframes 1 and 100 and the keyframes detected by the second
 259 controller. As illustrated, frames 98 and 99 are in the range of keyframe 100 instead of
 260 keyframe 1. Therefore, these two frames are considered closer to keyframe 100, especially in
 261 prostheses hand shape, since its larger part appears in those two frames compared to keyframe
 262 1.

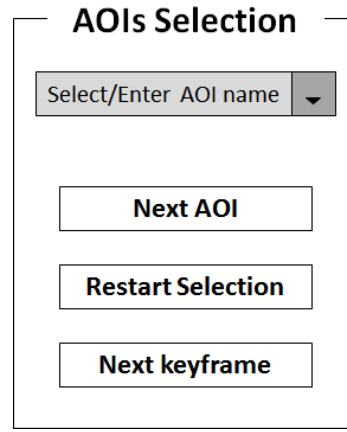
263 The selection of the AOIs is performed in terms of a group of polygon vertices, shown in Figure
 264 5(a). After defining the vertices, the polygon is closed by moving the mouse pointer and clicking
 265 on the initially selected point. Once the detection process completes, the identified keyframes
 266 are displayed to help the specialist select the corresponding AOIs. A user-friendly graphical user
 267 interface (GUI) shown in Figure 5(b) is developed to facilitate the manual selection of the AOIs.
 268 Initially, the specialist can select an AOI either from an existing dropdown menu or provide its
 269 name in a textbox. Then, s/he can either use the 'Next AOI' button to select another AOI or use
 270 the 'Restart Selection' button if an error exists. Once the AOIs' selection in a particular
 271 keyframe is completed, the specialist continues the selection process in other keyframes in a
 272 similar manner.



273
 274 **Figure 4.** Example results obtained from the second fuzzy controller demonstrating how the frames 98 and 99 are
 275 closer to keyframe 100 than keyframe 1.



(a) Object's polygon vertices



(b) Graphical user interface

276 **Figure 5.** An example demonstrating how the carton object is selected as an AOI, using polygon vertices

277 The algorithm uses the order in which the specialist selects the AOIs to prioritize the AOIs
 278 (i.e., the first AOI has the highest priority and the last one has the lowest priority). If AOIs
 279 overlap in a specific frame and the crosshair appears over the overlapped section, the frame
 280 is automatically labeled after the first AOI.

281 2.4 Data processing

282 At this stage, the crosshair and AOIs in the remaining non-key frames are detected by the
 283 algorithm, considering that the shape of AOIs may change slightly in these frames. The
 284 detection process is explained as follows.

285 2.4.1 Crosshair detection

286 The eye tracker generates a gaze position and projects it onto the scene ahead in a crosshair
 287 shape, as discussed earlier in the experimental considerations section. The user can select a
 288 distinctive crosshair color to ensure clarity in the scene. The color selection is, therefore, done
 289 before recording the gaze data by the eye tracker. In this experiment, a red crosshair is
 290 considered an appropriate choice. The crosshair detection is performed by converting the
 291 frame and crosshair images from RGB color space to YCbCr color space [35]. In the present
 292 work, the YCbCr is used to: (i) enhance the contrast between the preloaded crosshair image
 293 and its background and (ii) eliminate the effect of any changes to the background, as follows:

$$294 \begin{bmatrix} Y \\ C_B \\ C_R \end{bmatrix} = \begin{bmatrix} 16 \\ 128 \\ 128 \end{bmatrix} + \frac{1}{256} \begin{bmatrix} 65.738 & 129.057 & 25.064 \\ 37.945 & -74.494 & 112.439 \\ 112.439 & -94.154 & -18.285 \end{bmatrix} \cdot \begin{bmatrix} R \\ G \\ B \end{bmatrix} \quad (5)$$

295 where

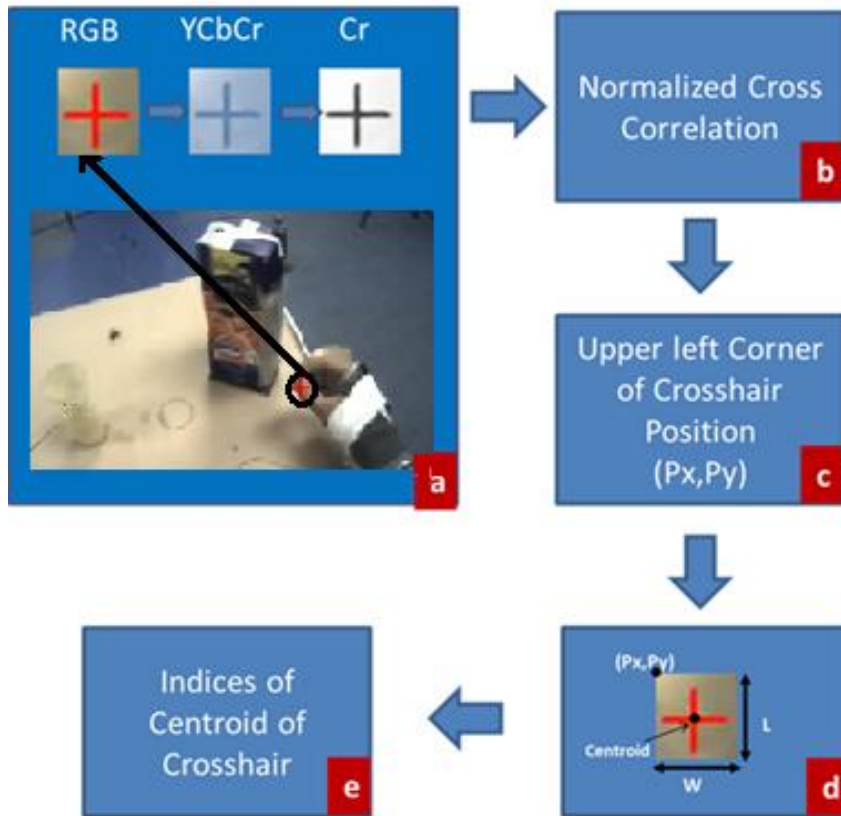
296 R, G, and B: Red, Green, and Blue are color intensity values

297 Y: Luminance component

298 C_B : Blue difference chroma component

299 C_R : Red difference chroma component

300 The stages of the crosshair detection process are shown in Figure 6. The red difference
 301 chrominance component (C_R), which represents the third layer in YCBCR color space, is initially
 302 obtained for both the frame and crosshair images (Figure 6a). Next, the normalized cross-
 303 correlation is applied, as shown in Figure 6b, and the obtained result is used to determine
 304 whether the crosshair exists in the frame or not.



305
306 **Figure 6.** Stages of the crosshair detection process

307 In the present experiment, a threshold of 0.6 (obtained by trial and error) is found appropriate
 308 to decide the crosshair existence. When the normalized cross-correlation result is greater than
 309 0.6, the extracting indices are used as the crosshair's centroid. Otherwise, the crosshair is
 310 considered missing; this can happen due to eye blinking or saccade. However, if the detection
 311 process fails in a particular frame due to an eye blink or saccade, it is automatically labeled
 312 "Missing data." Otherwise, indices of the crosshair centroid are obtained, as follows:

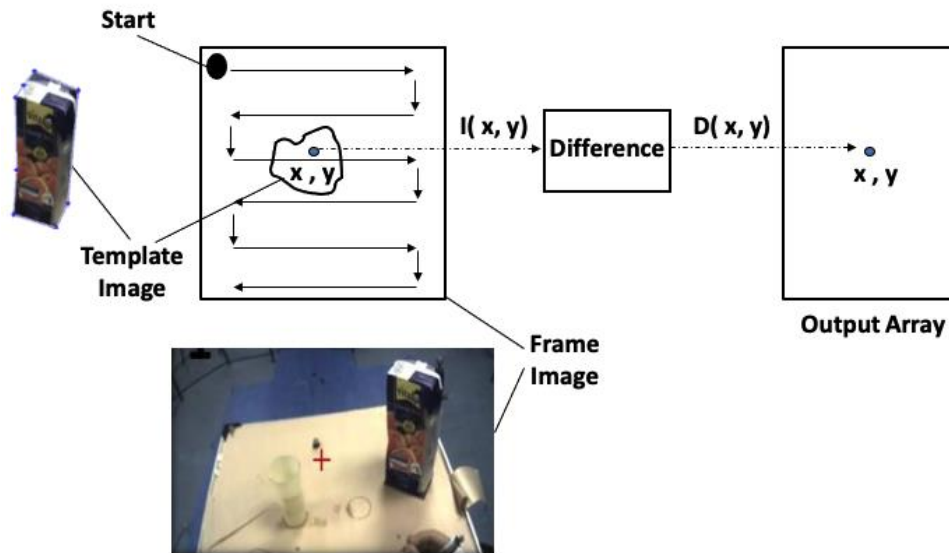
$$Centroid = [P_x, P_y] + \left[\frac{L}{2}, \frac{W}{2} \right] \quad (6)$$

313 where P_x, P_y represents the upper left corner of the crosshair position (see Figure 6c), and $L,$
 314 W are the length and width of the smallest rectangle enclosing the crosshair (see Figure 6d). It
 315 is worth mentioning here that the detected crosshair indices and the number of "Missing data"
 316 in each video are compared with that of the eye tracker to validate the crosshair detection
 317 process's correctness. The obtained results showed that they are 99% identical, validating both
 318 the detection algorithm and the chosen threshold. *Although it is available in the eye tracker,*
 319 *crosshair detection is used to generalize the developed algorithm for any gaze dataset*
 320 *regardless of its structure or format. Thus, no additional preprocessing or format conversion is*
 321 *required to handle data collected from different eye trackers.*

322 2.4.2 AOIs Detection in non-key frames

323 Unlike AOIs of the keyframes, which are defined manually by a specialist, AOIs in the non-key
 324 frames are detected automatically by the algorithm using a simple template matching
 325 technique. The matching process moves the template image to all possible positions in a larger
 326 input frame's image. This process is demonstrated in the block diagram of Figure 7. As
 327 illustrated, the matching process moves the template image, starting from the upper left

328 corner, with a fixed step within each frame to cover all possible positions in the frame's image.
 329 It computes a numerical difference that detects how well the template matches the image in
 330 that position. The results of these movements are stored in an output array from which the
 331 minimum value that represents the closest position is obtained. In this study, a movement step
 332 of 4 pixels is considered an acceptable compromise between accuracy and time complexity (i.e.,
 333 execution time) of the developed algorithm.



334

335 **Figure 7.** Schematic of the templet matching operation

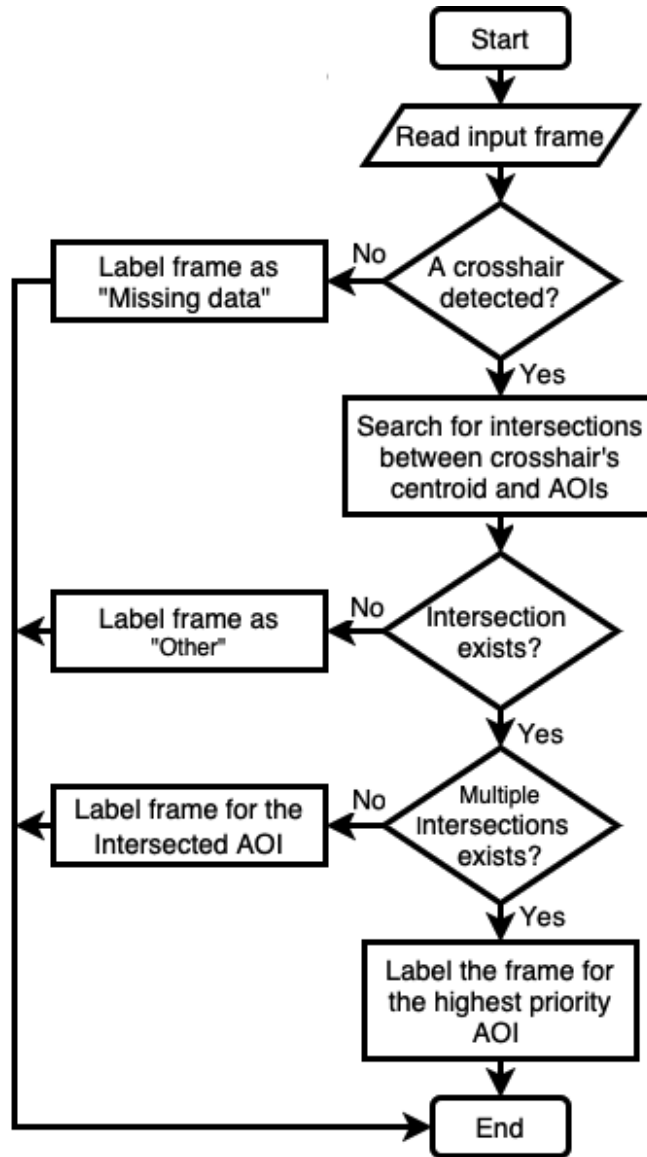
336 *2.5 Output generation*

337 Gaze coding involves labeling each frame of the gaze data with the name of a prespecified AOI
 338 depending on the gaze crosshair's location. Each AOI typically represents the objects in the
 339 scene of the gaze data. The frames can be labeled, after specifying an AOI, only if the crosshair
 340 is located within the specified AOI at that particular frame. In this part of the algorithm, the
 341 crosshair's relative position to the selected AOI at each frame is determined and counted.
 342 Postprocessing of the input data, the total fixation time for each AOI, including "Other" and
 343 "Missing data", are determined by the algorithm. This is achieved by iterating along all frames
 344 to obtain the crosshair's relative position to the AOIs. The instant and duration of obtaining the
 345 existing crosshair position is obtained for each AOI. As illustrated in the flowchart of Figure 8,
 346 the search process starts by examining whether a crosshair is detected in the frame or not. If
 347 not, the frame is labeled as "Missing data." Otherwise, the search continues for intersections
 348 crosshair's centroid and all AOIs. Now, if no intersection exists, the frame is labeled as "Other."
 349 Otherwise, the frame is either labeled for the intersected AOI (in case of a single intersection)
 350 or labeled for the highest priority AOI to detect multiple intersections. The highest priority AOI
 351 is the one that is firstly selected by the specialist.

352 Once the relative location of crosshair in each frame is determined, the total fixation duration
 353 (F_d) for each AOI, including "Other" and "Missing data," is calculated by mapping the total
 354 number of frames in each area into time, as follows:

355
$$F_d (s) = \frac{\text{Number of Frames}}{\text{Frame Rate (Hz)}} \quad (7)$$

356 In this study, the gaze video is converted to a group of frames with a 25Hz frame rate. The
 357 output is a vector that has the fixation duration (the time spent looking) for each area, "Other"
 358 and "Missing data." Therefore, the total length of the output vector is represented by the AOIs
 359 selected by the specialist plus the "Missing data" and the "Other" conditions. Finally, the
 360 algorithm generates the output in the form of a stacked color bar representing the location and
 361 duration of gaze fixation at the AOIs in each trial.



362

363 **Figure 8.** A flowchart for frame labeling process

364 **3. Results**

365 *3.1 Experimental results*

366 As detailed earlier in the previous section, once the crosshair image and gaze video clip are
 367 loaded to the developed coding algorithm, the keyframes are detected using a fuzzy-logic
 368 controller. Examples of detected keyframes and their order in the gaze video are shown in
 369 Figure 9. As illustrated, the shape and color of objects in the scene are changing significantly.
 370 These changes cannot be recognized and tracked using image processing techniques alone;
 371 thus, the utilization of more intelligent algorithms becomes crucial to address this challenge.



372

373 **Figure 9.** Example of detected keyframes and their order in the gaze video

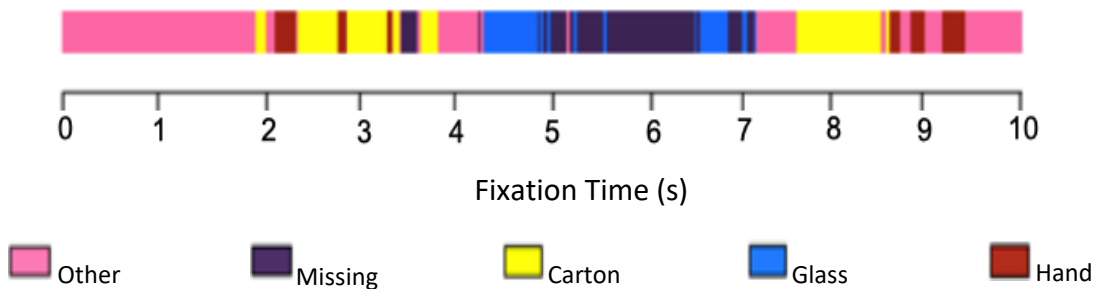
374 Post manual labeling of the AOIs in keyframes, the algorithm detects the AOIs and crosshair in
 375 non-key frames. Figure 10a shows an example of several AOIs (Carton, Glass, and Hand) in a
 376 keyframe. Figure 10b shows how the algorithm detects these AOIs in a non-key frame that
 377 comes later (i.e., several frames after the keyframe of Figure 10a). For each AOI, the total-
 378 fixation duration is calculated and exported as a vector in an Excel sheet.



379
 380

Figure 10. Examples of labeling/detection of AOIs and crosshair; (a) AOIs and crosshair in a keyframe, (b) AOIs and crosshair in a non-key frame

381 The primary added value of the developed algorithm is automating the coding process. Once
 382 the gaze data is coded, it can be easily presented in different forms and formats, including the
 383 stacked bar commonly called a scanpath in behavioral psychology. It is used to demonstrate
 384 the gaze sequence and how it differs under different testing conditions [36]. In the present
 385 work, we used the stacked color bar to illustrate each frame's fixation position to the hand. This
 386 helps specialists to analyze the prosthesis user behavior and elicit clinically meaningful results.
 387 Figure 11 shows an example stack bar which demonstrates the relative gaze position to the AOI
 388 during the trial time. At the beginning of the trial, the prosthesis user moves his/her gaze
 389 around for 2 seconds then starts looking at the carton.



390

Figure 11. Example of the generated stacked bar showing the fixation position at each frame to the hand.

391

392 **3.2 Validity analysis**

393 The validity of the coding results obtained by the developed algorithm is assessed by comparing
 394 them to those obtained manually using Cohen's Kappa statistical measure. To avoid
 395 exaggerating the sample size, Cohen's Kappa is calculated for each trial's coding results
 396 separately, then the average of the obtained results from each trial is considered. The obtained
 397 results for each trial's anatomical and prosthetic hands are respectively shown in Tables 2 and
 398 3. It can be noticed that Kappa values in all trials ranged from 0.705 and 1, but, on average,
 399 they are mostly higher than 0.8, which can be statistically considered almost perfect agreement
 400 [37].

401 **Table 2.** Cohen’s Kappa analysis results of the anatomical hand

Trial No.	1	2	3	4	5	Mean
Subject 1	1	0.934	0.962	0.984	0.972	0.970
Subject 2	0.770	0.866	0.815	0.788	0.914	0.831
Subject 3	0.934	1	0.753	0.964	0.995	0.929
Subject 4	0.936	0.986	1	0.969	1	0.978

Overall mean: 0.927

402 **Table 3.** Cohen’s Kappa analysis results of the prosthetic hand

Trial No.	1	2	3	4	5	Mean
Subject 1	0.884	0.785	0.838	0.724	1	0.846
Subject 2	0.741	0.792	0.790	0.760	0.793	0.775
Subject 3	0.930	0.923	0.947	0.928	0.871	0.920
Subject 4	0.929	0.837	0.705	0.839	0.709	0.804

Overall mean: 0.836

403 The confusion matrices that pinpoint the miscoding results between “Carton” and “Glass,” and
 404 between “Hand” and “Carton” for all trails, using both the anatomical and prosthetic hands,
 405 are respectively shown in Tables 4 and 5. The accuracy, precision, false-negative rate (FNR),
 406 and false-positive rate (FPR) are calculated from the confusion matrices in these tables and are
 407 summarized in Table 6. For these calculations, the manual analysis is considered the actual
 408 data, and the computerized analysis is the predicted data. The FNR and FPR represent type-1
 409 and type-2 errors, respectively.

410 **Table 4.** Miscoding results of the anatomical hand

		Computerized Analysis					Total
		Carton	Glass	Hand	Missing	Other	
Manual Analysis	Carton	471	25	0	1	56	553
	Glass	63	2662	0	0	121	2846
	Hand	0	0	19	0	19	38
	Missing	0	0	0	614	0	614
	Other	15	31	5	6	3837	3894
Total		549	2718	24	621	4033	7945

411
412

413 **Table 5.** Miscoding results of the prosthetic hand

		Computerized Analysis					Total
		Carton	Glass	Hand	Missing	Other	
Manual Analysis	Carton	1844	17	64	0	369	2294
	Glass	63	1054	0	0	205	1322
	Hand	143	0	292	0	135	570
	Missing	0	0	1	1512	0	1513
	Other	115	46	61	4	4057	4283
Total		2165	1117	418	1516	4766	9982

414 **Table 6.** Accuracy, precision, FNR and FPR metrics of the miscoding results

	Accuracy (%)	Precision (%)	FNR (%)	FPR (%)
Anatomical hand	95.70	91.33	04.3	1.43
Prosthetic hand	87.75	86.85	12.25	3.75

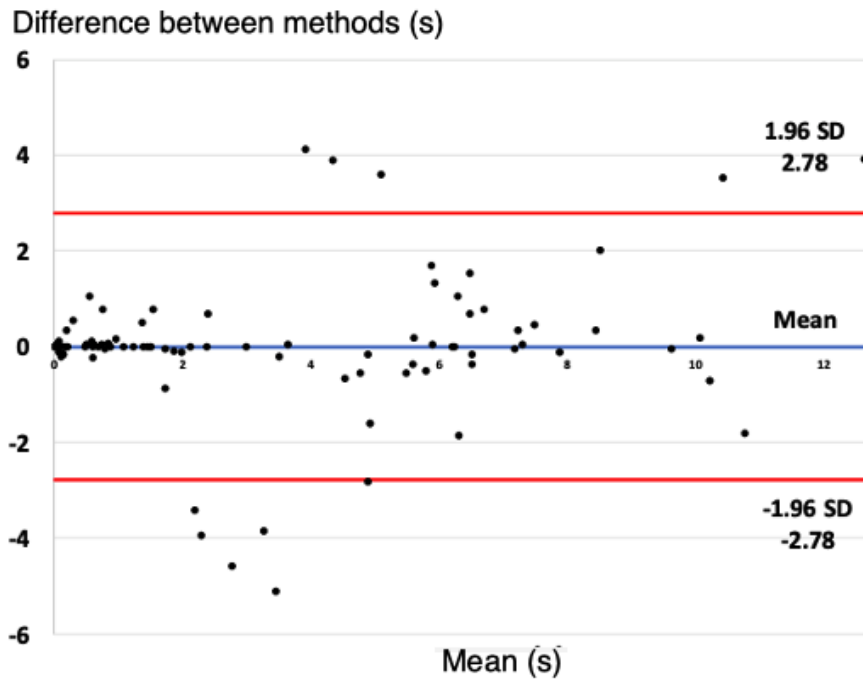
415 The agreement between the manual and computerized rating methods is assessed using the
 416 intra-class correlation coefficient (ICC). The ICC estimate for each AOI's total fixation duration
 417 and its 95% confidence intervals are calculated using SPSS statistical package version 23 (SPSS
 418 Inc, Chicago, IL). A two-way random-effect model based on single ratings and absolute
 419 agreement is used. The estimated agreement index is found to be 0.908 with 95% confidence
 420 intervals (0.867, 0.937) for the anatomical hand and 0.923 with 95% confidence intervals
 421 (0.888, 0.948) for the prosthetic hand. The Bland-Altman plots for both the anatomical and
 422 prosthetic hands are shown in Figure 12. As illustrated, the difference between fixation
 423 duration is plotted against the mean fixation duration at each AOI across all trials, as identified
 424 by the rating methods.

425 3.3 Coding-process efficiency

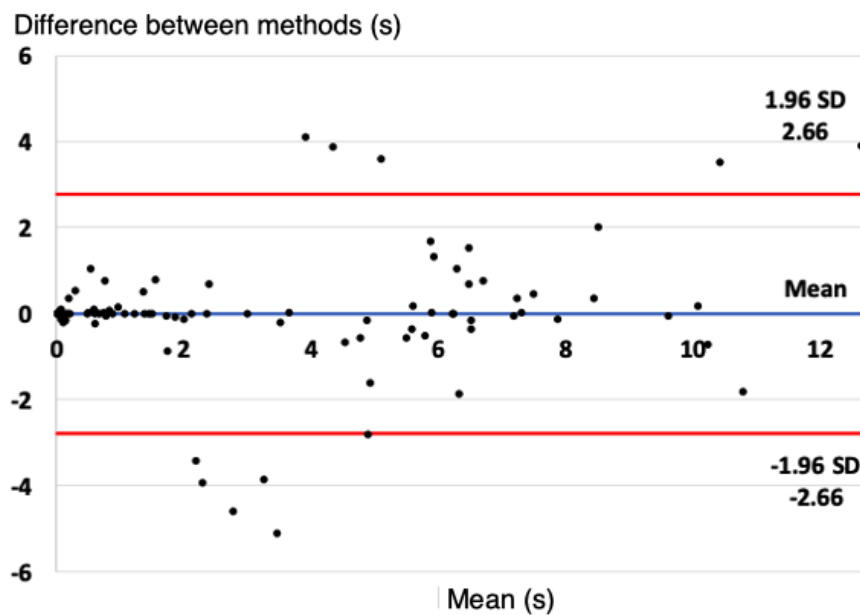
426 The time complexity analyses of the developed algorithms representing both the compiled time
 427 and execution time are calculated, as suggested in [38]. However, as the compiled time is not
 428 involved in the algorithm's real-time operation, the time estimation is limited to the algorithm's
 429 execution time. Estimating the execution time is performed by running the algorithm under
 430 test through a specific number of loop iterations. Timestamps of the start (T_{start}) and end (T_{end})
 431 instants of the loop are recorded, and the execution time (T_{exec}) is then calculated as suggested
 432 in [39]:

$$433 \quad T_{exec} = \frac{T_{end} - T_{start}}{n} \quad (8)$$

434 where n is the number of loop iteration. In the present analysis, $n = 100,000$ is considered
 435 adequate to estimate the average T_{exec} with acceptable accuracy. Finally, the computerized
 436 coding process's total time is obtained by adding the specialist's time to obtain the keyframes
 437 and AOIs to the execution time estimated in (8). Estimation of T_{exec} is carried out using a laptop
 438 with Intel(R) Core (TM) i7-4770 M CPU @ 3.4 GHz, 4.0 GB RAM, and 64-bit Windows 10
 439 operating system, and the code is run on MATLAB with real-time priority mode. Further
 440 reduction in the T_{exec} is possible using a more time-efficient programming language such as
 441 C/C++ or assembly programming compared to the MATLAB.



(a) Anatomical hand



(b) Prosthetic hand

442 **Figure 12.** Bland-Altman plots of the total fixation duration on AOI for the manual and computerized methods

443 A comparison between the computerized and manual coding efficiency is shown in Table 7. The
 444 timeframes reported in this table represent the average times taken by two specialists to
 445 perform the given tasks (i.e., watching the video and counting the number of crosshair
 446 appearance on each AOI). As illustrated, a significant timesaving is achieved by the developed
 447 algorithm as compared to the traditional manual coding. The central part of the time saving is
 448 reflected by reducing the number of keyframes that require a specialist's intervention towards

449 generating the stacked-bar output. This development reduces the data-preparation time and
450 allows the specialist to focus on the analysis rather than extracting the fixation data.

451 **Table 7.** Time efficiency comparison between the proposed system and the manual coding

Coding activity	Coding time		
	Manual (min)	Computerized (min)	
		Keyframe Selection	Program Execution
Video 1: Duration: 16s, number of frames: 400	11	0.5	5.5
Video 2: Duration: 18s, number of frames: 450	12	0.6	6.4

452 4. Discussion

453 In this work, a new algorithm capable of detecting gaze fixation at predefined areas of interest
454 in given gaze data has been designed, developed, and tested successfully. Two test conditions,
455 using anatomical and prosthetic hands, are considered for coding in this study. Each test is
456 based on five trials' data, resulting in 40 coded trials of gaze data. We used an intelligent
457 algorithm based on a fuzzy controller to automate fixation position detection on trials. This
458 development has improved the process of obtaining clinically meaningful findings from the
459 gaze data by saving time and improving reliability by obtaining consistent results. The main
460 findings and limitations of the work presented in this paper are discussed as follows.

461 4.1 Main findings

462 The developed algorithm is considered an essential step towards fully automating the dynamic-
463 gaze video analysis. It contributed to automating the process of finding AOIs in non-key frames
464 and generating the required stacked bar that the specialists require. AOIs of the keyframes are
465 still defined manually with the help of a specialist. Such a human intervention is considered
466 necessary to deal with the alterations in the shape of the AOIs. These alterations are mainly
467 caused by the dynamic changes in the location and orientation of the AOIs relative to the
468 tracking camera, see Figure 9. On average, about ten frames in each gaze video required user
469 intervention, representing only a small portion (2.6%) of the entire manual analysis process.

470 The developed algorithm is tested by analyzing the gaze data collected during completing a
471 simple Activities of Daily Living (ADL) task under two testing conditions using both a prosthesis
472 and anatomically intact hands. The gaze data described in the methods (Section 2) is collected
473 to characterize prosthetic users' gaze behavior to understand prosthetic control's underlying
474 process [15]. The difficulty the team has faced while analyzing the gaze data to quantify the
475 gaze fixation patterns on the scene is the actual rationale behind developing the proposed
476 algorithm. Such a difficulty has resulted from the fact that the scene comprises many AOIs that
477 can be fixated. In particular, the interest is to count the gaze duration at each AOI and the
478 sequence of this gaze fixation (known as the scan-path) during task completion.

479 Comparable results are obtained when comparing the algorithm's coding results with those
480 obtained manually. [The developed algorithm showed a comparable accuracy of coding with
481 high precision, especially when the anatomical hand is used.](#) Tables 1 and 2 indicated high
482 agreement between the algorithm coding and the manual coding for all testing conditions as

483 Cohen's Kappa values ranged from 0.705 to 1.0. However, Cohen's Kappa is slightly higher for
484 the anatomical hand than that of the prosthetic hand. This difference may be due to the
485 relatively short task duration of the anatomical hand usage and fewer keyframes. Besides,
486 when the anatomical hand is used, the gaze involves fewer transitions between AOIs;
487 therefore, the possibility of mislabeling/disagreement is reduced. [The ICC results also](#)
488 [demonstrated high agreement between the two methods, as illustrated in the Bland-Altman](#)
489 [plot of Figure 12. It can be noticed that the data points for both hands are closely scattered](#)
490 [around the mean.](#)

491 *4.2 Challenges and limitations*

492 *4.2.1 Challenges*

493 Design and development of the proposed coding algorithm have dealt with and addressed
494 some technical challenges, including the following:

- 495 a) Dynamic changes in the location and orientation of the AOIs - this challenge is addressed
496 by using fuzzy logic to support the algorithm in making decisions similar to human
497 thinking. The small weight given to the color change helped minimize the lighting effect
498 on the performance, unless it had sufficient change (energy).
- 499 b) Identification of accurate crosshair borders - the algorithm defines the crosshair as a
500 square that may cause bias in its center, especially when the crosshair intersects with two
501 AOIs. In this case, the algorithm considers the fixation at the AOI listed first by the
502 specialist.
- 503 c) The color contrast between the AOIs and the background of a similar color. For example,
504 the "Glass" increases the difficulty of visually distinguishing it from the surrounding area.
505 This problem is tackled by using an anisotropic diffusion filter, which helped remove the
506 small details (e.g., texture and internal edges) without affecting the main object's edges.

507 *4.2.2 Limitations*

508 The developed algorithm represents a significant step towards the automation of dynamic-gaze
509 video analysis for upper-limb prosthesis users. However, for full automation of the coding
510 analysis process, which is not the objective of this study, there is a need for further
511 improvements. For example, obtaining the AOIs in the keyframes still requires the intervention
512 of a specialist. The mislabeling may occur between the "Other" backgrounds, and the AOIs that
513 need further improvements. A miscoding between "Carton" and "Glass", and between "Hand"
514 and "Carton" can also happen with the prosthesis during the task completion. These limitations
515 can be avoided by prioritizing the intersected AOIs.

516 The developed algorithm deals with the physical AOIs border, but the nearby areas may also
517 contain important information beyond the scope of the present study. Such information can
518 be of a particular importance when the gaze fixation is located within the vicinity of the
519 object(s) of interest rather than at the object itself. For instance, perhaps, it is reasonable to
520 assume that the participant is looking at the carton and/or glass to check onto the pouring
521 action. Alternatively, gaze might be kept in an area close to both areas in order to achieve the
522 same intended function (i.e., check onto the pouring action). To address this limitation, defining
523 a "functional AOI" sometimes relates to an action (i.e., pouring water). This functional AOI can
524 comprise several objects that need to complete the action (i.e., carton, glass, and their vicinity).
525 These limitations and others are currently part of the ongoing work of the authors.

526 **5. Conclusion**

527 In this paper, a bespoke algorithm for detecting gaze fixation in given gaze data has been
528 designed, developed, and tested successfully. The results obtained using the developed
529 algorithm agree with those obtained manually but found to be significantly faster and less
530 prone to human errors when compared to the manual coding. Statistical analysis showed that
531 Cohen's Kappa ranges from 0.705 to 1.0. [Moreover, based on the ICC, the agreement index
532 between computerized and manual coding methods is found to be \(i\) 0.908 with 95%
533 confidence intervals \(0.867, 0.937\) for the anatomical hand and \(ii\) 0.923 with 95% confidence
534 intervals \(0.888, 0.948\) for the prosthetic hand. A Bland-Altman plot also showed that all data
535 points are closely scattered around the mean. These findings confirm the validity and
536 effectiveness of the developed coding algorithm.](#) This confirms the validity of the developed
537 coding algorithm.

538 The developed algorithm demonstrated a significant step forward for full automation of the
539 dynamic-gaze video analysis process; thus, reliable, accurate and clinically meaningful findings
540 can be obtained in a short period as compared to the existing tedious and time-consuming
541 manual analysis. However, further investigations are still required to improve the developed
542 coding algorithm's structure and performance by conducting a more comprehensive clinical
543 study. A more intelligent machine learning approach, such as neural networks, can also be
544 adopted to automate the coding process of keyframes in the gaze data. Other factors that may
545 affect the collection and analysis of gaze data such as gamification of eye exercises for
546 evaluating the eye fatigue, gender, age, color, position, and stress can be considered in future
547 experiments. These potential experiments and others are currently part of the authors' ongoing
548 work and will be the subject of a future publication(s).

549 **Declaration of competing interest**

550 There is no conflict of interest.

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